

Representing the present and future release of carbon to rivers in permafrost regions using an earth system model Simon Bowring

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Representing the Present and Future Release of Carbon to **Rivers in Permafrost Regions** using an Earth System Model

Thèse de doctorat de l'Université Paris-Saclay préparée à l'Université de Versailles Saint-Quentin-en-Yvelines

École doctorale N°129: Sciences de l'environnement d'Ile-de-France Spécialité de doctorat: Météorologie, océanographie, physique de l'environnement

> Thèse présentée et soutenue à LSCE, le 23 Mai, 2019 Mr. Simon P. K. Bowring

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Titre : Représenter le rejet présent et futur de carbone dans les rivières dans les régions de pergélisol à l'aide d'un modèle de surface

Mots clés : pergélisol, rivières, carbone organique dissout (COD), alcalinité, changement climatique, couche active

Résumé : Pendant la majeure partie du Pléistocène, les régions de la Terre recouvertes de pergélisol ont été des puits nets de carbone (C) d'origine végétale et transférée au sol. L'accumulation de ce C organique dans les sols de la région de pergélisol circumpolaire nord a conduit à des stocks qui contiennent actuellement une masse C supérieure à celle qui existe dans l'atmosphère par un facteur de plus de deux. Dans le même temps, les rivières du pergélisol arctique rejettent environ 11% du flux d'eau fluvial global dans les océans, et ce dans un océan (l'Arctique) correspondant à 1% du volume d'eau total des océans mais d'une très grande surface ce qui le rend relativement sensible aux afflux de matières dérivées des surfaces terrestres. Ce flux fluvial provient de précipitations sous forme de pluie ou de neige qui, lors du contact initial avec la surface, ont le potentiel immédiat d'interagir avec le C de l'une des deux manières suivantes: d'une part, l'eau qui coule sur des roches carbonatées ou silicatées provoquera une réaction dont le réactif nécessite l'absorption de CO_2 atmosphérique, qui est ensuite transporté dans l'eau des rivières. Ce C inorganique issu de l'interaction de l'eau, de l'atmosphère et de la lithosphère représente donc un vecteur de stockage ou de «puits» du C. D'autre part, l'eau qui interagit avec la matière organique présente dans les arbres, la litière ou le sol peut transporter le C qu'elle contient et le transférer par les eaux de surface et souterraines dans les rivières. Ce carbone peut ensuite être métabolisée vers l'atmosphère ou exportée dans la mer. Des améliorations récentes dans la compréhension de la dynamique du C terrestre indiquent que ce transfert hydrologique de matière organique représente le devenir dominant du carbone organique, après prise en compte de la respiration des plantes et du sol. Dans le contexte du réchauffement climatique d'origine anthropique amplifié de l'Arctique, l'exposition thermique imposée au stock de pergélisol de C, associé à d'une augmentation des précipitations futures, laisse présager des

changements importants dans le cycle du carbone organique et inorganique induit par les flux latéraux. Cependant, la totalité des processus impliqués rend difficile la prévision de ce changement. Partant de ce constat, cette thèse s'appuie sur les avancées antérieures en matière de modélisation du système terrestre pour inclure la production et le transport latéral de carbone organique dissous (COD), de CO₂ dérivé de la respiration et d'alcalinité dérivée au sein d'un modèle global de surface terrestre développé précédemment pour résoudre spécifiquement les processus des régions boréales. A l'aide de données issues des plus récent produits sur le sol, l'eau, la végétation et la climatologie pour forcer les conditions aux limites du modèle, nous sommes en mesure de reproduire les processus et les flux de transport latéraux existants ainsi que faire des projections futures. Dans cette thèse, nous montrons que les exportations d'alcalinité panarctique et l'absorption du CO₂ qui l'accompagne augmentent avec le réchauffement, que les flux de COD diminuent en grande partie à cause des circuits d'écoulement d'eau plus profonds dans le sol et des changements qui en résultent dans les interactions carbone-eau. Enfin, nous observons que la libération de COD dans l'Articque n'est pas linéairement liée à la temperaturre. Par conséquent, la future libération de COD dans l'Arctique peut augmenter ou diminuer avec la température en fonction des modifications de l'état thermique et des trajectoires hydrologiques dans les sols profonds. L'effet net de ces flux sur les océans est de réduire l'acidification future de l'eau de mer d'origine terrestre. À l'inverse, nos simulations montrent que l'absorption de CO₂ due à l'altération chimique est supérieure à son évasion de l'eau des rivières, ce qui signifie que, lorsque l'altération est prise en compte, le cycle du carbone dans les eaux intérieures passe d'une source nette de C à un puits net. En outre, ce puit augmente au 21ème siècle, amortissant partiellement la perte de carbone du sol lors du dégel du pergélisol.



Title : Representing the Present and Future Release of Carbon to Rivers in Permafrost Regions using an Earth System Model

Keywords : permafrost, rivers, DOC, alkalinity, climate change, active layer

Abstract: For much of the Pleistocene, regions of the Earth underlain by permafrost have been net accumulators of terrestrially-fixed plant carbon (C), known as organic C, to the extent that in the present day the soils of the northern circumpolar permafrost region alone contain a C mass outweighing that which exists in the modern atmosphere by a factor of over two. At the same time, the rivers of the Arctic permafrost region discharge about 11% of the global volumetric river water flux into oceans, doing so into an ocean (the Arctic) with 1% of global ocean water volume and a very high it surface area: volume ratio, making influxes comparatively sensitive to of terrestrially derived matter. This river flux is sourced from precipitation as either rain or snow, which, upon initial contact with the landscape has the immediate potential to interact with C in one of two ways: Water running over carbonate or silicate -bearing rocks will cause a reaction whose reactant requires the uptake of atmospheric CO_2 , which is subsequently transported in river water. This 'inorganic' C derived from interaction of water, atmosphere and lithosphere thus represents a C storage or 'sink' vector. In addition, water interacting with organic matter in tree canopies, litter or soil can dissolve C contained therein, and transfer it via surface and subsurface water flows into rivers, whereupon it may either be metabolised to the atmosphere or exported to the Recent improvements sea. in understanding of terrestrial C dynamics indicate that this hydrologic transfer of organic matter represents the dominant fate of organic carbon, after plant and soil respiration are accounted for. In the context of amplified Arctic anthropogenic warming, the thermal exposure imposed on the permafrost C stock expectations of enhanced with future precipitation point toward substantial shifts in the lateral flux-mediated organic and inorganic C cycle. However, the complex totality of the processes involved make prediction of this shift

difficult. Addressing this gap in instrumental power and theoretical understanding, this collection of studies builds upon previous advances in earth system modelling to include the production and lateral transport of dissolved organic C (DOC), respiration-derived CO₂, and rock-weathering derived alkalinity in a global land surface model previously developed to specifically resolve permafrost-region processes. By subjecting the resulting model to state of the art soil, water, vegetation and climatology datasets, we are able to reproduce existing lateral transport processes and fluxes, and project them into the future. In what follows, we show that while Pan-Arctic alkalinity exports and attendant CO₂ uptake increase over the 20th and 21st Centuries under warming, DOC fluxes decline largely as a result of deeper soil water flow-paths and the resulting changes in carbon-water interactions. Rather than displaying a clear continuous (linear or non-linear) temperature sensitivity, future Arctic DOC release can increase or decrease with temperature depending on changes in the thermal state and hydrologic flow paths in the deep soil. The net marine effect of these fluxes is to decrease future terrestrially derived seawater acidification. Conversely, our simulations show that CO_2 uptake from chemical weathering exceeds its evasion from river water, meaning that when weathering is considered, the inland water carbon cycle shifts from being a net C-source to a sink. Further, this sink increases into the 21st C, partially buffering soil C loss from permafrost thaw.



ÉCOLE DOCTORALE Sciences chimiques : molécules, matériaux, instrumentation et biosystèmes

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1 Acknowledgements

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3 This was unforeseen. But a PhD thesis on the global numerical modeling of the 4 permafrost, for somebody from a sub-tropical island who started off professionally in 5 writing, was never all that likely. The succession of leaps, many thousands small and some very large over the past three and a half years, have been intellectual, emotional, 6 7 organisational and -largely less positively -physical, and would have come to little 8 without many people, some of whom I hope have the opportunity, as it were, to be 9 reading these words now. I have gone from somebody who knew next to nothing of the 10 permafrost, of coding, or the importance of the process by which huge masses of the sky are transferred by raindrops over the entire vast stretch of the global land mass into the 11 oceans, to somebody who knows a little bit more. I have met great scientists, colleagues 12 13 and individuals, and travelled to many places during this time to do so, briefly even acquainting myself with the Lena River in Eastern Siberia. The process itself has been 14 15 painful, frustrating, stressful, sometimes rewarding, but its outcome has, I hope, 16 somewhat fulfilled its purpose of adding understanding and context to our 17 interpretation of what is happening and what could happen to our planet and the beings that inhabit it, now and onward. 18

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20 For now, I would like to first raise a glass to my supervisors: Bertrand for his kindness, 21 Philippe for his patience and huge depth of field. A glass more pungent I raise to Ronny 22 for his brilliance, help and humour, and without whom I would likely have abandoned 23 this attempt. Special thanks to Albert, for being there to deal with my code, to Pierre R. 24 and Pierre F. for having and giving belief, and to Jorien, Pete, Samuel and Lars for 25 agreeing to be on the committee tasked with reviewing this document. To mv 26 collaborators in life and co-conspirators in laughter and friendship, you know who you 27 are. But here I'll shout out some names. Nefeli, Martin, Isa, Yannis, Ana, Ardalan, Lea, 28 Bob, Rashel, Patrick, Costa, Stefano, Joao, Edo, Imie, Gautham, Taco, Joris, Christos, 29 Giorgos, Niki, Dimi, Omer, Heike, Vale, Tsoef, Fekas, Ola, Timo, Braschi, Ryan, Olivia, 30 Yucca, Crystal, Sim, Matthi, Iain, Alex: Thank you for collectively carrying in you the 31 same flashing, giving, nonchalent and hilarious magic infused of life itself; I'd have been 32 defeated many times in many ways without it. To my parents for their timeless, 33 unconditional support and embodiment of the spirit of questioning, and to my brother for his curiosity and bravery, for always providing a different. And to Monse for being 34 35 my light, and driving everything which makes everything worth everything.

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Chapter 1: Introduction

143 The Earth's carbon cycle, fundamentally driven at source by volcanic activity, and at sink 144 by the chemical weathering of carbonate-based rocks, is substantially mediated by the 145 existence of life on this planet, in that uptake of carbon dioxide (CO₂) by photosynthetic 146 organisms leads to substantial solid-state storage of CO₂ on the land or sea surface as 147 biomass, causing a buffering or lag-time for the cycling of this element between lithosphere and atmosphere. The terrestrial storage rate of carbon depends on both its 148 149 uptake rate by photosynthetic organisms, a function of the hospitability of living 150 conditions on the Earth's surface, which is related to temperature and precipitation, and 151 its turnover or release rate by plant and microbial/animal respiration –also a function of 152 climatic conditions. In turn, by altering the concentration of atmospheric CO₂ and its 153 subsequent impact on solar radiative forcing, carbon uptake and storage/release by 154 living organisms themselves modulate climate and hence the conditions of their own 155 existence(Kump, L.R., Kasting, J.F., Crane, 2010).

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157 Soil carbon accumulation occurs when vegetation growth and litter production exceed 158 the capacity of fauna and microfauna to consume them, leading to a net increase in 159 organic matter stocks on the land surface. In the tropics, soil carbon accumulation rates 160 tend to be low, reflecting the efficiency of carbon removal from the land surface due to 161 the relative lack of temperature and moisture limitation on decomposition and 162 mobilisation of photosynthetically-fixed carbon (e.g. ref. (Stuart Chapin et al., 2012)). In 163 the high latitudes, sub-zero mean annual air temperatures can lead to a situation in 164 which organic matter in the subsoil can remain permanently frozen throughout the year, 165 despite seasonal aboveground temperatures far exceeding freezing point. When 166 combined with substantial net primary production and plant litter inputs in boreal 167 forests, sub-zero belowground temperatures result in low rates of soil carbon decomposition and turnover. Additionally, the activity of soil-burrowing organisms and 168 169 the vertical churning of soil due to repeated freeze-thaw cycles, results in the 170 downward-migration of soil surface matter to depth, where it can remain thermally 171 shielded from decomposition for millennia.

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173 As a result, over multi-millennial timescales this incremental soil accumulation results in 174 the formation of massive organic carbon stocks, collectively known as 'permafrost soil 175 carbon', which can be subdivided into more recent stocks of <10Ky, older >10Ky soils, 176 and 'yedoma' soils, which consist of organic matter 'fixed' during the Pleistocene era 177 (10My-10Ky) that are characterised by both high soil carbon concentrations and 178 volumetric ice content. The soil column produced by this accumulation can reach 179 depths of well over 30m, and are thought to contain 1330-1580 Petagrams (PgC, 180 =10¹⁵grams or 'billion tonnes') of soil organic carbon (SOC) (Hugelius et al., 2013, 2014; Tarnocai et al., 2009), or the equivalent of \sim 25 cumulative yrs. of global terrestrial net 181 182 plant growth or net primary production (NPP) at present day rates (~59PgC yr-183 ¹)(Regnier et al., 2013).

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As planetary warming proceeds with increases in atmospheric CO₂ and other greenhouse gas concentrations in the modern era, temperatures in the Arctic increase disproportionately relative to the global average –a process known as 'Arctic amplification' (Serreze and Barry, 2011). As a result, thermal shielding of permafrostregion soil has recently diminished, and is expected to do so substantially in the coming centuries. This will both slow the rate of (modern) humic SOC accumulation, and increase the rate at which (older/ancient) stabilised soil carbon is mobilised from its dormant, frozen state(Schädel et al., 2014; Schuur et al., 2009, 2015; Zimov et al., 2006). This extent of this 'awakening' is the subject of a timely focussing of recent scientific research, given the potential perturbations that such a large thermally-vulnerable SOC stock can have on the global carbon cycle.

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197 At the same time, there has been increasing recognition of the role of terrestrial 198 freshwaters in modulating the contemporary carbon cycle. In this regard, the linkage of 199 atmosphere and land with the ocean, with lakes rivers as the spatial conduit between 200 them, has long been understood on geological timescales, and refers to the inorganic 201 atmospheric carbon removal associated with chemical weathering of silicate and 202 carbonate rocks by rainwater. However, the role of inland waters in the contemporary, 203 short-term carbon cycle has only recently been appreciated (Aufdenkampe et al., 2011), 204 and refers to its mediation of the organic carbon component associated with plant 205 matter. Whereas the freshwater transfer of inorganic carbon is relatively 'passive', 206 organic carbon can be rapidly transformed to CO_2 in its transit over the land surface(Raymond et al., 2013; Venkiteswaran et al., 2014), and the freshwater conduit is 207 208 in this case relatively 'reactive', and has for this reason been dubbed 'the Active 209 Pipe'(Cole et al., 2007).

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211 Thus lateral flows of carbon have 2 major pathways: organic and inorganic, largely 212 reflecting biogenic and lithogenic processes respectively. However, both pathways feed 213 off the same source: atmospheric CO₂. The organic pathway is comprised of dissolved 214 (DOC) and particulate carbon (POC), where the former is derived from the uptake of 215 hydrolysed plant matter to water fluxes in a process known as 'leaching', and the latter 216 either an erosive flux involving the direct removal of plant and soil matter from the land 217 surface, or derived from the in-stream fixation of atmospheric CO₂ by freshwater algae. 218 In addition to weathered material, the inorganic, or dissolved inorganic carbon (DIC) 219 flux includes dissolved carbon dioxide fluxes ($CO_{2(aq.)}$) and ions, both originating from 220 microbial consumption of photosynthetically-fixed carbon. $CO_{2(aq.)}$ can be exported with 221 water fluxes either directly from the soil, or produced in situ, as matter that entered the water column along the organic carbon pathway is respired by river-borne heterotrophs 222 223 and retained in a dissolved state. Depending (positively) on temperatures, water-224 atmosphere CO_2 disequilibrium and water turbulence, $CO_{2(aq.)}$ can either be exported to 225 the ocean or outgassed to the atmosphere. Outgassing, in turn, can take either the form 226 of CO₂ or methane (CH₄), depending on prevalence of available oxygen for the 227 Thus terrestrial carbon mobilised to inland waters are decomposition of matter. 228 largely restricted to one of three fates: export to the ocean, outgassing to the 229 atmosphere or settling and sedimentary storage prior to arrival at the coast.

230

While the first global quantification of the total lateral export of carbon from the land surface was produced in 1981(Schlesinger and Melack, 1981) and estimated at 0.37 PgC yr⁻¹, surging research in this area has produced almost back-to-back increases in this value (Bastviken et al., 2011; Battin et al., 2009; Borges et al., 2015; Cole et al., 2007; Holgerson and Raymond, 2016; Regnier et al., 2013; Sawakuchi et al., 2017; Tranvik et al., 2009). Today, against a backdrop 7.3 PgC yr⁻¹ of net ecosystem production (NEP=Gross primary production -total ecosystem respiration) the total carbon throughput of global inland waters is now estimated at 5.4 PgC yr⁻¹ (including weathering (0.3PgC), POC input (0.1 PgC) and in-stream photosynthesis (0.3 PgC)), of which 3.9 PgC is outgassed, 0.6 PgC is stored in sediments, and 0.9 PgC reaches the ocean(Drake et al., 2017) (Fig. 1). Thus, lateral export constitutes the dominant fate of photosynthetically-fixed terrestrial carbon after autotrophic and soil heterotrophic respiration are accounted for.

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Global Land Ocean Aquatic Continuum Budget



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Figure 1: 'Pipe' schematic illustrating the global LOAC budget in a format first employed by Cole et al.
(2007) and adapted to include different sources and sinks of carbon as well as updated values for these
fluxes (PgC yr⁻¹) per the review paper by Drake et al. (2017). Photosynthesis refers to in-stream
('autochtonous') carbon uptake by river-borne algae.

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252 In the Arctic, a warming environment is expected to increase flows across the LOAC, 253 owing to biotic and physical responses to increases in temperature and atmospheric 254 CO2 concentrations, respectively(Drake et al., 2018; Tank et al., 2012a, 2016). Changes 255 in the flux of organic and inorganic carbon from terrestrial sources to the ocean may 256 likewise have potentially pronounced effects on Arctic marine biogeochemistry, with 257 attendant impacts on regional and global carbon budgets (Feely et al., 2004; Semiletov et 258 al., 2016, 2007; Shakhova et al., 2015; Tesi et al., 2014). These arteries of Arctic riverine 259 carbon are dominated by the six largest river basins north of 45°N: the Ob, Yenisey, 260 Lena, Kolyma, Yukon and Mackenzie, together accounting for $\sim 65\%$ of aggregate water discharge, and drain 67% of the region by area(McClelland et al., 2012). These are 261 262 followed by eight smaller watersheds, which export a further 15% of aggregate discharge. At present, the total outflow of terrestrially-derived carbon to the Arctic 263 Ocean shelf is thought to total 45-54 teragrams of carbon (TgC, $=10^{12}$ g) yr⁻¹. Rivers 264 supply it with an estimated 34 TgC dissolved organic carbon (DOC) per year(Holmes et 265 266 al., 2012), and deposit 5.8 TgC yr⁻¹ particulate organic carbon (POC) on the seabed, these 267 being sourced from those rivers draining low and high elevation headwaters, 268 respectively(McClelland et al., 2016).

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The importance of permafrost thaw in the context of lateral carbon fluxes is traced bythe following considerations for the high latitude land surface. 1) Do increases in carbon

uptake from primary production due to warming in permafrost areas outweigh 272 273 increases in carbon loss due to destabilization of permafrost soil stocks, or vice versa? 274 (In other words is net ecosystem production positive or negative?) 2) Will lateral carbon 275 fluxes increase with increases in temperature and precipitation? The combined answer to these questions will drive the rate of change of the carbon system in permafrost 276 For example, if NEP is positive, then lateral transfer of organic carbon 277 regions. represents a potential atmospheric loss, via evasion, of carbon that may be otherwise 278 279 not metabolized on the land surface itself. In the existing global positive-NEP case, this 280 means that organic carbon that might otherwise have been become part of the soil carbon stock or be respired in the soil is instead exported, a large portion of which is 281 282 subsequently 'lost' to the atmosphere (Fig. 1). In the hypothetical case that permafrost 283 region NEP is negative in the future, an increase in DOC flux might actually reduce the 284 total stock of carbon available that would otherwise be respired in the soil. In this 285 scenario, an increase of lateral organic carbon fluxes would constitute a 'second chance' for that exported carbon to avoid transformation to gaseous form (if it is not evaded en-286 287 route). $CO_{2(aq.)}$ can return to solid-state carbon after export to the river by in-stream 288 algal photosynthetic fixation, while enhanced alkalinity fluxes imply strengthened atmospheric CO₂ uptake by weathering reactions. Thus in this scenario, a strengthened 289 lateral flux system in a weakened or negative NEP system could be a stabilizing force for 290 291 carbon stocks (Fig. 2).



Figure 2: Figure illustrating fluxes between ecosystem scale carbon storage components for (left)

positive and (right) negative NEP cases, to demonstrate how 2 systems with the same fluxes between

respiration potentially all the river export flux could be respired in the soil if it was not exported, and

second, that all the exported C can hypothetically be re-stored on the land through sedimentation. In this

situation, we can say that LOAC export potentially stabilizes C that would otherwise be respired.

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storage components (lower segment in the images) can be considered to have differing interpretations of the LOAC lateral flux, depending on whether the system is net heterotrophic (negative NEP) or autotrophic (positive NEP). For simplicity, the diagram assumes no in-stream primary production or biomass accumulation in plants themselves (all NPP goes to soil and litter). NEP_A refers to NEP before carbon export to the inland water network, NEP_{EXP} refers to NEP after terrestrial export has occurred, while NEP_{LOAC} refers to NEP after accounting for sedimentary storage (or photosynthetic uptake). See inset box for explanation. On the right hand side, the notion of 'potential LOAC savings' is explored in the case of negative NEP, in which we assume that, because of the optimized conditions for heterotrophic

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307 **Permafrost in depth**

308 309 Characteristic Arctic river processes are largely driven by two latitude-specific controls. First, many key phenomena are determined by phase changes of water, be they in the 310 311 atmosphere, on the soil surface, in the soil, or in the river network itself. Second, the presence of permafrost -its cap on vertical water flow-paths in the soil, and thermally-312 determined accommodation/release of the ~1300PgC of SOC underlying it -act as a 313 fulcrum for landscape-scale biogeochemical dynamics. 314 The combination of these 315 permafrost-region characteristics gives rise to the following dynamics. Rapid melting of 316 snow and soil or river ice in Arctic spring (May-June) following ~6 months of continuous 317 accumulation drives massive water runoff rates almost entirely restricted to overland 318 flow by the permafrost barrier. This causes intensely seasonal river discharge, with 319 annual peaks often two orders of magnitude above baseflow rates(Ye et al., 2003). 320 Vigorous water flux rates mean that particulate carbon and nutrients are rapidly eroded from elevated headwater catchments, as observed in the N. American Arctic with high 321 322 POC:DOC ratios(McClelland et al., 2016). In lower-lying areas, litter and moss material 323 at the soil surface are intensely leached, with mobilization and transformation of this 324 subsequently dissolved matter via inland waters. DOC concentrations tend to be similar to those in the tropics(Holmes et al., 2012; McClelland et al., 2012) particularly in the 325 flatter Eurasian rivers (lower POC:DOC ratio). In some areas, high DOC concentrations 326 327 are the result of snowmelt-induced soil water saturation, which favours the establishment of moss and sedge-based peat ecosystems characterized 328 bv 329 correspondingly high SOC concentrations that are mobilized upon thaw.

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331 In mid-summer, runoff and river discharge rates decline, while the depth to which the 332 soil is unfrozen (referred to as the 'active layer') increases. These are thus periods 333 during which soil respiration rates are highest, and where leached DOC may be of 334 greatest age of origin. Once mobilized to headwaters, it appears that this often-ancient, 335 low molecular weight SOC matter can be preferentially and rapidly metabolized by 336 microbes in headwater streams, to the extent that respiration of ancient plant matter 337 may dominate aggregate summer CO₂ evasion in Arctic rivers(Denfeld et al., 2013; Feng 338 et al., 2013; Vonk et al., 2013; Vonk and Gustafsson, 2013). As Arctic warming proceeds, 339 it has been suggested that volumes of freshwater and total energy flux in runoff and 340 river discharge will increase with rising precipitation and permafrost thaw(Lammers et 341 al., 2007). In addition, guided by rising temperatures, thermal and physical exposure of 342 SOC (see Fig. 3), along with increases in plant growth and litter inputs to the soil, are 343 thought to increase the total pool of carbon available to DOC leaching and 344 transport(Frey and Smith, 2005; Laudon et al., 2012).

21stC Newly Thawed Areas (ALTmax< 3m)

Δ 2m Air Temperature (°C)



345

Figure 3: Simulated increases in (left) areas transitioning from continuous to discontinuous permafrost;
 (right) mean annual 2m air temperatures; over the 21st Century (2090-2099 against a 1996-2005 baseline), under the 'intermediate-high warming' scenario (RCP 6.0), generated by the simulation output from our model. Major rivers are outlined in black.

350

351 In addition, high latitude weathering processes are subject to substantial modulation by 352 phenomena such as cryoturbation, glacial action and mineral exposure due to cracking 353 under freeze/thaw cycles. Beyond the focus on the organic carbon cycle, this can further 354 affect the carbon balance of these areas in their impacts on chemical weathering, in 355 which rainwater dissolution of atmospheric CO2 is 'fixed' as to bicarbonate (HCO3⁻) 356 upon weathering of carbonate rocks by its conversion to HCO₃, which reduces its 357 chemical susceptibility to atmospheric release. Likewise, the vertical percolation of 358 water through the soil column allows for the fixation of biogenic CO2 residing in soil 359 pore space as HCO₃-(Beaulieu et al., 2012; Gaillardet et al., 1999; Stets et al., 2017; Tank 360 et al., 2012a, 2016, 2018). The riverine flux of weathering products has been shown to 361 have increased markedly over high latitude regions in the last decades(Drake et al., 362 2018; Tank et al., 2016), driven by temperature, precipitation, thaw-related increaess in 363 mineral surface area, agricultural liming, mining and acid rain(Kaushal et al., 2018; Maher and Chamberlain, 2014; Raymond and Hamilton, 2018; White and Blum, 1995), 364 365 and can be expected to increase with time, with the potential to partially offset CO_2 366 emissions from permafrost thaw(Drake et al., 2018).

367

368 Permafrost regions are subject to further processes of potential destabilization:

369

Under thaw conditions, microbial activity increases and can generate its own heat, which incubation experiments have shown may be sufficient to significantly warm the soil further(Hollesen et al., 2015). 'Thermokarst', or the melting of massive soil ice inclusions can lead to the physical collapse of the soil column and sudden exposure of ancient soil carbon to the surface(Abbott et al., 2015; Kanevskiy et al., 2011; Tanski et 375 al., 2017). An extreme version collapse/erosion process occurs in coastal areas, 376 exacerbated by water and wind activity there(Fritz et al., 2017; Tanski et al., 2017; Vonk 377 et al., 2012). Arctic region fire events are on the rise and likely to increase with 378 temperature and severity over time(Ponomarev et al., 2016). The initial burning of 379 biomass is accompanied by active layer deepening, 'priming' of deeper soil horizons(De Baets et al., 2016), and a significant loading of pyrogenic DOC in Arctic watersheds, up to 380 381 half of which is rapidly metabolized (Myers-Pigg et al., 2015).

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The riverine flux of weathering products has been shown to have increased markedly over high latitude regions in the last decades(Drake et al., 2018; Tank et al., 2016), driven by temperature, precipitation, thaw-related increases in mineral surface area, agricultural liming, mining and acid rain(Kaushal et al., 2018; Maher and Chamberlain, 2014; Raymond and Hamilton, 2018; White and Blum, 1995), and can be expected to increase with time, with the potential to partially offset CO₂ emissions from permafrost thaw(Drake et al., 2018).

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391 Cumulatively, the above processes imply that with warming of Arctic watersheds we 392 might expect a potentially sizeable aquatic mobilization and microbial metabolization 393 (Xue et al., 2016) of dissolved and eroded organic matter, deeper hydrological flow 394 paths, increased thermokarst formation, an increase in total mass, heat and alkalinity 395 transfer to the river network and, ultimately, the Arctic Ocean and atmosphere. As a 396 result of this transfer, both terrestrially-exported and older shelf carbon in the Arctic 397 Ocean face considerable disruption(McGuire et al., 2009; Schuur et al., 2015) from the 398 effects of increased freshwater, heat, sediment. nutrient combined and organic/inorganic carbon flows from rapidly warming Arctic river watersheds, as well 399 400 as those from melting sea ice, warmer marine water temperatures and geothermal heat 401 sources(Janout et al., 2016; Shakhova et al., 2015).

402

403 On the other hand, suggestions of an inevitable increase in Arctic terrestrial DOC 404 throughput may ignore the potential co-variation of increasing water inputs with a 405 deeper active layer, which would promote deeper water flowpaths and a 406 correspondingly different leaching substrate regime (SOC) from that which normally 407 prevails in permafrost systems. This could entail lower leaching rates or concentrations, 408 diminishing the lateral transport of dissolved carbon. Likewise, it assumes that warming 409 won't increase carbon respiration in greater proportion than DOC mobilization (also 410 reducing DOC lateral fluxes), which has yet to be established.

411

412 Indeed, theoretical understanding of permafrost region terrestrial systems has been 413 hampered by a dearth of empirical data over permafrost-representative spatio-temporal 414 scales. This is particularly true when attempting to resolve lateral carbon dynamics in 415 these systems, due to the practical difficulties in taking both spot and continuous 416 measurement in some of the coldest, most dynamic and extreme river discharge regimes 417 in the world. It is into this context of great uncertainty over a spectrum of hypothetical 418 outcomes that this thesis assumes its relevance. The spatial, temporal and process scales that projecting the warming-response of the permafrost region and its lateral 419 420 carbon transfer involves are not at present amenable to resolution by anything other than process based modeling approaches that can incorporate these processes, scales 421 422 and dimensions to the extent that they are currently perceived to be well-understood.

In what follows, we first trace the development of a spatially-explicit, high temporal resolution model that to our knowledge, is unique in its combination of state-of-the-art theory and representation of both permafrost and lateral flux systems in a global land surface model equipped for coupling with a earth system model. The resulting simulation product, given the name ORCHIDEE MICT-LEAK, simulates the production, transport and transformation of soil and litter carbon to DOC and CO2 in permafrost regions, and is described in detail in **Chapter 1**. This model is subjected to an in-depth evaluation over a wide range of biogeochemical processes and data for its ability to accurately simulate the processes represented over a single, relatively well-studied basin -the Lena -in **Chapter 2**. Chapters 1 and 2 have been written and submitted to the journal Geoscientific Model Development (in review). Having concluded that the model is able to reasonably represent the relevant dynamics for permafrost systems, **Chapter 3** employs state-of-the-art climate projections to 2100 under a given climate warming scenario (RCP 6.0) to examine the lateral-transfer response of the Pan-Arctic to future warming, and finds that contrary to most literature-based expectations, lateral carbon fluxes decline over the 21st Century. The causes of this are explored and explained therein. This manuscript is being prepared for submission to Nature. In **Chapter 4**, we describe and evaluate a simple model developed as an additional module for ORCHIDEE-MICT LEAK, which is able to simulate at a daily timestep chemical weathering/alkalinity production rates and their fluvial transport to the ocean. To our knowledge this is the first such module in a global climate model resolved for both high spatial (integrating both surface and subsurface flows) and temporal (daily/monthly versus yearly) resolution. We then project the response of Pan-Arctic weathering and riverine alkalinity fluxes to climate warming, assessing the implied change in atmospheric carbon uptake that results. This paper is being prepared for submission to the journal Environmental Science and Technology.

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Chapter 2 ORCHIDEE MICT LEAK, a global model for the production, transport and transformation of dissolved organic carbon from Arctic permafrost regions : model description and simulation protocol¹

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479 **Summary** 480

481 High latitude permafrost soils contain very large stores of frozen, often ancient and 482 relatively labile carbon up to depths of over 30m. Surface warming caused by 483 contemporary anthropogenic climate change can be reasonably expected to destabilize 484 these stores via microbial or hydrological mobilization following spring/summer thaw, 485 as the permafrost line migrates pole-ward over time. However, few Earth System models adequately represent the unique permafrost soil biogeochemistry and its 486 487 respective processes; this significantly contributes to uncertainty in estimating their 488 responses, and that of the planet at large, to warming. The potential feedbacks owing to 489 such a response are of particular acuity and concern. Likewise, the riverine component 490 of what is known as the 'boundless carbon cycle' is seldom recognized in Earth System 491 modeling. Hydrological mobilization of organic material from the Arctic land surface to 492 the river network results either in sedimentary settling or atmospheric 'evasion' within 493 rivers or their geographic end-points, the marine realm. These processes are widely 494 expected to increase in strength and with amplified Arctic climate warming. Thus. 495 representing this spectrum of phenomena, their interaction, and the response of these to 496 changes in environmental drivers (heat, water, their timing, and atmospheric CO₂) are 497 necessary components for the projection of the Arctic's future carbon cycle changes. 498

499 Here, the production, transport and atmospheric release of dissolved organic carbon 500 (DOC) from high-latitude permafrost soils into inland waters and the ocean is explicitly 501 represented for the first time in the land surface component (ORCHIDEE) of a CMIP6 502 global climate model (IPSL). The model, ORCHIDEE MICT-LEAK, mechanistically 503 represents: (1) Vegetation and soil physical processes for high latitude snow, ice and soil 504 phenomena, including snow thermal buffering of the soil surface, mediation of soil 505 temperature by organic carbon concentration, bio- and cryo-turbation of parcticulate 506 and dissolved soil carbon to depth via vertical diffusion over a discretely subdivided soil 507 column and the interaction of these processes with a large-scale soil-carbon stock, representing permafrost soil carbon. (2) The cycling of DOC and CO₂, including 508 509 atmospheric evasion, along the terrestrial-aquatic continuum from soils through the 510 river network to the coast, at 0.5° to 2° resolution. This dissolved carbon cycling 511 includes the processes of floodplain inundation and flooded mobilisation of dissolved 512 litter and soil organic matter to the river network, soil-type modulation of soil column 513 DOC filtration and decomposition, 'priming' of organic matter decomposition by more 514 reactive carbon, and atmospheric and canopy DOC inputs to the soil. By including these 515 mechanisms in a process-based manner, we hope to capture some of the more

¹ Submitted to *Geoscientific Model Development*, in review.

516 important landscape-scale emergent phenomena that arise from the interaction of 517 permafrost environments with organic matter and the hydrological realm. This paper, 518 the first in a two-part study, presents the rationale for including these processes in a 519 high latitude specific land surface model, then describes the model with a focus on novel 520 process implementations, followed by a summary of the model configuration and 521 simulation protocol.

523 The results of the model evaluation simulations, conducted for the Lena River basin, are 524 evaluated against observational data in Chapter 2 of this thesis.

563Chapitre 2564ORCHIDEE MICT LEAK, un modèle global pour la production, le565transport et la transformation du carbone organique dissous des566régions de pergélisol de l'Arctique: description du modèle et567protocole de simulation

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571 Résumé572

573 Les pergélisols à haute latitude contiennent de très vastes réserves de carbone gelé, souvent 574 ancien et relativement labile, jusqu'à une profondeur de plus de 30 m. On peut 575 raisonnablement s'attendre à ce que le réchauffement de la surface provoqué par les 576 changements climatiques anthropiques contemporains déstabilise ces réserves via une 577 mobilisation microbienne ou hydrologique après le dégel du printemps / été, à mesure que la 578 ligne de pergélisol migre vers le nord. Cependant, peu de modèles du système Terre 579 représentent de manière adéquate la biogéochimie unique des pergélisol et ses processus 580 respectifs; cela contribue de manière significative à l'incertitude dans l'estimation de leurs 581 réponses, et de celle de la planète dans son ensemble, au réchauffement. Les réactions 582 potentielles résultant d'une telle réponse sont d'une acuité et d'une préoccupation particulières. 583 De même, la composante fluviale de ce que l'on appelle le «cvcle du carbone sans bornes» est 584 rarement reconnue dans la modélisation du système Terre. La mobilisation hydrologique de 585 matières organiques de la surface des terres arctiques vers le réseau fluvial entraîne soit un 586 tassement sédimentaire, soit une «évasion» atmosphérique dans les cours d'eau, ou leur limite 587 géographique, le domaine marin. On s'attend généralement à ce que ces processus deviennent 588 plus puissants et entraînent un réchauffement accru du climat arctique. Ainsi, représenter ce 589 spectre de phénomènes, leurs interactions et leur réponse aux changements de facteurs 590 environnementaux (chaleur, eau, leur synchronisation et le CO₂ atmosphérique) sont des 591 éléments nécessaires à la projection des futurs changements du cycle du carbone dans 592 l'Arctique.

593

594 Ici, la production, le transport et les rejets atmosphériques de carbone organique dissous 595 (COD) provenant des sols de pergélisol à haute latitude dans les eaux intérieures et l'océan 596 sont explicitement représentés pour la première fois dans la composante de surface terrestre 597 (ORCHIDEE) d'un modèle climatique mondial CMIP6 (IPSL). Le modèle, ORCHIDEE 598 MICT-LEAK, représente mécaniquement: (1) Les processus physiques de la végétation et du 599 sol pour les phénomènes de neige, de glace et de sol sous les hautes latitudes, y compris la 600 compensation thermique de la neige par la surface, la médiation de la température du sol par 601 la concentration de carbone organique, la bio et la cryo-turbation du carbone du sol dissous et 602 dissous jusqu'à sa profondeur par diffusion verticale sur une colonne de sol discrètement 603 divisée et interaction de ces processus avec un stock de carbone sol à grande échelle, représentant le carbone du sol pergélisol. (2) Le cycle du COD et du CO₂, y compris l'évasion 604 605 atmosphérique, le long du continuum aquatique terrestre des sols à travers le réseau fluvial jusqu'à la côte, à une résolution de 0,5 ° à 2 °. Ce cycle du carbone dissous comprend les 606 processus d'inondation des plaines inondables et de mobilisation COD du sol et de la litère 607 608 vers le réseau hydrographique, la modulation du type de sol de la filtration et de la 609 décomposition de la colonne de sol DOC, la "préparation" de la décomposition de la matière

organique par du carbone plus réactif, et COD atmosphériques et de la canopée entrant dans le sol. En incluant ces mécanismes d'une manière basée sur les processus, nous espérons capturer certains des phénomènes d'émergence les plus importants à l'échelle du paysage qui résultent de l'interaction des environnements de pergélisol avec la matière organique et le domaine hydrologique. Cet article, le premier d'une étude en deux parties, présente les raisons d'inclure ces processus dans un modèle de surface terrestre spécifique de latitude élevée, puis décrit le modèle en mettant l'accent sur les nouvelles implémentations de processus, suivi d'un modèle résumé de la configuration du et de la simulation. protocole. Les résultats des simulations, effectuées pour le bassin de la rivière Lena, sont évalués par rapport aux données d'observation présentées au chapitre 2 de cette thèse.

658 Introduction

659

660 High-latitude permafrost soils contain large stores of frozen, often ancient and relatively 661 reactive carbon up to depths of over 30m. Soil warming caused by contemporary anthropogenic climate change can be expected to destabilize these stores (Schuur et al., 662 663 2015) via microbial or hydrological mobilization following spring/summer thaw and 664 riverine discharge (Vonk et al., 2015a) as the permafrost line migrates poleward over 665 time. The high latitude soil carbon reservoir may amount to ~1330–1580 PgC (Hugelius 666 et al., 2013, 2014; Tarnocai et al., 2009) -over double that stored in the contemporary 667 atmosphere, while the yearly lateral flux of carbon from soils to running waters may 668 amount to $\sim 40\%$ of net ecosystem carbon exchange (McGuire et al., 2009), the majority 669 as dissolved organic carbon (DOC).

670

The fact that, to our knowledge, no existing land surface models are able to adequately simultaneously respresent this unique high latitude permafrost soil environment, the transformation of soil organic carbon (SOC) to its eroded particulate and DOC forms and their subsequent lateral transport, as well as the response of all these to warming, entails significant additional uncertainty in projecting global-scale biogeochemical responses to human-induced environmental change.

677

678 Fundamental to these efforts is the ability to predict the medium under which carbon 679 transformation will occur: in the soil, streams, rivers or sea, and under what 680 metabolising conditions -since these will determine the process mix that will ultimately 681 enable either terrestrial redeposition and retention, ocean transfer, or atmospheric 682 release of permafrost-derived organic carbon. In the permafrost context, this implies 683 being able to accurately represent (i) the source, reactivity and transformation of 684 released organic matter, and; (ii) the dynamic response of hydrological processes to 685 warming, since water phase determines carbon, heat, and soil moisture availability for 686 metabolisation and lateral transport.

687

688 To this end, we take a specific version of the terrestrial component of the IPSL global 689 Earth System model (ESM) ORCHIDEE (Organising Carbon and Hydrology In Dynamic Ecosystems), one that is specifically coded for, calibrated with and evaluated on high 690 691 latitude phenomena and permafrost processes, called ORCHIDEE-MICT (Guimberteau et 692 al., 2018). This code is then adapted to include DOC production in the soil (ORCHIDEE-693 SOM, (Camino-Serrano et al., 2018)), 'priming' of SOC (ORCHIDEE-PRIM, (Guenet et al., 694 2016, 2018)) and the riverine transport of DOC and CO₂, including in-stream 695 transformations, carbon and water exchanges with wetland soils and gaseous exchange 696 between river surfaces and the atmosphere (ORCHILEAK, (Lauerwald et al., 2017)).

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698 The resulting model, dubbed ORCHIDEE MICT-LEAK, herafter referred to as MICT-L for 699 brevity, is therefore able to represent: (a) Permafrost soil and snow physics, 700 thermodynamics to a depth of 38m and dynamic soil hydrology to a depth of 2m; (b) 701 Improved representation of biotic stress response to cold, heat and moisture in high 702 latitudes; (c) Explicit representation of the active layer and frozen-soil hydrologic 703 barriers; buildup of soil carbon stocks via primary production and vertical translocation 704 (turbation) of SOC and DOC; (d) DOC leaching from tree canopies, atmospheric 705 deposition, litter and soil organic matter, its adsorption/desorption to/from soil particles, its transport and transformation to dissolved CO_2 ($CO^*_{2(aq.)}$) and atmospheric release, as well as the production and hydrological transport of plant root-zone derived dissolved CO_2 ; (e) Improved representation of C cycling on floodplains; (f) Priming of organic matter in the soil column and subsequent decomposition dynamics. In combination, these model properties allow us to explore the possibility of reproducing important emergent phenomena observed in recent empirical studies (Fig. 1) arising from the interaction of a broad combination of different processes and factors.

713

714 To our knowledge very few attempts have been made at the global scale of modelling 715 DOC production and lateral transfer from the permafrost region that explicitly accounts for such a broad range of high latitude-specific processes, which in turn allows us to 716 717 match and evaluate simulation outputs with specific observed processes, enhancing our 718 ability to interpret the output from theses models and improve our understanding of the 719 processes represented. The only other attempt at doing so is a Pan-Arctic modelling study by Kicklighter et al. (2013) (Kicklighter et al., 2013), which is based on a relatively 720 721 simplified scheme for soil, water and biology. The following segment briefly overviews 722 the dynamics, emergent properties and their overall significance across scales, of permafrost region river basins. 723

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Figure 1: Cartoon diagram illustrating the landscape-scale emergent phenomena observed in highlatitude river systems that are captured by the processes represented in this model. Here, the terrestrial area is shown, in vertically-ascending order, as subsoil, discontinuous permafrost, continuous permafrost and the maritime boundary. Representative soil types, their distributions and carbon concentrations are shown for the two permafrost zones, as well as the different dynamics occuring on 'flat' (left) and 'sloping' 732 land (right) arising from their permafrost designation. Carbon exports from one subsystem to another are 733 shown in red. The relative strength of the same processes ocurring in each permafrost band are indicated 734 by relative arrow size. Note that the high CO₂ evasion in headwaters versus tributaries versus mainstem 735 is shown here. Proposed and modelled mechanisms of soil carbon priming, adsorption and rapid 736 metabolisation are shown. The arrows Q_{Max:Min} refer to the ratio of maximum to minimum discharge at a 737 given point in the river, the ratio indicating hydrologic volatility, whose magnitude is influenced by 738 permafrost coverage. Soil tiles, a model construct used for modulating soil permeability and 739 implicit/explicit decomposition, are shown to indicate the potential differences in these dynamics for the 740 relevant permafrost zones. Note that the marine shelf sea system, as shown in the uppermost rectangle, is 741 not simulated in this model, although our outputs can be coupled for that purpose. Letter markings mark 742 processes of carbon flux in permafrost regions and implicitly or explicitly included in the model, and can 743 be referred to in subsections of the Methods text. These refer to: (a) Biomass generation; (b) DOC 744 generation and leaching; (c) Throughfall and its DOC; (d) Hydrological mobilisation of soil DOC; (e) Soil 745 flooding; (f) Landscape routing of water and carbon; (g) Infiltration and topography; (h) Floodplain 746 representation; (i) Oceanic outflow; (j) Dissolved carbon export and riverine atmospheric evasion; (k) 747 Turbation; (l) Adsorption; (m) Priming.

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750 **1.1 A giant, reactive, fast-draining funnel: A permafrost basin overview**

752 Permafrost has a profound impact on Arctic river hydrology. In permafrost regions, a 753 permanently frozen soil layer acts as a 'cap' on ground water flow (see 'permafrost 754 barrier', right hand side of Fig. 1). This implies that: (i) Near-surface runoff becomes by 755 far the dominant flowpath draining permafrost watersheds (Ye et al., 2009), as shown in Fig. 1d; (ii) The seasonal amplitude of river discharge, expressed by the ratio of 756 757 maximum to minimum discharge (Q_{max:min} in Fig. 1), over continuous versus discontinuous permafrost catchments is higher as a result of the permafrost barrier; 758 759 (iii) This concentration of water volume near the surface causes intense leaching of DOC 760 from litter and relevant unfrozen soil layers (Fig. 1g, 1d, and e.g. refs. (Drake et al., 2015; Spencer et al., 2015; Vonk et al., 2013)); (iv) Permafrost SOC stocks beneath the active 761 762 layer are physically and thermally shielded from aquatic mobilization and 763 metabolization, respectively (Fig. 1g).

764

765 Rapid melting of snow and soil or river ice during spring freshet (May-June) drives 766 intensely seasonal discharge, with peaks often two orders of magnitude(Van Vliet et al., 767 2012, 2013) above baseflow rates (Fig. 1d). These events are the cause of four, largely 768 synchronous processes: (i) Biogenic matter is rapidly transported from elevated 769 headwater catchments (Fig. 1, right hand side) (McClelland et al., 2016); (ii) Plant 770 material at the soil surface is intensely leached, with subsequent mobilization and 771 transformation of this dissolved matter via inland waters (Fig. 1d,b,j); During spring 772 freshet, riverine DOC concentrations increase and bulk annual marine DOC exports are 773 dominated by the terrestrial DOC flux to the rivers that occurs at this time (Holmes et al., 774 2012). Indeed, DOC concentrations during the thawing season tend to be greater than 775 or equal to those in the Amazon particularly in the flatter Eurasian rivers (Holmes et al., 776 2012; McClelland et al., 2012), and DOC concentrations are affected at watershed scale 777 by parent material and ground ice content (O'Donnell et al., 2016).

778

(iii) Sudden inundation of the floodplain regions in spring and early summer (Fig. 1h),
(Smith and Pavelsky, 2008), further spurs lateral flux of both particulate and dissolved
matter in the process and its re-deposition (Zubrzycki et al., 2013) or atmospheric

evasion (Fig. 1j,m); (iv) Snowmelt-induced soil water saturation, favoring the growth of
moss and sedge-based ecosystems (e.g. refs.(Selvam et al., 2017; Tarnocai et al., 2009;
Yu, 2011)) and the retention of their organic matter (OM), i.e., peat formation, not
shown in Fig. 1 as this isn't represented in this model version, but is generated in a
separate branch of ORCHIDEE (Qiu et al., 2018)).

787

788 Mid-summer river low-flow and a deeper active layer allow for the hydrological 789 intrusion and leaching of older soil horizons (e.g. the top part of Pleistocene-era Yedoma 790 soils), and their subsequent dissolved transport(Wickland et al., 2018). These 791 sometimes-ancient low molecular weight carbon compounds appear to be preferentially 792 and rapidly metabolized by microbes in headwater streams (Fig. 1j), which may 793 constitute a significant fraction of aggregate summer CO₂ evasion in Arctic rivers(Denfeld et al., 2013; Vonk et al., 2013) This is likely due to the existence of a 794 795 significant labile component of frozen carbon(Drake et al., 2015; Vonk et al., 2015; 796 Woods et al., 2011).

797

798 CO₂ evasion rates from Arctic inland waters (Fig. 1j,e,m) are estimated to be in the 799 region of 40-84 TgC yr⁻¹ (McGuire et al., 2009), to be compared with estimates of Pan 800 Arctic DOC discharge from rivers of 25-36 TgC yr⁻¹. The influx of terrestrial carbon to 801 the shelf zone is thought to total 45-54 TgC yr⁻¹ (Holmes et al., 2012; Raymond et al., 802 2007). Rivers supply the Arctic Ocean (AO) an estimated 34 Tg DOC-C yr⁻¹ (Holmes et al., 803 2012), while depositing 5.8 Tg yr⁻¹ of particulate carbon, these being sourced from those 804 rivers draining low and high elevation headwaters, respectively (McClelland et al., 805 2016). These dynamics are all subject to considerable amplification by changes in 806 temperature and hydrology(Frey and McClelland, 2009; Tank et al., 2018).

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808 Average annual discharge in the Eurasian Arctic rivers has increased by at least 7% 809 between 1936-1999 (Peterson et al., 2002), driven by increasing temperatures and 810 runoff (Berezovskaya et al., 2005), and the subsequent interplay of increasing annual 811 precipitation, decreasing snow depth and snow water equivalent (SWE) mass (Kunkel et 812 al., 2016; Mudryk et al., 2015), and greater evapotranspiration (Zhang et al., 2009). 813 Although net discharge trend rates over N. America were negative over the period 1964-814 2003, since 2003 they have been positive on average (Dery et al., 2016). These dynamic 815 and largely increasing hydrologic flux trends point towards temperature and precipitation -driven changes in the soil column, in which increased soil water/snow 816 817 thaw and microbial activity (Graham et al., 2012; MacKelprang et al., 2011; Schuur et al., 818 2009) converge to raise soil leaching and DOC export rates to the river basin and 819 beyond. Further, microbial activity generates its own heat, which incubation 820 experiments have shown may be sufficient to significantly warm the soil further 821 (Hollesen et al., 2015), in a positive feedback.

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Arctic region fire events are also on the rise and likely to increase with temperature and severity over time (Ponomarev et al., 2016). The initial burning of biomass is accompanied by active layer deepening, priming of deeper soil horizons (De Baets et al., 2016), and a significant loading of pyrogenic DOC in Arctic watersheds, up to half of which is rapidly metabolized (Myers-Pigg et al., 2015).

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In these contexts, the implications of (polar-amplified) warmer temperatures leading to active layer deepening towards the future (transition from Continuous to Discontinuous Permafrost, as shown in the upper/lower segments of Fig. 1) are clear and unique: potentially sizeable aquatic mobilization and microbial metabolization (Xue, 2017) of dissolved and eroded OM, deeper hydrological flow paths, an increase in total carbon and water mass and heat transfer to the aquatic network and, ultimately, the Arctic Ocean and atmosphere (Fig. 1i).

836

The advantage of having a terrestrial model that can be coupled to a marine component of an overarching global climate model (GCM) is in this case the representation of a consistent transboundary scheme, such that output from one model is integrated as input to another. This is particularly important given the context in which these terrestrial outflows occur :

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843 Because of its small size, a uniquely large and shallow continental shelf, the global 844 climatological significance of its seasonal sea ice (Rhein et al., 2013) and its rapid decline 845 (Findlay et al., 2015a), the AO has been described as a giant estuary (McClelland et al., 846 2012), acting as a funnel for the transport, processing and sedimentation of terrestrial 847 OM. Because of its small surface area and shallow seas (Jakobsson, 2002), the AO holds 848 relatively little volume and is consequently sensitive to inputs of freshwater, heat, 849 alkalinity and nutrients that flush out from terrestrial sources, particularly at discharge 850 peak.

851

852 High suspended particle loads in river water as they approach the mouth (Heim et al., 853 2014) cause lower light availability and water albedo and hence higher temperatures 854 (Bauch et al., 2013; Janout et al., 2016), which can affect the near-shore sea ice extent, 855 particularly in spring (Steele and Ermold, 2015). Volumes of riverine freshwater and 856 total energy flux (Lammers et al., 2007) are expected to increase with warmer 857 temperatures, along with an earlier discharge peak (Van Vliet et al., 2012, 2013). In 858 doing so, freshwaters may in the future trigger earlier onset of ice retreat (Stroeve et al., 859 2014; Whitefield et al., 2015) via a freshwater albedo, ice melt, seawater albedo, ice 860 melt, feedback, amplified by intermediary state variables such as water vapor and 861 cloudiness (Serreze and Barry, 2011). 862

Both terrestrially-exported and older shelf carbon in the AO face considerable 863 864 disruption (McGuire et al., 2009; Schuur et al., 2015) from the combined effects of increased freshwater, heat, sediment, nutrient and organic carbon flows from rapidly 865 866 warming Arctic river watersheds, as well as those from melting sea ice, warmer marine 867 water temperatures and geothermal heat sources (Janout et al., 2016; Shakhova et al., 868 2015). Because ORCHIDEE is a sub-component of the overarching IPSL ESM, there is 869 scope for coupling riverine outputs of water, DOC, $CO_{2(a\alpha)}$ and heat from the terrestrial model as input for the IPSL marine components (Fig. 1i). Nonetheless, these are not the 870 871 objectives of the present paper, whose aim is rather to validate the simulated variable 872 output produced by the model described in detail below against observations and 873 empirical knowledge for the Lena basin, but are included here descriptively to scope the 874 plausible future applications of ORCHIDEE MICT-LEAK, given our present empirical 875 understanding of their potential significance.

876

The Methods section summarises the model structure and associated rationale for each of the model sub-branches or routines relevant to this study, and follows with the setup and rationale for the simulations carried out as validation exercises.

- 880 881 **2 Methods**
- 882

883 This section overviews the processes represented in the model being described in this 884 manuscript, which is referred to as ORCHIDEE MICT-LEAK, hereafter referred to MICT-L 885 for brevity. MICT-L is at its heart a merge of two distinct models : the high-latitude land 886 surface component of the IPSL Earth System Model ORCHIDEE MICT, and the DOC-887 production and transport branch of ORCHIDEE's default or 'trunk' version (Krinner et 888 al., 2005), ORCHILEAK. The original merger of these two code sets was between ORCHILEAK and ORCHIDEE-MICT, which are described in ref.(Camino-Serrano et al., 889 890 2018; Lauerwald et al., 2017) and ref(Guimberteau et al., 2018) respectively.

891

However, numerous bug fixes and process additions post-dating these publications have
been included in this code. Furthermore, novel processes included in neither of these
two core models are added to MICT-L in response to phenomena reported in recent
empirical publications, such as the diffusion of DOC (novel in ORCHIDEE-MICT) through
the soil column to represent its turbation and preferential stabilisation at depth in the
soil, in a process not necessarily the same as its adsorption –also represented here.

898

899 In terms of code architecture, the resulting model is substantially different from either 900 of its parents, owing to the fact that the two models were developed on the basis of 901 ORCHIDEE trunk revisions 2728 and 3976 for ORCHILEAK and MICT respectively, which 902 have a temporal model development distance of over 2 years, and subsequently evolved 903 in their own directions. These foundational differences, which mostly affect the 904 formulation of soil, carbon and hydrology schemes, mean that different aspects of each 905 are necessarily forced into the subsequent code. Where these differences were 906 considered scientific or code improvements, they were included in the resulting scheme. 907

908 Where these differences were so large as to prove a burden in excess of the scope of this 909 first model version, such as the inclusion of the soil carbon spinup module, they were 910 omitted from this first revision of MICT-L. The direction of the merge –which model was 911 the base which incorporated code from the other -was from ORCHILEAK into MICT, 912 given that the latter contains the bulk of the fundamental (high latitude) processes 913 necessary for this merge. Despite architectural novelties introduced, MICT-L carries 914 with it a marriage of much the same schemes detailed exhaustively in Guimberteau et al. 915 (2018)(Guimberteau et al., 2018) and Lauerwald et al. (2017)(Lauerwald et al., 2017). 916 As such, the following model description details only new elements of the model, those 917 that are critical to the production and transport of DOC from permafrost regions, and 918 parameterisations specific to this study (Fig. 2).

919

920 2.1 Model Description921

MICT-L is based largely on ORCHIDEE-MICT, into which the DOC production, transport and transformation processes developed by Lauerwald et al. and tested insofar only for the Amazon, have been transplanted, allowing for these same processes to be generated 925 in high latitude regions with permafrost soils and a river flow regime dominated by 926 snow melt. The description that ensues roughly follows the order of the carbon and 927 water flow chain depicted in Fig. 2b. At the heart of the scheme is the vegetative production of carbon, which occurs along a spectrum of 13 plant functional types (PFTs) 928 929 that differ from one another in terms of plant physiological and phenological uptake and 930 release parameters (Krinner et al., 2005). Together, these determine grid-scale net 931 primary production. In the northern high latitudes, the boreal trees (PFTs 7-9) and C3 932 grasses (PFT 10) dominate landscape biomass and primary production. Thus, in 933 descending order yearly primary production over the Lena basin is roughly broken 934 down between C3 grasses (48%), boreal needleleaf summergreen trees (27%), boreal 935 needleleaf evergreen trees (12%), boreal broadleaf summergreen trees (8%) and 936 temperate broad-leaved evergreen trees (6%). Naturally these basin aggregates are 937 heterogeneously distributed along latitude and temperature contours, with 938 grasses/tundra dominanting at the high latitudes and (e.g.) temperate broadleaf trees 939 existing only at the southern edges of the basin.

940

941 **2.2 Biomass generation** (Fig. 1a)

942

Biomass generation, consisting of foliage, roots, above and below –ground sap and heart 943 944 wood, carbon reserves and fruit pools in the model, results in the transfer of these 945 carbon stores to two downstream litter pools, the structural and metabolic litter (Figure 946 2b). This distinction, defined by lignin concentration of each biomass pool (Krinner et 947 al., 2005), separates the relatively reactive litter fraction such as leafy matter from its 948 less-reactive, recalcitrant counterpart (woody, 'structural' material), with the 949 consequence that the turnover time of the latter is roughly four-fold that of the former. 950 These two litterpools are further subdivided into above and below –ground pools, with 951 the latter explicitly discretised over the first two metres of the soil column, a feature first 952 introduced to the ORCHIDEE model by Camino-Serrano et al. (2014, 2018)(Camino-953 Serrano et al., 2014, 2018). This marks a significant departure from the original litter 954 formulation in ORCHIDEE-MICT, in which the vertical distribution of litter influx to the 955 soil carbon pool follows a prescribed root profile for each PFT. This change now allows 956 for the production of DOC from litter explicitly at a given soil depth in permafrost soils. 957



958 959

Figure 2 :Carbon and water flux map for core DOC elements in model structure relating to DOC transport 960 and transformation. (a) Summary of the differing extent of vertical discretisation of soil and snow for 961 different processes calculated in the model. Discretisation occurs along 32 layers whose thickness 962 increases geometrically from 0-38m. N refers to the number of layers, SWE=snow water equivalent, S_n = 963 Snow layer n. Orange layers indicate the depth to which diffusive carbon (turbation) fluxes occur. (b) 964 Conceptual map of the production, transfer and transformation of carbon in its vertical and lateral (i.e., 965 hydrological) flux as calculated in the model. Red boxes indicate meta-reservoirs of carbon, black boxes 966 the actual pools as they exist in the model. Black arrows indicate carbon fluxes between pools, dashed red 967 arrows give carbon loss as CO₂, green arrows highlight the fractional distribution of DOC to SOC (no 968 carbon loss incurred in this transfer), a feature of this model. For a given temperature (5°C) and soil clay 969 fraction, the fractional fluxes between pools are given for each flux, while residence times for each pool (970 τ) are in each box. The association of carbon dynamics with the hydrological module are shown by the 971 blue arrows. Blue dashed boxes illustrate the statistical sequence which activates the boolean floodplains 972 module. Note that for readability, the generation and lateral flux of dissolved CO_2 is omitted from this 973 diagram, but is described at length in the Methods section.

974 975

976 2.3 DOC generation and leaching (Fig. 1b)

977

978 The vast majority of DOC produced by the model is generated initially from the litter 979 pools via decomposition, such that half of all of the decomposed litter is returned to the 980 atmosphere as CO₂, as defined by the microbial carbon use efficiency (CUE) –the fraction 981 of carbon assimilated versus respired by microbes post-consumption -here set at 0.5 following Manzoni et al. (2012) (Manzoni et al., 2012). The non-respired half of the litter 982 983 feeds into 'Active', 'Slow' and 'Passive' free DOC pools, which correspond to DOC 984 reactivity classes in the soil column. Metabolic litter contributes exclusively to the 985 Active DOC pool, while Structural litter feeds into the other two, the distribution 986 between them dependent on the lignin content of the Structural litter. The reactive SOC 987 pools then derive directly from this DOC reservoir, in that fractions of each DOC pool, 988 defined again by the CUE, are directly transferred to three different SOC pools, while the 989 remainder adds to the heterotrophic soil respiration. Depending on clay content and 990 bulk density of the soil, a fraction of DOC is adsorbed to the mineral soil and does not 991 take part in these reactions until it is gradually desorbed when concentrations of free 992 DOC decrease in the soil column. This scheme is explained in detail in Camino-Serrano 993 (2018)(Camino-Serrano et al., 2018). The value of the fractional redistributions 994 between free DOC and SOC after adsorption are shown in Fig. 2b.

995

996 The approximate ratio of relative residence times for the three SOC 997 (Active :Slow :Passive) is (1:37:1618) at a soil temperature of 5°C, or 0.843 years, 31 998 yrs. and 1364 yrs. for the three pools respectively (Fig. 2b). The residence times of the 999 active DOC pool is \sim 7 days (0.02 yrs.), while the slow and passive DOC pools both have a 1000 residence time of \sim 343 days (0.94 yrs.) at that same temperature. Upon microbial 1001 degradation in the model, SOC of each pool reverts either to DOC or to CO₂, the ratio between these determined again by the CUE which is set in this study at 0.5 for all donor 1002 pools, in keeping with the parameter configuration in Lauerwald et al., 1003 1004 (2017)(Lauerwald et al., 2017) from Manzoni et al. (2012)(Manzoni et al., 2012). This 1005 step in the chain of flows effectively represents leaching of SOC to DOC. Note that the 1006 reversion of SOC to DOC occurs only along Active-Active, Slow-Slow and Passive-Passive 1007 lines in Fig. 2b, while the conversion of DOC to SOC is distributed differently so as to 1008 build up a reasonable distribution of soil carbon stock reactivities. Note also that the microbial CUE is invoked twice in the chain of carbon breakdown, meaning that the 1009 1010 'effective' CUE of the SOC-litter system is approximately 0.25.

1011

1012 2.4 Throughfall and its DOC (Fig. 1c)

1013

1014 In MICT-L, DOC generation also occurs in the form of wet and dry atmospheric 1015 deposition and canopy exudation, collectively attributed to the throughfall, i.e. the 1016 amount of precipitation reaching the ground. Wet atmospheric deposition originates 1017 from organic compounds dispersed in atmospheric moisture which become deposited 1018 within rainfall, and are assumed here to maintain a constant concentration. This 1019 concentration we take from the average of reported rainfall DOC concentrations in the 1020 empirical literature measured at sites >55°N (Bergkvist and Folkeson, 1992; Clarke et 1021 al., 2007; Fröberg et al., 2006; Lindroos et al., 2011; Rosenqvist et al., 2010; Starr et al., 1022 2003; Wu et al., 2010), whose value is 3 mgC L⁻¹ of rainfall. Dry DOC deposition occurs 1023 through aerosol-bound organic compounds, here assumed to fall on the canopy; canopy 1024 exudation refers to plant sugars exuded from the leaf surface (e.g. honey dew) or from 1025 their extraction by heterotrophs such as aphids. These two are lumped together in our 1026 estimates of canopy DOC generation (gDOC per g leaf carbon), which is calibrated as 1027 follows.

1028

We take the average total observation-based throughfall DOC flux rate per m² of forest 1029 from the aforementioned literature bundle (15.7 gC m⁻² yr⁻¹) and subtract from it the 1030 wet deposition component (product of rainfall over our simulation area and the rain 1031

1032 DOC content). The remainder is then the canopy DOC, which we scale to the average leaf biomass simulated in a 107-year calibration run over the Lena river basin, to obtain a
constant, non-conservative canopy DOC production rate of 9.2*10⁻⁴ g DOC-C per gram
leaf biomass per day (Eq. 1), except for the crop PFTs for which this value equals 0. Note
that this production of DOC should be C initially fixed by photpsynthesis, but it is here
represented as an additional carbon flux. The dry deposition of DOC through the canopy
is given by:

1039

1040

(1) $TF_{DRY} = M_{LEAF} * 9.2 * 10^{-4} \frac{dt}{day}$

1041 Where TF_{DRY} is dry deposition of DOC from the canopy and M_{LEAF} is leaf biomass. This 1042 accumulates in the canopy and can be flushed out with the throughfall and percolates 1043 into the soil surface or adds to the DOC stock of surface waters. The wet and canopy 1044 deposition which hits the soil is then assumed to be split evenly between the labile and 1045 refractory DOC pools (following ref.(Aitkenhead-Peterson et al., 2003)).

1046 1047

1048 **2.5 Hydrological mobilisation of soil DOC** (Fig. 1d)

1049

All DOC pools, leached from the decomposition of either litter and SOC or being
throughfall inputs, reside at this point in discrete layers within the soil column, but are
now also available for vertical advection and diffusion, as well as lateral export from the
soil column as a carbon tracer, via soil drainage and runoff.

1054

1055 Export of DOC from the soil to rivers occurs through surface runoff, soil-bottom 1056 drainage, or flooding events (see sections 'soil flooding' and 'floodplain representation'). 1057 Runoff is activated when the maximum water infiltration rate of the specific soil has 1058 been exceeded, meaning that water arrives at the soil surface faster than it can enter, 1059 forcing it to be transported laterally across the surface. DOC is drawn up into this 1060 runoff water flux from the first 5 layers of the soil column, which correspond to a 1061 cumulative source depth of 4.5cm.

1062

1063 Drainage of DOC occurs first as its advection between the discrete soil layers, and its 1064 subsequent export from the 11th layer, which represents the bottom of the first 2m of the soil column, from which export is calculated as a proportion of the DOC 1065 concentration at this layer. Below this, soil moisture and DOC concentrations are no 1066 longer explicitly calculated, except in the case that they are cryoturbated below this, up 1067 1068 to a depth of 3m. DOC drainage is proportional to but not a constant multiplier of the water drainage rate for two reasons. First, in the process of drainage DOC is able to 1069 1070 percolate from one layer to another, through the entirety of the soil column, meaning 1071 that vertical transport is not solely determined by 11th layer concentrations, given that 1072 DOC can be continuously leached and transported over the whole soil column. Secondly, 1073 in order to account for preferential flow paths in the soil created by the subsoil actions 1074 of flora and fauna, and for the existence of non-homogenous soil textures at depth that 1075 act as aquitards, DOC infiltration must account for the fact that area-aggregated soils 1076 drain more slowly, increasing the residence time of DOC in the soil. Thus a reduction factor which reduces the vertical advection of DOC in soil solution by 80% compared to 1077

the advection is applied to represent a slow down in DOC percolation through the soiland increase its residence time there.

1080

1081 In MICT-L, as in ORCHILEAK, a 'poor soils' module reads off from a map giving fractional coverage of land underlain by Podzols and Arenosols at the 0.5° grid-scale, as derived 1082 1083 from the Harmonized World Soil Database (Nachtergaele, 2010). Due to their low pH and nutrient levels, areas identified by this soil-type criterion experience soil organic 1084 1085 matter decomposition rates half that of other soils (Lauerwald et al. (2017)(Lauerwald 1086 et al., 2017), derived from Bardy et al. (2011); Vitousek & Sanford (1986); Vitousek & 1087 Hobbie (2000) (Bardy et al., 2011; Vitousek and Hobbie, 2000; Vitousek and Sanford, 1088 1986)). To account for the very low DOC-filtering capacity of these coarse-grained, base-1089 and clay-poor soils (DeLuca & Boisvenue (2012)(DeLuca and Boisvenue, 2012), Fig. 2b), 1090 no reduction factor in DOC advection rate relative to that of water in the soil column is 1091 applied when DOC is generated within these "poor soils"...

1092

1093 By regulating both decomposition and soil moisture flux, the "poor soil" criterion effectively serves a similar if not equal function to a soil 'tile' for DOC infiltration in the 1094 1095 soil column (inset box of Fig. 1), because soil tiles (forest, grassland/tundra/cropland 1096 and bare soil) are determinants of soil hydrology which affects moisture-limited 1097 decomposition. Here however, the 'poor soil' criteria is applied uniformly across the 1098 three soil tiles of ecah grid cell. This modulation in MICT-L is of significance for the 1099 Arctic region, given that large fractions of the discontinous permafrost region are 1100 underlain by Podzols, particularly in Eurasia. For the Arctic as a whole, Podzols cover 1101 ~15% of total surface area (DeLuca and Boisvenue, 2012). Further, in modelled frozen soils, a sharp decline in hydraulic conductivity is imposed by the physical barrier of ice, 1102 1103 which retards the flow of water to depth in the soil, imposing a cap on drainage and thus 1104 potentially increasing runoff of water laterally, across the soil surface (Gouttevin et al., 1105 2012). In doing so, frozen soil layers overlain by liquid soil moisture will experience 1106 enhanced residence times of water in the carbon-rich upper soil layers, potentially 1107 enriching their DOC load.

1108

Thus, for all the soil layers in the first 2m, DOC stocks are controlled by production from
litter and SOC decay, their advection, diffusion, and consumption by DOC mineralization,
as well as buffering by adsorption and desorption processes.

1112

1113 **2.6 Routing Scheme:**

1114

1115 The routing scheme in ORCHIDEE, first described in detail in Ngo-Duc et al. (2007)(Ngo-1116 Duc et al., 2007) and presented after some version iterations in Guimberteau et al. 1117 (2012)(Guimberteau et al., 2012), is the module which when activated, represents the 1118 transport of water collected by the runoff and drainage simulated by the model along the 1119 prescribed river network in a given watershed. In doing so, its purpose is to coarsely represent the hydrologic coupling between precipitation inputs to the model and 1120 1121 subsequent terrestrial runoff and drainage (or evaporation) calculated by it on the one 1122 hand, and the eventual discharge of freshwater to the marine domain, on the other. In 1123 other words, the routing scheme simulates the transport of water by rivers and streams, by connecting rainfall and continental river discharge with the land surface. 1124 1125

1126 To do so, the routing scheme first inputs a map of global watersheds at the 0.5 degree 1127 scale (Oki et al., 1999; Vorosmarty et al., 2000) which gives watershed and sub-basin boundaries and the direction of water-flow based on topography to the model. The 1128 1129 water flows themselves are comprised of three distinct linear reservoirs within each sub-basin ('slow', 'fast', 'stream'). Each water reservoir is represented at the subgrid scale (here: 4 1130 subgrid units per grid cell), and updated with the lateral in- and outflows at a daily time-step. 1131 The 'slow' water reservoir aggregates the soil drainage, i.e. the vertical outflow from the 11th 1132 layer (2 m depth) of the soil column, effectively representing the 'shallow groundwater' 1133 The 'fast' water reservoir aggregates surface runoff simulated in the model, 1134 storage. 1135 effectively representing overland hydrologic flow. The 'slow' and 'fast' wast reservoirs feed a 1136 delayed outflow to the 'stream' reservoir' of the adjacent subgrid-unit in the downstream 1137 direction.

1138

The water residence time in each reservoir depends on the nature of the reservoir (increasing 1139 residence time in the order : stream < fast < slow reservoir). More generally, residence time 1140 decreases with the steepness of topography, given by the product of a local topographic 1141 1142 index and a constant with decreasing values for the 'slow', 'fast' and 'stream' reservoirs. The topographic index is the ratio of the grid-cell length to the square root of the mean 1143 slope, to reproduce the effect of geomorphological factors in Manning's equation 1144 (Ducharne et al., 2003; Guimberteau et al., 2012; Manning, 1891) and determines the 1145 time that water and DOC remain in soils prior to entering the river network. In this way 1146 the runoff and drainage are exported from sub-unit to sub-unit and from grid-cell to grid-cell. 1147 1148

1140

1150 **2.7 Grid-scale water and carbon routing** (Fig. 1f, 1g)

1151 1152

1153 Water-borne, terrestrially-derived DOC and dissolved CO₂ in the soil solution are 1154 exported over the land surface using the same routing scheme. When exported from soil or litter, DOC remains differentiated in the numerical simulations according to its 1155 1156 initial reactivity within the soil (Active, Slow, Passive). However, because the terrestrial 1157 Slow and Passive DOC pools (Camino-Serrano et al., 2018) are given the same residence 1158 time, these two pools are merged when exported (Lauerwald et al., 2017): Active DOC flows into a Labile DOC hydrological export pool, while the Slow and Passive DOC pools 1159 flow into a Refractory DOC hydrological pool (Fig. 2b). The water residence times in each 1160 1161 reservoir of each subgrid-unit determine the decomposition of DOC into CO₂ within water 1162 reservoirs, before non-decomposed DOC is passed on to the next reservoir downstream.

1163

1164 The river routing calculations, which occur at a daily timestep, are then aggregated to 1165 one-day for the lateral transfer of water, $CO_{2(aq)}$ and DOC from upstream grid to 1166 downstream grid according to the river network. Note that carbonate chemistry in 1167 rivers and total alkalinity routing are not calculated here.

1168

1169 In this framework, the 'fast' and 'slow' residence times of the water pools in the routing 1170 scheme determine the time that water and DOC remains in overland and groundwater 1171 flow before entering the river network. Note that while we do not explicitly simulate 1172 headwater streams as they exist in a geographically determinant way in the real world,

1173 we do simulate what happens to the water before it flows into a river large enough to be

1174 represented in the routing scheme by the water pool called 'stream'. The 'fast' reservoir, 1175 which is indicative of the pool of runoff water that is destined for entering the 'stream' 1176 water reservoir, is implicitly representative of headwater streams non resolved by the 1177 model routing as an explicit stream pool at a given spatial resolution, as it fills the spatial and temporal niche between runoff and the river stem. The dynamics of headwater 1178 1179 hydrological and DOC dynamics (Section 2.10) are of potentially great significance with respect to carbon processing, as headwater catchments have been shown to be 1180 'hotspots' of carbon metabolisation and outgassing in Arctic rivers, despite their 1181 1182 relatively small areal fraction (Denfeld et al., 2013; Drake et al., 2015; Mann et al., 2015; Venkiteswaran et al., 2014; Vonk et al., 2013, 2015a, 2015c). Thus, in what follows in 1183 1184 this study, we refer to what in the code are called the 'fast' and 'stream' pools, which represent the small streams and large stream or river pools, respectively, using 'stream' 1185 1186 and 'river' to denote these from hereon in.

1187

Furthermore, the differentiated representation of water pools as well as mean grid cell 1188 1189 slope, combined with the dynamic active layer simulated for continuous versus 1190 discontinuous permafrost, is important for reproducing the phenomena observed by Kutscher et al. (2017) (Kutscher et al., 2017) and Zhang et al. (2017) (Zhang et al., 2017) 1191 1192 for sloping land as shown on the right hand side of Fig. 1. In discontinuous permafrost 1193 and permafrost free regions, these phenomena encompass landscape processes (subgrid in the model), through which water flow is able to re-infiltrate the soil column and 1194 1195 so leach more refractory DOC deeper in the soil column, leading to a more refractory 1196 signal in the drainage waters. In contrast, in continuous permafrost region, the shallow 1197 active layer will inhibit the downward re-infiltration flux of water and encourage leaching at the more organic-rich and labile surface soil layer, resulting in a more labile 1198 1199 DOC signal from the drainage in these areas (Fig. 1). These re-infiltration processes are 1200 thought to be accentuated in areas with higher topographic relief (Jasechko et al., 2016), 1201 which is why they are represented on sloping areas in Fig. 1.

1202

1203 **2.8 Representation of floodplain hydrology and their DOC budget** (Fig. 1e,1h) 1204

1205 The third terrestrial DOC export pathway in MICT-L is through flooding of floodplains, a 1206 transient period that occurs when stream water is forced by high discharge rates over 1207 the river 'banks' and flows onto a flat floodplain area of the grid cell that the river 1208 crosses, thus inundating the soil. Such a floodplain area is represented as a fraction of a 1209 grid-cell with the maximum extent of inundation, termed the 'potential flooded area' 1210 being predefined from a forcing file (Tootchi et al., 2019). Here, the DOC pools that are 1211 already being produced in these inundated areas from litter and SOC decomposition in the first 5 layers of the soil column are directly absorbed by the overlying flood waters. 1212 1213 These flood waters may then either process the DOC directly, via oxidisation to CO₂, (Sections 2.10, 2.11) or return them to the river network, as floodwaters recede to the 1214 river main stem, at which point they join the runoff and drainage export flows from 1215 1216 upstream.

1210

MICT-L includes the floodplain hydrology part of the routing scheme (D'Orgeval et al., 2008; Guimberteau et al., 2012), as well as additions and improvements described in Lauerwald et al. (2017). The spatial areas that are available for potential flooding are provide the spatial areas that are available for potential flooding are

1221 pre-defined by an input map originally based on the map of Prigent et al. (2007)(Prigent

1222 et al., 2007). However, for this study, we used an alternative map of the "regularly 1223 flooded areas" derived from the method described in Tootchi et al., (2018), which in this 1224 study uses an improved input potential flooding area forcing file specific to the Lena 1225 basin, that combines three high-resolution surface water and inundation datasets derived from satellite imagery: GIEMS-D15 (Fluet-Chouinard et al., 2015), which results 1226 from the downscaling of the map of Prigent et al. (2007)(Prigent et al., 2007) at 15-arc-1227 sec (ca 500 m at Equator); ESA-CCI land cover (at 300 m ~ 10 arc-sec); and JRC surface 1228 1229 water at 1 arc-sec (Pekel et al., 2016). The 'fusion' approach followed by this forcing 1230 dataset stems from the assumption that the potential flooding areas identified by the 1231 different datasets are all valid despite their uncertainties, although none of them is 1232 exhaustive. The resulting map was constructed globally at the 15 arc-sec resolution and 1233 care was taken to exclude large permanent lakes from the potential flooding area based on the HydroLAKES database (Messager et al., 2016). In the Lena river basin, the basin 1234 1235 against which we evaluate ORCHIDEE MICT-LEAK in Part 2 of this study, this new 1236 potential floodplains file gives a maximum floodable area of 12.1% (2.4*10⁵ km²) of the 1237 2.5*10⁶ km² basin, substantially higher than previous estimates of 4.2%(Prigent et al., 1238 2007).

1239 1240 With this improved forcing, river discharge becomes available to flood a specific predefined floodplain grid fraction, creating a temporary floodplains hydrologic reservoir, 1241 1242 whose magnitude is defined by the excess of discharge at that point over a threshold value, given by the median simulated water storage of water in each grid cell over a 30 1243 year period. The maximum extent of within-grid flooding is given by another threshold, 1244 the calculated height of flood waters beyond which it is assumed that the entire grid is 1245 1246 inundated. This height, which used to be fixed at 2 m, is now determined by the 90th percentile of all flood water height levels calculated per grid cell from total water 1247 storage of that grid cell over a reference simulation period for the Lena basin, using the 1248 same methodology introduced by Lauerwald et al. (2017). The residence time of water 1249 on the floodplains (τ flood) is a determinant of its resulting DOC concentration, since 1250 1251 during this period it appropriates all DOC produced by the top 5 layers of the soil 1252 column.

1253

1255

1254 **2.9 Oceanic outflow** (Fig. 1i)

1256 Routing of water and DOC through the river network ultimately lead to their export 1257 from the terrestrial system at the river mouth (Fig. 1), which for high latitude rivers are almost entirely sub-deltas of the greater 'estuary', described by McClelland et al. (2012), 1258 1259 draining into the Arctic Ocean. Otherwise, the only other loss pathway for carbon 1260 export once in the river network is through its decomposition to CO₂ and subsequent escape to the atmosphere from the river surface. DOC decomposition is ascribed a 1261 constant fraction for the labile and refractory DOC pools of 0.3 d⁻¹ and 0.01 d⁻¹ at 25°C, 1262 1263 respectively, these modulated by a water-temperature dependent Arrhenius rate term. 1264 Because the concentration of dissolved CO_2 (referred to as $CO_{2(aq.)}$) in river water is derived not only from in-stream decomposition of DOC, but also from $CO_{2(aq.)}$ inputs 1265 1266 from the decomposition of litter, SOC and DOC both in upland soils and in inundated soils, the model also represents the lateral transport of $CO_{2(aq.)}$ from soils through the 1267 river network. Note that autochtonous primary production and derivative carbon 1268

transformations are ignored here, as they are considered relatively minor contributorsin the Arctic lateral flux system (Cauwet and Sidorov, 1996; Sorokin and Sorokin, 1996).

1271 1272

1273

2.10 Dissolved CO2 export and river evasion (Fig. 1j)

Soil $CO_{2(aq.)}$ exports are simulated by first assuming a constant concentration of $CO_{2(aq.)}$ 1274 1275 with surface runoff and drainage water fluxes, of 20 and 2 mgC L⁻¹, corresponding to a 1276 *p*CO2 of 50000 μ atm and 5000 μ atm at 25°C in the soil column, respectively. These 1277 quantities are then scaled with total (root, microbial, litter) soil respiration by a scaling 1278 factor first employed in Lauerwald et al. (2019, in review). In the high latitudes soil 1279 respiration is dominantly controlled by microbial decomposition, and for the Lena basin 1280 initial model tests suggest that its proportional contribution to total respiration is roughly 90%, versus 10% from root respiration. Thus $CO_{2(aq.)}$ enters and circulates the 1281 1282 rivers via the same routing scheme as that for DOC and river water. The lateral transfers 1283 of carbon are aggregated from the 30 minute time steps at which they are calculated, 1284 with a 48 timestep period, so that they occur within the model as a daily flux. The calculation of the river network pCO_2 can then be made from $CO_{2(aq.)}$ and its equilibrium 1285 1286 with the atmosphere, which is a function of its solubility (K_{CO2}) with respect to the temperature of the water surface T_{WATER} (Eq.2). 1287 1288

(2)
$$pCO_{2_{POOL}} = \frac{[CO_{2(aq)}]}{12.011 * K_{CO_2}}$$

1289

1290 Where the pCO_2 of a given (e.g. 'stream', 'fast', 'slow' and floodplain) water pool 1291 (pCO_{2POOL}) is given by [$CO_{2(aq)}$] the dissolved CO_2 concentration in that pool, and K_{CO2} . 1292 Water temperature (T_{WATER} , (°C)) isn't simulated by the model, but is derived here from 1293 the average daily surface temperature (T_{GROUND} , (°C)) in the model (Eq. 3), a set up used 1294 by Lauerwald et al. (2017) and retained here. Note that while dissolved CO_2 enters from 1295 the terrestrial reservoir from organic matter decomposition, it is also generated *in situ* 1296 within the river network as DOC is respired microbially.

1297

With our water temperature estimate, both K_{CO2} and the Schmidt number (Sc) from
Wanninkhof (1992)(Wanninkhof, 1992) can be calculated, allowing for simulation of
actual gas exchange velocites from standard conditions. The CO₂ that evades is then
subtracted from the [CO₂] stocks of each of the different hydrologic reservoirs –river,
flood and stream.

(3) $T_{WATER} = 6.13^{\circ}C + (0.8 * T_{GROUND})$

1304

(4)
$$Sc = ((1911 - 118.11) * T_{WATER}) + (3.453 * T_{WATER}^2) - (0.0413 * T_{WATER}^3)$$

1305

1306 CO_2 evasion is therefore assumed to originate from the interplay of CO_2 solubility, 1307 relative gradient in partial pressures of CO_2 between air and water, and gas exchange 1308 kinetics. Evasion as a flux from river and floodplain water surfaces is calculated at a 1309 daily timestep, however in order to satisfy the sensitivity of the relative gradient of 1310 partial pressures of CO_2 in the water column and atmosphere to both CO_2 inputs and 1311 evasion, the pCO_2 of water is calculated at a more refined 6 minute timestep. The daily 1312 lateral flux of CO_2 inputs to the water column are thus equally broken up into 240 (6) 1313 min.) segments per day and distributed to the pCO_2 calculation. Other relevant carbon processing pathways, such as the photochemical breakdown of riverine OC, are not 1314 1315 explicitly included here, despite the suggestion by some studies that the photochemical pathway dominate DOC processing in Arctic streams(Cory et al., 2014). Rather, these 1316 processes are bundled into the aggregate decomposition rates used in the model, which 1317 thus include both microbial and photochemical oxidation. This is largely because it is 1318 1319 unclear how different factors contribute to breaking down DOC in a dynamic 1320 environment and also the extent to which our DOC decomposition and CO₂ calculations 1321 implicitly include both pathways –e.g. to what extent the equations and concepts used in 1322 their calculation confound bacterial with photochemical causation, since both microbial 1323 activity and incident UV light are a function of temperature and total incident light.

1324

1325 2.11 Soil layer processes: turbation adsorption

1326 1327 The soil carbon module is discretised into a 32-layer scheme totalling 38m depth, which it shares with the soil thermodynamics to calculate temperature through the entire 1328 1329 column. An aboveground snow module (Wang et al., 2013) is discretised into 3 layers of differing thickness, heat conductance and density, which collectively act as a 1330 1331 thermodynamically-insulating intermediary between soil and atmosphere (Fig. 2a). 1332 Inputs to the three soil carbon pools are resolved only for the top 2m of the soil, where 1333 litter and DOC are exchanged with SOC in decomposition and adsorption/desorption 1334 processes. Decomposition of SOC pools, calculated in each soil layer, is dependent on 1335 soil temperature, moisture and texture (Koven et al., 2009; Zhu et al., 2016), while vertical transfer of SOC is enabled by representation of cryoturbation (downward 1336 movement of matter due to repeated freeze-thaw) in permafrost regions, and 1337 1338 bioturbation (by soil organisms) in non-permafrost regions in terms of a diffusive flux. 1339

Cryoturbation, given a diffusive mixing rate (Diff) of 0.001 m² yr⁻¹ (Koven et al., 2009), is 1340 1341 possible to 3 m depth (diffusive rate declines linearly to zero from active layer bottom to 3 m), and extends the soil column carbon concentration depth in permafrost regions 1342 1343 from 2 m. Bioturbation is possible to 2 m depth, with a mixing rate of of 0.0001 m² yr⁻¹ 1344 (Koven et al., 2013b) declining to zero at 2 m (Eq. 5). In MICT-L, these vertical exchanges in the soil column are improved on. Now, we explicitly include the 1345 cryoturbation and bioturbation of both belowground litter and DOC. These were not 1346 1347 possible in ORCHIDEE-MICT because, for the former, the belowground litter distribution 1348 was not explicitly discretised or vertically dynamic, and for the latter because DOC was 1349 not produced in prior versions. Diffusion is given by :

1350

$$((5)) \frac{\delta DOC_i(z)}{\delta t} = IN_{DOC_i}(z) - k_i(z) * \phi * DOC_i(z) + Diff \frac{\delta DOC_i^2(z)}{\delta z^2}$$

1351

1352 Where DOC_i is the DOC in pool i at depth z, (gC m⁻³) IN_{DOCi} the inflow of carbon to that 1353 pool (gCm⁻³d⁻¹), k_i the decomposition rate of that pool (d⁻¹), Φ the temperature 1354 dependent rate modifier for DOC decomposition and *Diff* the diffusion coefficient (m² yr⁻ 1355 ¹). The vertical diffusion of DOC in non-permafrost soils represented here (that is, the 1356 non-cryoturbated component) appears to be consistent with recent studies reporting an 1357 increased retention of DOC in the deepening active layer of organic soils (Zhang et al.,
1358 2017). This vertical translocation of organic carbon, whether in solid/liquid phase1359 appears to be an important component of the high rates of SOC buildup observed at1360 depth in deep permafrost soils.

1362 **2.11 Priming** (Fig. 1m)

1363 1364 MICT-L also incorporates a scheme for the 'priming' of organic matter decomposition, a 1365 process in which the relative stability of SOC is impacted by the intrusion of or contact 1366 with SOC of greater reactivity, resulting in enhanced rates of decomposition. This was first introduced by Guenet et al. (2016) (Guenet et al., 2016) and updated in Guenet et al. 1367 (2018)(Guimberteau et al., 2018). This process has shown itself to be of potentially 1368 large significance for SOC stocks and their respiration in high latitude regions, in 1369 empirical in situ and soil incubation studies (De Baets et al., 2016; Walz et al., 2017; Wild 1370 et al., 2014, 2016; Zhang et al., 2017), as well as modelling exercises (Guenet et al., 1371 1372 2018). Here, priming of a given soil pool is represented through the decomposition of 1373 soil carbon (dSOC/dt) by the following equation : 1374

(6)
$$\frac{dSOC}{dt} = IN_{SOC} - k * (1 - e^{-c * FOC}) * SOC * \Theta * \phi * \gamma$$

1375

1361

1376 Where IN_{SOC} is the carbon input to that pool, k is the SOC decomposition rate, FOC is a stock of matter interacting with this SOC pool to produce priming, c is a parameter 1377 1378 controlling this interaction, SOC is the SOC reservoir, and θ , Φ and γ the moisture, 1379 temperature and texture functions that modulate decomposition in the code. The 1380 variable FOC ('fresh organic carbon') is an umbrella term used for specifying all of the carbon pools which together constitute that carbon which is considered potential 1381 priming donor material –ie. more labile – to a given receptor carbon pool. Thus, for the 1382 slow soil carbon pool FOC incorporates the active soil carbon pool plus the above and 1383 1384 below ground strucutural and metabolic litter pools, because these pools are donors to 1385 the slow pool, and considered to accelerate its turnover through priming. Importantly, 1386 previous studies with priming in ORCHIDEE employed this scheme on a version which 1387 resolves neither the vertical discretisation of the soil column nor the explicit vertical 1388 diffusion processes presented here. This is potentially significant, since the vertical 1389 diffusion of relatively reactive matter may strongly impact (accelerate) the 1390 decomposition of low reactivity matter in the deeper non-frozen horizons of high 1391 latitude soils, while the explicit discretisation of the soil column is a significant 1392 improvement in terms of the accuracy of process-representation within the column 1393 itself. 1394

1395 Other carbon-relevant schemes included in MICT-L are: A prognostic fire routine 1396 (SPITFIRE), calibrated for the trunk version of ORCHIDEE (Yue et al., 2016) is available 1397 in our code but not activated in the simulations conducted here. As a result, we do not simulate the \sim 13% of Arctic riverine DOC attributed to biomass burning by Myers-Pigg 1398 et al. (2015)(Myers-Pigg et al., 2015), or the ~8% of DOC discharge to the Arctic Ocean 1399 1400 from the same source (Stubbins et al., 2017). Likewise, a crop harvest module 1401 consistent with that in ORCHIDEE-MICT exists in MICT-L but remains deactivated for 1402 our simulations.

1404 A module introduced in the last version of ORCHIDEE-MICT (Guimberteau et al., 2018), 1405 in which the soil thermal transfer and porosity and moisture are strongly affected by SOC concentration, is deactived here, because it is inconsistent with the new DOC 1406 1407 scheme. Specifically, while carbon is conserved in both MICT and MICT-L soil schemes, 1408 MICT-L introduces a new reservoir into which part of the total organic carbon in the soil 1409 -the DOC -must now go. This then lowers the SOC concentration being read by this thermix module, causing significant model artefact in soil thermodynamics and 1410 hydrology in early exploratory simulations. Ensuring compatibility of this routine with 1411 the DOC scheme will be a focal point of future developments in MICT-L. Other processes 1412 being developed for ORCHIDEE-MICT, including a high latitude peat formation (Qiu et 1413 al., 2018), methane production and microbial heat generating processes that are being 1414 optimised and calibrated, are further pending additions to this particular branch of the 1415 **ORCHIDEE-MICT** series. 1416

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 $\begin{array}{c} 1418 \\ 1419 \end{array}$

Figure 3: Flow diagram illustrating the step-wise stages required to implement the model's soil carbon stock prior to conducting transient, historical simulations.

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1422 Soil Carbon Spinup and Simulation Protocol

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1424 The soil carbon spinup component of ORCHIDEE, which is available to both its trunk and 1425 MICT branches, was omitted from this first version of MICT-L, owing to the code burden 1426 required for ensuring compability with the soil carbon scheme in MICT-L. However, because we are simulating high latitude permafrost regions, having a realistic soil 1427 1428 carbon pool at the outset of the simulations is necessary if we are to untangle the dynamics of SOC and DOC with a changing environment. Because the soil carbon spinup 1429 in ORCHIDEE-MICT is normally run over more than 10,000 years (Guimberteau et al., 1430 2108), and because running MICT-L for this simulation period in its normal, non-spinup 1431 1432 simulation mode would impose an unreasonable burden on computing resources, here we directly force the soil carbon output from a MICT spinup directly into the restart file 1433 of a MICT-L simulation. 1434

1436 A 20,000 year spinup loop over 1961-1990 (these years chosen to mimic coarsely 1437 warmer mid-Holocene climate) -forced by GSWP-3 climatology, whose configuration derives directly from that used in Guimberteau et al. (2018), was thus used to replace 1438 1439 the three soil carbon pool values from a 1-year MICT-L simulation to set their initial values. A conversion of this soil carbon from volumetric to areal units was applied, 1440 owing to different read/write standards in ORCHILEAK versus ORCHIDEE-MICT. This 1441 artifically imposed, MICT-derived SOC stock would then have to be exposed to MICT-L 1442 code, whose large differences in soil carbon module architecture as compared to MICT, 1443 1444 would drive a search for new equilibrium soil carbon stocks.

1445

1446 Due to the long residence times of the passive SOC pool, reaching full equilibrium for it 1447 requires a simulation length on the order of 20,000y – again an overburden. As we are interested primarily in DOC in this study, which derives mostly from the Active and Slow 1448 1449 SOC pools, the model was run until these two pools reached a guasi-steady state equilibria (Part 2 Supplement, Fig. S1). This was done by looping over the same 30 year 1450 1451 cycle (1901-1930) of climate forcing data from GSWP-3 during the pre-industrial period 1452 (Table 1) and the first year (1901) of a prescribed vegetation map (ESA CCI Land Cover Map(Bontemps et al., 2013)) -to ensure equilibrium of DOC, dissolved CO₂ and Active 1453 1454 and Slow SOC pools is driven not just by a single set of environmental factors in one year 1455 -for a total of 400 years. The parameter configuration adhered as close as possible to that used in the original ORCHIDEE-MICT spinup simulations, to avoid excessive 1456 equilibrium drift from the original SOC state (Fig. 3). 1457

1458

1459 **Table 1:** Data type, name and sources of data files used to drive the model in the study1460 simulations.

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Data Type	Name	Source
Vegetation Map	ESA CCI Land Cover Map	Bontemps et al., 2013
Topographic Index	STN-30p	Vörösmarty et al., 2000
Stream flow direction	STN-30p	Vörösmarty et al., 2000
River surface area		Lauerwald et al., 2015
Soil texture class		Reynolds et al. 1999
Climatology	GSWP3 v0, 1 degree	http://hydro.iis.u-tokyo.ac.jp/GSWP3/
Potential floodplains	Multi-source global wetland maps	Tootchi et al., 2018
Poor soils	Harmonized World Soil Database map	Nachtergaele et al., 2010
Spinup Soil Carbon Stock	20ky ORCHIDEE-MICT soil carbon spinup	Based on config. in Guimberteau et al. (2018)

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1464 Conclusion

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1466 This first part of a two-part study has described a new branch of the high latitude 1467 version of ORCHIDEE-MICT land surface model, in which the production, transport and 1468 transformation of DOC and dissolved CO_2 in soils and along the inland water network of 1469 explicitly-represented northern permafrost regions has been implemented for the first 1470 time. Novel processes with respect to ORCHIDEE-MICT include the discretisation of 1471 litter inputs to the soil column, the production of DOC and $CO_{2(aq.)}$ from organic matter 1472 and decomposition respectively, transport of DOC into the river routing network and its

1472 and decomposition, respectively, transport of DOC into the river routing network and its

potential mineralisation to $CO_{2(aq.)}$ in the water column, as well as subsequent evasion from the water surface to the atmosphere. In addition, an improved floodplains representation has been implemented which allows for the hydrologic cycling of DOC and CO₂ in these inundated areas. In addition to descriptions of these processes, this paper outlines the protocols and configuration adopted for simulations using this new model that will be used for its evaluation over the Lena river basin in the second part of this study.

Chapter 3

ORCHIDEE MICT-LEAK (r5459), a global model for the production, transport and transformation of dissolved organic carbon from Arctic permafrost regions, Part 2: Model evaluation over the Lena River basin².

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1529 Summary

1530 1531 In this second part of a two-part study, we perform simulations of the carbon and water 1532 budget of the Lena catchment with the land surface model ORCHIDEE MICT-LEAK, 1533 enabled to simulate dissolved organic carbon (DOC) production in soils and its transport 1534 and fate in high latitudes inland waters. A soil carbon stock representative of permafrost soils carbon concentrations and quantities is equilibrated with the new 1535 carbon and DOC modules described in Chapter 1 and the model allowed to run over the 1536 1537 20th Century under state-of-the-art climate forcing data suite. The model results are evaluated in their ability to reproduce the fluxes of DOC and carbon dioxide (CO₂) along 1538 1539 the soil-inland water continuum, and the exchange of CO₂ with the atmosphere, including the evasion outgassing of CO₂ from inland waters. We present simulation 1540 results over years 1901-2007, and show that the model is able to broadly reproduce 1541 observed state variables and their emergent properties across a range of interacting 1542 1543 physical and biogeochemical processes, including:

1544

1545 1) Net primary production (NPP), respiration and riverine hydrologic amplitude, seasonality and inter-annual variation; 2) DOC concentrations, bulk annual flow and 1546 their volumetric attribution at the sub-catchment level; 3) High headwater versus 1547 1548 downstream CO₂ evasion, an emergent phenomenon consistent with observations over a 1549 spectrum of high latitude observational studies. (4) These quantities obey emergent relationships with environmental variables like air temperature and topographic slope 1550 1551 that have been described in the literature. This gives us confidence in reporting the 1552 following additional findings: (5) Of the \sim 34TgC yr⁻¹ left over as input to terrestrial and aquatic systems after NPP is diminished by heterotrophic respiration, 7 TgC yr⁻¹ is 1553 1554 leached and transported into the aquatic system. Of this, over half (3.6 TgC yr⁻¹) is 1555 evaded from the inland water surface back into the atmosphere and the remainder (3.4 1556 TgC yr⁻¹) flushed out into the Arctic Ocean, proportions in keeping with other, 1557 empirically derived studies. (6) DOC exported from the floodplains is dominantly sourced from recent, more 'labile' terrestrial production, in contrast to DOC leached 1558 1559 from the rest of the watershed with runoff and drainage, which is mostly sourced from recalcitrant soil and litter. (7) All else equal, both historical climate change (a 1560 spring/summer warming of 1.8°C over the catchment) and rising atmospheric CO₂ 1561 1562 (+85.6ppm) are diagnosed from factorial simulations to contribute similar, significant 1563 increases in DOC transport via primary production, although this similarity may not 1564 hold in the future.

² Submitted to *Geoscientific Model Development*, in review.

1566 1567 1568 1569 1570 1571 1572 1573 1574 1575 1576 1577 1578	The ability of ORCHIDEE MICT-LEAK to reasonably reproduce individual DOC-specific phenomena, and their interaction and response to seasonal and interannual changes in environmental drivers, and the emergent phenomena that arise as a result, demonstrate that this model is a potentially powerful new tool for diagnosing and reproducing past, present and potentially future states of the Arctic carbon cycle. Furthermore, our results appear to suggest that, if the historical response of the Lena basin to environmental drivers is generalisable to its future response, and if the Lena basin can be used to generalise permafrost basins as a whole, DOC cycling in the Arctic may increase under enhanced warming and primary production. Further, if DOC temperature responses follow the pathway witnessed for parts of western Siberia, this likely increase may occur in a highly non-linear fashion. In Chapter 3, these questions are addressed by simulating the past and future of the Pan-Arctic region, driven by climate input data representative of a future intermediate-warming scenario.
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Chapitre 3 **ORCHIDEE MICT-LEAK (r5459), modèle mondial de production,** 1616 de transport et de transformation du carbone organique dissous 1617 issu des régions de pergélisol de l'Arctique, partie 2: évaluation du 1618 modèle sur le bassin de la Lena. 1619

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1622 Résumé 1623

1624 Dans cette deuxième partie d'une étude en deux parties, nous effectuons des simulations avec le modèle de surface terrestre ORCHIDEE MICT-LEAK décrit dans le chapitre 1 dans le but 1625 1626 de reproduire le bilan carbone et eau du bassin versant de Lena, en particulier la production de 1627 carbone organique dissous (COD) dans les sols et son transport dans les eaux intérieures des hautes latitudes au cours du XXe siècle avec une suite de données de forçage climatique 1628 1629 ultramoderne. Les capacités du modèle à reproduire les flux de COD et de dioxyde de carbone 1630 (CO₂) le long du continuum sol-eaux intérieures et l'échange de CO₂ avec l'atmosphère, y 1631 compris l'évacuation dégagée de CO₂ des eaux intérieures sont évaluées. Nous présentons les 1632 résultats de la simulation sur les années 1901-2007 et montrons que le modèle est capable de 1633 reproduire à grande échelle les variables d'état observées et leurs propriétés émergentes dans 1634 une gamme de processus physiques et biogéochimiques en interaction, notamment: 1635

1) Production primaire nette (PPN), la respiration et l'amplitude hydrologique des rivières 1636 1637 (saisonnalité et variation interannuelle); 2) les concentrations de COD, le débit annuel global 1638 et leur attribution volumétrique au niveau du sous-captage; 3) Évasion du CO₂ en amont et en 1639 aval, un phénomène émergent cohérent avec les observations sur un spectre d'études 1640 observationnelles à haute latitude. Ces quantités obéissent à des relations émergentes avec des 1641 variables environnementales telles que la température de l'air et la pente topographique 1642 décrites dans la littérature. Nous estimons grâce au modèle que sur les ~ 34 TgC an⁻¹ restants comme intrants dans les systèmes terrestres et aquatiques et après soustraction de la 1643 respiration par la respiration hétérotrophe, 7 TgC an⁻¹ sont lessivés et transportés dans le 1644 milieu aquatique. Sur ce total, plus de la moitié (3,6 TgC an⁻¹) est évacuée de la surface des 1645 eaux intérieures vers l'atmosphère et le reste (3,4 TgC an⁻¹) est évacué dans l'océan Arctique. 1646 1647 Ces proportions sont conformes à celles estimées par d'autres études empiriques. Le COD 1648 exporté des plaines d'inondations provient principalement de la production terrestre récente, plus «labile», contrairement au COD lessivé du reste du bassin versant avec ruissellement et 1649 1650 drainage, principalement à partir de sol et de litière récalcitrants. Toutes choses étant égales par ailleurs, les effets directs des changements climatiques historiques (réchauffement 1651 1652 printemps / été de 1,8 ° C sur le bassin versant) et de la hausse des émissions de CO₂ dans l'atmosphère (+ 85,6 ppm) ont été diagnostiquées à l'aide de simulations factorielles comme 1653 1654 contribuant à des augmentations similaires et significatives du transport de C production, bien 1655 que cette similitude puisse ne pas être vraie à l'avenir.

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1658 La capacité d'ORCHIDEE MICT-LEAK à reproduire de manière raisonnable des 1659 phénomènes individuels spécifiques au COD, leurs interactions et leurs réponses aux changements saisonniers et interannuels des facteurs environnementaux, ainsi que les 1660 1661 phénomènes émergents qui en résultent, démontrent que ce modèle constitue un nouvel outil potentiellement puissant pour diagnostiquer et reproduire les états passés, présents et potentiellement futurs du cycle du carbone arctique. De plus, nos résultats semblent suggérer que, si la réponse historique du bassin de Lena aux facteurs environnementaux est généralisable pour sa réponse future, et si le bassin de Lena peut être utilisé pour généraliser l'ensemble des bassins de pergélisol, le cycle de COD dans l'Arctique pourrait s'accélérer à cause du réchauffement accru et d'un augmentation de la production primaire. En outre, si les réponses de température du COD suivent le chemin observé dans certaines parties de la Sibérie occidentale, cette augmentation risque de se produire de manière très non linéaire. Dans le chapitre 3, ces questions sont traitées en simulant le passé et l'avenir de la région panarctique, à l'aide de données climatologiques représentatives d'un futur scénario de réchauffement intermédiaire.

1711 1 Introduction

1712

1713 A new branch of the high latitude-specific land surface component of the IPSL Earth System model, ORCHIDEE MICT-LEAK (r5459), was enabled to simulate new model 1714 1715 processes of soil dissolved organic carbon (DOC) and CO₂ production, and their 1716 advective/diffusive vertical transport within a discretized soil column as well as their 1717 transport and transformation within the inland water network, in addition to improved 1718 representation of hydrological and carbon processes in floodplains. These additions, 1719 processes first coded in the model ORCHILEAK (Lauerwald et al., 2017) and 1720 implemented within the high latitude base model ORCHIDEE-MICT v8.4.1 (Guimberteau 1721 et al., 2018), were described in detail in Part 1 of this study. This second part of our 1722 study deals with the validation and application of our model. We validate simulation 1723 outputs against observation for present-day and run transient simulations over the historial period (1901-2007) using the Lena River basin as test case. The simulation 1724 1725 setup and rationale for choice of simulation basin are outlined below.

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1727 **2** Simulation Rationale

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1729 The Lena river basin, which is bounded by the region 52-72°N; 102-142°E, was chosen 1730 as the basin for model evaluation because it is the largest DOC discharge contribution 1731 amongst the Arctic rivers, according to some estimates (Raymond et al., 2007; Holmes et 1732 al., 2012), with its 2.5 million km² area (befitting our coarse-grid resolution) discharging 1733 almost 20% of the summed discharge of the largest six Arctic rivers, its large areal coverage by Podzols (DeLuca and Boisvenue, 2012), and the dominance of DOC versus 1734 particulate organic carbon (POC) with 3-6Tg DOC-C yr⁻¹ vs. 0.03-0.04 Tg POC-C yr⁻¹ 1735 1736 (Semiletov et al., 2011) in the total OC discharge load –factors all broadly representative 1737 of the Eurasian Arctic rivers. Compared to other Eurasian rivers, the Lena is relatively 1738 well studied, which provides data across the range of soil, hydrologic, geochemical and 1739 ecological domains over space and time, that enable us to perform adequate model 1740 evaluation.

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1742 Climatological forcing is input from the Global Soil Wetness Project Phase 3 (GSWP3) v.0 data at a 1 degree 3-hourly resolution covering the period 1901 to 2007 1743 1744 (Supplement, Table S1), which is then interpolated to a 30 minute timestep to comply 1745 with the timestep of the model's surface water and energy balance calculation period. 1746 This dataset was chosen for its suitability as input for reproducing the amplitude and 1747 seasonality of Northern Hemisphere high latitude riverine discharge in ORCHIDEE-MICT, as compared to other datasets (Guimberteau et al., 2018). An improved 1748 1749 floodplains area input file for the Lena basin(Tootchi et al., 2019) was used to drive the 1750 simulation of floodplain dynamics (Supplement, Table S1).

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Simulations were run over the Lena river basin (Fig. 3a) at a 1 degree resolution (Fig. 1) for the historical period between 1901 and 2007 to evaluate the simulated output of relevant carbon fluxes and hydrologic variables against their observed values, as well as those of emergent phenomena arising from their interplay (Fig. 1), at both the grid and basin scale. We evaluate at the basin scale because the isolation of a single geographic

1757 unit allows for a more refined alaysis of simulated variables than doing the same over

1758 the global Pan-Arctic, much of which remains poorly accounted for in empirical

1759 databases and literature.

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Figure 1: Flow diagram illustrating the step-wise stages required to set up the model, up to and including the historical period. The two stages that refer to the inverted reading of restart soil profile order point to the fact that the restart inputs from ORCHIDEE-MICT are read by our model in inverse order, so that one year must be run in which an activated flag reads it properly, before the reading of soil profile restarts is re-inverted for all subsequent years.

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1769 **3 Simulation Setup**

1771 As detailed in Part 1 (Section 3.1), the soil carbon stock used by our model was 1772 reconstituted from the soil carbon spinup of an ORCHIDEE-MICT run from Guimberteau 1773 et al. (2018)(Guimberteau et al., 2018) and run to quasi-steady state equilibrium for the 1774 Active and Slow carbon pools (Supplement, Fig. S1) under the new soil carbon scheme 1775 used in the model configuration of the present study (Fig. 1). After some adjustment 1776 runs to account for different data read/write norms between ORCHIDEE-MICT and this 1777 model version, the model was then run in transient mode under historical climate, land 1778 cover and atmospheric CO₂ concentrations. A summary of the step-wise procedure for simulation setup described above is detailed graphically in Fig. 1. The model was forced 1779 1780 with and run over the climate, CO₂ and vegetation input forcing data for the period spanning 1901-2007 (Supplement, Table S1). 1781

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Table 1: Summary describing of the factorial simulations undertaken to examine the relative drivers of

- 1785 lateral fluxes in our model.
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Simulation Name	Abbreviation	Historical Input Data	Input* Held Constant
Control	CTRL	Climate, CO2, Vegetation	None
Constant Climate	CLIM	CO2, Vegetation	Climate
Constant CO2	CO2	Climate, Vegetation	CO2 (Pre-industrial)

*Historically-variable input

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In order to derive an understanding of the environmental drivers of carbon cycling in
the Lena watershed and analyze the model sensititivity to the corresponding forcing
data, alternative simulations were run with constant climate and CO₂ conditions (Table
1, and Supplement Table S1). Thus a factorial simulation was devised, consisting of 2
factors and 3 simulations whose inputs were otherwise identical but for the investigated
factor (Table 1).

1795 1796

1797 4 Results and Discussion1798

1799 We refer to different simulations performed in this study according to the sensitivity 1800 factors to which they are subjected. The 'Control' (CTRL) simulation is that for which 1801 transient climate and atmospheric CO_2 forcings are used. CLIM and CO_2 are those 1802 simulations for which climate variability and atmospheric CO_2 were held constant at 1803 their pre-industriela levels, respectively (Table 1). The following evaluation sections 1804 compare observations solely against the CTRL. The subsequent section will evaluate this 1805 comparison against the factorial simulations described above.

1806

The overall carbon budgets and their fluxes as generated by each of the simulations are 1807 shown in Figs. 2 and 11 and discussed in detail at the end of the evaluation. Below, we 1808 examine that budget's component parts, in the following sequential order: In section 4.1 1809 1810 we briefly look through the overall carbon budget of the entire basin, discussing component fluxes of the budget, their values and what they mean. Section 4.2 evaluates 1811 DOC discharge, followed by DOC concentrations in export (4.3), dissolved CO₂ transport 1812 1813 in rivers and its evasion from the river surface (4.4), emergent phenomena with respect to CO_2 evasion compared to river size (4.5.1) and DOC concentrations and slope (4.5.2), 1814 followed by DOC reactivity pools (4.6) and NPP and soil respiration (4.7). Wherever 1815 possible, model output are compared with available in situ observations, while 1816 1817 emergent relationships between fluxes or concentrations and environmental controls found in observatons are also drawn from the model output, to provide a 'process 1818 1819 oriented' evaluation of the model. In Section 4.8 we discuss the overall drivers of the 1820 fluxes simulated by our model with respect to the two CLIM and CO₂ factorial 1821 simulations and the implications of these for the future. 1822



simulation averaged over the period 1998-2007 as they are transformed and transported across the land-

1823 1824 1825 1826 1827 1828

1830

aquatic continuum.

1829 4.1 Carbon Budget: Simulated yearly fluxes

1831 Fig. 2 summarises the components of the carbon cycle across the Lena basin, averaged over the decade 1998-2007. All units are in TgC yr⁻¹ and the errors are derived from 1832 average yearly standard deviations for each of these fluxes. Modelled carbon inputs to 1833 1834 terrestrial ecosystems are dominated by photosynthetic input (GPP). GPP assimilates (875 TgC vr⁻¹) are either used as metabolic substrate by plants and lost as CO₂ by plant 1835 1836 respiration processes (376 TgC yr⁻¹) or soil respiration processes (465 TgC yr⁻¹), leaving behind annual terrestrial carbon storage in living biomass and soil, known as net biome 1837 productivity (NBP, a sink of atmospheric CO₂ of 34 TgC yr⁻¹). Further carbon inputs are 1838 1839 delivered to the terrestrial surface via a combination of atmospheric deposition, rainwater dissolved carbon, and the leaching of canopy carbon compounds, all of which 1840 summing up to a flux transported to the soil surface (4.6 TgC yr⁻¹) by throughfall (see 1841 1842 Part 1, Section 2.5).





1849

1850 Figure 3: Map of the Lena (a) with the scale bar showing the mean grid cell topographic slope from the 1851 simulation, and the black line the satellite-derived overlay of the river main stem and sub-basins. 1852 Mountain ranges of the Lena basin are shown in orange. Green circles denote the outflow gridcell (Kusur) 1853 from which our simulation outflow data are derived, as well as the Zhigansk site, from which out 1854 evaluation against data from Raymond et al. (2007) are assessed. The regional capital (Yakutsk) is also 1855 included for geographic reference. (b) Maps of river water discharge $(\log(m^3 s^{-1}))$ in April, June and 1856 September, averaged over 1998-2007. (c) The mean monthly river discharge differential between 1857 observed discharge for the Lena (Ye et al., 2009) and simulated discharge averaged over 1998-2007, in 1858 absolute $(m^3 s^{-1})$ and percentage terms. (d) Regression of simulated monthly DOC discharge versus 1859 simulated river discharge at the river mouth (Kusur) over the entire simulation period (1901-2007). 1860

1862 In the soil, DOC is produced by the decomposition of litter and soil organic carbon (SOC) pools (see Part 1, Section 2.4 and Fig. 2) and can be ad- or de- sorbed to solid particles 1863 (see Part 1, Section 2.11), while there is a continuous exchange of DOC with (solid) soil 1864 organic carbon. The interplay between decomposition and sorption leads to DOC 1865 1866 concentration changes in the soil solution. DOC in the soil solution as well as a fraction of 1867 dissolved CO₂ produced in the root zone from root and microbial respiration is exported 1868 to rivers along the model's two hydrological export vectors, surface runoff and deep 1869 drainage (Part 1, Section 2.6). For the Lena basin simulations, these fluxes of C exported 1870 from soils amount to 5.1 and 0.2 TgC yr⁻¹, for DOC and CO₂ respectively. Three water 1871 pools, representing streams, rivers and groundwater and each containing dissolved CO₂ 1872 and well as DOC of different reactivity, are routed through the landscape and between 1873 grid cells following the river network in the catchment (Part 1, Section 2.7). In addition, 1874 seasonally flooded soils located in low, flat grid cells next to the river network (see Part 1875 1, Section 2.8) export DOC (0.57 TgC yr⁻¹) and CO_2 (1.54 TgC yr⁻¹) to the river network when their inundation occurs. Part of this leached inundated material is reinfiltrated 1876

1877 back into the soil from the water column during floodplain recession ('Return' flux, 0.45 1878 TgC yr⁻¹). During its transport through inland waters, DOC can be decomposed into CO₂ (2.1 TgC yr⁻¹) and a fraction of river CO₂ produced from DOC and transferred from soil 1879 1880 escapes to the atmosphere (3.6TgC yr⁻¹) through gas exhange kinetics (Part 1, Section 2.10). This flux is termed 'CO₂ evasion' in Fig. 2 of this study. Carbon that 'survives' the 1881 1882 inland water reactor is exported to the coastal ocean in the form of DOC (3.16 TgC yr⁻¹) and CO_2 (0.26 TgC yr⁻¹). These fluxes and their interpretation within the context of the 1883 1884 Land-Ocean-Aquatic Continuum (LOAC) are returned to in Section 4.8 of this study.

1885

1886 4.2 Discharge and DOC flux to the ocean1887

1888 Simulated river water discharge captures the key feature of Arctic river discharge - that of a massive increase in flow to $\sim 80,000 \text{ m}^3\text{s}^{-1}$ in April-June caused by melting snow and 1889 1890 ice, otherwise known as ice-out or spring freshet, but underestimates observed river 1891 discharge in August to October by around 70% which is in the range of ~15,000-28,000 1892 m³s⁻¹ (Figs. 3c, 4b). Given that DOC fluxes are almost directly proportional to river 1893 discharge in the Lena basin (Fig. 3d), this sub-optimal performance with regard to 1894 hydrology during August to October seeming to be the main cause of a substantial underestimation in simulated bulk DOC outflow. Another cause may simply be the lack 1895 1896 of peat representation in the model, for which DOC flux concentrations in outflowing fluvial water can be very high (e.g. Frey et al., 2005; 2009: see Section 4.5.1). 1897

1898

1899 In addition, the mean spring (June) discharge peak flows are slightly underestimated or 1900 out of phase in simulations (Figs. 3c, 4b) compared to observations (Ye et al., 2009): this 1901 is caused by a large amount of water throughput being simulated in May (~10,000 m³ s⁻ 1902 ¹) in excess of observed rates. Finally, during the winter low-flow period, it seems that the model consistently under-estimates water flow-through volumes reaching the river 1903 1904 main stem (see Fig. 3c, winter months). Although this underestimate is not severe 1905 relative to annual bulk flows, the divergence is large as a percentage of observations 1906 (see right-hand axis, Fig. 3c), and may point to an issue in how ice is represented in the 1907 model, such as the fact that solid ice inclusions in the soil column are not represented, or 1908 the possibility that much slower groundwater dynamics than those represented in the 1909 model are feeding discharge.

1910

1911 In addition to this, the presence of a dam on the Vilui tributary of the Lena has been 1912 shown to reduce main stem winter low-flow rates by up to 90% (Ye et al., 2003), similar 1913 to the discrepancy of our low-flow rates: given that our model only simulates 'natural' 1914 hydrological flows and thus does not include dams, we expect that this effect is also at 1915 play. Evaluating these considerations, if presently possible, remains beyond the scope of 1916 this paper. We note that discharge simulations with ORCHIDEE MICT (Fig. 12 of 1917 Guimberteau et al. (2018)(Guimberteau et al., 2018)) performed with the same climate 1918 forcing over the basin are comparable with those from ORCHIDEE MICT-L, with similar 1919 overall seasonality and discharge peaks of $\sim 60,000 \text{m}^3 \text{ s}^{-1}$ in the former over the period 1920 1981-2007. This indicates that the modifications made in Bowring et al. (Part 1) 1921 focussing on the DOC cycle did not degrade the hydrological performance of the model 1922 in this regard.

1923

1924 Our CTRL simulation shows that the yearly sum of DOC output to the Arctic Ocean has

1925 increased steadily over course of the 20th Century, from ~1.4Tg DOC-C yr-¹ in 1901 to 1926 ~4Tg DOC-C yr⁻¹ in 2007 (Fig. 4a). Smoothing the DOC discharge over a 30-year 1927 running mean shows that the increasing trend (Fig. 4a) over this averaging scale is 1928 almost linear, at ~ 0.11 TgC per decade, or a net increase of 40% using this averaging 1929 scale. Empirically based estimates of total contemporary DOC entering the Laptev Sea 1930 from Lena river discharge vary around ~2.5-5.8 TgC-DOC (Cauwet and Sidorov, 1996; Dolman et al., 2012; Holmes et al., 2012; Lara et al., 1998; Raymond et al., 2007; 1931 1932 Semiletov et al., 2011).

1933

1934 The red bar in Fig. 4a shows the average simulated DOC discharge of the last decade 1935 (1998-2007) of 3.2 TgC yr⁻¹, to be compared with estimates of 3.6 TgC yr⁻¹ (black bar) 1936 from Lara et al. (1998)(Lara et al., 1998) and 5.8 TgC yr⁻¹ (orange bar) from Raymond et 1937 al. (2007) and 5.7 TgC yr⁻¹ from Holmes et al. (2012). These estimates are based on 1938 different years, different data and different scaling approaches, whose veracity or 1939 accuracy are beyond the scope of this study to address or assess.

1940

1941 Nonetheless, the most recent and elaborate of those estimates is that of Holmes et al. 1942 (2012) who used a rating curve approach based on 17 samples collected from 2003 to 1943 2006 and covering the full seasonal cycle, which was then applied to 10 years of daily 1944 discharge data (1999-2008) for extrapolation. Given that their estimate is also based on Arctic-GRO-1/PARTNERS data (https://www.arcticgreatrivers.org/data), which stands 1945 as the highest temporal resolution dataset to date, we presume that their estimate can 1946 1947 be taken to be the most accurate of the actual riverine discharge of DOC from the Lena 1948 basin. Compared to their average annual estimate of 5.7 Tg C yr⁻¹ then, our simulated DOC export is somewhat low, which can be due to multiple causes: 1949

1950

1951 Firstly, as noted above, the model underestimates observed river discharge. We plot 1952 seasonal DOC discharge against river discharge for the Lena outflow grid cell (Kusur 1953 station -see Fig. 3a) over 1901-2007 in Fig. 3d, which shows a quasi-linear positive 1954 relationship between the two. This dependence is particular to the Arctic rivers, in 1955 which the DOC yield of rivers experiences disproportionately large increases in output 1956 with increases in discharge yield (Fig. 4, Raymond et al., 2007), relative to the same 1957 relationship in e.g. temperate rivers like the Mississippi (Fig. 3, Raymond et al., 2007), 1958 owing largely to the 'flushing' out of terrestrially fixed carbon from the previous year's 1959 production by the massive runoff generated by ice and snow melt during the spring 1960 thaw. 1961

1962 Average river discharge almost doubled between the first and last decades of our 1963 simulation (Fig 4b), giving further credence to the relationship between DOC and water 1964 discharge. Comparing simulated annual mean discharge rate (m³ s⁻¹) with long-term 1965 observations(Ye et al., 2003) over years 1940-2000 (Fig. 4c) shows that though absolute discharge rates are underestimated by simulations, their interannual variation 1966 reasonably tracks the direction and magnitude of observations. Linear regressions 1967 1968 through each trend yield very similar yearly increases of 29 vs 38 m³ s⁻¹ yr⁻¹ for simulations and observations, respectively, while the mean annual water discharge 1969 1970 differential hovers at 30% (Fig. 4c), a fraction similar to that of the simulated and 1971 observed(Holmes et al., 2012; Raymond et al., 2007) bulk annual DOC discharge 1972 discrepancy (Fig. 4a). Figure 4b plots discharge over the first (1901-1910) and last

1973 (1998-2007) decades of simulated monthly DOC and river discharge with observed 1974 river discharge. The bulk of the DOC outflow occurs during the spring freshet or 1975 snow/ice-melting period of increased discharge, accounting for ~50-70% of the year's 1976 total Lena outflow to the Arctic (Lammers et al., 2001; Ye et al., 2009), with peak river 1977 discharge rates in June of ~80,000 m³ s⁻¹. DOC concentrations increase immensely at this 1978 time, as meltwater flushes out DOC accumulated from the previous year's litter and SOC 1979 generation(Kutscher et al., 2017; Raymond et al., 2007).

1980 1981







1988 1989

1990 **Figure 4: (a)** Yearly DOC discharged from the Lena river into the Laptev sea is shown here in tC yr⁻¹, over 1991 the entire simulation period (dashed red line), with the smoothed, 30-year running mean shown in 1992 asterisk. Observation based estimates for DOC discharge from Lara et al. (1998), Raymond et al. (2007), 1993 Dolman et al. (2012) and Holmes et al. (2012) are shown by the horizontal black, green triangle, blue 1994 diamond and yellow circle line colours and symbols, respectively, and are to be compared against the 1995 simulated mean over the last decade of simulation (1998-2007, horizontal red line), with error bars added 1996 in grey displaying the standard deviation of simulated values over that period. The range of estimates for 1997 total organic carbon discharged as shown in Lara et al. (1998) are shown by the blue bounded region, 1998 where TOC here refers to DOC+POC. **(b)** Average monthly DOC discharge (solid red, tC month⁻¹) and 1999 water discharge (dashed red, m³ s⁻¹) to the Laptev Sea over the period averaged for 1901-1910 (circles) 2000 and 1997-2007 (squares) are compared, with modern maxima closely tracking observed values. 2001 Observed water discharge over 1936-2000 from R-ArcticNet v.4 (Lammers et al., 2001) and published in 2002 Ye et al. (2009) are shown by the dashed black line. (c) (d) Observed (black) and simulated (red) 2003 seasonal DOC fluxes (solid lines) and CO_2 discharge concentrations (dashed lines). Observed DOC 2004 discharge as published in Raymond et al. (2007) from 2004-2005 observations at Zhigansk, a site ~500km 2005 upstream of the Lena delta. This is plotted against simulated discharge for: (i) the Lena delta at Kusur (red 2006 circles) and (ii) the approximate grid pixel corresponding to the Zhigansk site (red squares) averaged over 2007 1998-2008. Observed CO₂ discharge from a downstream site (Cauwet & Sidorov, 1996; dashed black), 2008 and simulated from the outflow site (dashed circle) and the basin average (dashed square) are shown on 2009 the log-scale right-hand axis for 1998-2008.

2010 2011

This is reproduced in our simulations given that DOC discharge peak occurs at the onset of the growing season, meaning that outflow DOC is generated from a temporally prior

stock of organic carbon. Simulation of the hydrological dynamic is presented in maps of

2015 river discharge through the basin in Fig. 3b, which show low-flows in April with 2016 substantial hydrographic flow from upstream mountainous headwaters and Lake Baikal 2017 inflow in the south, peak flow in June with substantial headwater input in the northern 2018 portion and a moderate flow through the mainstem with little headwater input in 2019 September.

- 2020 2021 In Fig. 4b we observe that (i) DOC discharge fluxes closely track hydrological fluxes 2022 (solid versus dashed lines); (ii) the simulated modern river discharge peak is very close 2023 to the historical observed discharge peak, however it slightly overestimates spring 2024 fluxes and substantially underestimates fluxes in the Autumn (dashed red versus black 2025 Thus the discrepancy between simulated bulk DOC discharge fluxes and lines). 2026 empirical estimates may largely be found in the simulated hydrology. (iii) The curve 2027 shape of discharge fluxes differs greatly between the first and last decades of simulation.
- 2028

2029 The difference between the first and last decades of the simulation in Fig. 4b is mostly 2030 attributable to a large increase in the DOC flux mobilised by spring freshet waters that 2031 culminate in the early summer outflow of DOC to the ocean, which generate the peaks in 2032 DOC flux. This suggests both greater peaks in simulated DOC flux and a shift to earlier 2033 peak timing, owing to an increase in river discharge indicative of an earlier spring and a 2034 progressively warmer environment. (iv) The maximum modeled modern monthly DOC flux rate of ~1.3 TgC month⁻¹ (Fig. 4b, solid red line) is comparable to the mean 2035 maximum DOC flux rate measured in a recent study, which showed that the aggregate 2036 2037 carbon discharge flux of the Lena River over its 2-month peak period in 2013 was 3.5 2038 TgC, giving a mean flux of 1.75 TgC month⁻¹ (Kutscher et al., 2017, Fig. 2(Kutscher et al., 2039 2017)).

2040

2041 The monthly pattern of DOC discharge approximates the seasonal pattern found in an 2042 empirical Pan-Arctic DOC discharge study by Raymond et al. (2007), which they take to 2043 represent total Lena river DOC discharge. The latter study, which looks at Pan-Arctic 2044 DOC discharge rates, finding them to be 15-20% higher than in prior estimates, gives 2045 discharge maxima in May, whereas our simulated maxima are in June. We compare the 2046 Raymond et al. (2007) modern DOC outflow (Fig. 4d, solid black line) from the Lena 2047 river at Zhigansk (Raymond et al., 2007) against simulated DOC outflow from the 2048 Zhigansk site as well as from the river outflow site (Kusur) 500km downstream (Fig. 4d, 2049 solid blue and solid red lines, respectively).

2050

2051 Simulated DOC flux is underestimated for both sites. Peakflow at Zhigansk seems to be 2052 attenuated over May and June in simulations, as opposed to May peakflow in 2053 observations, while peakflow at Kusur is definitively in June. This suggests that 2054 simulated outflow timing at Zhigansk may slightly delayed, causing a split in peak 2055 discharge when averaged in the model output. Thus the aggregation of model output to 2056 monthly averages from calculated daily and 30 minute timesteps can result in the artificial imposition of a normative temporal boundary (i.e. month) on a continuous 2057 2058 series. This may cause the less distinctive 'sharp' peak seen in Fig. 4d (solid blue), which 2059 is instead simulated at the downstream Kusur site, whose distance some 500km away 2060 from Zhigansk more clearly explains the delay difference in seasonality.

2062 We further evaluate our DOC discharge at the sub-basin scale, to see if the simulated 2063 aggregate flux exiting the Lena river mouth is composed of a coarsely realistic breakdown of source matter geography. In other words, whether the fractional 2064 2065 contribution of different DOC flows from rivers draining the simulated Lena basin correspond to those in the observed basin. This comparison is depicted in Fig. 5, where, 2066 again using data from Kutscher et al., (2017)(Kutscher et al., 2017), the observed and 2067 simulated percentage DOC contributions of the Aldan, Vilui, and Upper and Lower Lena 2068 2069 sub-basins to total flux rates are 19 (24)%, 20(10%), 33 (38%) and 30 (28)% in 2070 simulations (observations) for the four basins, respectively.

2071

2072 While deviations between simulation and observation can be expected given the 2073 difference in magnitude and timing of DOC discharge previously discussed, in addition 2074 to interannual variability, the nearly twofold value mismatch of the Vilui basin likely has 2075 its roots in the fact in its real-word damming, not represented here. On the other hand, 2076 we cannot explain the ~5% discrepancies in other sub-basin fluxes, particularly for the 2077 Aldan.

2078

2079 Of the shortcomings in our model with respect to observations, year-on-year variations 2080 over the decade 1998-2007 may be of significance, given that the Holmes et al. (2012) 2081 and Raymond et al. (2007) DOC discharge values are significantly higher than total organic carbon (DOC+POC) outflow estimates (~5.0-5.4 TgC yr⁻¹, Fig. 4a blue boundary) 2082 as presented in Lara et al. (1998)(Lara et al., 1998). To this we can add scale-related 2083 2084 inaccuracies in the routing protocol that can lead to small geographic inconsistencies in 2085 simulated versus observed phenomena, as well as the exclusion of explicit peatland formation and related dynamics in this model, which is the subject of further model 2086 developments within the ORCHIDEE-MICT envelope(Qiu et al., 2018) that have yet to be 2087 included in this iteration. With peatlands thought to cover $\sim 17\%$ of the Arctic land 2088 2089 surface (Tarnocai et al., 2009), and with substantially higher leaching concentrations, this may be a significant omission from our model's representation of high latitude DOC 2090 2091 dynamics.



2094 Figure 5: Map adapted from Fig. 2 in Kutscher et al. (2017) showing proportional sub-basin 2095 contributions of TOC outflow to total TOC discharge in 2012-2013 as observed in Kutscher et al., 2017 2096 (black arrows), and DOC export contributions as simulated over the period 1998-2007 by ORCHIDEE 2097 MICT-L (red boxes). Simulation pixels used in the calculation are correlates of the real-world sampling 2098 locations unless the site coordinates deviated from a mainstem hydrographic flowpath pixel -in which 2099 case a nearest 'next-best' pixel was used. Here the percentages are out of the summed mean bulk DOC 2100 flow of each tributary, not the mean DOC discharge from the river mouth, because doing so would negate 2101 the in-stream loss of DOC via degradation to CO_2 while in-stream.

2102

2103 **4.3 DOC Concentrations in lateral transport**

2104

2105 The range of simulated riverine DOC concentrations approximates those found in the literature for the Lena and other Eurasian high-latitude river basins (e.g. Arctic-GRO 1 2106 (https://www.arcticgreatrivers.org/data); and refs.(Denfeld et al., 2013; Mann et al., 2107 2015; Raymond et al., 2007; Semiletov et al., 2011)). In those for the Lena, observed 2108 2109 average DOC concentrations hover at $\sim 10 \text{mgC}$ L⁻¹. Likewise, simulated DOC 2110 concentrations mostly lie in the range of 0-10 mgC L⁻¹, with monthly grid cell maxima of 2111 1-200 mgC L⁻¹, and on flow-weighted average exhibit the observed seasonal range and 2112 amplitude. Figure 6 summarises some of this simulated output, showing maps of mean 2113 monthly DOC concentration for stream water, river water and groundwater (Fig. 6a,b,c, 2114 respectively) in April, June and September -the beginning, middle and end of the non-2115 frozen period in the basin, respectively, over 1998-2007.

2116

For both the stream and river water reservoirs, DOC concentrations appear to have spatio-temporal gradients correlated with the flux of water over the basin during the thaw period, with high concentrations of 10-15 mgC L⁻¹ as the snow and ice melts in April in the upstream portions of the basin, these high concentrations moving 2121 northward to the coldest downstream regions of the basin in June. Lower DOC 2122 concentrations of ~ 5 mgC L⁻¹ dominate the basin in September when the bulk of 2123 simulated lateral flux of DOC has dissipated into the Laptev Sea, bearing in mind that we 2124 underestimate the river discharge flux in the Autumn. In contrast, groundwater DOC concentrations are generally stable with time, 2125 although some pixels appear to experience some 'recharge' in their concentrations during the first two of the three 2126 displayed thaw months. Significantly, highest groundwater DOC concentrations of up to 2127 2128 20 mgC L⁻¹ are focussed on the highest elevation areas of the Lena basin on its Eastern boundary, which are characterized by a dominance of Podzols (SI, Fig. 2b). 2129



2130 2131

Figure 6: Maps of (a) DOC concentrations (mgC L⁻¹) in groundwater ('slow' water pool), (b) stream water pool, (c) river water pool in April, June and September (first to third rows, respectively), averaged over the period 1998-2007. The coastal boundary and a water body overlay have been applied to the graphic in black, and the same scale applies to all diagrams.

- 2137
- 2138
 2139 Table 2: Mean observed groundwater CO₂ and DOC concentrations for global permafrost regions subdivided by biogeographic province and compiled by Shvartsev (2008) from over 9000 observations.
- 2141

	Permafrost Groundwater Provinces				
	Swamp	Tundra	Taiga	Average	Average (-Swamp)
CO_2 (mgC L ⁻¹)	12.3	14	10.8	12.4	12.4
DOC (mgC L ⁻¹)	17.6	10.1	9.3	12.3	9.7

2142

2143

This region, the Verkhoyansk range, is clearly visible as the high groundwater DOC concentration(2-20mgC L⁻¹) arc (in red) in Fig. 6a, as well as other high elevation areas

2146 in the south-western portion of the basin (see Fig. 3a for the basin grid cell mean 2147 topographic slope), while the central basin of very low mean topographic slope exhibits much smaller groundwater DOC concentrations (0-2mgC L⁻¹). The range of simulated 2148 2149 groundwater DOC concentration comes close to those aggregated from the empirical literature by Shvartsev (2008)(Shvartsev, 2008) in his seminal review of global 2150 groundwater geochemistry, which finds from >9000 observations that groundwater in 2151 permafrost regions exhibit a mean concentration of $\sim 10 \text{ mgC } \text{L}^{-1}$ after peatlands and 2152 2153 swamps (not simulated here) are removed (Table 2).

2154

2155 The high groundwater reservoir DOC concentrations simulated in high altitude regions 2156 by ORCHIDEE MICT-L is related to the fact that, in the model, DOC is rapidly produced 2157 and infiltrated deep into soil above the permafrost table, to the point that it reaches the 2158 simulated groundwater pool relatively quickly, allowing it to enter this reservoir before 2159 being metabolised through the soil column -hence allowing for the relatively high 2160 groundwater concentrations found in mountain areas. Because of the prevailing low 2161 temperatures, this DOC is not quickly decomposed by microbes and instead feed the 2162 groundwater DOC pool.

2164 4.4 In-Stream CO2 Production, Transport, Evasion

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2163

2166 In our model, the fate of DOC once it enters the fluvial system is either to remain as DOC 2167 and be exported to the ocean, or to be degraded to dissolved CO_2 ($CO_2(aq.)$), which is 2168 itself either also transported to the marine system or outgassed from the fluvial surface to the atmosphere (see Part 1, Section 2.10). The latter two outcomes also apply to 2169 $CO_{2(aq.)}$ produced in the soil by organic matter degradation and subsequently 2170 2171 transported by runoff and drainage flows to the water column. As shown in Fig. 2, a large proportion of DOC (38%, 2.1 TgC yr⁻¹) that enters the water column is degraded to 2172 $CO_{2(aq.)}$ during transport, which adds to the 1.65 TgC yr⁻¹ of direct $CO_{2(aq.)}$ input from the 2173 terrestrial land surface. Of this bulk CO₂ exported into and generated within the water 2174 2175 column, 3.6 TgC yr⁻¹ evades from the water surface to the atmosphere before reaching the river delta. In what follows, we evaluate first inputs of $CO_{2(aq.)}$ to the water column in 2176 2177 terms of their seasonality, before evaluating CO₂ evasion rates and the relation of this to 2178 smaller and larger water bodies (river versus stream).

2179

2180 The seasonality of riverine dissolved CO₂ concentrations (CO_{2(aq.)}, mgC L⁻¹) is evaluated 2181 in Fig. 4d to compare $CO_{2(aq.)}$ concentrations with DOC bulk flows, since $CO_{2(aq.)}$ 2182 concentrations follow an inverse seasonal pattern to those of DOC, being highest during 2183 the winter baseflow period and lowest in summer due to dilution during its high discharge phase (Semiletov et al., 2011). The simulated flow of $CO_{2(aq.)}$ at Kusur (Fig. 4d, 2184 dashed red) reproduces the seasonality of observations from Cauwet and Sidorov 2185 2186 (1996)(Cauwet and Sidorov, 1996), who sampled the Lower Lena (ship-board, several 2187 sites in river delta region (see Fig. 3a)), but somewhat underestimates concentrations, this perhaps due to the absence of peat representation in our model, in combination 2188 with underestimated hydrological discharge. Also included in Fig. 4d is the basin 2189 average for all non-zero values, whose shape also tracks that of observations. Thus the 2190 2191 model represents on the one hand increasing hydrological flow mobilising increasing 2192 quantities and concentrations of DOC while on the other hand those same increasing 2193 hydrological flows increasing the flux, but decreasing the concentration, of $CO_{2(aq.)}$ throughput.

2195

2196 To our knowledge, no direct measurements for CO₂ evasion from the surface of the Lena 2197 river are available in the literature, presumably owing to the notorious difficulty in successfully obtaining such data. We refer to Denfeld et al. (2013) (Denfeld et al., 2013) 2198 for evaluating our evasion flux results, since their basin of study, the Kolyma River, is the 2199 most geographically proximate existing dataset to the Lena, despite biogeographical 2200 2201 differences between the two basins -namely that the Kolyma is almost entirely 2202 underlain by continuous permafrost. The Kolyma River CO₂ evasion study measured evasion at 29 different sites along the river basin (~158-163°E; 68-69.5°N), with these 2203 sites distinguished from one another as 'main stem', 'inflowing river' or 'stream' on the 2204 basis of reach length. The study showed that during the summer low-flow period 2205 2206 (August), areal river mainstem CO_2 evasion fluxes were ~0.35 gC m⁻² d⁻¹, whereas for streams of stream order 1-3 (widths 1-19m), evasion fluxes were up to \sim 7 gC m⁻² d⁻¹, 2207 and for non-mainstem rivers (widths 20-400m) mean net fluxes were roughly zero 2208 2209 (Table 3 of Denfeld et al., 2013). Thus, while small streams have been observed to 2210 contribute to roughly 2% of the Kolyma basin surface area, their measured percentage 2211 contribution to total basin-wide CO_2 evasion ~40%, whereas for the main stem the surface area and evasion fractions were \sim 80% and 60%, respectively. 2212 2213



2215 2216







2224StreamRiver2225Figure 7: CO2 evasion from stream, river, flood reservoirs. (a) Timeseries of total yearly CO2 evasion (tC2226yr-1) summed over the three hydrological pools (red line) with the 30-year running mean of the same2227variable overlain in thick red (askterisk). Error bars give the standard deviation of each decade (e.g.22281901-1910) for each data point in that decade. (b) Log-scale Hovmöller diagram plotting the2229longitudinally-averaged difference (increase) in total CO2 evaded from the Lena River basin between the2230average of the periods 1998-2007 and 1901-1910, over each montly timestep, in (log) gC m-2 d-1. Thus as2231the river drains northward the month-on-month difference in water-body CO2 flux, between the beginning2232and end of the 20th Century is shown; (c) The fraction of total CO2 evasion emitted from each of the

2233 hydrological pools for the average of each month over the period 1998-2007 is shown for river, flood and 2234 stream pools (blue, green and red lines, respectively), with error bars depicting the standard deviation of 2235 data values for each month displayed. (d) Hovmöller diagram showing the monthly evolution of the 2236 stream pool fraction (range 0-1) per month and per latitudinal band, averaged over the period 1998-2007. 2237 (e) Boxplot for approximate (see text) simulated CO_2 evasion (gC m⁻² d⁻¹) from the streamwater reservoir and river water reservoir averaged over 1998-2007. Coloured boxes denote the first and third quartiles 2238 2239 of the data range, internal black bars the median. Whiskers give the mean (solid red bar) and standard 2240 deviation (dashed red bar) of the respective data. Empirical data on these quantities using the same scale 2241 for rivers, streams and mainstem of the Kolyma river from Denfeld et al., 2013 are shown inset.

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2243 Results such as these, in addition to permafrost soil incubation experiments (e.g. Drake 2244 et al., 2015; Vonk et al., 2013, 2015a) suggest that small streams, which represent the 2245 initial (headwater) drainage sites of these basins, rapidly process hydrologically leached 2246 carbon to the atmosphere, and that this high-reactivity carbon is a mix of recently 2247 thawed ancient permafrost material, as well as decomposing matter from the previous 2248 growth year. This is given as evidence that the total carbon processing of high-latitude 2249 rivers is significantly underestimated if only mainstem carbon concentrations are used 2250 in the accounting framework, since a large amount of carbon is metabolised to the 2251 atmosphere before reaching the site of measurement.

2252

2253 Figure 7 summarises some of the results from the simulated water body CO₂ outgassing 2254 flux. Year-on-year variation in basin-wide evasion from river, stream and floodplain 2255 sources combined exhibits a marked increasing trend over the course of the 20th 2256 Century, increasing from a minimum of \sim 1.6 TgCO₂-C yr⁻¹ in 1901 to a maximum of \sim 4.4 TgCO₂-C yr-¹ in 2007, an increase of almost 300% (Fig. 7a). Smoothing the data over a 30 2257 2258 year running average yields a dampened net increase in basin-wide evasion of $\sim 30\%$ 2259 over the historical period on this averaging scale (Fig. 7a). Thus yearly evasion flux is some 105% of yearly DOC discharge to the coast from the Lena basin and 51% of C 2260 exported from soils to headwaters as CO₂ or DOC. If we compare the mean yearly rate of 2261 increase in absolute (TgC yr⁻¹) CO₂ evasion and DOC discharge based on linear 2262 regression over the whole simulation period, it appears that the rate of increase of both 2263 2264 fluxes has been strikingly similar over the simulated 20th Century, with mean increases 2265 of 11.1 GgC yr⁻¹ and 11.5 GgC yr⁻¹ per year for evasion and export, respectively. 2266

The heterogeneity of CO₂ evasion from different sources in the model is most evident in 2267 2268 terms of their geographic distribution and relative intensity, as shown in the evasion flux rate maps (tons grid cell⁻¹ d⁻¹) over floodplain, stream and river areas in April, June 2269 2270 and September (Fig. 8a-c). Whereas floodplains (Fig. 8a) tend to have some of the highest evasion rates in the basin, their limited geographic extent means that their 2271 contribution to basinwide evasion is limited for the whole Lena. Stream evasion 2272 2273 meanwhile (Fig.8b), tends to be broadly distributed over the whole basin, representing 2274 the fact that small streams and their evasion are the main hydrologic connectors outside 2275 of the main river and tributary grid cells, whereas river evasion (Fig. 8c) is clearly linked 2276 to the hydrographic representation of the Lena main stem itself, with higher total 2277 quantities in some individual grid cells than for the stream reservoir, yet distributed 2278 amongst a substantially smaller number of grid cells. Whereas the stream reservoir has 2279 greatest absolute evasion flux rates earlier in the year (April-May), maximum evasion 2280 rates occur later in the year and further downstream for the river reservoir, reflecting the fact that headwaters are first-order integrators of soil-water carbon connectivity, 2281

whereas the river mainstem and tributaries are of a secondary order. Note that the
September values must be interpreted with caution, given the underestimation in our
simulations of the river discharge during the Autumn period.

2286 The spatio-temporal pattern of increasing evasion over the simulation period is shown 2287 in Fig. 7b as a Hovmöller difference plot, between the last and first decade, of log-scale average monthly evasion rates per latitudinal band. This shows that the vast majority of 2288 2289 outgassing increase occurs between March and June, corresponding to the progressive 2290 onset of the thaw period moving northwards over this timespan. Although relatively 2291 small, outgassing increases are apparent for most of the year, particularly at lower 2292 latitudes. This would suggest that the change is driven most acutely by relatively greater 2293 temperature increases at higher latitudes ('Arctic amplification' of climate warming, e.g. 2294 ref. (Bekryaev et al., 2010)) while less acute but more temporally homogenous evasion 2295 is driven by seasonal warming at lower latitudes.



Figure 8: Maps of CO₂ evasion from the surface of the three surface hydrological pools, (a) the floodplains, (b) streams and (c) rivers in April, June and September. All maps use the same (log) scale in units of (tons pixel⁻¹ d⁻¹).

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As previously discussed, the proportion of total basin-wide CO₂ evasion attributable to headwater streams and rivers is substantially greater than their proportion of total basin surface area. Figure 7c represents the mean monthly fractional contribution of each surface hydrological water pool to the total evasion flux (unitless) over the period 1998-2007. This shows that over the entirety of the thaw period, the stream water pool takes over from the river water pool as the dominant evasion source, particularly at the height of the freshet period, where its fractional contribution rises to >75%.

2309

2310 The stream fraction of August outgassing is roughly 57% of the annual total, which is 2311 higher than the \sim 40% found for streams in the Denfeld et al. (2013) study. However,

2312 the values between the two studies are not directly comparable, different basins 2313 notwithstanding. This is because in ORCHIDEE MICT-L, the 'stream' water reservoir is water routed to the river network for all hydrologic flows calculated to not cross a 0.5 2314 2315 degree grid cell boundary (the resolution of the routing module, explained in Part 1, Section 2.6), which may not be commensurate with long, <20m wideth streams in the 2316 real-world, that were used in the Denfeld et al. (2013) study. In addition, this 'stream' 2317 2318 water reservoir in the model does not include any values for width or area in the model, 2319 so we cannot directly compare our stream reservoir to the <20m width criterion 2320 employed by Denfeld et al. (2013) in their definition of an observed stream. Thus our 2321 'stream' water reservoir encompasses substantially greater surface area and hydrologic 2322 throughput than that in the Denfeld et al. study. We also add the qualification that 2323 because of its coarse-scale routing scheme, ORCHIDEE isn't able to simulate stream 2324 orders lower than 4 or 5 thus missing a potentially substantial vector for the water-2325 surface evasion of CO₂.

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2327 Significantly, also shown in Fig. 7c, is the gradual onset of evasion from the floodplain 2328 reservoir in April, as the meltwater driven surge in river outflow leads to soil inundation and the gradual increase of proportional evasion from these flooded areas over the 2329 2330 course of the summer, with peaks in June-August as water temperatures over these 2331 flooded areas likewise peak. We stress the importance of these simulation results as 2332 they concur with large numbers of observational studies (cited above) which show 2333 smaller headwater streams' disproportionately large contribution to total outgassing 2334 (Fig. 7c), this being due to their comparatively high outgassing rates (Fig. 7e). In 2335 addition, the contribution of floodplains to evasion, an otherwise rarely studied feature 2336 of high latitude biomes, is shown here to be significant.

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2338 A Hovmöller plot (Fig. 7d) of the monthly longitude-averaged stream reservoir fraction 2339 of total evasion, gives some indication as to the spatio-temporal pattern under which 2340 evasion from this hydrological pool evolves over the course of the year. From this we 2341 can infer that: (i) The dominance of stream evasion begins in the most southern 2342 upstream headwaters in the lower latitude thaw period (April-May), and trickles 2343 northward over the course of the next two months, following the riverflow. (ii) The 2344 intensity of stream water evasion is greatest in the lower latitude regions of the basin, 2345 which we speculate is the result of higher temperatures causing a greater proliferation 2346 of small thaw water-driven flows and evasion. (iii) Areas where the stream fraction is 2347 not dominant or only briefly dominant during the summer (58-60°N, 63-64°N, 70-71°N) 2348 are all areas where floodplain CO₂ evasion plays a prominent role at that latitudinal 2349 band.

2350

Although not directly comparable due to the previously mentioned issues arising from 2351 our model-derived representation of 'stream' water versus those in the real world, we 2352 evaluate the approximate rate of areal CO₂ efflux from the water surface against 2353 obervations from Denfeld et al. (2013) in Fig. 7e. The 'approximate' caveat refers to the 2354 2355 fact that model output doesn't define a precise surface area for the stream water reservoir, which is instead bundled into a single value representing the riverine fraction 2356 of a grid cell's total surface area. Thus, in order to break down the areal outgassing for 2357 the stream versus river water reservoirs, we derive an approximate value for the 2358 2359 fractional area taken up by rivers and streams in a simple manner: we weight the total

2360 non-floodplain inundated area of each grid cell by the relative total water mass of each 2361 of the two hydrological pools, then divide the total daily CO₂ flux simulated by the model 2362 by this value. The per-pool areal estimate is an approximation since it assumes that 2363 rivers and streams have the same surface area: volume relationship. This is clearly not 2364 the case, since streams are generally shallow, tending to have greater surface area per 2365 increment increase in depth than rivers. Thus, our areal approximations are likely 2366 underestimated (overestimated) for streams (rivers), respectively.

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2368 The comparison of simulated results with those from Denfeld et al. (2013) are displayed 2369 in Fig.7e, which shows boxplots for simulated CO₂ evasion (gC m⁻² d⁻¹) from the stream 2370 water reservoir and river water reservoir averaged over 1998-2007. The empirical 2371 (Kolyma river) analog of this data, from which this plot is inspired (Fig. 4d in Denfeld et 2372 al., 2013), is shown inset in the figure, with whiskers in their case denoting measured 2373 maxima and minima. Median efflux was 1.1 (6) versus 0.4 (0.8) for stream and river, 2374 respectively, in simulations (observations). Like the observations, simulated stream 2375 efflux had a substantially greater interquartile range, mean (24.6) and standard 2376 deviation (73) than total river efflux (1.3 and 7.2, respectively). Note that from \sim 700 2377 non-zero simulation datapoints, 7 were omitted as 'outliers' from the stream reservoir 2378 efflux statistics described below, because very low stream:river reservoir values skewed 2379 the estimation of total approximate stream surface area values very low, leading to extreme efflux rate values of 1-3000gC m⁻² d⁻¹ and are thus considered numerical 2380 artefacts of the areal approximation approach used here. 2381

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2384 4.5 Emergent Phenomena2385

2386 4.5.1 DOC and mean annual air temperature

A key emergent property of DOC concentrations in soils and inland waters should be their positive partial determination by the temperature of the environment under which their rates of production occur, as has been shown in the literature on permafrost regions, most notably in Frey & Smith (2005) (Frey and Smith, 2005)and Frey & McClelland (2009)(Frey and McClelland, 2009).

2392

Increasing temperatures should lead to greater primary production, thaw, decomposition and microbial mobilisation rates, and hence DOC production rates, leading to (dilution effects notwithstanding) higher concentrations of DOC in thaw and so stream waters. Looking at this emergent property allows us to evaluate the soil-level production of both DOC and thaw water at the appropriate biogeographic and temporal scale in our model. This provides a further constraint on model effectiveness at simulating existing phenomena at greater process-resolution.

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Figure 9 compares three datasets (simulated and two observational) of riverine DOC concentration (in mgC L⁻¹) plotted against mean annual air temperature (MAAT). The simulated grid-scale DOC versus MAAT averaged over July and August (for comparability of DOC with observational sampling period) of 1998-2007 is shown in red, and observed data compiled by Laudon et al. (2012)(Laudon et al., 2012) and Frey and Smith (2005) (Frey and Smith, 2005)for sites in temperate/cold regions globally and peatland-dominated Western Siberia, respectively. The Laudon et al. (2012) data 2408 are taken from 49 observations including MAAT over the period 1997-2011 from 2409 catchments north of 43°N, and aggregated to 10 regional biogeographies, along with datapoints from their own sampling; those in the Frey and Smith study are from 55-2410 68°N and ~65-85°E (for site locations, see Laudon et al. (2012), Table 1 and 2; Frey and 2411 2412 Smith (2005), Fig. 1).

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 $2414 \\ 2415$

Figure 9: Mean summertime DOC concentrations (mgC L⁻¹) plotted against mean annual air temperature (MAAT, °Celsius) for simulated pixels over the Lena river basin (red circles), and observations for largely 2416 2417 peat-influenced areas in western Siberia as reported in Frey et al., 2009 (black crosses), and observations 2418 from a global non-peat temperate and high latitude meta-analysis (black circles) reported in Laudon et al. 2419 The blue region represents permafrost-affected areas, while the orange region represents (2012).2420 permafrost-free areas. The green region bounds the area of overlap in MAAT between the observed and 2421 simulated datasets. The dark red shaded area corresponds to the MAAT 'zone of optimality' for DOC 2422 production and transport proposed by Laudon et al. (2012). Regression curves of DOC against MAAT for 2423 each of the separate datasets are shown for each individual dataset.

- 2424
- 2425

2426 can be interpreted in a number of ways. First, this MAAT continuum spans the range of areas that are both highly and moderately permafrost affected and permafrost free (Fig. 2427 9, blue and green versus orange shading, respectively), potentially allowing us a glimpse 2428 of the behaviour of DOC concentration as the environment transitions from the former 2429 2430 to the latter. Simulated Lena DOC concentrations, all in pixels with MAAT $< -2^{\circ}C$ and 2431 hence all bearing continuous or discontinuous permafrost ('permafrost-affected' in the 2432 figure), only exhibit a weakly positive response to MAAT on the scale used (y=6.05e^{0.03MAAT}), although the consistent increase in DOC minima with MAAT is clearly 2433 2434 visible.

2436 Second, the Laudon et al. (2012) data exhibit an increasing then decreasing trend over the range of MAAT (-2°C to 10°C) in their dataset, which they propose reflects an 2437 'optimal' MAAT range for the production and transport of DOC, occupying the 0°C to 3°C 2438 2439 range (Fig. 9, red shading). Below this optimum range, DOC concentrations may be 2440 limited by transport due to freezing, and above this, smaller soil carbon pools and 2441 temperature-driven decomposition would suppress the amount of DOC within rivers. Third, the lower end of the Laudon et al. (2012) MAAT values correspond to a DOC 2442 2443 concentration roughly in line with DOC concentrations simulated by our model at those 2444 temperatures.

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2446 Fourth, DOC concentrations in the Frey and Smith (2005) data exhibit a broad scattering 2447 in permafrost-affected sites, with concentrations overlapping those of our simulations 2448 (Fig. 9, green shading), before rapidly increasing to very high concentrations relative to 2449 the Laudon et al. (2012) data, as sites transition to permafrost-free (red shading, 2450 y=3.6_{MAAT}+29.4). Their data highlight the difference in DOC concentration regime 2451 between areas of high (Frey and Smith, 2005) and low (Laudon et al., 2012) peatland 2452 coverage and the different response of these to temperature changes. Fifth, because our 2453 simulation results largely correspond with the observed data where the MAAT ranges 2454 overlap (green shading), and because our model does not include peatland specific 2455 processes, we should expect our model to broadly follow the polynomial regression 2456 plotted for the Laudon et al. (2012) data as temperature inputs to the model increase. 2457 Figure 9 implies that this increase should be on the order of a doubling of DOC concentration as a system evolves from a MAAT of -2°C to 2°C. In addition, as the Arctic 2458 2459 environment warms we should expect the response of DOC concentrations as a whole to reflect a mix of both observationally-derived curves, as a function of peatland coverage. 2460

2461

2462 **4.5.2 DOC and topographic slope**

Subsurface water infiltration fluxes and transformations of dissolved matter represent
an important, if poorly understood and observationally under-represented
biogeochemical pathway of DOC export to river main stems, involving the complex
interplay of slope, parent material, temperature, permafrost material age and soil
physical-chemical processes, such as adsorption and priming.

2468

2469 In the Lena basin, as in other permafrost catchments, topographic slope has been shown 2470 to be a powerful predictor for water infiltration depth, and concentration and age of dissolved organic carbon (Jasechko et al., 2016; Kutscher et al., 2017; McGuire et al., 2471 2472 2005), with deeper flow paths and older, lower DOC-concentrated waters found as the 2473 topographic slope increases. This relationship was shown in Fig. 4 of Kutscher et al. 2474 (2017) who surveyed DOC concentrations across a broad range of slope angle values in 2475 the Lena basin and found a distinct negative relationship between the two. We compare 2476 the Kutscher et al. (2017) values with our model output, by plotting stream and river DOC concentrations averaged per gridpoint over 1998-2007 against the topographic 2477 2478 map used in the routing scheme, versus their empirically derived data (Fig. 10). As 2479 shown therein, a similar negative relationship between the two variables is clearly 2480 apparent.

A similar relationship was found in temperate rivers by Lauerwald et al. 2482 2483 (2012)(Lauerwald et al., 2012), and a recent paper by Connolly et al. (2018)(Connolly et al., 2018), based on their observational data and a synthesis of Pan-Arctic empirical 2484 2485 literature. They showed that for Arctic catchments in general, the relationship of DOC concentration in fluvial waters scaled in a consistent and strongly negative manner 2486 2487 against topographic slope. This was found for all Arctic catchments, globally, prompting Connolly et al. to argue that topographic slope may be a type of 'master variable' for 2488 2489 estimating fluvial DOC concentrations in the absence of viable in situ measurement 2490 programs.

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2492 The reasoning for the negative slope-DOC concentration relationship is that as elevation 2493 increases, temperature and primary production decreases. This leads to a thinner organic soil layer, meaning that mineral soil plays a stronger role in shallow hydrologic 2494 flowpaths, allowing for deeper infiltration and shorter residence time in a given soil 2495 layer. In addition, steeper terrain leads to a lower soil water residence time and lower 2496 2497 moisture than in flat areas.

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2495 2500 Figure 10: Variation of DOC concentrations versus topographic slope in Kutscher et al., 2017 (black 2501 triangles) and (red dots) as simulated and averaged for the summer months (JJA) over 1998-2007; 2502 observed values were measured during June and July 2012-2013. 2503

As a result, a given patch of soil matter will be exposed to leaching for less (residence) 2504 2505 time, while the organic matter that is leached is thought to be adsorbed more readily to

2506 mineral soil particles, leading to either their re-stabilisation in the soil column or shallow retention and subsequent heterotrophic respiration in situ, cumulatively
resulting in lower DOC concentrations in the hydrologic export (Kaiser and Kalbitz,
2012; Klaminder et al., 2011). This line of reasoning was recently shown to apply also to
deep organic permafrost soils (Zhang et al., 2017), although the degree to which this is
the case in comparison to mineral soils is as yet unknown.

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2513 In addition, and as described in Part 1 (Section 2.5) of this study, MICT-L contains a 2514 provision for increased soil column infiltration and lower decomposition rates in areas 2515 underlain by Podzols and Arenosols. The map from the Harmonized World Soil Database 2516 (Nachtergaele, 2010), which is used as the input to this criterion, shows areas underlain 2517 by these soils in the Lena basin to also be co-incident with areas of high topographic 2518 slope (Fig. 3a, SI, Fig S2b). Their Podzol effect is to increase the rate of decomposition 2519 and infiltration of DOC, relative to all other soil types, thus also increasing the rate of 2520 DOC flux into groundwater (see Part 1 of this study, Section 2.5).

2521

2522 Our modelling framework explicitly resolves the processes involved in these 2523 documented dynamics -soil thermodynamics, solid vertical flow (turbation), infiltration 2524 as a function of soil textures and types, adsorption as a function of soil parameters (see 2525 Part 1 of this study, Section 2.11), DOC respiration as a function of soil temperature and 2526 hence depth (Part 1, Section 2.12), lagging of DOC vertical flow behind hydrological 2527 drainage flow (summary Figure in Part 1, Fig. 1). We thus have some confidence in reporting that the simulated negative relationship of DOC concentration with 2528 topographic slope may indeed emerge from the model. If generalisable to permafrost 2529 2530 basins as a whole, this relationship may be an emergent process-based signal with which to evaluate the biogeographic performance of permafrost-region DOC modelling 2531 2532 initiatives in the future, as was recently suggested by Connolly et al. (2018).

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2534 4.6 DOC Reactivity Pools

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2536 Here we examine the reactivity of DOC leached from the soil and litter to different 2537 hydrological export pools. Surface runoff DOC export is dominated by refractory carbon 2538 (Fig. 11a), with export rates largely following discharge rates as they drain the basin 2539 with an increasing delay when latitude increases. As the thaw period gets underway 2540 (April), the fraction of labile carbon in surface runoff DOC increases substantially from 2541 south to north, reflecting the hydrologic uptake of the previous year's undecomposed 2542 high-reactivity organic matter, as well as the addition of new inputs from the onset of 2543 the current year's growing season.

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Refractory carbon-dominated drainage DOC export rates (Fig. 11a) are centered on the 2545 2546 months June through October, with refractory export rate intensities per latitudinal band during this period largely consistent with the fraction of inundated area (Fig. S1) 2547 experienced by these bands during the course of the year, these centering on the areas 2548 bounded by 52-65N and 70-72N. The high refractory proportion of drainage flow is 2549 2550 expected, as drainage leaches older, relict soil and litter matter. Because of its longer water residence time, labile DOC carried vertically downward through the soil 2551 infiltration flux will tend to be metabolised in situ before it can be exported to the 2552 hydrological network, thus further increasing the proportion of refractory carbon. 2553

2555 By contrast floodplain DOC export (Fig. 11a) is dominated by the labile carbon pool but 2556 is composed of more nuanced mix of both reactivity classes, reflecting its relatively 2557 greater dependence on the current year's 'fresh' biomass as source material (62% labile DOC versus 38% refractory DOC, year-averaged) for carbon leaching. This can be 2558 expected, since DOC and CO₂ production that would normally occur first in soil free DOC 2559 concentrations before being gradually exported into surface runoff and drainage inputs 2560 to the hydrological network are instead directly supplied to the water column as they 2561 2562 are generated, meaning that there is less of a time lag for the rapid decomposition of the 2563 labile portion than through the other two hydrological export pathways.

2564

2565 For both the river and stream pool, mean DOC concentrations are also dominated by refractory carbon sources. Interestingly, very high concentrations in the stream 2566 2567 reservoir are maintained year-round in the northernmost reaches of the Lena basin, the 2568 causes of which are not directly deducible from our data. Likely, very high stream 2569 concentrations are obtained from the confluence of relatively low volumetric water 2570 fluxes in these regions that owe themselves to the freezing temperatures, with these low 2571 temperatures likewise retarding direct heterotrophic respiration of contemporary plant 2572 litter and favouring instead their environmental mobilisation by hydrological leaching, 2573 when liquid water is available for matter dissolution.

2574

2575 When averaged over the year, the dominance of the refractory DOC carbon pool over its 2576 labile counterpart is also evident for all DOC inputs to the hydrological routing except 2577 for floodplain inputs, as well as within the 'flowing' stream and river pools themselves. 2578 This is shown in Table 2, where the year-averaged percentage of each carbon component of the total input or reservoir is subdivided between the 'North' and 'South' 2579 2580 of the basin, these splits being arbitrarily imposed as the latitudinal mid-point of the 2581 basin itself (63N). This reinforces the generalised finding from our simulations that 2582 refractory carbon dominates runoff and drainage inflows to rivers (89% refractory, on 2583 average), while floodplains export mostly labile DOC to the basin (64%), these values 2584 being effectively independent of this latitudinal sub-division (Table 3). This may be 2585 expected, given that almost the entire basin is underlain by continuous permafrost, 2586 whereas in areas with discontinuous or sporadic permafrost, the combination of higher 2587 primary productivity and so litter input, with seasonal thaw of labile permafrost soil matter may be expected to substantially increase the labile portion of the overall sum of 2588 2589 these quantities. Nonetheless, there appears to be a small consistent difference between 2590 North and South in the stream and river water DOC makeup, in that the labile portion 2591 decreases between North and South ; this may be an attenuated reflection of the portion 2592 of labile DOC that is decomposed to CO₂ within the water column during its transport 2593 northward, affecting the bulk average proportions contained within the water in each 2594 'hemisphere'.

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Table 3: Summary of the average carbon reactivity types comprising the hydrological inputs to rivers and streams (runoff, drainage and floodplain inputs), and within the rivers and streams themselves, subdivided between the 'North' and 'South' of the Lena basin (greater or less than 63N, respectively).

Hydrological Source	Model Carbon Reactivity Pool	North	South
Runoff Input	Refractory	81%	83%
	Labile	19%	17%
Drainage Input	Refractory	96%	94%
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	Labile	4%	6%
Flood Input	Refractory	36%	37%
	Labile	64%	63%
Streams	Refractory	91%	89%
	Labile	9%	11%
Rivers	Refractory	92%	90%
	Labile	8%	10%

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2602 4.7 NPP and Soil Respiration

2604 Rates of yearly net primary production (NPP) for Russian and Siberian forests have been 2605 inferred in situ from eddy flux and inventory techniques to range from 123-250 gC m⁻² yr⁻¹ (Beer et al., 2006; Lloyd et al., 2002; Roser et al., 2002; Schulze et al., 1999; 2606 2607 Shvidenko and Nilsson, 2003). We likewise simulate a broad range of NPP carbon uptake rates, of 61-469 gC m⁻² yr⁻¹ averaged per grid cell over the Lena basin, with a 2608 2609 mean value of 210 gC m⁻² yr⁻¹. NPP is heterogeneously distributed over space and 2610 between PFTs (SI, Fig. S4c), with forests averaging 90 gC m⁻² yr⁻¹ and grasslands 2611 averaging 104 gC m⁻² yr⁻¹ over the basin as a whole. Low values tended to originate in 2612 basin grid cells with elevated topography or high mean slope, while the maximum value 2613 was standalone, exceeding the next greatest by ~ 100 gC m⁻² yr⁻¹, and is most likely caused by the edge effects of upscaling a coastal gridcell's small fraction of terrestrial 2614 2615 area where high productivity occurs in a small plot, to the grid cell as a whole. By 2616 evaluating NPP we are also evaluating at a secondary level litter production, which is at 2617 a third level a major component of DOC production.

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Taken as a whole, gross primary production (GPP) was performed under simulations by four PFT groups, with the largest basin-wide bulk contributions coming from boreal needleleaf summer-green trees and C3 grasses (SI, Fig. S4a), the highest GPP uptake rates (3 TgC pixel⁻¹ yr⁻¹) generated by boreal needleleaf evergreen trees, and the remainder of GPP contributed by Boreal broad-leaved summer-green trees (SI, Fig. S4a).

2625 Soil respiration rates, of combined soil heterotroph and plant root respiration in our 2626 Control simulation, averaged 208 gC m⁻² yr⁻¹ (0.57 gC m⁻² d⁻¹) over the Lena basin over the period 1990-2000, which is somewhat higher than those found by Elberling 2627 (2007) (Elberling, 2007) in forest soils over Svalbard, of 103-176 gC m⁻² yr⁻¹ (0.28-0.48) 2628 gC m⁻² d⁻¹). Sawamoto, et al. (2000)(Sawamoto et al., 2000) measured in situ 2629 summertime soil respiration over the central Lena basin and found rates of 1.6-34 gC m⁻ 2630 ² d⁻¹, while Sommerkorn (2008) (Sommerkorn, 2008) observed rates of 0.1-3.9 gC m⁻² d⁻¹ 2631 at higher latitudes, these appearing to vary with vegetation and fire history, water table 2632 2633 depth and temperature. Mean heterotrophic respiration rates of 1.6 gC m⁻² d⁻¹ are simulated here during July and August, in the range 0.0.5-2.2 gC m⁻² d⁻¹ for each of the 2634 2635 above PFT groups. The spatial distribution of, and difference in respiration rates between PFT groups largely mirrors those for NPP (SI Fig. S4c), with maximum rates of 2636 2637 1.4 gC m² d⁻¹ over forested sites, versus a maximum of 2.2 gC m² d⁻¹ over 2638 grassland/tundra sites (SI, Fig. S4b).

2640 Aggregated over the basin, results show that increases over the course of the 20th 2641 Century were simulated for NPP, GPP, River Discharge, DOC, CO_{2(aq.)}, autotrophic and 2642 heterotrophic respiration and CO₂ evasion, with percentage changes in the last versus 2643 first decade of +25%, +27%, 38%, +73%, +60%, +30%, +33% and +63%, respectively. 2644 It thus appears that rising temperatures and CO_2 concentrations (Fig. 11b). 2645 disproportionately favoured the metabolisation of carbon within the soil and its transport and mineralisation within the water column, fed by higher rates of primary 2646 production and litter formation as well as an accelerated hydrological cycle (see Fig. 4b 2647 2648 and 13a).

2649

In Figure 11c we run linear regressions through scatter-plots of yearly DOC and CO2 2650 export and CO₂ evasion fluxes, versus rates of NPP (TgC yr⁻¹). These show that whereas 2651 bulk DOC flux appears most sensitive (steeper slope) to increases in NPP, it is also least 2652 coupled to it (more scattered, $R^2=0.42$). CO_2 evasion is least sensitive yet most tightly 2653 2654 coupled to NPP ($R^2=0.52$), while CO₂ export is intermediate between the two for both $(R^2=0.43)$ –this is expected given that CO_2 export is also the intermediate state between 2655 2656 DOC export and CO₂ evasion. The greater scattering of DOC:NPP compared to evasion:NPP is understandable, given that the initial of leaching is a covariate of both 2657 2658 primary production and runoff, whereas the actual evasion flux is largely dependent on 2659 organic inputs (production) and temperature. 2660

- 2661 **(a)**
- 2662





Figure 11: (a) The mean monthly fraction of each hydrological pool's (runoff, drainage, floodplains)
carbon reactivity constituents (labile and refractory) averaged across the simulation area over 19982008. (b) Time series showing the decadal-mean fractional change in carbon fluxes normalised to a 19011910 average baseline (=1 on the y-axis) for NPP, GPP, autotrophic and heterotrophic respiration, DOC
inputs to the water column, CO₂ inputs to the water column, CO₂ evasion from the water surface (FCO2),
and discharge. (c) Summed yearly lateral flux versus NPP values for DOC discharge, CO₂ discharge and CO₂
evasion (FCO2) over the entire simulation period, with linear regression lines shown.

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2675 4.8 Land-Ocean Aquatic Continuum (LOAC)

2677 **4.8.1 LOAC Fluxes**

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2679 Overall, our simulation results show that dissolved carbon entering the Lena river 2680 system is significantly transformed during its transport to the ocean. Taking the average 2681 throughput of carbon into the system over the last ten years of our simulation, our 2682 results show that whereas 7 TgC yr⁻¹ (after reinfiltration following flooding of 0.45 TgC yr⁻¹; see Fig. 2 'Return' flux) of carbon enters the Lena from terrestrial sources as 2683 2684 dissolved carbon and CO₂, only 3.4 TgC yr⁻¹ is discharged into the Laptev Sea and 2685 beyond from the river mouth. The remainder (3.6TgC yr⁻¹) is metabolised in the water 2686 column during transport and evaded to the atmosphere (bottom panel, Fig. 12a). The terrestrial DOC inflow estimate is comparable to that made by Kicklighter et al. 2687 2688 (2013) (Kicklighter et al., 2013), who estimated in a modelling study terrestrial dissolved 2689 carbon loading of the Lena is \sim 7.7 TgC yr⁻¹.

2690

2691 The relative quantities of carbon inflow, evasion and outflow in the river system that are 2692 presented for the Lena in Fig. 12a can be compared to the same relative quantities -that 2693 is, the ratios of evasion:in and out:in, where 'in' refers to dissolved terrestrial input, from the global study by Cole et al. (2007) (Cole et al., 2007), who estimated these fluxes 2694 2695 from empirical or empirically-derived data at the global scale. This is shown in the top panel of Fig. 12a, where we simplify the Cole et al. (2007) data to exclude global 2696 2697 groundwater CO₂ flux from the coast to the ocean (because our basin mask has a single coastal pixel whereas coastal groundwater seepage is distributed along the entire 2698 2699 continental boundary) and the POC fraction of in-river transport and sedimentation (since ORCHIDEE MICT lacks a POC erosion/sedimentation module) from their budget. 2700 2701

2702 This gives global terrestrial dissolved carbon input of 1.45 PgC yr⁻¹, 0.7 PgC of which is discharged to the ocean, and the other 0.75 PgC evaded to the atmosphere. Taking the 2703 2704 previously mentioned [evasion:in] and [out:in] ratios as a percentage, the outflow and 2705 evasion fluxes for the Lena versus the global aggregate are remarkably similar, at 48.6 2706 vs. 48.3% and 51.4 vs 51.7%, for the two respective flows. Thus our results agree with 2707 the proposition that the riverine portion of the 'land-ocean aquatic continuum' (Regnier 2708 et al., 2013) or 'boundless carbon cycle' (Battin et al., 2009) is indeed a substantial 2709 reactor for matter transported along it.

2710

2711 **4.8.2 LOAC drivers**

2712
2713 The constant climate (CLIM) and constant CO₂ (CO2) simulations were undertaken to
2714 assess the extent –and the extent of the difference –to which these two factors are
2715 drivers of model processes and fluxes. These differences are summarised in Figs. 12(b-

c), in which we show the same 1998-2007 –averaged yearly variable fluxes as in the
CTRL simulation, expressed as percentages of the CTRL values given in Fig. 2. A number
of conclusions can be drawn from these diagrams.

- 2720 First, all fluxes are lower in the factorial simulations, which can be expected due to 2721 lower carbon input to vegetation from the atmosphere (constant CO₂) and colder 2722 temperatures (constant climate) inhibiting more vigorous growth and carbon cycling. 2723 Second, broadly speaking, both climate and CO₂ appear to have similar effects on all 2724 fluxes, at least within the range of climatic and CO₂ values to which they have subjected 2725 the model in these historical runs. With regard to lateral export fluxes in isolation, 2726 variable climate (temperature increase) is a more powerful driver than CO₂ increase 2727 (see below). Third, the greatest difference between the constant climate and CO_2 2728 simulation carbon fluxes appear to be those associated with terrestrial inflow of 2729 dissolved matter to the aquatic network, these being more sensitive to climatic than CO₂ 2730 variability. This is evidenced by a 49% and 32% decline in CO₂ and DOC export, 2731 respectively, from the land to rivers in the constant climate simulation, versus a 27% 2732 and 23% decline in these same variables in the constant CO₂ simulation. Given that the 2733 decline in primary production and respiration in both factorial simulations was roughly 2734 the same, this difference in terrestrial dissolved input is attributable to the effect of 2735 climate (increased temperatures) on the hydrological cycle, driving changes in lateral 2736 export fluxes.
- 2737

2719

2738 This would imply that at these carbon dioxide and climatic ranges, the modelled DOC 2739 inputs are slightly more sensitive to changes in the climate rather than to changes in 2740 atmospheric carbon dioxide concentration and the first order biospheric response to 2741 this. However, while the model biospheric response to carbon dioxide concentration 2742 may be linear, thresholds in environmental variables such as MAAT may prove to be 2743 tipping points in the system's emergent response to change, as implied by Fig. 9, 2744 meaning that the Lena, as with the Arctic in general, may soon become much more 2745 temperature-dominated, with regard to the drivers of its own change.





Figure 12: (a) Simplified 'leaky pipe' diagram representing the transport and processing of DOC within the land-ocean hydrologic continuum. The scheme template is taken from Cole et al. (2007), where we reproduce their global estimate of DOC and non-groundwater discharge portion of this flow in the top panel (PgC yr⁻¹), and the equivalent flows from our Lena basin simulations in TgC yr⁻¹ in the bottom panel. Thus easy comparison would look at the relative fluxes within each system and compare them to the other. (b-c): Schematic diagrams detailing the major yearly carbon flux outputs from simulations averaged over the period 1998-2007 as they are transformed and transported across the land-aquatic continuum. Figures (b) and (c) give the same fluxes as a percentage difference from the Control (CTRL-Simulation), for the constant climate and CO₂ simulations, respectively.

63 **4.8.3 LOAC export flux considerations**

2765 Despite our simulations' agreement with observations regarding the proportional fate of 2766 terrestrial DOC inputs as evasion and marine export (Section 4.8.1, Fig. 12a), our results 2767 suggest substantial and meaningful differences in the magnitude of those fluxes relative 2768 to NPP in the Lena, compared to those estimated by other studies in temperate or 2769 tropical biomes. Our simulations' cumulative DOC and CO_2 export from the terrestrial 2770 realm into inland waters is equivalent to ~1.5 % of NPP.

2771

This is considerably lower than Cole et al. (2007) and Regnier et al. (2013) who find lateral transfer to approximate $\sim 5\%$ (1.9PgC yr⁻¹) of NPP at the global scale, while Lauerwald et al. (2017)(Lauerwald et al., 2017) found similar rates for the Amazon. The cause of this discrepancy with our results is beyond the scope of this study to definitively address, given the lack of tracers for carbon source and age in our model. Nonetheless, our analysis leads us to hypothesise the following. 2779 Temperature limitation of soil microbial respiration at the end of the growing season 2780 (approaching zero by October, SI Fig. S4d) makes this flux neglible from November 2781 through May (SI Fig. S4d). In late spring, mobilisation of organic carbon is performed by 2782 both microbial respiration and leaching of DOC via runoff and drainage water fluxes. 2783 However, because the latter are controlled by the initial spring meltwater flux period, 2784 which occurs before the growing season has had time to produce litter or new soil 2785 carbon (May-June, Fig. 4b), aggregate yearly DOC transport reactivity is characterised by 2786 the available plant matter from the previous year, which is overwhelmingly derived 2787 from recalcitrant soil matter (Fig. 11a) and is itself less available for leaching based on 2788 soil carbon residence times.

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2778

2790 This causes relatively low leaching rates and riverine DOC concentration s(e.g. Fig. 9), as 2791 compared to the case of leaching from the same year's biological production. 2792 Highlighting this point are floodplains' domination by labile carbon sourced from that 2793 year's production with a mean DOC concentration of 12.4 mgC L⁻¹ (1998-2007 average), 2794 with mean riverine DOC concentrations around half that value (6.9 mgC L⁻¹). 2795 Nonetheless the May-June meltwater pulse period dominates aggregate DOC discharge. 2796 As this pulse rapidly subsides by late July, so does the leaching and transport of organic 2797 matter. Warmer temperatures come in conjunction with increased primary production 2798 and the temperature driven soil heterotrophic degradation of contemporary and older 2799 matter (via active layer deepening). These all indicate that transported dissolved matter 2800 in rivers, at least at peak outflow, is dominated by sources originating in the previous 2801 year's primary production, that was literally 'frozen out' of more complete 2802 decomposition by soil heterotrophs.

2803

Further, we infer from the fact that all of our simulation grid cells fall within areas of low (<-2°C) MAAT, far below the threshold MAAT (>3°C) proposed by Laudon et al. (2012) for soil respiration-dominated carbon cycling systems (Fig. 9), that the Lena is hydrologically-limited with respect to DOC concentration and its lateral flux. Indeed, the seasonal discharge trend of the Lena –massive snowmelt-driven hydrological and absolute DOC flux, coupled with relatively low DOC concentrations at the river mouth (Fig. 4b, simulation data of Fig. 9), are in line with the Laudon et al. (2012) typology.

2811

We therefore suggest that relatively low lateral transport (as %NPP) in our simulations 2812 compared to other biomes is driven by meltwater (vs. precipitation) dominated DOC 2813 mobilisation, which occurs during a largely pre-litter deposition period of the growing 2814 season. DOC is then less readily mobilised by being sourced from recalcitrant matter, 2815 2816 leading to low leaching concentrations relative to those from labile material. As 2817 discharge rates decline, the growing season reaches its peak, leaving carbon 2818 mobilisation of fresh organic matter to be overwhelmingly driven by in situ 2819 heterotrophic respiration.

2820

While we have shown that bulk DOC fluxes scale linearly to bulk discharge flows (Fig. 3d), DOC concentrations (mgC L-¹) hold a more complex and weaker positive relationship with discharge rates, with correlation coefficients (R²) of 0.05 and 0.25 for river and stream DOC concentrations, respectively (Fig. 13). This implies that while increasing discharge reflects increasing runoff and an increasing vector for DOC 2826 leaching, particularly in smaller tributary streams, by the time this higher input of 2827 carbon reaches the river main stem there is a confounding effect of dilution by increased 2828 water fluxes which reduces DOC concentrations, explaining the difference between 2829 stream and river discharge vs. DOC concentration regressions in the Figure. Thus, and as a broad generalisation, with increasing discharge rates we can also expect somewhat 2830 higher concentrations of terrestrial DOC input to streams and rivers. Over the 2831 floodplains, DOC concentrations hold no linear relationship 2832 with discharge rates (R²=0.003, SI Fig. S5), largely reflecting the fact that DOC leaching is here limited by 2833 2834 terrestrial primary production rates more than by hydrology. To the extent that floodplains fundamentally require flooding and hence do depend on floodwater inputs 2835 at a primary level, we hypothesise that DOC leaching rates are not limited by that water 2836 2837 input, at least over the simulated Lena basin.





2839

Figure 13: Simulated basin-mean annual DOC concentrations (mg L⁻¹) for the stream and river water
 pools regressed against mean annual simulated discharge rates (m³ s⁻¹) at Kusur over 1901-2007. Linear
 regression plots with corresponding R² values are shown.

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As discussed above simulated DOC and CO₂ export as %NPP was 1.5% over 1998-2007. However, this proportion appears to be highly dynamic at the decadal timescale. As shown in Fig. 11b, all lateral flux components in our simulations increased their relative throughput at a rate double to triple that of NPP or respiration fluxes over the 20th century, also doing so at a rate substantially higher than the rate increase in discharge. In addition, differentials of these lateral flux rates with the rates of their drivers (discharge, primary production) have on average increased over the century (Fig. 11b). This suggests that there are potential additive effects of the production and discharge drivers of lateral fluxes that could lead to non-linear reponses to changes in these drivers as the Arctic environment transforms, as suggested by the Laudon et al. (2012)(Laudon et al., 2012) data plotted in Fig. 4. Acceleration of the hydrological cycle compounded by temperature and CO₂ -driven increases in primary production could therefore increase the amount of matter available for leaching, increase the carbon concentration of leachate, and increase the aggregate generation of runoff to be used as a DOC transport vector. Given that these causal dynamics apply generally to permafrost regions, both low lateral flux as %NPP and the hypothesised response of those fluxes to future warming may be a feature particular to most high latitude river basins.

5. Conclusion

This study has shown that the new DOC-representing high latitude model version of ORCHIDEE, ORCHIDEE MICT-LEAK, is able to reproduce with reasonable accuracy modern concentrations, rates and absolute fluxes of carbon in dissolved form, as well as the relative seasonality of these quantities through the year. When combined with a reasonable reproduction of real-world stream, river and floodplain dynamics, we demonstrate that this model is a potentially powerful new tool for diagnosing and reproducing past, present and potentially future states of the Arctic carbon cycle. Our simulations show that of the 34 TgC yr⁻¹ remaining after GPP is respired autotrophically and heterotrophically in the Lena basin, over one-fifth of this captured carbon is removed into the aquatic system. Of this, over half is released to the atmosphere from the river surface during its period of transport to the ocean, in agreement with previous empirically-derived global-scale studies. Both this transport and its transformation are therefore non-trivial components of the carbon system at these latitudes that we have shown are sensitive to changes in temperature, precipitation and atmospheric CO_2 concentration. Our results, in combination with empirical data, further suggest that changes to these drivers -in particular climate -may provoke non-linear responses in the transport and transformation of carbon across the terrestrial-aquatic system's interface as change progresses in an Arctic environment increasingly characterised by amplified warming.

2899 Chapter 4
 2900 Arctic lateral carbon fluxes decline with future warming³.
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2902 2903 Summary

2904

Release of dissolved organic carbon (DOC) from Arctic soils has been the focus of extensive empirical work over the last decade, with results suggesting that the future thawing of permafrost soils may increase the export of DOC by rivers to Arctic seas. However, to date no studies have reconciled theory and observation with *predictions* of Arctic lateral transport response to anthropogenic forces, owing to the lack of global climate models able to address the complexities of both permafrost soil physics and the mechanics of carbon lateral transport.

2912

2913 Using ORCHIDEE MICT-LEAK, a global-scale land surface model built specifically for 2914 addressing this omission (Chapter 1), and successfully evaluated previously for a single 2915 permafrost-region river basin (the Lena –Chapter 2), we conduct simulations spanning 2916 the 20th and 21st centuries (1898-2099) over the entire Pan-Arctic region (> 45°N). under the Intergovernmental Panel on Climate Change (IPCC) 'RCP 6.0' future 2917 2918 (intermediate-range) warming scenario, at a one degree grid-cell resolution. Unlike in 2919 Chapter 2, which uses a reanalysis data product to drive the model, future simulations 2920 require simulated future climate, land cover and vegetation data to drive them, and are 2921 thus necessarily modelling product-driven. This results in some differences in simulated 2922 outputs compared with output driven by data used in Chapter 2, driven largely by 2923 hydrological and primary production differences. Nonetheless, the model again broadly 2924 reproduces modern day bulk riverine DOC fluxes and concentrations that exist in the 2925 sparse data record for these regions.

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2927 Over the 21st century, our simulations project that mean annual air temperature, CO₂ 2928 and precipitation increases relative to the mean of 1996-2005 drive large-scale changes 2929 in primary production, biome-scale respiration and soil carbon losses, consistent with 2930 the notions that warming will lead to widespread metabolisation of permafrost carbon, and that the latter exceeds increases in carbon uptake by vegetation. Despite this 2931 strengthening of the carbon and water cycle, we show that contrary to first-order 2932 2933 empirical expectations arising from their trends, lateral carbon flows over the Pan-Arctic decline under RCP6.0 by 2100. This is caused by the complex interaction of 2934 2935 temperature with precipitation, soil hydrology and leaching substrate, causing DOC flux 2936 temperature response to oscillate in magnitude and sign.

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The causes of this result are complex, but are generally driven by deepening hydrological flowpaths resulting from thaw of the permafrost 'cap' on drainage water flows with increases in temperature. Thaw allows drainage of water to depth, which, coupled with increasing soil temperatures, permits increasing water infiltration into the soil, higher rates of soil respiration at depth, and subsequently lower concentrations of carbon in the water-leachate fluxes produced by the deeper hydrological flowpaths. By

³ Manuscript being prepared for submission to *Nature Climate Change*.

partitioning the aggregate precipitation water flux in favour of infiltration flows, these flows are further exposed to leaching substrate with a lower carbon concentration than those that exist at the soil surface, which correspond to recently-produced soil and litter matter. Finally, despite an increase in overall precipitation, we hypothesise that the timing and phase-state of precipitation, drives decreases in DOC flux. Decreasing snowfall in winter and increasing rainfall in late summer demote and promote the massive post-winter leaching flux of contemporary carbon, and that of deeper, lower carbon concentration matter, owing to the deeper active layer and water infiltration regime during this time, respectively, also driving a decline in bulk lateral carbon fluxes. This result appears to be a general result of warming of the permafrost region, and further justifies the necessity of using a model specifically created for representing high-latitude conditions in making these projections. Indeed, whereas the tempearture sensitivity of soil carbon release from the Arctic is unequivocally positive, our simulations show that for lateral carbon fluxes the temperature sensitivity increases and decreases in both magnitude and sign, owing to the response of carbon mobilisation to threshold changes in active layer depth that promote hysteresis as an emergent response.

2992Chapitre 42993Les flux de carbone latéraux dans l'Arctique diminuent avec le2994réchauffement futur.

2996 2997 **Résumé**

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La libération de carbone organique dissous (COD) des sols arctiques a fait l'objet de nombreux travaux empiriques depuis une décennie environ avec des résultats suggérant que le dégel futur des sols de pergélisol pourrait accroître les exportations de COD par les rivières vers les mers arctiques. Cependant, à ce jour, aucune étude n'a concilié théorie et observation avec les prévisions de la réponse du transport latéral arctique aux forces anthropiques, en raison de l'absence de modèles climatiques globaux capables de traiter les complexités de la physique des sols du pergélisol et de la mécanique du transport latéral du carbone.

3007 En utilisant ORCHIDEE MICT-LEAK, un modèle de surface terrestre à l'échelle mondiale 3008 construit spécifiquement pour remédier à cette omission (chapitre 1) et déjà évalué avec succès pour un seul bassin hydrographique de la région de pergélisol (Lena –Chapitre 2), nous effectuons des simulations couvrant la 20^{ième} et 21^{ième} siècles (1898-2099) sur l'ensemble de 3009 3010 3011 la région panarctique (> 45 ° N), dans le cadre du scénario de réchauffement futur du Groupe 3012 d'experts intergouvernemental sur l'évolution du climat (GIEC) 'RCP 6.0'. Contrairement au 3013 chapitre 2, qui utilise un produit de réanalyse pour piloter le modèle, les simulations futures 3014 nécessitent des données simulées sur le climat, la couverture terrestre et la végétation pour les 3015 piloter, et sont donc nécessairement modélisées à partir de produits. Cela entraîne certaines 3016 différences dans les résultats simulés par rapport aux résultats tirés des données utilisées au chapitre 2, en grande partie dus aux différences hydrologiques et de production primaire. 3017 Néanmoins, le modèle reproduit à nouveau de manière générale les flux et les concentrations 3018 3019 de COD fluviales modernes de ces régions.

3020 3021

Au 21^{ième} siècle, nos simulations prévoient que la température moyenne annuelle de l'air, les 3022 émissions de CO₂ et les précipitations augmentent par rapport à la moyenne de 1996-2005, 3023 3024 entraînant des changements à grande échelle dans la production primaire, la respiration à 3025 l'échelle du biome et les pertes de carbone du sol, conformément aux notions de 3026 réchauffement, entraînera une métabolisation généralisée du carbone du pergélisol, et que ce 3027 dernier dépasserait l'augmentation de l'absorption de carbone par la végétation. Malgré ces 3028 accélérations des cycles du carbone et de l'eau, nous montrons que, contrairement aux attentes 3029 empiriques de premier ordre découlant de leurs tendances, les flux de carbone latéraux serait 3030 stable sous un scénario RCP6.0 d'ici 2100. Ceci est dû à l'interaction complexe des 3031 températures avec les précipitations, l'hydrologie du sol et le substrat de lessivage, entraînant 3032 une variation de la réponse de la température du flux de COD en amplitude et en signe. 3033

Les causes de ce résultat sont complexes, mais sont généralement dues à l'approfondissement des voies hydrologiques résultant du dégel de pergélisol et son impact sur les eaux de drainage lorsque la température augmente. Le dégel permet de drainer l'eau en profondeur, ce qui, associé à l'augmentation de la température du sol, permet une infiltration croissante de l'eau dans le sol, un taux plus élevé de respiration du sol en profondeur et, par la suite, une diminution des concentrations de carbone dans les flux de lixiviat d'eau produits par les

sillons hydrologiques plus profonds. . En diminuant en proportion les flux de ruissèlement de surface par rapport aux flux de drainage, ces flux d'eau sont en outre exposés à un substrat présentant une concentration en carbone inférieure à celle existant à la surface du sol, ce qui correspond au sol et à la litière récemment produits. Enfin, malgré une augmentation des précipitations globales, nous émettons l'hypothèse que le moment et l'état de phase des précipitations entraînent une diminution du flux de COD. La diminution des chutes de neige en hiver et des précipitations croissantes en fin d'été rétablit et favorise le flux de lixiviation massif post-hiver du carbone contemporain, ainsi que celui des matières à plus faible concentration de carbone, en raison de la couche active plus profonde et du régime d'infiltration d'eau pendant cette période, respectivement, entraînant également une diminution des flux de carbone latéraux massifs.

Ce résultat semble être un résultat général du réchauffement de la région de pergélisol et justifie en outre la nécessité d'utiliser un modèle créé spécifiquement pour représenter les conditions de haute latitude lors de la réalisation de ces projections. En effet, alors que la sensibilité à la température du dégagement de carbone du sol de l'Arctique est très positive, nos simulations montrent que, pour les flux de carbone latéraux, la sensibilité à la température augmente et diminue en valeur et en signe, en raison de la réponse de la mobilisation du carbone à des changements de seuil dans la couche active, profondeur qui favorise l'hystérésis en tant que réponse émergente.

3088 Introduction

3089

3090 High-latitude permafrost soils contain large amounts of frozen, often ancient and 3091 relatively reactive carbon down to depths of over 30m. Permafrost profoundly affects 3092 Arctic river hydrology. A permanently frozen soil layer acts as a barrier to groundwater, 3093 so that surface and shallow sub-surface runoff dominates basin-scale waterflow, increasing the inter-seasonal variability of river discharge(Ye et al., 2009). 3094 This 3095 concentration of water volume near the surface during the thawing season is 3096 exacerbated by the accumulation of snow and ice in winter that subsequently melt 3097 during the spring freshet(Drake et al., 2015; Spencer et al., 2015) and cause intense 3098 leaching of DOC from the topsoil(O'Donnell et al., 2016). The spring pulse of DOC 3099 contains mostly modern river carbon(Aiken et al., 2014) and dominates the bulk annual DOC flux(Holmes et al., 2012) to the Arctic Ocean (25-36 TgC yr⁻¹)(McClelland et al., 3100 2008). The pronounced seasonality in river flow also drives the summertime inundation 3101 of the floodplain regions(Smith and Pavelsky, 2008), whose subsequent recession spurs 3102 3103 the lateral transport of terrestrial carbon(Zubrzycki et al., 2013). These dynamics may be subject to amplification by recent and future climate change(Frey and McClelland, 3104 2009; Tank et al., 2018). It is generally expected that rising temperature and enhanced 3105 3106 precipitation(Peterson et al., 2002) will increase streamflow and total energy 3107 flux(Lammers et al., 2007) and drive an earlier onset of discharge peak(Van Vliet et al., 3108 2012, 2013). 3109

3110 Soil warming can be expected to destabilize soil carbon stocks(Schuur et al., 2015) as active layer deepening exposes old/ancient soil horizons to leaching and 3111 3112 transport(Spencer et al., 2015; Vonk et al., 2015c); once mobilised, these carbon compounds appear to be rapidly metabolized in headwater streams(Drake et al., 2015), 3113 and may constitute a significant fraction of CO₂ evasion (40-84 TgC yr⁻¹(McGuire et al., 3114 3115 2009)) from Arctic rivers(Vonk et al., 2013). Increasing trends in temperature, soil moisture/snow thaw(McClelland et al., 2004) and microbial activity(Hollesen et al., 3116 3117 2015; Schuur et al., 2009) may converge to raise soil leaching and dissolved 3118 organic(Frey and Smith, 2005) and inorganic carbon(Drake et al., 2018; Tank et al., 3119 2012c) export rates into the future. The resulting increase in dissolved and particulate carbon delivery to the Arctic Ocean could lead to local decreases in ocean CO₂ 3120 3121 uptake(Manizza et al., 2011) and seawater acidification(Semiletov et al., 2016), conversely contributing to local primary production(Le Fouest et al., 2018): factors all 3122 3123 amplified by the Arctic Ocean's high surface area:volume ratio(Jakobsson, 2002).

3124

3125 Despite its importance as both a vehicle for permafrost carbon mobilisation and its 3126 effects on the Arctic Ocean, to date, no attempt has been made to mechanistically 3127 quantify the Pan-Arctic response of permafrost-region litter/soil carbon leaching and 3128 subsequent lateral tranport/evasion to rising temperatures. This omission owes itself to 3129 the complexity of representing the interacting processes alluded to above at large scale, 3130 which would have to include vegetation and physical processes for high latitude cryo-3131 phenomena, and the leaching and cycling of DOC and CO₂, along the terrestrial-aquatic 3132 continuum. This study fills that gap by subjecting a state-of-the-art global land surface 3133 model (ORCHIDEE MICT-LEAK) built and evaluated specifically for representing these processes (see Methods and Bowring et al. (2019a, 2019b)), to historical and future 3134

- 3135 climate change under the IPCC RCP6.0 ("no mitigation") scenario over the Arctic (45-
- 3136 90N) at 1° resolution.
- 3137

3138 Results





Figure 1: Maps of changes in variables over the 21^{st} century. **(a)** Aggregate loss (TgC) of soil carbon over 2000-2099; (b-f): changes in variables between the mean of (2090-2099) subtracted by that of (1996-

2005) in each pixel for (b) NPP (TgC yr⁻¹) (c) Heterotrophic soil respiration (TgC yr⁻¹), (d) DOC leaching,
(TgC yr⁻¹) (e) Soil DOC stock (TgC) (f) Maximum active layer thickness (m).

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3145 **21st Century changes and annualised outflow**

Over the course of the 21st Century, simulations show that whereas bulk soil carbon loss 3146 3147 is concentrated over the coldest regions of the northern hemisphere (Fig. 1a), 3148 heterotrophic respiration increases are greatest in warmer (and fastest-warming (SI, 3149 Fig.S5e,f) areas like Sweden and western Siberia (Fig. 1c). This spatial difference arises 3150 from large increases in net primary production (NPP) in the warmer regions (Fig. 1b) 3151 and therefore higher litter production rates. DOC leached from the soil to rivers (Fig. 3152 1d) generally increases in the in northern N. America and Eastern Siberia, where 3153 permafrost coverage is highest and temperatures are lower, but decreases substantially 3154 in western Siberia, Sweden and Eastern Canada. Indeed, where the change in annual-3155 mean 'active layer' (thawed soil) thickness was near zero (Fig.1f), the soil column 3156 experienced large-scale increases in DOC stock over the 21stC, decreasing almost everywhere else (Fig.1e). Furthermore, areas of negligible soil thawing are bounded on 3157 the southern edges by regions that experienced huge increases in annualised thaw 3158 depth over the century. This suggests that the transition from 'continuous' to 3159 3160 discontinuous or sporadic permafrost responds in a highly non-linear manner to climatic change, for which soil thermodynamics appear to hold a threshold in reponse, 3161 3162 examined in greater detail below.

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3165**Table 1:** Simulated and observation-based estimates(Holmes et al., 2012) of DOC discharge by the 'Big3166Six', and 'Medium 9' river basins. as well as discharge from the remaining Arctic watershed to give the3167Pan-Arctic river outflow (see Methods). All values are in TgC yr⁻¹. Also shown are the mean annual sum of3168soil and floodplain DOC and CO2 input to the Big Six rivers, and CO2 evasion from their respective water3169surfaces. Simulated values encompass the mean of the temporal range covering the turn of the millenium3170(1996-2005) and the last decade of simulation (2090-2099).

		Simulated	Observed	Simulated
		DOC to Ocean (1996-2005)	DOC to Ocean (Holmes et al., 2012)	DOC to Ocean (2090-2099)
Big Six	Kolyma	1.12 ±0.24	0.82	1.19±0.26
	Lena	4.14 ±0.30	5.68	4.27 ±0.43
	Yenisei	5.66 ±0.80	4.65	4.78 ±0.42
	Ob	5.07 ±0.70	4.12	2.38 ±0.61
	Mackenzie	2.19 ±0.27	1.38	1.67 ±0.23
	Yukon	1.19 ±0.14	1.47	1.11 ±0.22
	Total	19.36	18.11	15.40
Medium 9				
	Pechora	1.64 ±0.21	n/a	1.16 ±0.19
	Pyasina	0.76 ±0.13	n/a	0.75 ±0.17
	Verkhnyaya-Taymyra	0.49 ±0.10	n/a	0.37 ±0.07
	Khatanga	1.16 ±0.18	n/a	1.18 ±0.21
	Olenek	0.46 ±0.07	n/a	0.44 ±0.10
	Yana	0.21 ±0.05	n/a	0.21 ±0.06
	Indigirka	0.38 ±0.10	n/a	0.42 ±0.19
	Anadyr	0.77 ±0.13	n/a	0.94 ±0.13
	Kuskokwin	0.40 ±0.07	n/a	0.34 ±0.08
	Total	6.27	n/a	5.80
Remaining				
	Total	6.43	n/a	6.44
Pan-Arctic				
	Total	32.06	34.04	27.64

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3173 Simulated modern annual DOC flux to the Arctic Ocean, a first order evaluation 3174 constraint on model adequacy in representing dissolved carbon dynamics, is in broad 3175 agreement with the existing observational literature(Holmes et al., 2012) (Table 1).

Mean DOC discharge over the present day (1996-2005) for each of the Big Six rivers 3176 3177 (TgC yr⁻¹) is simulated (observed) to be 1.12 ± 0.24 (0.82) for the Kolyma, 4.14 ± 0.3 3178 (5.68) for the Lena; 5.66 ±0.8 (4.65) for the Ob; 2.19±0.27 (1.38) for the Mackenzie; and 3179 1.19 ±0.14 (1.47) for the Yukon. With the exception of the Lena and Mackenzie, our model output falls within two standard deviations of observed fluxes. Simulations show 3180 that DOC discharge of the 9 next-largest basins ('Medium 9', Table 1), which are largely 3181 un-sampled for this metric, is about a third that of the Big $6(\sim 6 \text{ vs.} \sim 19 \text{ TgC yr}^{-1})$. For the 3182 Pan-Arctic as a whole we estimate similar DOC discharge to that found in Holmes et al 3183 (2012),(Holmes et al., 2012) of \sim 32 vs 34 TgC yr⁻¹, for simulations vs. observations. The 3184 Supplement contains the full inland water budget breakdown for each basin (SI Fig.S2). 3185 3186

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3188 Over the six basins, DOC discharge represents on average 55% (varying from 41-62%) of dissolved carbon 3189 total input from the soil to streamflow (runoff+drainage+floodplain), while the combined 'dissolved CO_2 ' ($CO_{2(aq.)}$)+DOC 3190 3191 outflow (Table 1, Supplement Table S2) increases that fraction to 60%. On average, 31% (11-38%) of modelled dissolved C inputs are evaded to the atmosphere as CO₂ in 3192 streams and rivers, with the remainder returning to the soil as 'reinfiltration'. This 3193 evasion flux as a proportion of carbon inputs from soils is significantly lower than 3194 3195 global-scale estimates (\sim 52%)(Cole et al., 2007), a consequence of the temperaturedependence of CO₂ evasion(Lauerwald et al., 2015). Likewise, simulated dissolved 3196 carbon influx to streamflow represents only 0.7% (range 0.5-0.9%) of NPP, substantially 3197 3198 lower than the global (5% of NPP(Cole et al., 2007; Regnier et al., 2013)) estimate.



Figure 2: Basin-disaggregated timeseries of variables (periphery) and (a) a map of the Pan-Arctic (centre) with major river satellite overlay and mean river discharge plotted, and the Big Six river basins identified by outflow grid cell. (b) 30-year moving average of river discharge per basin (m³ yr⁻¹); (c) Yearly change in total soil organic carbon (SOC) for each basin (TgC yr⁻¹); (d-h): These plots show the decadal-mean deviation (%) from a baseline of the first simulation decade (1901-1910), for each decade, for: (d) Soil respiration; (e) Net primary production (NPP); (f) Soil DOC inputs from runoff and drainage fluxes; (g) CO₂ evasion from the river surface; (h) Floodplain DOC input to the river.

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Simulated future (2090-99, Table 1) DOC discharge relative to present day values increases slightly for the Kolyma (+7%) and Lena (+3%) basins, but declines significantly for the Yukon (-7%) and more substantially for the Yenisei (-16%), Ob (-53%) and Mackenzie (-37%). On average, this amounts to a decline of -13% for the Big 6 and -13% for the PanArctic as a whole, over the 21st century. The following examines this general decline.

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3215 More carbon-less DOC

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3217 From the first (1901-1910) to last (2090-2099) decade of the simulation, net primary production (NPP) increased for all basins except the Ob (Fig. 2e). Soil carbon respiration, 3218 3219 changes that are proportionally 2-3 times that of NPP (Fig. 2d, Fig. S4), are partially 3220 explained by an increase of soil carbon decomposition inducing a loss in soil carbon 3221 stocks across basins (Fig. 2c). These flux trends point towards an accelerated Arctic soil 3222 carbon cycle, in line with expectations that increases in primary productivity will be 3223 more than outbalanced by increases in biome-scale respiration(Abbott et al., 2016). 3224 However, this does not translate into an increasing trend in riverine export of DOC from 3225 the soil (Fig. 2f), which generally decreases, except for in the case of the Kolyma basin. 3226 The Kolyma may carry the signal of substantial increases in permafrost soil carbon 3227 mobilisation, consistent with empirical data(Feng et al., 2017). Marked export decreases 3228 can be seen in the Ob, Yukon and Yenisei. Conversely, riverine DOC inputs from flooded 3229 regions either carry no trend (Ob, Yenisei) or clearly increase (Kolyma, Lena, Yukon), 3230 reflecting this fluxes' dependence on direct litter inputs and primary production. Previous studies have shown that DOC concentration and bulk flow scale positively with 3231 3232 discharge(Lauerwald et al., 2017). These are largely volatile, bar a decrease in the Mackenzie (Fig. 2b, Fig. S8). Water discharge trends for the N. American and W. Siberian 3233 3234 high latitudes are consistent with those from weighted predictions using global climate model ensembles(Yang et al., 2017). The high signal volatility of the Yenisei and Lena 3235 3236 basins has also been suggested by the ensemble model output, however these also mostly suggest a modest discharge increase (<5-10%, RCP4.5-8.5, respectively) in these 3237 3238 areas in the future. This discrepancy may arise from either reduced precipitation in the 3239 model forcing data, or in the enhanced evaporation and evapotranspiration arising from 3240 increased temperatures and primary production, respectively.



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Figure 3: Basin-disaggregated timeseries of (a) the yearly mean ratio of (runoff: drainage) water flux over 3243 the basin; (b) yearly mean ratio of (runoff: drainage) DOC conentrations in those fluxes over the basin. (c-3244 d) The soil DOC concentration (gC m⁻³) at the model's 11th(2m) layer for each grid cell underlain by 3245 continuous permafrost are plotted against (c) maximum active layer depth over the pixel and (d) mean 3246 annual soil temperature.

3248 Where DOC fluxes purely controlled by runoff and river discharge in our simulations, 3249 their trends would follow those of the hydrograph. Instead, a more subtle phenomenon 3250 is at play. Over 1901-2099, Pan-Arctic soils warmed, permitting an increase in active 3251 layer depth and the sudden onset of subsoil water intrusion (drainage) previously 3252 inhibited by permafrost shielding. All Arctic basins experienced an order of magnitude

increase in drainage relative to runoff water fluxes over the 200 years (Fig. 3a), where 3253 3254 the absolute value of the ratio (runoff:drainage) positively reflects per-basin permafrost coverage. Simultaneously, the relative concentration of DOC in runoff relative to 3255 3256 drainage water experienced a similar, ~5-fold increase (Fig. 3b) over that time, spurred 3257 by an increase in NPP (+runoff concentration), increased respiration of DOC with depth 3258 of its entrainment (drainage concentration decrease of -55 - -74%/200 yrs.), and 3259 changes in the seasonal precipitation phase and regime (+runoff). Absolute runoff DOC 3260 concentration ratio scales with relative basin permafrost coverage, while its rate of 3261 increase accelerates suddenly around the turn of the millenium for the Kolyma and Lena 3262 (most permafrost), indicating a basin-wide threshold in active layer thaw and drainage 3263 intrusion.

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3265 As the mean annual maximum active layer thickness (ALT_{max}) deepens, annual mean soil DOC concentrations at a depth of 2m initially increase (Fig. 3c) up to a thaw depth of 3266 3267 \sim 50cm, before rapidly declining. Correspondingly, the 2m soil DOC concentration 3268 responds first positively then negatively to increases in the mean annual soil surface temperature (Fig. 3d), indicating that with increasing temperature, the soil DOC 3269 available for lateral transport declines as it is metabolised to CO₂ during the transport 3270 3271 into the soil colomn. Furthermore, lower drainage DOC concentrations scale with lower 3272 soil particulate carbon concentrations at 2m depth (the depth at which lateral drainage 3273 flows occurs) compared to the top 40cm, where runoff DOC fluxes are generated (SI, 3274 Fig.S9). This lower substrate concentration leads to a lower leachate concentrations, 3275 while carbon concentrations are preferentially depressed at greater soil depths as 3276 warming proceeds over the 21st century. Similar dependencies between subsoil carbon fluxes and carbon concentration of their parent material have been found for lateral 3277 3278 fluxes of permafrost particulate carbon (Feng et al., 2013).

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3280 Increased drainage input to rivers and lower relative concentration of DOC in drainage 3281 water combine to lower bulk DOC flow, despite a strengthened carbon cycle, aided by 3282 shifting precipitation dynamics. The rain:snowfall ratio increases substantially (SI, Fig. 3283 S12a), driven largely by the rain fraction (SI, Fig. S10). This increase largely occurs in 3284 mid-late summer (July-October, Fig. S13a-d), with two consequences for DOC: First, it 3285 amplifies soil and litter degradation where this is moisture-limited, decreasing the 3286 carbon pool build-up normally made available to DOC leaching in the spring snowmelt 3287 period, thus decreasing potential DOC input and outflow. Second, late summer 3288 corresponds to timing of maximum active layer depth, promoting increased soil water 3289 infiltration (feeding massive increases in wintertime groundwater flow (SI, Fig. S14) and 3290 lower bulk DOC outflow relative to the case of increased rainfall in e.g. spring (SI, 3291 Figs.S12,S13). The relationship of DOC export to rivers with snow and rainfall differs 3292 substantially between areas underlain by continuous and discontinuous permafrost (SI, 3293 Figs. S12c-f). In permafrost areas, DOC inputs generally increase with rain and snowfall 3294 and are more responsive to snowfall (SI, Figs.12c-d). In non-continuous permafrost 3295 gridcells, there is no correlation to snowfall and a strong correlation to rainfall (SI, 3296 Figs.12e-f). The inference is that the frozen soil layer and cold temperatures in general 3297 inhibit DOC flow up until a certain moment in time (late spring/early summer) when the thaw season permits a carbon 'flush'. This is why snow has no relationship with DOC 3298 3299 inputs in mon-permafrost areas, since DOC-mobilisation isn't limited by the thawing of a 3300 massive snowmelt-derived water flux.

3302 DOC climate sensitivity

3303

3304 Soil-to-river dissolved carbon flux integrates a complex signal, dependent on the interactions between permafrost extent, precipitation, active layer thickness, soil carbon 3305 3306 stock, soil type, topography and NPP. These dependencies vary strongly in both strength 3307 (R²) and sign between basins (SI, Fig.S11), highlighting the differing combinations of 3308 their interaction. Whereas some basins (e.g., Ob) appear to be clearly driven over the 3309 entire simulation period in DOC and CO₂ input by the hydrologic and leaching effects of active layer deepening (SI, Fig.S11b,c,d), others like the Lena appear to lack any 3310 3311 response to a full spectrum of drivers (R²<0.1, SI, Fig.S11a-d) over the whole basin.

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3313 This signal between and within catchments is examined with respect to the prime driver 3314 of land surface changes, temperature. Sensitivity of combined runoff and drainage DOC 3315 exports to temperature for each grid cell grouped under 'permafrost' (ALT_{max}<3m), 3316 'non-permafrost' (ALT_{max}>3m) and 'transitional' (transition from the former to latter over the 21stC, (SI, Fig.S4a) and averaged over the 'modern' (1996-2005) and 'future' 3317 3318 (2020-2099)) are plotted against each other (Fig. 4a). DOC input temperature sensitivity between present and future does not follow any distinct pattern between the 3 3319 3320 permafrost groups, although the overall signal appears dominantly controlled by drainage reponse (SI, Fig. S15a,c). The fractal 'star'-shaped response space that results is 3321 3322 broken down into an idealised present-future typology (Fig. 4b) to show why such 3323 distinct present versus future sensitivity pairings occur. Essentially, the response space 3324 indicates high volatility in temperature sensitivity between time periods, in which sensitivity either experiences a change in sign and strong or weak change in value (Fig. 3325 4b, nodes 3,4,7,8), or no change in sign and strong or weak change in value (Fig. 4b, 3326 3327 nodes 1,2,5,6). 3328

The DOC input temperature sensitivity is captured by the response of DOC to changes in 3329 3330 non-frozen soil or 'active layer' thickness. As temperatures increase, the active layer (ALT_{max}) deepens, causing greater entrainment of water and carbon into the soil. ALT_{max} 3331 3332 represents both a temperature and 'DOC-mobilised' soil depth metric. Response of the soil DOC stock and DOC river input (the former the precursor to the latter) to active 3333 layer thickness is thus equivalent to a temperature response. This is sinusoidal as the 3334 active layer deepens for riverine DOC input and its precursor soil DOC (Figs. 4c,d), 3335 3336 reflecting the temperature sensitivity space shown in Fig.4 a-b. The cause for the 3337 temperature sensitivity volatility is due to sequential changes in land surface dynamics 3338 idealised in Fig. 4e. As temperature, GPP, precipitation and soil carbon loss increase 3339 over time in areas underlain by continuous permafrost (no drainage), DOC inputs 3340 increase and sensitivity (q) is positive (Fig. , $t=0 \rightarrow t=1$), but at a temperature threshold 3341 (t=2), one soil layer thaws, permitting drainage and causing reduced bulk DOC flux (q=(-3342)). As the system re-equilibrates, increasing vegetative inputs may cause the sign to 3343 switch again (t=3), until a new temperature threshold is reached that thaws another 3344 layer of soil (t=4), permitting further diversion of water to drainage another reversal in 3345 sensitivity sign, and so on.

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Figure 4: (a) Scatter plots showing the year-averaged temperature sensitivity (ground temperature) of 3350 DOC inputs to the river (TgC °Celsius⁻¹) in the 'modern' (1996-2005 average) versus 'future' (2020-2099 average) era for 'permafrost' (blue), 'non-permafrost' (red) and 'transitional' (black) grid cells. (b) 3351 3352 Schematic plot explaining how the 'star'-shaped pattern in (a) results from temperature sensitivity pairs, 3353 with each segment of the shape assigned a number and colour. Numbers build a typology of modern 3354 versus future temperature sensitivity of DOC (table inset), colours denote an change in sensitivity over 3355 time. Arrows indicate the absolute magnitude of change in temperature sensitivity in the future. Red 3356 highlights in the inset table indicate changes of sensitivity sign. (c) Variation of the summed per-pixel soil 3357 DOC stock with the year-mean ALT_{max}) for each pixel and year over the entire simulation period, with inset 3358 magnification of the 3m thaw depth. (d) Variation of the summed per-pixel DOC input to rivers with the 3359 annualised ALT_{max}) for each pixel and year over the entire simulation period, to an ALT_{max} value of 3m. (e) 3360 Schematic explaining the change in sign and magnitude of total DOC input temperature-sensitivity shown

in (a-b). (e) As temperature increases with time GPP inputs with high runoff can cause positive temperature sensitivity (q=(+)); at a temperature threshold, a given permafrost soil layer thaws (middle panel), permitting drainage and causing an abrupt shift in sign of temperature sensitivity to negative (t=2)before regaining positive momentum from runoff and GPP inputs (t=3), etc. (f): Specific causal processes explaining the changes in magnitude and sign in (b) with linkages between above and below -ground processes before and after warming (left (LH) and right hand (RH) panels, respectively). LH panel corresponds to a situation in which a no-drainage flux soil transitions to one with drainage; RH panel corresponds to a situation in which drainage flux increases further with warming. The specific DOC outcomes of warming (red arrows in each right hand panel) map onto the specific modern/future temperature sensitivity pairs in a-b, with an explanation of the potential mechanism for each of these typologies given in the lower panel table.

Expanding on this, we illustrate how each of the temperature sensitivity typology pairs (Fig. 4b) can transition from one side of the pair (present) to the other (future) under second-order environmental drivers, depending on initial conditions (drainage versus no drainage) in Fig.4b,c,d. Thus, nodes (1-4) are cells with no drainage, with DOC input a function of low(1,4)/high(2,3) runoff (Fig. 4f, LHS), and depend on the differing and relative impacts of precipitation, NPP and runoff:drainage to arrive at the specific DOC input outcomes (Fig.4f, RHS) corresponding to the present-future DOC sensitivity pair in Fig. 4b.

These results suggest that despite enhanced water and carbon inputs, bulk DOC outflow to the Arctic Ocean may decline in a warmer future as a result of dynamic water flow paths, their timing, and interaction with a dynamic leaching substrate. This aggregate result is underpinned by substantial divergence between basins in the response of DOC leaching to different variables, which is itself the cause of a necessary volatility, in magnitude and sign, of the temperature sensitivity of DOC leaching between time periods. Given the counter-intuitive nature of these results, we suggest that greater emphasis should be placed on understanding the many non-vegetative interactions from which they emerge.

Chapter 5

3416 CO₂ Uptake By Weathering Increasingly Exceeds CO₂ Evasion From Rivers 3417 As Permafrost Thaws⁴

3418 3419 **Summary**

3420 The potential significance of the rock weathering system to the high latitude inland water and biome-scale carbon cycle has come under increasing recognition in the 3421 3422 literature on the topic. In the high latitudes, high carbonate concentrations in bedrock 3423 lithologies, their thermal protection from weathering due to the prevalence of 3424 permafrost throughout the soil column, and increasing temperatures, soil thaw depths 3425 and precipitation caused in part by the amplification of CO₂-driven radiative forcing in 3426 high latitude regions, appear on course to combine and drive large-scale increases in CO₂-uptake by chemical weathering. Indeed, recent publications have shown that fluxes 3427 3428 of bicarbonate being discharged from major Arctic rivers -a symptomatic measure of rock weathering -have increased on the order of 50-100% in the last few decades alone, 3429 with major implications for how the permafrost-region carbon cycle should be viewed 3430 and its fate projected into the future. With this in mind, and given the preceding work in 3431 3432 this thesis regarding the importance of lateral organic carbon fluxes to the permafrost region, this study integrates a simple simulation module of atmospheric CO2 uptake by 3433 3434 chemical rock weathering and carbonate alkalinity generation and export to ocean 3435 through rivers in the permafrost region into the land surface component (ORCHIDEE) of 3436 an Earth system model (IPSL). To do so, we apply simplified mathematical expressions from the literature relating weathering related CO₂ consumption and alkalinity fluxes to 3437 3438 bedrock lithology, surface and subsurface runoff rates and soil temperature. While 3439 surface and subsurface runoff rates and soil temperature are simulated by ORCHIDEE at 3440 a 30 minute time-step, bedrock lithology is read from a forcing file. After calibrating our 3441 model against observed alkalinity fluxes, we are able to reproduce the observed 3442 seasonal dynamics in river alkalinity fluxes for present day and to project the long-term evolution of weathering rates and their effect on the CO₂ budget of Arctic watersheds 3443 3444 and the exports of carbonate alkalinity to the Arctic Ocean over the 21st century. 3445

3446 To our knowledge, this is the first global-scale model that integrates the specifics of 3447 permafrost region soil-thaw dynamics and land-to-river-to-ocean lateral flux tracers to 3448 simulate the overland transfer of lithogenically-sourced carbon. Furthermore, by 3449 simulating the soil export rate of bicarbonate alkalinity, we are able to estimate the rate 3450 of atmospheric carbon dioxide uptake by the chemical weathering process, using some simple literature-derived factors as applied to a lithology map, coupled to the model-3451 generated alkalinity export. All calculated variables are available at daily, monthly or 3452 yearly timestep, and at 0.5-2 degree resolution, providing the opportunity to both 3453 evaluate and break down the dynamics of these fluxes into their spatial and temporal 3454 components and compare them to empirical data. The resulting model version is then 3455 subjected to historical and future climatological forcing data to drive simulations of past 3456 and future carbonate weathering and alkalinity fluxes for the Pan-Arctic to 2100, under 3457 the high/intermediate-warming scenario of RCP-6.0. 3458 We show that the model underestimates alkalinity discharge relative to observations by *%. Nevertheless these 3459

⁴ Manuscript being prepared for submission to *Global Biogeochemical Cycles*.

flows of DIC (bicarbonates) are similar to DOC fluxes in the present day, and exceed them in projections of the future. Furthermore, and despite the weathering flux underestimate for the current period, CO₂ uptake by chemical weathering is found to exceeds CO₂ evasion from river surfaces across the six largest Arctic rivers, and indeed is nearly double the flux of evasion by the last decade of the 21st C. The upshot of this result is that by including weathering-generated alkalinity fluxes into the calculus of carbon fluxes, the inland water carbon cycle loop including DOC and DIC and their impacts on surface-atmosphere CO₂ fluxes, moves from a net source (via evasion) to a net sink (via chemical weathering uptake) of carbon, and does so increasingly in the future. Furthermore, by including this process, lateral carbon fluxes more than double to $\sim 16\%$ of net biome productivity. Given the shortcomings of both the model and the input data used to drive them, we suggest that this number underestimates the lateral flux contribution by at least a factor of two.

3508Chapitre 53509L'absorption de CO2 par altération des roches dépasse de plus en3510plus le relargage de CO2 des rivières à mesure que le pergélisol3511dégèle

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3514 **Résumé**

3516 L'importance potentielle du système d'altération des roches pour le cycle du carbone 3517 des eaux intérieures et des biomes à haute latitude est de plus en plus reconnue dans la 3518 littérature sur le sujet. Dans les hautes latitudes, les concentrations élevées en carbonates dans les lithologies du substrat rocheux, leur protection thermique contre 3519 3520 les intempéries due à la prévalence du pergélisol dans la colonne de sol, ainsi que l'augmentation des températures, des profondeurs de dégel du sol et des précipitations 3521 3522 provoquées en partie par l'amplification du forçage radiatif induit par le CO₂ dans les régions à haute latitude semblent bien vouloir se combiner et entraîner une 3523 3524 augmentation à grande échelle de l'absorption de CO₂ par les intempéries. En effet, des publications récentes ont montré que les flux de bicarbonate rejetés par les principaux 3525 3526 fleuves arctiques - une mesure symptomatique de l'altération des roches - ont augmenté de l'ordre de 50-100% au cours des dernières décennies seulement, avec des 3527 3528 implications majeures sur la façon dont la région du pergélisol le cycle du carbone doit être examiné et son devenir projeté dans le futur. Dans cet esprit, et compte tenu des 3529 3530 travaux précédents de cette thèse concernant l'importance des flux de carbone organiques latéraux pour la région du pergélisol, cette étude intègre un module de 3531 simulation simple de l'absorption de CO₂ atmosphérique par l'altération chimique des 3532 roches et la production d'alcalinité des carbonates et son exportation dans les océans via 3533 3534 des rivières de la région du pergélisol dans la composante de surface terrestre 3535 (ORCHIDEE) d'un modèle de système terrestre (IPSL). Pour ce faire, nous appliquons 3536 des expressions mathématiques simplifiées tirées de la littérature reliant la 3537 consommation de CO₂ liée à l'altération et les flux d'alcalinité à la lithologie du substrat 3538 rocheux, aux taux de ruissellement superficiel et souterrain et à la température du sol. 3539 Alors que les taux de ruissellement en surface et sous la surface et la température du sol 3540 sont simulés par ORCHIDEE à un intervalle de temps de 30 minutes, la lithologie du substrat rocheux est lue dans un fichier de forcage. Après avoir calibré notre modèle en 3541 3542 fonction des flux d'alcalinité observés, nous sommes en mesure de reproduire la dynamique saisonnière observée dans les flux d'alcalinité des rivières et de projeter 3543 l'évolution à long terme des taux d'altération et de leurs effets sur le bilan en CO₂ des 3544 3545 bassins versants arctiques et les exportations des produits en question sur l'alcalinité 3546 carbonatée dans l'océan Arctique au XXIe siècle.

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À notre connaissance, il s'agit du premier modèle à l'échelle mondiale qui intègre les spécificités de la dynamique sol-dégel des régions du pergélisol et des traceurs de flux latéraux de rivières à océan afin de simuler le transfert terrestre de carbone provenant de sources lithogènes. En outre, en simulant le taux d'exportation d'alcalinité en bicarbonate dans le sol, nous pouvons estimer le taux d'absorption de dioxyde de carbone dans l'atmosphère par le processus d'altération chimique, à l'aide de simples facteurs dérivés de la littérature, appliqués à une carte lithologique, couplés aux

modèles générés par le modèle sur exportation d'alcalinité. Toutes les variables calculées sont disponibles à des intervalles de temps quotidiens, mensuels ou annuels et à une résolution de 0,5 à 2 degrés, ce qui permet d'évaluer et de décomposer la dynamique de ces flux en leurs composantes spatiales et temporelles et de les comparer à des données empiriques. La version du modèle résultante est ensuite soumise à des données de forçage climatologiques historiques et futures pour piloter des simulations de flux d'altération et d'alcalinité carbonatés passés et futurs pour le Pan-Arctique jusqu'à 2100, selon le scénario de réchauffement élevé / intermédiaire de RCP-6.0. Nous montrons que le modèle sous-estime le débit d'alcalinité par rapport aux observations de *%. Néanmoins, ces flux de bicarbonates sont similaires aux flux de COD actuels et les dépassent dans les projections sur l'avenir. En outre, et malgré le sous-estimé du flux d'altération climatique pour la période actuelle, l'absorption de CO₂ due à l'altération chimique dépasse l'évasion de CO₂ de la surface des rivières sur les six plus grands fleuves arctiques, et représente en fait presque le double du flux d'évasion de la dernière décennie du 21^{ième} siècle. Ainsi, en intégrant les flux d'alcalinité générés par les intempéries dans le calcul des flux de carbone, le cycle du carbone des eaux intérieures comprenant DOC et DIC et leurs impacts sur les flux de CO₂ passe d'une source nette vers un puits de carbone, avec un effet amplifié à l'avenir. De plus, en intégrant ce processus, les flux de carbone latéraux représentent plus du double, pour atteindre environ 16% de la productivité nette du biome. Étant donné les lacunes du modèle et des données d'entrée utilisées pour les piloter, nous suggérons que ce nombre sousestime la contribution du flux latéral d'au moins un facteur de deux.

3603 **1** Introduction

Chemical weathering of the land surface represents a global CO₂ sink of ~0.26-0.29 PgCyr⁻¹ 3604 (Amiotte Suchet et al., 2003; Gaillardet et al., 1999). This sink is balanced on oceanic 3605 3606 turnover (10^4) or geologic (10^7) -year timescales, depending on whether the weathering 3607 product is precipitated in the ocean or outgassed in volcanic activity, respectively (Beaulieu et 3608 al., 2012). Fluvial export of weathering products and carbonate precipitation in the coastal ocean have been the primary sink for atmospheric CO₂ over Earth's history and are the reason 3609 3610 why today the largest carbon storage term on Earth lies in carbonate rocks, followed by fossil 3611 fuel hydrocarbons -themselves partially derived from sedimentation of fluvial organic carbon 3612 fluxes (Kump, L.R., Kasting, J.F., Crane, 2010).

3613

3614 In the ocean, the carbonate alkalinity products of weathering (carbonate and bicarbonate) remain important for the contemporary state of the carbon cycle, their 3615 3616 input rate and concentration determining the calcium carbonate saturation state of 3617 ocean water on which biogenic calcification, including that of many marine primary 3618 producers (PP), depend for survival (Feely et al., 2004). Transport by rivers and streams 3619 control this oceanic input with weathering reactions occurring in soils over the 3620 terrestrial landscape. The chemical products of weathering substantially affect the 3621 carbonate alkalinity of terrestrial runoff waters (hereafter referred to as 'alkalinity') through inputs of carbonate and bicarbonate (alkalinity = Σ [HCO₃⁻, CO₃²⁻]), which are 3622 3623 likewise a major component of aggregate dissolved inorganic carbon (DIC, = Σ [CO₂, 3624 HCO_3^{-} , CO_3^{2-}]) fluxes to the ocean. Weathering is driven by the following idealised 3625 reactions:

3626

(1) $H_2O + CO_2 \leftrightarrow H_2CO_3(carbonic \ acid)$ (2) $CaCO_3 + H_2CO_3 \leftrightarrow Ca^{2^+} + 2HCO_{3^-}(bicarbonate)$

3627

Rainwater dissolution of CO₂ in the root zone (this CO₂ being of atmospheric origin since 3628 it was initially fixed by photosynthesis) is converted into HCO₃⁻ upon weathering of 3629 3630 carbonate rocks, which reduces its chemical susceptibility to atmospheric release (Brantley et al., 2011). Likewise, the vertical percolation of water through the soil 3631 3632 column allows for the fixation of CO₂ of atmospheric origin residing in soil pore space as 3633 HCO₃-. Equation 2 acts on short time scales, in that the reaction is reversible, whereby carbonates can also be precipitated in solution in soils, rivers or the ocean, releasing 3634 3635 CO₂. The link between freshwater alkalinity and DIC in inland water systems is through 3636 carbonate buffering of reaction kinetics, in which high alkalinity waters (pH \sim 8) cause a small fraction of dissolved CO_2 (H₂CO₃) to dissociate to H⁺ + HCO₃⁻, reducing the air-3637 water CO₂ partial pressure gradient (Stets et al., 2017) and so the river surface flux of 3638 CO_2 to the atmosphere, which globally totals ~1.8 PgC yr⁻¹ (Raymond et al., 2013). In the 3639 3640 high alkalinity case, the HCO₃⁻ produced by dissociation of carbonic acid is excluded 3641 from the summed HCO₃- in the alkalinity metric defined above, as it is counterbalanced 3642 by the proton instead of a base cation. In practice, the weathering substrate responsible 3643 for CO₂ uptake is dominated by two globally-prevalent mineral rock sources, silicate and 3644 carbonate, similarly weathered as follows for the idealised carbonate and 'silicate' 3645 (wollastonite) reactions:

3646

(3) $CaSiO_3(silicate) + H_2O + 2CO_2 \rightarrow Ca^{2^+} + 2HCO_{3^-} + SiO_2$

(4) $CaCO_3(carbonate) + H_2O + CO_2 \rightarrow Ca^{2^+} + 2HCO_{3^-}$

3647

3648 Eqs. (3-4) show that whereas alkalinity (2HCO_{3⁻} above) generated by silicate weathering is fully sourced from atmospheric CO_2 (or in practice free CO_2 in the soil (Calmels et al., 3649 3650 2014)), carbonate alkalinity is equally derived from the atmosphere (50%) and 3651 lithogenic source (50%). Soil free CO₂, is mediated by soil microbial activity and root respiration that provide CO₂ and organic acid protons used in the weathering reaction 3652 (Kuzyakov, 2006). However, whereas weathering in Eqs. (1-2) uses carbonic acid, the 3653 presence of sulphides (pyrite) in parent material (e.g. shales), or in acid rain caused by 3654 3655 the burning of sulfur-bearing fuels, can produce weathering via sulphuric acid without consumption of CO₂, and is thus carbon-neutral on short, or a carbon source on ocean 3656 3657 turnover, timescales (Tank et al., 2016; Zolkos et al., 2018), e.g. :

3658

(5) $CaCO_3 + H_2SO_4(sulphuric \ acid) \rightarrow +Ca^{2^+} + SO_4^{2^-} + H_2O + CO_2$

3659 3660 As suggested, the first-order control on weathering rates are the 'supply' of weatherable 3661 minerals -the quantity with which they exist on the land surface and soil (this global 3662 distribution driven initially by topographic uplift followed by other 'supply-side' factors 3663 like erosion) -and the 'demand' for those weatherable minerals by weathering agents 3664 (protons), themselves controlled by atmospheric CO₂ concentrations and the existence 3665 of soil flora and fauna for the production of CO₂ and organic acids. The reaction rate between these two is itself sensitive to other environmental drivers. 3666 Generally, 3667 weathering rates scale positively with temperature (Dessert et al., 2003; Raymond, 2017) due to the knock-on effects on solubility and reaction rates. However, there have 3668 3669 been suggestions that the temperature dependence of weathering is limited by hydrological flux -if low, weathering my not be sensitive to temperature (Raymond, 3670 3671 2017; White and Blum, 1995). Likewise, when water throughput is low (residence times long), weathering will equilibrate with the soil solution more quickly, causing it to be 3672 3673 'transport limited' (Maher, 2010; Raymond and Hamilton, 2018) i.e. it will increase with increasing throughput (decreasing residence time) -until a peak is reached at which 3674 weathering is reaction-limited. By comparison, dissolved organic carbon (DOC) leaching 3675 rates scale positively with runoff but negatively with flowpath length (Maher and 3676 Chamberlain, 2014; Millot et al., 2003; Mulholland, 1997). 3677

3678

3679 Globally, the inorganic carbon cycle in cold regions (>50°N) can be characterised by physical and chemical weathering processes, including the grinding action of glaciers 3680 3681 and annual freeze-thaw cycles, whose effect is to increase the effective mineral surface 3682 area exposed to the weathering reactant. Weathering in these high latitude regions is 3683 subject to substantial change due to anthropogenic climate warming. Glacial melting 3684 (Gislason et al., 2009) and permafrost thaw and subsequently enhanced soil-water 3685 interactions (Tank et al., 2016; Tank, Raymond, et al., 2012a), coupled with increased 3686 primary production via higher atmospheric CO_2 and thus biogenic soil CO_2 inputs(Strieg) et al., 2007), may all increase weathering and hence riverine alkalinity flux rates (Fig. 1). 3687 In addition, temperature increases will spur silicate solubility, enhance chemical 3688 3689 reaction rates and, in the high latitudes, probably substantially increase surface and 3690 subsurface hydrological runoff, increasing weathering reaction rates. These are 3691 presented in idealized form in Figure 1. Such changes may substantially impact the 3692 aggregate strength of weathering CO₂ consumption (Drake et al., 2018) and may partly

offset some of the substantial CO_2 release to the atmosphere from microbial 3693 3694 metabolisation of thawed permafrost soils projected for the future (Schädel et al., 2014). 3695 To date, however, quantification of the high latitude weathering response to a changing 3696 climate has been elusive. This is because its process-based representation requires, on 3697 the one hand, resolving the nexus of hydro-lithological reaction kinetics with thermal 3698 and biotic factors necessary for generating a dynamic above-ground weathering flux 3699 rate. Although this has previously been achieved by the WITCH model (Beaulieu et al., 3700 2012), that model requires a very large number of data-based parameters as input, and may thus be difficult to employ outside of extremely well-studied basins, of which very 3701 3702 few exist in the high latitudes.



3704

Figure 1: (a-b) Schematic diagram with simplified representation of the main state variables and processes under low (a) and high (b) CO₂ and temperature may affect weathering rate and by extension the alkalinity load ('ALK Load') entering into rivers within the permafrost region. In the diagram, the 'tree' represents primary production, the black lines underneath the rooting depth, 'CO₂' refers to the

3709partial pressure of CO_2 in the soil, 'Mineral Surface Area' refers to the weatherable soil mineral surface3710area increasing with active layer), ' F_{RO} ' and ' F_{DR} ' refer to the surface/near-surface and subsurface/deep3711soil water flows. The relative size of arrows, boxes and forms is indicative of differences in the volume of3712these flows between the two states shown.(c) System diagram depicting the idealized chain of causality3713(sign shown) between changes in climatology and changes in weathering rate in a-b.

3714

3715 On the other hand, the complexities of permafrost soil thermodynamics and hydraulics 3716 need to be resolved to represent the belowground reaction of these to thaw. The 3717 subsequent, dynamic responses of surface and groundwater fluxes likewise determines 3718 the flowpath, timing and concentration of weathering products in rivers, these requiring 3719 accurate spatio-temporal representation of chemical species lateral transfer across the 3720 landscape through the hydrological network. Finally, understanding the response of 3721 weathering to environmental change is hampered by the lack of long-term time-series of riverine alkalinity discharge with which to track this response. Globally, only the 3722 3723 Mississippi has such data over the 20th Century (Raymond et al., 2008), while in the 3724 high latitudes records span a maximum of 50 years, with large data gaps for the Eurasian rivers(Drake et al., 2018; Tank et al., 2012b, 2016; Zolkos et al., 2018). This 3725 3726 study aims to address these three problems, by integrating a simple carbonate alkalinity (weathering) generation scheme into a global land surface model developed specifically 3727 3728 for resolving permafrost region-specific physical, hydrological and biological dynamics, 3729 and the lateral transfer of dissolved species across its landscape, requiring only basic 3730 hydrological datasets (alkalinity discharge, river water discharge) for this task. This 3731 allows us to simulate seasonal, surface and subsurface fluxes of alkalinity at a 30 minute 3732 simulation timestep. High temporal resolution allows us to capture the daily and 3733 seasonal cycle of alkalinity fluxes, which are sensitive to short-term variation in the soil 3734 environment, rather than bulk annual fluxes. Further, the seasonal variation of 3735 terrestrial alkalinity (and CO₂) fluxes into the Arctic ocean of is of particular relevance to 3736 the marine realm, where these variations dramatically impact seawater acidification, 3737 carbonate saturation state and photosynthetic production (Semiletov et al., 2016). 3738 Finally, the ability of our model in reconstructing the interannual trend for those rivers 3739 where long-term data exist may give us some confidence in producing datasets of 3740 historical fluxes for those where data does not exist. In what follows, we describe the 3741 equations and models that were added to the land surface model ORCHIDEE M-L to 3742 simulate riverine alkalinity fluxes, as well as the sequence of steps taken for model 3743 calibration and optimisation.

3744 2 Materials and Methods

Basalt	Granite		
50000	60000	Diff.	Diff. %
Multiplier (F _T)	Multiplier (F_T)		
0.462	0.396	0.066	14%
0.542	0.479	0.063	12%
0.633	0.578	0.055	9%
0.739	0.696	0.043	6%
0.861	0.835	0.025	3%
1.000	1.000	0.000	0%
1.159	1.194	-0.035	-3%
1.342	1.423	-0.081	-6%
1.549	1.691	-0.142	-9%
1.785	2.005	-0.219	-12%
2.053	2.371	-0.318	-15%
	Basalt 50000 Multiplier (F_T) 0.462 0.542 0.633 0.739 0.861 1.000 1.159 1.342 1.549 1.785 2.053	BasaltGranite50000 60000 Multiplier (F_T)Multiplier (F_T)0.4620.3960.5420.4790.6330.5780.7390.6960.8610.8351.0001.0001.1591.1941.3421.4231.5491.6911.7852.0052.0532.371	BasaltGranite50000 60000 Diff.Multiplier (F_T)Multiplier (F_T)0.4620.3960.0660.5420.4790.0630.6330.5780.0550.7390.6960.0430.8610.8350.0251.0001.0000.0001.1591.194-0.0351.3421.423-0.0811.5491.691-0.1421.7852.005-0.2192.0532.371-0.318

3745 3746

Table 1: Sensitivity of the temperature-dependent alkalinity flux multiplier (Eq. 6) to the upper and lower 3747 bound of the activation energy (E_a) range (for granite and basalt lithologies, respectively) given by 3748 Hartmann et al., (2014), calculated for a range of temperatures (1-21°C) against a reference temperature 3749 of 10° C. Absolute and percentage difference of the multiplier (F_T) from the mean of the temperature range 3750 are shown. 3751

3752 2.1 Global Land Surface Model Description

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3754 The land surface model that was adapted to generate alkalinity fluxes in the northern 3755 hemisphere is a sub-version of the land surface component of the IPSL Earth System model, ORCHIDEE (Organising Carbon and Hydrology in Dynamic Ecosystems (Krinner 3756 3757 et al., 2005)), given the name ORCHIDEE MICT-LEAK (Bowring et al., 2019a), hereafter 3758 referred to as ORCHIDEE M-L, which has previously been presented and evaluated for the Lena river basin (Bowring et al., 2019b) as well as the Pan-Arctic (Bowring et al., 3759 3760 2019 in prep.). This model version essentially derives from two other subversions of 3761 The first, was specifically coded for representation of high latitude ORCHIDEE. phenomena and permafrost processes, called ORCHIDEE-MICT, has been presented and 3762 3763 evaluated for the Pan-Arctic (Guimberteau et al., 2018). Specifically, it includes 3764 representation of permafrost soil C stocks and snow physics, and their thermodynamics, to a depth of 38m, soil hydrology to a depth of 2m, explicit simulation of the active layer 3765 3766 and subsequent thermal and hydrologic shielding of sub-active layer soil.

3767

3768 The second, ORCHILEAK (Lauerwald et al., 2017) incorporates production of DOC from 3769 soil organic matter (Camino-Serrano et al., 2018), soil carbon 'priming' (ORCHIDEE-3770 PRIM (Guenet et al., 2016)) and the riverine transport and transformation of DOC and CO₂, C and water exchanges with floodplains, and CO₂ evasion from rivers and wetlands 3771 (Lauerwald et al., 2017). ORCHIDEE M-L thus resolves DOC and CO₂ production, and 3772 3773 DOC diffusion, within a vertically-discrete soil column representative of permafrost 3774 region soil thermodynamics and snow physics, and their transport/transformation 3775 within the inland water network. This combination of process representations is, to our knowledge, unique amongst land surface models and provides the instrumental 3776 3777 rationale for using this model sub-version as the basis from which to simulate high latitude alkalinity fluxes at the global scale. 3778

3780 Key to representation of weathering is the explicit, vertically discretised soil column and 3781 the hydrological fluxes which flow through them. Hydrological carbon tracers, including alkalinity, are assigned to surface and subsurface water flows, where surface runoff 3782 3783 flows are aggregated from the water fluxes that do not permeate below 0.045m while subsurface 'drainage' waters, which flow laterally at depth, are sourced from depths of 3784 3785 0.045-2m. Thus in what follows the "surface runoff" which actually includes a small portion of subsurface flow (to 4.5cm), will be referred to as 'surface runoff', while the 3786 3787 deep subsurface flow will be referred to as 'drainage'. This ability to distinguish surface 3788 from subsurface flows is important because the seasonality of each is markedly different 3789 in the permafrost region. Whereas drainage flows can occur throughout the year in the unfrozen portion of the soil column, surface runoff is highly seasonal. At the same time, 3790 3791 we expect alkalinity concentrations in the high latitudes to be substantially higher in 3792 drainage versus runoff water flows, given that these flows may currently be accessing 3793 parts of the soil column previously inaccessible to them due to soil freezing, as well as 3794 higher water residence times and the higher concentration of weatherable surface in the 3795 mineral subsoil.

3796

Finally, the soil temperature at the near-surface versus at depth also differ considerably, being cooler at the surface and warmer at depth in the winter, and vice versa in the summer. These temperature differences can drive substantial shifts in the rate of weathering, and can be accounted for in our model. The combination of different timing and concentrations of the surface and subsurface flows and vertically discretised soil temperatures means that we can produce simulated alkalinity at a daily or seasonally and spatially (vertically) disaggregated scale.

3805 2.2 Weathering flux model

3806

3807 Generating a carbonate and silicate weathering flux rate in ORCHIDEE MICT-LEAK required a number of separate steps, described in the following subsections. 3808 Our 3809 approach was to combine equations linking lithology/hydrological runoff and temperature with weathering, presented first by (Moosdorf et al., 2011) and (Hartmann 3810 3811 and Moosdorf, 2012), respectively, who successfully applied these relationships to 3812 North America and the globe. We then add in a factor relating weathering to soil respiration (e.g. (Striegl et al., 2007)). Based on an underlying high resolution lithology 3813 map (Hartmann and Moosdorf, 2012), and the soil temperature, hydrology and soil 3814 3815 respiration, generated by ORCHIDEE M-L, we simulate a weathering and alkalinity flux 3816 in the model which is then calibrated against observed data from Tank et al., (2012a) for 3817 the six largest Arctic rivers, and used to partition simulated alkalinity fluxes between 3818 surface and subsurface flows. The resulting model setup permits us to run long-term 3819 model simulations and projections of future weathering.

3820

3821 2.2.1 Step 1: Bulk weathering annual soil solution bicarbonate concentrations3822

First, to calculate an initial grid cell-specific annualised weathering flux rate, we assume that this flux is determined by hydrological runoff, defined here as the combination of surface and subsurface water flows, and underlying lithology, per the Runoff-Lithology (RoLi) model described in Eq. 2 of Moosdorf et al., (2011) (see Figure 2a). RoLi uses 16 lithological classes to estimate annual alkalinity yield per unit runoff, calibrated over the

entire North American continent, whereby a linear regression parameter for runoff and
a exponential parameter for runoff per lithological class are used. Over the lithological
classes, carbonate rocks have the highest alkalinity yield per unit increase in runoff,
whereas granitic rocks have the lowest (see Fig. 5 in Moosdorf et al., 2011).

3832

3833 To obtain the two weathering drivers (runoff and lithology), we run ORCHIDEE-ML for 30 years at 1° resolution, using the second Inter-Sectoral Impact Model Intercomparison 3834 3835 Project (ISIMIP2b (Frieler et al., 2017; Lange, 2016, 2018)) climatology over 1975-2005, 3836 to simulate high-latitude (45-90°N) annual river 'total runoff' (surface runoff + drainage in the model) fluxes. The average of this per-grid-cell annual total runoff flux is 3837 3838 combined with the high resolution global lithological map GLiM (Hartmann and 3839 Moosdorf, 2012) and applied to the equations used in the RoLi Model using ArcGIS 10.6.1 (ESRI, 2018). The result is a high latitude map of mean annual bicarbonate 3840 alkalinity concentration (mgC L⁻¹) in runoff and drainage water, i.e. the total runoff (Fig. 3841 3842 2). This methodological sequence is shown for the first three points of Fig. 2a.

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3844 2.2.2 Step 2 – Temperature dependence

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ORCHIDEE M-L was adapted to read in this annual bicarbonate concentration map, and
to allow the model to distribute this annual concentration map into fluxes of alkalinity in
surface runoff and drainage fluxes at a 30-minute timestep. To include the sensitivity of
weathering rates to temperature we apply a temperature-driven chemical weathering
multiplier from Hartmann et al., (2014) to the alkalinity flux generated by the model
(concentration*instantaneous hydrological flux) at a 30 minute timestep into its code:

3852

(6)

$$F_{T,i} = exp^{(-E_{a,i}/R*(1/T-1/T_0))}$$

3853

Where F_T is the temperature multiplier, R is the gas constant, T_0 is the reference 3854 temperature which is generated from average non-frozen soil temperature generated 3855 3856 over the 20th century by the ORCHIDEE-ML at 45-90°N, T is the soil temperature per 3857 grid cell and soil layer and timestep (for each of the energy and water calculations 3858 module's 30 minutes timesteps) and E_a is the activation energy. Hartmann et al. (2014) 3859 give three lithology-dependent activation energies: one each for felsic, mafic, and pyroclastic rocks. For carbonate rocks, they assume that there is no temperature effect 3860 3861 as increased weathering rates are counterbalanced by a decreased solubility of carbonates with increasing temperature. We use the mean of their activation energy 3862 range for basaltic and granitic rocks ($E_a=55000 \text{ J} \text{ mol}^{-1}$) as input to Eq. 6, justified by the 3863 3864 fact that over a 20°C range of realistic non-zero temperatures in high latitudes (1-21°C), 3865 the temperature-dependent activation energy varies by a maximum of +/-15% across these two lithological classes (Table 1), while pyroclastic rocks are a relatively scarce 3866 underlying lithology, that are thus ignored here. Because ORCHIDEE M-L generates 3867 3868 water losses to rivers at both the surface and subsurface, we determine a reference 3869 temperature (T₀ in Eq. 6) for each of the runoff and drainage fluxes, determined by the layer thickness-weighted average of the mean annual grid cell soil temperature, in the 3870 top five (top 0.045m, 283.2K) and bottom six hydrological soil layers (bottom 1.955m, 3871 280.15) over the 30-year model run period described above, averaged over all grid cells. 3872 3873 (a)



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 3879
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 Figure 2: (a) Schematic representation of the sequence of steps by which the simulation of alkalinity fluxes is generated by the model, as described in Section 2.2. (b) Average Pan-Arctic dissolved HCO₃- alkalinity concentration (mgC L⁻¹) in runoff and drainage water fluxes used as a forcing file to run the 103
model. Concentrations were calculated using the Moosdorf et al., (2011) Ro-Li model based on the
Hartmann and Moosdorf, (2012) Global Lithological Map and the average runoff and drainage fluxes
simulated over the years 1975-2005 by ORCHIDEE M-L under ISIMIP2-IPSL climatology. Names of the 'big
six' Arctic river basins are given in black boxes, with arrows pointing to the river mouth of each basin.

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3902

3886 2.2.3 Step 3 - Respiration dependence of Weathering

As discussed, increases in CO₂ and temperature increase primary production and soil 3887 3888 respiration, increasing the availability of the weathering agent (protons) and thus in theory the rate of weathering. To represent this, we scale simulated alkalinity fluxes in 3889 runoff and drainage waters to heterotrophic soil (Rsoil), root (Rroot), and belowground 3890 litter (R_{litt}), respiration, normalised by a reference respiration value (R_{ref}) taken from 3891 3892 simulated output of these variables over the Big 6 basins for the approximate period during which most observational data have been gathered for these rivers (1996-2005). 3893 3894 Runoff and drainage alkalinity fluxes in a grid cell at a given timestep is scaled to a 3895 dimensionless modifier (M) determined by the total soil respiration in that grid cell and 3896 timestep, normalised by the R_{ref} calculated, as in Eq. 7:

(7)
$$M = \frac{R_{soil} + R_{root} + R_{litt}}{R_{ref}}$$

3897 Where R_{ref} depends on the input forcing data being used. Where ISIMIP (IPSL) 3898 climatology was fed into the model, $R_{ref} = 1.1$ gC m⁻² d⁻¹, whereas for GSWP3 climatology 3899 (see Section 3.2) $R_{ref} = 0.69$.

3901 2.2.4 Step 4 - Calibrating Alkalinity Flows to Arctic River Data

3903 In the next step, we calibrated the model to obtain a reasonable ratio between surface 3904 runoff and drainage alkalinity concentrations, needed to simulate the seasonality in 3905 alkalinity concentrations, with lowest concentrations in late spring when contributions 3906 from surface runoff due to spring freshet are the highest, and higher concentrations 3907 when the river is mainly sourced by subsurface water flow (due to surface freezing). For 3908 this, we fitted the model-generated alkalinity fluxes sourced from both surface runoff 3909 and drainage to observation-based estimates of monthly average alkalinity loadings for 3910 the Big 6 Arctic Rivers. To obtain those observation-based estimates of monthly average 3911 alkalinity loads, we used for each river the rating curve representing the relationship 3912 between alkalinity flow and river discharge empirically found by Tank et al., (2012a), 3913 and applied them to the average monthly discharges simulated with ORCHIDEE M-L 3914 over the period 1976-2005. We preferred the so estimated monthly riverine alkalinity 3915 fluxes over the observed fluxes published in Tank et al., (2012a), as seasonality of 3916 alkalinity concentrations and discharge are tightly, functionally coupled and the slight but significant mismatch between simulated and observed seasonality and magnitude of 3917 3918 discharge, which we had identified for our model results, would lead to erroneous, 3919 unrealistic calibrations of surface runoff versus drainage concentrations to compensate 3920 for the mismatch in discharge.

3921

3922 In order to calibrate a constant ratio between surface runoff and drainage concentration 3923 to be applied to all Arctic Rivers, we first performed a regression of modeled versus 3924 observation-driven monthly alkalinity concentrations for the 6 Arctic rivers (eq. 8, 3925 n=72). The observation-driven concentrations (C_{obs}) were calculated by dividing 3926 observation-driven monthly alkalinity fluxes (see above) by the simulated monthly 3927 discharge . The simulated annual concentrations $C_{sim,RUNOFF}$ and $C_{sim,DRAINAGE}$ were 3928 calculated by dividing the simulated surface runoff and drainage alkalinity flux, 3929 respectively, by the simulated mean discharge (1976-2005). That means that the sum of 3930 $C_{sim,RUNOFF}$ and $C_{sim,DRAINAGE}$ gives the total of simulated alkalinity concentration in the 3931 river.

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(8) $C_{obs} = a1 * C_{sim,RUNOFF} + a2 * C_{sim,DRAINAGE}$

3933

3934 The regression yields values for a1 and a2 of 0.58 and 1.22, respectively (R²=0.77, p-3935 value: < 2.2e-16). From this regression, we obtained a mean ratio of surface runoff to 3936 drainage alkalinity concentrations of 0.47. This ratio between surface runoff and 3937 alkalinity concentrations explains seasonal variations in alkalinity concentrations, with 3938 lowest values during the spring freshet when surface runoff is dominant, but also spatial 3939 variations in alkalinity concentrations. For example, areas with high permafrost 3940 coverage are dominated by surface runoff and thus exhibit low HCO₃- concentrations 3941 (spatial feature), while during the spring thaw/high river discharge period (temporal 3942 feature), alkalinity concentrations plummet as the flux of weathered matter is diluted by 3943 the huge water flux at this time (Tank et al., 2012b, 2012c).

3944

3945 2.2.5 Step 5 -Calibration Correction

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3947 In the final step, we corrected the alkalinity concentration forcing, developed in Step 1 3948 (2.2.1), to account for the fact that the empirical model from which it is derived was 3949 mainly trained on temperate North American watersheds, and thus not necessarily 3950 representative of the high latitudes. We found a correction factor for each basin, by 3951 applying the [surface runoff:drainage alkalinity] concentration ratio of 0.47 to the 3952 surface runoff alkalinity fluxes generated from the alkalinity concentration forcing, 3953 while the drainage alkalinity fluxes were kept unchanged (eq. 9). Then, we summed both 3954 fluxes up to the simulated monthly fluvial alkalinity transports, which were regressed 3955 again against the observation-driven alkalinity fluxes.

3956

$(9)Load_{obs} = b * (0.47 * Load_{sim,RUNOFF} + Load_{sim,DRAINAGE})$

3957

3958 Where Load refers to annual alkalinity loading of a river (kgC s⁻¹), with right-hand-side 3959 subscripts referring to the source the simulated alkalinity flux and *Load*_{obs} being the 3960 observation-based alkalinity flux. As mentioned above, we fitted that regression for the 3961 average monthly alkalinity fluxes for each basin, with regression results listed in Table 3962 S1. We applied the b-estimates from the regression as correction factors to the original 3963 alkalinity concentration forcing file. For areas outside the Big 6 river basins, we used the 3964 correction factor from the closest basin. Through this, we obtained a corrected forcing 3965 file representing the alkalinity concentration in the drainage fluxes per grid cell for a 3966 reference soil temperature of 280.15 K. The corresponding concentration in the surface 3967 runoff flow is calculated by applying the factor of 0.47 obtained above.

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3969 **2.3 Estimation of CO₂ Uptake by Chemical Weathering**

3970
3971 As described in the introduction, chemical weathering of carbonate and silicate rocks
3972 drives CO₂ uptake in the weathering reaction between water and carbonate and/or

3973 silicate -bearing rocks. Based on the rate of combined surface and subsurface generation of dissolved product of this reaction (HCO₃⁻ in solution) –in other words, the 3974 export rate of alkalinity from the soil – that is produced by the outputs of our model, we 3975 3976 can calculate the atmospheric CO_2 uptake rate associated with weathering, and the spatially explicit changes in that rate over time. To do so, we use the lithology 3977 3978 dependent CO₂ uptake/alkalinity flux ratio found in Moosdorf et al. (2011) and apply it 3979 to the total export (surface runoff + drainage) of alkalinity per grid cell and year of simulation to arrive at a first-order CO₂ uptake rate per unit land surface area (gC m⁻² yr⁻ 3980 3981 ¹).

3982 3 Data and Simulation

3983 **3.1 Simulation forcing with ISIMIP climatology**

3984 The climatological forcing data used to drive ORCHIDEE-ML for historical and future simulations was taken from bias-corrected projected output from the IPSL Earth System 3985 3986 Model under the second Inter-Sectoral Impact Model Intercomparison Project (ISIMIP2b 3987 (Frieler et al., 2017; Lange, 2016, 2018)) rubric, following the 'intermediate-warming' 3988 trajectory of Representative Concentration Pathway 6.0 (RCP 6.0). This scenario projects rising emissions through the 21st Century, which peak in 2080. Climatological 3989 3990 input data are at a daily temporal and 1 degree spatial resolution, covering the period 3991 1960 to 2100. The input vegetation and land cover map was taken from the 5th Coupled Model Intercomparison Project 5 (CMIP5-LUHa) output. As previously mentioned, we 3992 3993 derived a baseline weathering product concentration map which was read as input to 3994 the model (Fig. 2a,b). This input is then mediated by the weighted mean soil 3995 temperature experienced by the surface and subsurface water fluxes, as described in the preceding subsections. The water height threshold, used to define the water level at 3996 3997 which floodplain inundation is triggered, is obtained statistically over multiple 30 year 3998 (1976-2005) model runs using ORCHIDEE MICT-LEAK, in a stepwise procedure first 3999 described in Lauerwald et al., (2017) and employed in Bowring et al. (2019). 4000

River Discharge (km³ yr⁻¹)	Yukon	Mackenzie	Ob'	Yenisei	Lena	Kolyma
Observed 2000-2009	207	305	415	640	603	78
ISIMIP sim. 2000-2009	119	245	498	455	327	80
GSWP3 sim. 2000-2009	119	211	593	501	359	98
Observed 1990-1999	217	275	405	613	532	68
ISIMIP sim. 1990-1999	112	253	494	473	295	82
GSWP3 sim. 1990-1999	126	220	558	506	331	89
Observed 1980-1989	206	273	376	582	549	68
ISIMIP sim. 1980-1989	128	260	530	464	327	74
GSWP3 sim. 1980-1989	128	221	524	483	316	96
Observed 1970-1979	184	292	441	591	529	65
ISIMIP sim. 1970-1979	113	232	461	432	317	96
GSWP3 sim. 1970-1979	118	230	574	497	327	90

4001

- 4002 Table 2: Observed vs. simulated river water discharge using the ISIMIP 2b and GSWP3
 4003 climatological forcing datasets. Shown are the decadal-mean simulated (ISIMIP 2b)
 4004 versus observed total annual river discharge (km³ yr⁻¹); observations are taken from the
 4005 NOAA Arctic Report Card by Holmes et al., (2015).
- 4006

4008

4007 **3.2 Rationale for simulation with GSWP3 climatology**

4009 Previous studies (Bowring et al., *in prep.*) have shown that the ISIMIP 2b climatology 4010 dataset, which we use to drive historical and future projections for alkalinity and 106 4011 weathering fluxes in our model, tends to underestimate hydrologic runoff when using 4012 ORCHIDEE, causing lower-than-observed bulk river fluxes and peak flows. Because bicarbonate weathering and transport rates are dependent on runoff, we are likely to 4013 underestimate these alkalinity fluxes in simulations using our model. On the other hand, 4014 when using another historical climatology dataset called GSWP3 (http://hydro.iis.u-4015 tokyo.ac.jp/GSWP3/) -ORCHIDEE is able to more successfully reproduce observed Arctic 4016 river discharge flows (bulk and seasonal (Guimberteau et al., 2018)). The alkalinity 4017 4018 model can be run using this 'optimal' dataset, from which we can compare model 4019 performance with respect to observations, and thus provide a yardstick against which to 4020 evaluate whether departures from observations are the result of suboptimal input data or suboptimal model representation. To this end, we repeat steps 1 to 3 using the 4021 4022 GSWP3 dataset, and run the model over the historical simulation period (1901-2005).

4023 **4 Results**

4024 **4.1 Future Trends**

4025 Over the ISIMIP climatology-driven simulation period 1960-2099, bulk alkalinity basin-4026 outflow trends for the 'Big Six' Arctic rivers increased markedly over Eurasia, with If river discharge of alkalinity is ambiguous trends in North America (Fig. 3). 4027 4028 disaggregated by source of water flow -that is, if we discriminate between whether a 4029 given unit of alkalinity in the river entered the river via the soil surface (runoff) or subsurface (drainage) -as is done in Figure 3, the trend in alkalinity discharge is 4030 dominantly driven by large-scale increases in the drainage flux, against smaller 4031 4032 increases (Lena, Yenisei, Kolyma) or no noticeable change in runoff fluxes (Ob, 4033 Mackenzie, Yukon). This dynamic is particularly apparent for the two largest and least permafrost-affected of the Eurasian rivers considered, the Ob and Yenisei, where the 4034 drainage alkalinity flux increases by ~ 3 (Ob) and ~ 6 (Yenisei) –fold over the simulation 4035 period. or from the order of $1 \rightarrow 3$ TgC yr⁻¹ and $0.2 \rightarrow 1.2$ TgC yr⁻¹ for each river 4036 4037 respectively.

4038

4039 The massive increase in drainage water-sourced alkalinity discharge points to a largescale increase in subsurface flows facilitated by permafrost thaw, a deeper active layer 4040 4041 allowing increased vertical entrainment and flow of water from the surface-down. Permafrost thaw in this sense liberates the subsurface soil column from its previous 4042 4043 thermal shielding, greatly expanding the surface area subjected to chemical weathering 4044 and the subsequent aggregate flux of the weathering product. Indeed, the increase in 4045 modeled drainage weathering corresponds with areas of greatest increases in temperature, drainage water flow and active layer depth. Further, the increased vertical 4046 4047 distance of water flowpaths and their longer soil residence time (slower flow) converge to increase the concentration of weathered material in drainage flows, as represented in 4048 4049 our simple weathering flux module, whose increase thus serves to further enhance the river discharge of alkalinity. 4050



4052 4053 Figure 3: Drainage-sourced (left) and surface runoff-sourced (right) alkalinity discharge trends (TgC-eq. 4054 yr⁻¹) at basin outlet for each of the 'Big Six' rivers over the simulation period, with a 30-year moving 4055 average applied to each trendline.

4057 As described in the introduction, chemical weathering of carbonate and silicate rocks drives a net atmospheric CO₂ uptake. The change in this land-atmosphere CO₂ flux over 4058 4059 the 21st Century is shown in Figure 4a. Carbon uptake rates decline in temperate Western Europe and the inland North American Arctic, but increase strongly in central-4060 Western Siberia, Sweden and along the eastern and western boundaries of North 4061 America, and weakly in Eastern Siberia. These same regions correspond to areas of 4062 matching sign of changes in alkalinity discharge (Fig. 4b). At the same time, there is a 4063 strong spatial correlation (positive) of changes in weathering atmospheric CO₂-sink with 4064 changes in subsurface (drainage) soil alkalinity export (compare Fig. 4a and Fig. 4c), and 4065 4066 a strong anti-correlation with changes in surface runoff alkalinity export (compare Fig. 4a and Fig. 4d). Thus, deeper vertical flow paths promote CO₂-weathering uptake, 4067 whereas an increased flux of water running over the land surface (runoff) is indicative of 4068 a shorter water residence time, less weathering per unit volume of water, which implies 4069 4070 greater dilution of weathering products and a decrease in CO₂ uptake from weathering.

4071

4072 The trends of CO_2 -weathering uptake, when extended to the Big Six rivers, underscore the magnitude of the change of this often-overlooked component of the terrestrial C 4073 4074 cycle perturbed by climate change and human activities on short timescales, as well as 4075 its potential sensitivity to changes in environmental conditions. Fig. 5 (left) shows that 4076 the basin-summed CO₂-weathering uptake rate ranges from \sim 0.2 TgC yr⁻¹ (Kolyma) to 4077 up to ~ 10 TgC yr⁻¹ (Yenisei), a range very similar to the maxima and minima of dissolved 4078 organic carbon (DOC) export rates for each of the six basins predicted by the model. Like 4079 other alkalinity-related variables, the Eurasian basins tend to experience the highest rates of increase in weathering CO₂ uptake over the simulation period, owing, as
previously discussed for Fig. 4, to deeper subsurface flow paths.



Figure 4: Maps of changes in alkalinity-related variables over the 21st Century, i.e. between the mean of the last decade of simulation (2090-2099) and (1996-2005), for **(a)** weathering-driven CO2 uptake, **(b)** total alkalinity transport in river discharge, **(c)** drainage and **(d)** runoff alkalinity export from the soil column.

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 Year
 Year

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 Figure 5: 30-year moving average of (left) basin-aggregated CO₂ uptake by weathering (TgC yr⁻¹) and (right) annual alkalinity concentrations (mEq. L⁻¹) in outlet discharge, for each of the Big Six river basins.

4092 Whereas the bulk fluxes of terrestrial alkalinity export and discharge previously described are strongly dependent on bulk inputs of precipitation and subsequent 4093 surface runoff and drainage water flows, the input of alkalinity made available to each 4094 4095 volumetric unit of water flowing from the land surface (mEq L⁻¹) is a measure of the 4096 concentration of alkalinity made available by the underlying lithology and soil 4097 temperature. The per-basin trends in this alkalinity concentration are shown in Fig. 6 4098 (right) and differ somewhat in magnitude and trend from the other alkalinity variables 4099 in this analysis, with highest concentrations in the Mackenzie, Yukon and Ob basins. 4100 reflecting the bedrock lithology, and greatest rates of change occurring in the Yukon and 4101 Mackenzie, reflecting the whole-soil-column changes in temperature and hence 4102 weathering associated with permafrost thaw.

4103

4104 Characteristic features of alkalinity concentration seasonality in permafrost regions are captured by the model output (Figs. 6-8). These include high concentration values in 4105 4106 autumn and winter (Fig. 7), as freezing of the top soil hampers runoff flow and facilitates 4107 only slow subsurface flow with high concentrations as long as the bottom soil is not yet frozen, followed by an abrupt collapse in concentration values in spring when the 4108 freshet or thaw period causes a massive flushing of snow from the land surface whose 4109 4110 rapid runoff strongly dilutes concentrations. In the summer, concentrations pick up 4111 again as the active layer deepens, causing deeper entrainment of the weathering 4112 reactant and subsequent access to a larger surface area of weatherable material (Fig. 6, 4113 right hand side), leading to higher concentrations and fluxes of alkalinity. As expected, 4114 alkalinity discharge rates are in proportion with river discharge rates (Fig. 6, left) 4115 although it appears that there are clusters of different response rates to river discharge, 4116 most likely driven by differing lithology and/or extent of permafrost coverage. These 4117 dynamics have been found and explained in greater detail by several studies (Drake et 4118 al., 2018; Tank et al., 2012a, 2016).

4119



4120River Water Discharge Rate (m³ s-1)Alkalinity Discharge Rate (tons s-1)4121Figure 6: Scatter plots of model output under ISIMIP 2b forcing, where each point represents a given grid4122cell for a given year over the entire simulation period for the Big 6 rivers combined, to show the variation4123of bulk alkalinity discharge rate flowing through rivers (tons s-1) with (left) annual mean river discharge4124rate, and (right) mean annual maximum active layer depth.

4125

4126 As shown in Fig. 7, the Big 6 basins share common changes in the seasonality of alkalinity concentrations between the end of the 20th and 21st centuries. Generally, the 4127 whole seasonal cycle is shifted earlier by one or two months, as warmer temperatures 4128 4129 drive ice melt and peak river flow (corresponding to the concentration minimum) earlier in the year. The summertime concentration peak (~Jul-Aug to ~Sept-Oct) 4130 increases in magnitude for all rivers as warming deepens the active layer and combines 4131 with late summer rain to increase the high-concentration drainage flux of alkalinity. In 4132 4133 addition, wintertime concentration peaks (~Dec-Feb) decline in magnitude for all rivers. This suggests that wintertime baseflow rates in river discharge increase in the future, 4134 leading to a suppressive dilution effect on alkalinity concentrations. 4135

4136

4137 **4.2 Comparing observations with model output per climate input dataset**

4138 Previous studies have suggested that weathering rates and attendant riverine alkalinity loading are dominantly driven by runoff and lithology, rather than temperature 4139 (Eiriksdottir et al., 2011). At the same time, the climatological datasets from which our 4140 4141 models run generally lead to underestimations (with the exception of the Ob) of runoff and river discharge in the Arctic (Table 2), and with a greater negative bias under ISIMIP 4142 4143 climatology. Thus by comparing observations of seasonal and inter-annual variations in 4144 bulk fluxes and concentrations with simulations performed using two separate datasets, 4145 we gain some heuristic grip on the extent to which model-simulation discrepancies 4146 reflect issues relating to the forcing data, or issues relating to process-representation in 4147 our simple alkalinity-generating module.





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4154 Figure 8: Timeseries of bulk annual alkalinity discharge rates at outflow for (top) the Ob, (middle)
4155 Yenisei and (bottom) Mackenzie basins, in simulations (solid) and from empirically-determined
4156 estimates (dotted) in Drake et al. (2018) and Tank et al. (2016), respectively.

4157

4158 Generally, Figure 8 shows that our model does reasonably well in capturing the magnitude and inter-annual variability of annual alkalinity discharge for the period 4159 ~1973-2007 over the Yenisei, Ob (Drake et al. 2018) and Mackenzie (Tank et al. 2016) 4160 4161 rivers (those Arctic rivers for which long-term data exist in the published literature). 4162 Where the simulated annual river water discharge is low compared to observations 4163 (Yenisei, Mackenzie (Table 2)), so too is the simulated alkalinity discharge. Where bulk 4164 water outflow is overestimated (Ob under GSWP3 climatology), so the alkalinity 4165 discharge is likewise overestimated, suggesting the dominance of runoff as determinant 4166 of weathering flux rates. Inter-annual variations in alkalinity discharge appear to be 4167 reasonably well captured by our model, particularly for the Ob and Mackenzie, however in Fig. 8 our simulations fail to capture the multi-decadal trends for the two Siberian 4168 4169 rivers: a pronounced increase in alkalinity loading (Drake et al., 2018), However, the 4170 Drake et al. study did not propose explicit causal mechanisms for the dramatic rise in alkalinity loading, complicating our ability to interpret the apparent shortcomings of our 4171 4172 model. It may be that the temperature sensitivity or the weathering correction for soil 4173 respiration of the model (see Methods, Step 2) are too low or that it uses too low an 4174 activation energy. Similarly, our omission of peat processes or peatland coverage may be 4175 significant due to the high areal coverage of peatland in, particularly, West Siberia (for 4176 example, Zakharova et al. (2007) show that this can be up to 50% of total watershed area), which may have a significant impact on weathering rates either due to very low-4177 4178 pH soil waters or due to the comparatively low availability of weatherable material in 4179 peat soils. Speculatively therefore, changes in this areal peat coverage (expansion/contraction with increasing temperatures) may play a role in long-term 4180 weathering rates and alkalinity loading in rivers. On the other hand, the steep alkalinity 4181 increases seen in the Siberian region may also reflect changes in human production and 4182 4183 consumption systems (e.g. liming or alterations to industrial production and related 4184 The model is capable of approximating mean annual alkalinity energy sources). concentrations (Fig. 8). Because simulations driven by the ISIMIP dataset lead to even 4185 4186 lower river discharge flows than those driven by GSWP3, alkalinity concentrations tend 4187 to be correspondingly higher in the former compared to the latter simulations. For the 4188 two Siberian rivers, the model overestimates concentrations for the period preceding 1990, and underestimates them for the period following year 2000. This is unsurprising. 4189 4190 given that as previously discussed, our alkalinity model does not reproduce the $\sim 300\%$ increase in alkalinity loading recorded for these two rivers since the 1970s. 4191

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4193 Because of this, and because river discharge in West Siberia has not greatly increased 4194 over that same time period, the observed increases necessarily ascribe to very large 4195 increases in alkalinity concentration. In addition across all rivers, where mean annual alkalinity concentrations are overestimated, this also partly owes itself to the 4196 underestimation of summertime river flow in both GSWP3 and ISIMIP climatologies 4197 4198 (Fig. 9). This low discharge reduces what would otherwise be a dilution effect, 4199 correspondingly raising the mean annual concentration value simulated. Both simulated 4200 alkalinity load and concentration suffer from an ability to capture the importance and 4201 extent of other, non temperature/runoff/lithology -related processes which could be4202 important in different basins to different degrees.



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- Figure 9: Monthly alkalinity concentrations for the Big Six rivers at coastal outflow as reconstructed from observations in Tank et al. (2012) averaged over the period 2003-2009 and calibrated by river discharge data over 2000-2009 (top), and the average simulated by our model over the period 2000-2007 using (middle) ISIMIP 2b and (bottom) GSWP3 climatological data.
- 4208

For example, the impact of glacial processes and glacial thaw, the cracking exposure of
weatherable material caused by repeated freeze-thaw cycles and the prevalent exposure
of bare rock and soil to precipitation in mountainous Arctic regions are high latitude-

specific factors which by nature would have a high temperature sensitivity that would
increase the amount of alkalinity transported in runoff. But the importance of such
changes to these fluxes is not known and this is not considered here.

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4217

4216 **4.3 Alkalinity and weathering in Arctic lateral-flux carbon budgets**

4218 To our knowledge, ORCHIDEE M-L is the first and currently only land surface model to 4219 include carbonate alkalinity in the geological loop of the carbon cycle perturbed by 4220 climate change in the past and future century. This may be a substantial issue for C cycle 4221 models. Figure 10 explains why. Our model output suggests that over the Big 6 rivers 4222 combined, alkalinity input from soils to rivers in the present day are of the same order 4223 as DOC inputs, in C equivalent terms (23.1 vs 27.3, respectively). Likewise, the uptake of 4224 CO_2 from weathering in the present day exceeds outgassing from riverine CO_2 evasion 4225 (12.1 vs 15.6), which is the major C loss term for the inland-water C cycle, while 4226 alkalinity outflow to the Arctic Ocean also exceeds DOC outflow (19.4 vs 23.1). The 4227 relative importance of the alkalinity generation/flux and weathering CO₂ uptake only 4228 increases in a warmer future, as both processes increase their flux rates by around onethird, while DOC fluxes across the Big Six decline in aggregate. In particular, CO₂ uptake 4229 4230 from weathering increases to 180% of evasion, meaning that the inclusion of lateral 4231 fluxes that themselves include alkalinity generation shift the inland water C cycle from a 4232 status of net C source to net C sink.

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4234 Furthermore, while both DOC fluxes and alkalinity fluxes have been shown to be 4235 underestimated by our modeling scheme, the former largely due to the poor representation of precipitation in the forcing files and the exclusion of peat in peat-rich 4236 4237 basins, the latter also due to the precipitation issue as well as poor representation of 4238 some high latitude weathering processes, the factor of this underestimation is 4239 substantially higher for alkalinity fluxes, implying that its real importance relative to the 4240 organically-generated C fluxes may likewise be significantly higher. At the biome scale, 4241 the combined lateral flux process representation enabled by our inclusion of the 4242 relevant organic and inorganic dynamics involved in them in the land surface 4243 component of an Earth System model suggest that far from being a minor component of 4244 the C cycle, the combined lateral flows constitute 16% of net biosphere productivity 4245 (51/324 TgC yr⁻¹), or NPP –heterotrophic respiration, in the present day. This is likely 4246 an underestimate by a factor of at least two, given the shortcomings of our modeling 4247 approach and forcing data inputs used to generate them, when these are compared to 4248 empirical data. From this we can conclude that the inland water system offers a C sink to 4249 buffer the effect of increased temperatures in permafrost regions, since on the one hand 4250 the processes that promote the lateral flux of organic carbon (DOC) tend to decline with 4251 warming, decreasing the potential amount of carbon available to be outgassed as evasion to the atmosphere, while on the hand promoting the lateral flux of 4252 lithogenically-sourced C, increasing atmospheric C uptake. 4253

4254 **5** Conclusions

4255 Despite the shortcomings of this simple alkalinity generation module described above,
4256 we have shown that for some Arctic basins it remains capable of reproducing first order
4257 bulk alkalinity discharge fluxes as well as their seasonality and concentration. In

addition, we have shown that far from being a minor component of the Arctic C cycle,

weathering and alkalinity fluxes are major constituents of it.







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Chapter 6: Perspective and Outlook

4277 4278 General Summary

4279 4280 This thesis and the studies of which it is comprised have sought to trace the development of a model that is able to simulate at a broad spatial and temporal scale the 4281 4282 dynamics of biogenic and lithogenically -generated lateral carbon (DOC, CO₂ and 4283 alkalinity) transfer in permafrost ecosystems. Having described a new DOC-generating model fully coupled to hydrology and terrestrial carbon cycling and evaluated its ability 4284 4285 to adequately represent these dynamics at a high spatial, temporal and, for an Earth 4286 system model, process resolution in Chapters 2 and 3, respectively, Chapter 4 uses the tool to project the response of the lateral flux system to future changes in the Arctic until 4287 4288 the end of the 21st Century. Our simulation results in that study suggest that, contrary to 4289 first-order empirical expectations, the response of lateral fluxes to warming in the 4290 absence of large scale increases in precipitation-driven runoff, actually decrease the 4291 total throughput of carbon to inland waters. Chapter 5 then describes a new, simple 4292 module added into ORCHIDEE M-L for the simulation of alkalinity fluxes and shows it is 4293 able to broadly simulate present day alkalinity discharge fluxes for the 'Big 6' Arctic 4294 rivers, and further, that these fluxes increase substantially in the future when the model 4295 is forced by projections of future climatic conditions. Crucially, Chapter 5 also shows 4296 that by including the weathering uptake of CO₂, the land inland water lateral carbon 4297 transfer system switches from being a net source to a net sink of carbon as weathering 4298 carbon uptake exceeds CO₂ evasion from the river surface, even without the 4299 representation of authochtonous (in-stream) primary production in our model. Overall, 4300 this cumulative work represents a novel and substantial addition to the existing body of research and modelling products available to address such questions. Nonetheless, as 4301 with all endeavours in numerical modelling, ours suffers from substantial omission in 4302 4303 process representation. In addition the lack of spatio-temporally representative empirical data that would enable greater theoretical understanding, improved input 4304 data and stronger baseline for evaluating the model on a Pan-Arctic scale is particularly 4305 acute for permafrost-affected areas, owing to the practical and logistical difficulties in 4306 4307 acquiring such data. In what follows we summarise some of these data gaps, followed by gaps in the modelling scheme, how these two relate to one another, and discuss the 4308 4309 plausible effects of their availability/inclusion.

4310

4311 The Data Gap

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The carbon budget of Arctic rivers is poorly constrained with regard to both stocks and fluxes, with land surface flux data at the catchment scale particularly lacking. This limits the ability to understand and predict the amplified surface warming caused by future permafrost thaw and CO₂ release, with current estimates of cumulative terrestrial permafrost-sourced atmospheric loading standing at 65-240 PgC by 2100(Schuur et al., 2015). Given the region's geographical remoteness and the complexity of interacting snow, ice, soil and biology, ground observations are sparse and yet utterly critical for 4320 our understanding. Narrowing gaps will require better understanding of hydrological 4321 and biogeochemical dynamics (surface and subsurface) for all watersheds, in particular 4322 those outside the 'Big Six' rivers, which feed substantial volumes of Arctic Ocean 4323 discharge(Holmes et al., 2012) yet display different dynamics (e.g. base-flow of zero) due to the fact that they are at present entirely underlain by continuous permafrost. 4324 Indeed, our simulation output in Chapter 3 shows that the 'Medium 9' -the 9 next-4325 largest rivers after the 'Big 6' -discharge about a third the quantity of DOC as the Big 6, 4326 4327 despite proportionally lower water discharge, and that this fraction increases over the 4328 21st Century (Chapter 3, Table 1).

4329

4330 Current estimates for Arctic and boreal region CO₂ evasion are likely to be strong underestimates, given that empirical studies tend to sample from the river main stem 4331 4332 over limited time periods, whereas extremely high DOC concentrations and CO₂ evasion 4333 rates found in both boreal and Arctic headwater streams are consistently undersampled (e.g. refs.(Drake et al., 2015; Teodoru et al., 2009)). The current inland water 4334 4335 evasion estimate over the high latitudes is around 40-84 TgC yr⁻¹ being emitted from 4336 Arctic lakes and rivers, of which 15-30 TgC yr⁻¹ is thought to be from rivers alone(Mcguire et al., 2009). Our modern-day evasion estimates from the Big 6 rivers 4337 alone total 12.14 TgC yr⁻¹, and bring our estimates for that flux close to the mid-range of 4338 4339 the first order estimates (20.1 TgC yr⁻¹). Nonetheless, despite being the dominant pathway for laterally exported carbon(Drake et al., 2017), the fact that even a river the 4340 size of the Lena has, to our knowledge, never been the subject of a CO₂ evasion 4341 4342 measurement campaign on either main stem, tributary or headwater scale highlights the 4343 extent of what is missing from empirical literature.

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4345 In addition, further hydrological data (volumes, timing, temperature) across the spectrum of small and large rivers, upstream, downstream and across the extent of their 4346 4347 floodplains and coastlines, on connectivity of lakes (including thermokarst) with each 4348 other and to rivers via surface and subsurface flows, as well as higher spatio-temporal 4349 resolution maps of subsurface ice content are urgently needed. Without these, reliable 4350 estimates of carbon and nutrient transport rates, respective residence times and 4351 associated uptake and metabolization pathways and their subsequent flux rates, cannot 4352 be generated with much certainty.

4353 4354 Likewise, we recommend further pilot studies exploring the dynamics of POC and DOC 4355 parent material, in-stream burial and reaction rates along the length of the river reach. Basin-wide rates of organic carbon mobilization and their relative age, their processing 4356 4357 within the aquatic continuum and how all of these factors vary seasonally and with multi-year temperature differentials remains somewhat speculative, despite some 4358 4359 progress(O'Donnell et al., 2016). The impact of microbial or photo-degradation 'priming' (De Baets et al., 2016) that might arise from mixing water and or soil masses 4360 with constituents of different sources and ages (e.g. ref.(Guenet et al., 2010)) requires 4361 comprehensive investigation. In lieu of existing basin-scale data, modeling studies 4362 4363 might in the meantime make use of emergent properties such as the scaling relationship found between water retention time and carbon decay rate(Catalán et al., 2016), to 4364 4365 derive carbon decomposition in complex Arctic hydro-systems. 4366

4367 Perhaps the most glaring gap in empirical data and process understanding concerns the 4368 terrestrial-marine transition zone (the continental shelf), and the effect of lateral fluxes on this region. While the drivers of organic matter outflow from rivers and the coast to 4369 the Arctic Ocean are relatively well established, little is known regarding its transport 4370 and fate along the Arctic continental shelf, particularly in the East Siberian Arctic 4371 Shelf(Semiletov et al., 2012). There, terrestrially-derived DOC behaves non-4372 conservatively (Alling et al., 2010), causing high pCO_2 in, and acidification of, the near-4373 4374 shore zone, a phenomenon previously ascribed to surface water uptake of anthropogenic CO₂(Biastoch et al., 2011; Semiletov et al., 2016). Acidification in 4375 4376 addition to changes in riverine OC outflows, may in turn alter the composition of 4377 primary producers and heterotrophs along the shelf and beyond (Findlay et al., 2015b) addition. is known of marine permafrost 4378 little stocks and fluxes In (~1000±500PgC(Shakhova et al., 2010)) –their extent, distribution, thickness, thermic 4379 conductivity, composition - and the response of these to both terrestrial heat and 4380 4381 density (freshwater) fluxes over both short and long timescales(Archer, 2015; Janout et 4382 al., 2016; Nicolsky et al., 2012; Overduin et al., 2015; Sapart et al., 2017; Shakhova et al., 4383 2014. 2015: Shakhova and Semiletov. 2007).

4384

4385 Finally, the Arctic and boreal land-ocean-aquatic continuum involve a wide range of 4386 methane stocks, methane-producing conditions, and transformative processes. These 4387 occur across the landscape, from thawing soils, thermokarst formations, lakes and 4388 inland waters, to the estuarine and shelf environments. Methane (CH₄) may contribute 4389 ~40% of total CO₂-equivalent evasion from boreal rivers(Campeau et al., 2014; Campeau 4390 and Del Giorgio, 2014). CH₄ emissions occur dominantly in the winter (post-August) as the active layer is retained despite subzero air temperatures, through snow insulation 4391 4392 and the thermal mass of soil water. Significantly, observed emissions are most acute in 4393 least inundated areas(Zona et al., 2015), contrary to previous theory(Bohn et al., 2015). 4394 Thus methane emissions from tundra wetlands of 16-27 Tg CH₄yr⁻¹(Bruhwiler et al., 4395 2014; McGuire et al., 2012) are comparable to those from non-inundated tundra (23 Tg 4396 CH₄ yr⁻¹(Zona et al., 2015))and are likely to increase as Arctic winters warm. CH₄ 4397 concentrations (and evasion) have been shown to co-vary significantly with those of 4398 CO₂, suggesting common nodes of regulation or origin, despite markedly differing 4399 pathways of transformation. Unlike CO₂ concentrations, *p*CH₄ appears to be tightly 4400 coupled to temperature, with a Q_{10} of 4.1, suggesting that emissions therein may 4401 increase significantly (~30-100%) in the future(Campeau and Del Giorgio, 2014) In 4402 particular, Boreal lakes and ponds have been assessed as 'hot spots' for methane emissions(Bastviken et al., 2011; Tranvik et al., 2009) and thermokarst lakes and subsea 4403 4404 methane deposits are thought to play an increasing role under warming conditions(Shakhova et al., 2010). These have been under-represented in the literature, 4405 4406 and have a significant role to play in future pilot studies.

4407

4408 The modelling gap

4409

This set of studies has sought to include a wide range of organic and inorganic carbon
production, transformation and flux processes from permafrost-specific regions into a
global climate model. The resulting product has permitted subsequent analysis and
breakdown of the key drivers of this system, as well as their response to change.

However, as it stands the configuration of this broad-scale process representation in our
model is far from complete, with multiple areas of potentially substantial impact on the
land-ocean-aquatic continuum with respect to permafrost thaw fully omitted from the
current scheme.

4418

4419 As discussed in Chapter 3, the exclusion in our model of primary production by bryophytes (mosses, liverworts) as the dominant carbon uptake force in certain high 4420 4421 latitude wetland regions (e.g. parts of the Ob river watershed) leads to the exclusion of 4422 peatlands, which are formed from these organisms, as well as attendant impacts on soil 4423 and soil-water dynamics. Due to their relative inhibition of oxidative decomposition 4424 from to soil water saturation, peatland areas tend to be characterised by much higher 4425 DOC concentrations, which are readily mobilised to rivers and streams if they are connected to the river network. If not directly connected, as in the aforementioned 4426 4427 lakes and ponds, this high concentration can translate into large emissions of CH₄ from 4428 the water surface. This gap in process representation is, however, likely to be closed in 4429 the near future, given that a recent model version under the high-latitude ORCHIDEE 4430 umbrella(Guimberteau et al., 2018; Koven et al., 2013a; Qiu et al., 2018) has been coded to represent precisely these ecosystems, and thus awaits a relatively simple merger with 4431 our DOC-producing version to be included. 4432

4433

4434 The anaerobic respiration of organic matter that occurs as a result of water inundation 4435 of that matter generally promotes methane as the dominant by-product of respiration. 4436 The methane cycle is not represented in our model, and would likely further increase 4437 the CO₂-equivalent respiration of the permafrost region, were it included. The process of methane generation is not spatially static, however, in that permafrost thaw over 4438 4439 areas of high ice volume can lead to rapid local collapse of the soil column and the 4440 formation of depressions overlying carbon-rich soil, known as thermokarst. 4441 Thermokarst formation in turn promotes the formation of small, interconnected lakes, 4442 which have been shown to be hotspots of both CO₂ and methane emissions, but this 4443 phenomenon is as yet omitted from representation in our model, despite it being a 4444 characteristic dynamic of permafrost thaw systems. Nonetheless, we are not 4445 conceptually far from being able to include them in ORCHIDEE, given the progress and 4446 leg-work previously undertaken by (Lee et al., 2014) to include this in the Community 4447 Land Model earth system model.

4448

4449 While the high level of CO₂ evasion in headwater streams of permafrost watersheds 4450 demonstrated by a large number of empirical studies is likewise evident in our 4451 simulation of the high latitudes, as documented in Chapter 3, analysis of this dynamic is 4452 hampered by two shortcomings of our model scheme. Firstly, the model lacks explicit 4453 representation of 'stream' surface area at the sub-grid scale. This hampers determination of the real CO₂ flux rate, which we have inferred only from the relative 4454 size of the cumulative stream versus river water volumes boxes in our model output. 4455 Further, given that the surface area:depth or surface area:water volume ratios of small 4456 4457 streams versus large rivers are likely to differ substantially, the methodology used in Chapter 3 likely greatly underestimates the true evasion rate being simulated. 4458 4459 Furthermore, given that high headwater evasion rates are thought to be related to the mobilisation of once-thermally shielded reactive soil carbon, the lack of a tracer 4460 4461 dimension relating a given carbon flux vector to a given soil or litter pool reactivity

4462 source and age remains a significant limitation on evaluating both the model's 4463 performance and for understanding what is being mobilised into lateral fluxes in greater 4464 proportion in a warmer Arctic: contemporary, CO₂-boosted litter inputs, or newly-4465 exposed, ancient soil matter from the permafrost layer?

4466

4467 Although the fraction of carbon discharged by high latitude rivers in particulate form is relatively small(Raymond et al., 2007), this fraction differs strongly between basins, and 4468 4469 is affected by a host of processes including glacial action, bank erosion, and thermokarst. 4470 As noted in the Introduction, the exposure of soil matter due to thaw is likely to result in 4471 either lateral transport or atmospheric release. If eroded, and passed on to streams, 4472 POC may end up being deposited into river or sea bed sediments, removing it once more 4473 from the pool of carbon available to metabolisation in the short-term. Thus, the 4474 exclusion of this erosive flux, in conjunction with thermokarst processes in the model 4475 omit a potentially significant buffering factor with regard to the carbon released in lateral fluxes over the Arctic. This will likely be remedied in the future with the 4476 4477 inclusion to this model of a soil erosion emulator that has already been made consistent 4478 with the ORCHIDEE soil carbon scheme(Naipal et al., 2018).

4479

4480 Finally, parallel to all the land surface dynamics represented or discussed in the 4481 preceding sections and chapters, yet absent from the model code or indeed the context of the discussion thus far, is the role that other nutrients have to play in regulating the 4482 4483 carbon cycle, in particular that of nitrogen (N) and phosphorous (P). Additional to the 4484 clear benefits of including the cycling of these primary production-limiting elements in 4485 any vegetation or land surface model is the expectation that with high latitude warming and permafrost thaw will come the substantial liberation of these nutrients from soil 4486 4487 carbon stocks previously under the protection of the permafrost shield. This release 4488 may in turn cause previously nutrient-limited production to increase, countering carbon 4489 losses implicit in permafrost thaw (Koven et al., 2015). Indeed, this thaw-induced nutrient release has been documented (Keuper et al., 2012) in northern peatland soils, 4490 4491 which are N-limited, and may be a major feedback in the Arctic carbon cycle.

4492

4493 While ORCHIDEE incorporates a module that probabilistically simulates fire events and 4494 the subsequent combustion of large areas of biomass stock (Yue et al., 2016), this 4495 module has not been activated in the simulations documented here. The subsequent 4496 lateral transfer of burned material as DOC and POC into rivers, which may constitute a 4497 substantial proportion of total bulk DOC discharge(Myers-Pigg et al., 2015), is thus 4498 negated from the present modelling framework. Including it will entail some 4499 substantive additions to the soil carbon and fire modules, in order to incorporate both 4500 the highly stable pyrogenic soil carbon as well as the leaching of DOC from that soil pool.

4501

4502 Despite the above limitations, we remain confident in the broad conclusions drawn from4503 this sequence of studies.

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12	Appendices
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14	Appendix 1
15	Appendix to Chapter 2:
16	ORCHIDEE MICT-LEAK (r5459), a global model for the production,
17	transport and transformation of dissolved organic carbon from Arctic
18	permafrost regions, Part 2: Model evaluation over the Lena River basin.
19	
20	
21	Table S1: Data type, name and sources of data files used to drive the model in the study
22	simulations.
23	
24	

Data Type	Name	Source
Vegetation Map	ESA CCI Land Cover Map	Bontemps et al., 2013
Topographic Index	STN-30p	Vörösmarty et al., 2000
Stream flow direction	STN-30p	Vörösmarty et al., 2000
River surface area		Lauerwald et al., 2015
Soil texture class		Reynolds et al. 1999
Climatology	GSWP3 v0, 1 degree	http://hydro.iis.u-tokyo.ac.jp/GSWP3/
Potential floodplains	Multi-source global wetland maps	Tootchi et al., 2018
Poor soils	Harmonized World Soil Database map	Nachtergaele et al., 2010
Spinup Soil Carbon Stock	20ky ORCHIDEE-MICT soil carbon spinup	Based on config. in Guimberteau et al. (2018)







Figure S2: (a) Maximum floodable fraction of grid cells for the Lena basin per the input map from Tootchi et al. (2018). (b) Podzol and Arenosol map (Nachtergaele, 2010) used as input to the 'poor soils' module.



Figure S3: Groundwater DOC concentrations over the Lena basin for April, June and

- September averaged over 1998-2007, with mean observed concentrations for permafrost groundwater inset.











4552 4553 **Figure S4**: (a) Absolute yearly gross primary productivity (GPP, TgC yr⁻¹) for the four 4554 relevant PFT groups over the Lena basin, averaged over 1998-2007. (b) Mean July and 4555 August soil heterotrophic respiration rates (g m² d⁻¹) for the same PFT groups as in (a), 4556 during the period 1998-2007. (c) Average yearly NPP (gC m² yr⁻¹) averaged over the 4557 period 1998-2007. (d) Mean monthly carbon uptake (GPP) versus its heterotrophic 4558 respiration from the soil (Het_Resp) in TgC per month, over the period 1998-2007. 4559



4561 Figure S5: Simulated basin-mean annual DOC concentrations (mg L⁻¹) for the floodplain
4562 water pool regressed against mean annual simulated discharge rates at Kusur (m³ s⁻¹)
4563 over 1901-2007. A linear regression with R² value is plotted.

- 4585 Appendix 2
 4586 Appendix to Chapter 4:
 4587 Arctic lateral carbon fluxes decline with warming.
 4588
 4589
- 4590 Methods
- 4591

4592 Model description

4593

4594 This study uses a new branch version of the land surface model ORCHIDEE (Organising 4595 Carbon and Hydrology in Dynamic Ecosystems(Krinner et al., 2005)), the terrestrial component of the IPSL Earth System Model (IPSL-ESM). This model version (ORCHIDEE 4596 MICT-LEAK, revision r5459) brings together a recent DOC production module(Camino-4597 Serrano et al., 2018) and DOC and dissolved CO₂ lateral transport module(Lauerwald et 4598 4599 al., 2017) with the high latitude-specific ORCHIDEE version(Guimberteau et al., 2018), which includes novel routines and representations of cold region phenomena for snow, 4600 ice, soil carbon and permafrost. This new model version has been recently described in 4601 detail and evaluated over the Lena River basin ((Bowring et al., 2019a, 2019b)). No 4602 4603 changes to the code post-dating those publications were made for the simulations undertaken in this study. 4604

4605

4606 Forcing Data

4607

The climatological forcing data used to drive the model for historical and future 4608 simulations was taken from reconstructed and projected output from the IPSL Earth 4609 System Model under the second Inter-Sectoral Impact Model Intercomparison Project 4610 4611 (ISIMIP2b(Frieler et al., 2017; Lange, 2016, 2018)) rubric, following the trajectory of Representative Concentration Pathway 6.0 (RCP 6.0). Climatological input data are at a 4612 daily temporal and 1 degree spatial resolution, covering the period 1898 to 2100. The 4613 input vegetation and land cover map was taken from the 5th Coupled Model 4614 Intercomparison Project 5 (CMIP5-LUHa) output. The water height threshold, used to 4615 define the water level at which floodplain inundation is triggered, is obtained 4616 statistically over multiple 30 year (1976-2005) model runs using ORCHIDEE MICT-4617 4618 LEAK, in a stepwise procedure first described in ref. ((Lauerwald et al., 2017)) and 4619 employed in (Bowring et al., 2019b). The remaining input forcing data for topographic 4620 index, stream flow direction, river surface area, soil texture, potential floodplains, bulk 4621 density, pH, 'poor soils' and soil carbon spinup (refs. (Guimberteau et al., 2018; Lauerwald et al., 2015; Nachtergaele, 2010; Reynolds et al., 2000; Tootchi et al., 2019; 4622 Vorosmarty et al., 2000), respectively) are summarised in Table 1 of the Supplement. 4623

4624

4625 Simulation Setup

4626

As detailed in (Bowring et al., 2019b, 2019a)the soil carbon stock used to represent the Pan-Arctic permafrost soil carbon stock in our simulation was reconstituted from the 20,000 year carbon stock derived from a soil carbon spinup looped over 1961-1990 (to coarsely approach a warmer mid-Holocene climate) of an ORCHIDEE-MICT simulation used in (Guimberteau et al., 2018). This was run to quasi-steady state equilibrium for

the Active and Slow carbon pools over 500 years. (Supplement, Fig. 1), by looping over

4633 the years 1901-1930 and the first year (1901) of the prescribed land cover map. Where 4634 possible, the parameter configuration remained faithful to that used in the original ORCHIDEE-MICT spinup simulations, to avoid excessive drift from the original soil 4635 4636 organic carbon (SOC) state. This is equilibrium simulation is performed to allow the soil carbon state to adjust to a new equilibrium under the different, DOC and lateral 4637 transport-generating soil carbon scheme used by the model branch employed here. 4638 After some adjustment simulations to account for differing read/write norms between 4639 4640 ORCHIDEE-MICT and this model version, the model was then run in transient mode 4641 under historical climate, land cover and atmospheric CO₂ concentrations for the period 4642 1898-2005, and a separate simulation restarted from the latter point over the 'future' 4643 period 2006-2099, using the input forcing data under RCP 6.0 described in the 4644 preceding section. A summary of the step-wise procedure for simulation setup described is given graphically in Figure 1 of the Supplement. Modules calculating floodplain 4645 4646 inundation, water and carbon reinfiltration, poor soils filtration, organic matter priming, canopy and precipitation DOC, all of which have been previously described in 4647 4648 detail(Bowring et al., 2019a; Lauerwald et al., 2017)), were all activated for the historical and future simulations. 4649

4650

4651 Simulation Output Analysis and Postprocessing

4652 4653 Output analysis was largely conducted on a basin-by-basin basis for comparison with 4654 observational data and to facilitate interpretation of the results with repect to coarse 4655 biogeography. In Eurasia, the gradient east-west coarsely corresponds to the gradient 4656 pairs colder-warmer, or more-less permafrost. With the exception of the results shown in Table 1, our analysis was restricted to the 'Big Six' Arctic river basins, namely (from 4657 4658 East to West) the Kolyma, Lena, Yenisei, Ob, Mackenzie and Yukon. The 'Medium Nine' 4659 basins referred to in Table 1 are the nine next-largest basins in the study region. Basins 4660 dominantly underlain by continuous or discontinous permafrost (the Kolyma, Lena and 4661 Yukon) are denoted in timeseries graphics by a dotted line (versus solid for the 4662 remainder). The 'Pan-Arctic' DOC discharge values referred to in Table 1 include all 4663 non-Big Six or Medium Nine, non-island coastal grid cells from the western United States 4664 to Hudson Bay in North America, and northern Sweden to the northern boundary of the 4665 Kamchatka Peninsula, to coarsely match those areas of coastal outflow that correspond to proximate seawater inflow regions of the Arctic Ocean. 4666

4668 Discharge grid cells for each basin were determined from output using the coastal
4669 outflow grid cell for each basin. For each basin, these grid cells are as follows (lon,lat):
4670 Kolyma (161.5, 69.5); Lena (127.5, 73.5); Yenisei (82.5, 71.5); Ob (69.5, 66.5); Mackenzie
4671 (-134.5, 69.5); Yukon (-163.5, 62.5); Pechora (54.5, 68.5); Pyasina (86.5, 73.5);
4672 Verkhnyaya-Taymyra (98.5, 76.5); Khatanga (106.5, 73.5); Olenek (119.5, 72.5); Yana
4673 (135.5, 72.5); Indigirka (149.5, 71.5); Anadyr (177.5, 64.5); Kuskokwin (-162.5, 60.5).

4674

4667

When referring to standalone values for variables, unless otherwise stated, these are the
average for that variable over the period 1996-2005. This same time bracket is used to
denote the 'present' or 'modern' period as it marks the last 10 years of the historical
(reconstructed) climatological input data used (the 'future' forcing data begin in 2006).
Reference to the 'Future', however, implies any time after but including the year 2020.
Timeseries displayed in both the main text body and the Supplement use a variety of

4681 aggregation metrics. Most employ a 'decadal-mean percentage change' from a baseline 4682 of the 1901-1910 average for a given variable, to enable easy comparison between 4683 basins with large differences in variable absolute values. Other metrics, including 4684 temperature sensitivities, regressions, 30-year running means, and absolute values for 4685 certain variables, are also included in the analysis. The 'present' and 'future' DOC input 4686 temperature sensitivities refer to those calculated for the mean of 1996-2005 and 2020-4687 2099, respectively.

4688

4689 Carbon budget closure for the land-ocean-aquatic continuum is determined by the sum4690 of inflows and outflow from a given system (basin), the relevant flows displayed in the4691 Supplement (Fig. S1).

4692

4693 For the inland water carbon cycle of a given basin,4694

4695 Budget Closure(=0) = [(Soil DOC + CO₂ Input) + (Floodplain DOC + CO₂ Input)] -4696 [(Returnflow + CO₂ Evasion + (DOC + CO₂ Ocean Outflow)].

4697

4698 **Code availability**

4699The source code for ORCHIDEE MICT-LEAK revision 5459 is available online, but its4700access is restricted. Consequently, one is required to communicate with the4701corresponding author for a username and password. The source code can be found at4702the600following4703svn://forge.ipsl.jussieu.fr/orchidee/branches/publications/ORCHIDEE_MICT-

- 4704 LEAK_r5459
- 4705 Primary data and scripts used in the analysis and other supplementary information that 4706 may be useful in reproducing the author's work can be obtained by contacting the 4707 corresponding author.
- 4708

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the following URL: http://www.cecill.info.

4713

4714 Authors' contribution

4715 SB coded this model version, conducted the simulations and wrote the main body of the 4716 paper. RL gave consistent input to the coding process and made several bug fixes. BG 4717 advised on the study design and model configuration; DZ gave input on the modelled soil 4718 carbon processes and model configuration. PC oversaw all developments leading to the 4719 publication of this study. All authors contributed to suggestions regarding the final

- 4720 content of the study.
- 4721

4722 **Competing interests**

- 4723 The authors declare no competing financial interests.
- 4724

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European Individual Fellowship "C-Leak".

Table S1: Model forcing files used as input for the simulations.

Data Type	Name	Source
Vegetation Map	IPCC AR5 LUHa / IPCC AR5 RCP6.0 AIM	IPCC, 2014
Topographic Index	STN-30p	Vörösmarty et al., 2000
Stream flow direction	STN-30p	Vörösmarty et al., 2000
River surface area	River surface area	Lauerwald et al., 2015
Soil texture class	Soil texture class	Reynolds et al. 1999
Climatology	ISIMIP v2 IPSL-CM5A	Frieler et al., 2017; Lange, 2016,2018.
Potential floodplains	Multi-source global wetland maps	Tootchi et al., 2018
Poor soils	Harmonized World Soil Database map	Nachtergaele et al., 2010
Spinup Soil Carbon Stock	20ky ORCHIDEE-MICT soil carbon spinup	Based on config. in Guimberteau et al. (2018)
Floodwater height	Model vars: floodh_nth, streamr_nth, floodh	Statistically generated from model output
Atmospheric CO2	IPCC AR5 RCP 6.0	IPCC, 2014

Table S2: Simulated present day (1996-2005) and future (2090-2099) CO₂ discharge

4741 from the Big Six and Medium Nine river basins into the Arctic.

Big Six		CO2 to Ocean (1996-2005)	s.d.	CO2 to Ocean (2090-2099)	s.d.
	Kolyma	0.180	0.062	0.184	0.057
	Lena	0.203	0.041	0.211	0.043
	Yenisei	0.604	0.198	0.518	0.103
	Ob	0.376	0.111	0.235	0.066
	Mackenzie				
	Yukon	0.049	0.009	0.051	0.014
	SUM	1.412	/	1.199	/
Medium 9					
	Pechora	0.120	0.027	0.077	0.016
	Pyasina	0.121	0.032	0.108	0.044
	Verkhnyaya-Taymyra	0.050	0.012	0.045	0.019
	Khatanga	0.204	0.049	0.195	0.065
	Olenek	0.060	0.023	0.051	0.018
	Yana	0.028	0.010	0.023	0.009
	Indigirka	0.050	0.019	0.055	0.037
	Anadyr	0.092	0.023	0.114	0.020
	Kuskokwin	0.023	0.008	0.027	0.013
	SUM	0.748	/	0.695	/

Figures:





4749 Figure S1: Flow diagram illustrating the step-wise protocol required to set up the 4750 model, up to and including the historical and future final simulation runs. The first (left) 4751 'Soil Carbon Input' column refers to the initial steps taken to input permafrost-like soil 4752 carbon stocks into our model. The next 'variable harmonisation' column refers to the 4753 fact that the restart inputs from ORCHIDEE-MICT are read by our model in inverse 4754 order, so that one year must be run in which an activated flag reads it properly, before 4755 the reading of soil profile restarts is re-inverted for all subsequent years. 'Carbon 4756 equilibration' refers to the quasi-steady state carbon stock subsequently obtained, while 4757 'simulation' refers to the final historical and future simulations whose output is 4758 presented in this study.





Figure S2: Schematic diagrams detailing the major yearly carbon flux model outputs for each of the Big Six river basins as they are transformed and transported across the land-ocean aquatic continuum (LOAC) for the average of the period 1996-2005. All values represent yearly sums of carbon fluxes in TgC yr⁻¹.



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Figure S3: Decadal-averaged annual Net Primary Production (NPP) in TgC yr⁻¹ for each of the Bix Six basins over the entire simulation period.



Figure S4: Maps of changes in variables between the mean of (2090-2099) subtracted by that of (1996-2005) in each pixel for. (a) Newly thawed permafrost areas; (b) Snowfall (mm yr⁻¹) (c) Surface runoff (mm yr⁻¹), (d) Subsurface drainage(mm yr⁻¹) (e) Runoff DOC concentration (mgC L⁻¹) (f) Drainage DOC concentration (mgC L⁻¹).





Figure S5: Maps of changes in variables between the mean of (2090-2099) subtracted by that of (1996-2005) in each pixel for. (a) Surface runoff DOC input to rivers (TgC yr⁻¹); (b) Drainage DOC input to rivers (TgC yr⁻¹⁾ (c) Rain precipitation (mm yr⁻¹), (d) Evaporation (mm yr⁻¹) (e) 2 metre air temperature 4782 (Celsius) (f) Soil surface temperature (Celsius).. 4783



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Figure S6: Maps of changes in variables between the mean of (2090-2099) subtracted by that of (1996-2005) in each pixel for. (a) CO₂ input to rivers (TgC yr⁻¹); (b) Stream water CO2 evasion (TgC yr⁻¹) (c) 4787 River water surface CO₂ evasion (TgC yr⁻¹); (d) Floodplain CO₂ input (TgC yr⁻¹) (e) CO₂ evasion from 4788 flooded areas (TgC yr⁻¹) (f) Floodplain DOC inputs (TgC yr⁻¹)..



Figure S7: Decadal-average percentage change in Gross Primary Production (GPP) for each of the Big Six
 basins from a 1901-1910 baseline.



4794 Figure S8: Decadal-averaged percentage change in river discharge for each of the Big Six basins from a4795 1901-1910 baseline.



4797 Soll Carbon Concentration (g m ⁵ 2⁻¹)
4798 Figure S9: Mean permafrost region soil carbon concentration profiles for each layer in the model soil
4799 column (noting that layer thickness increases geometrically from layer 1), for each of the soil carbon pools
4800 simulated by the model. Dashed lines are the profiles averaged over 1901-1910, solid lines those
4801 averaged over 1996-2005.


Figure S10: Timeseries for the decade-averaged annual precipitation (mm yr⁻¹) as rain (solid) and
 snowfall (dashed), given as a grid cell average over each of the basins for the entire simulation period.



4808 Figure S11: Basin-disaggregated regression scatter plots. Each point represents the aggregate over the 4809 entire basin for a given year, and thus for each basin the scattering reflects the temporal variability of the 4810 plotted variables. (a) DOC and (b) CO_2 inputs to rivers (TgC yr⁻¹) plotted against yearly basinwide changes in soil organic carbon (TgC yr⁻¹); and DOC input to rivers against the ratio of (c) runoff:drainage 4812 water flux; and (d) DOC concentrations in runoff and drainage (runoff:drainage).







4814 4815 Figure S12: Basin-disaggregated timeseries of (a) Decadal-mean (snow:rain) ratio; (b) Decadal-mean 4816 evapotranspiration rates averaged over each basin. (c-f): Scatter plot of riverine DOC inputs to total

- 4818 4819 annual snowfall (c,d) and rainfall (d,f) over 'continuous permafrost' and 'non-continuous-permafrost' or 'other', regions, for each grid cell over the entire simulation period.







4822
4823JanFebMarAprMayJunJulAugSepOctNovDec4823Figure S13: Mean monthly rain (solid) and snow (dashed) in mm d-1 averaged over the decades 1901-
1910 (squares), 1996-2005 (crosses) and 2090-2099 (triangles) for the average of each of the Big Six
basins (a-f). The legend shows the midpoint year of each of the decades concerned.



4827JanFebMarAprMayJunJulAugSepOctNovDec48284828Figure S14: Timeseries of the percentage change in mean monthly river discharge from a baseline of the
1901-1910 average, for the decades 1996-2005 (dashed) and 2090-2099 (solid), for each of the Big 6
rivers. Discharge is taken from the river outflow grid cell (see Methods) of each basin.



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Figure S15: Scatter plots (a-c) showing the year-averaged temperature sensitivity (ground temperature) of carbon inputs to the river (TgC °Celsius-1) in the 'modern' (1996-2005 average) versus 'future' (2020-2099 average) era, for (a) drainage DOC inputs, (b) runoff DOC inputs and (c) total DOC input. Basins are disaggregated by colour, with each point representing the mean future/modern temperature sensitivity 4838
pair of an individual grid cell.

4858 Appendix 3

4859 Appendix to Chapter 5:

4860 CO₂ Uptake By Weathering Increasingly Exceeds CO₂ Evasion From Rivers As

- 4861 Permafrost Thaws
- 4862

4863 Introduction

4864 The content of this Supporting Information document covers in greater detail some of 4865 the steps taken in the Methods of this paper. Specifically, in Supporting Text S1, we 4866 explicitly give the rating curves used for estimating the alkalinity loading of a given river 4867 from discharge and, in case of the Ob river, the point in the seasonal cycle. In Table S1, 4868 we cover the regression statistics used in estimating a correction factor for per basin 4869 alkalinity flux (see 2.2.4 in main text).

4870 **Text S1: Per-Basin Calibration Using Tank et al. (2012) Rating Curve**

4871
4872 The rating curves set-up by Tank et al. include pre-set 'models' for alkalinity discharge,
4873 which differ from basin to basin. The predictor variable in this regression is monthly
4874 discharge, and in one case the time in the seasonal cycle (Ob), as predictor, with
4875 different models (M) following the following schemes for the Kolyma, Yenisei,
4876 Mackenzie and Yukon in Model 1, the Lena in Model 2 and the Ob in Model 4a (here we
4877 follow the model numbering convention used in Tank et al.).

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 \begin{array}{ll} (1) \ ln(Load) &= \ a0 \ + \ a1 \ast Ln(discharge)^2 & (M1) \\ (2) \ ln(Load) &= \ a0 \ + \ a1 \ast Ln(discharge) \ + \ a2 \ast Ln(discharge)^2 & (M2) \\ (3) \ ln(Load) &= \ a0 \ + \ a1 \ast Ln(discharge) \ + \ a2 \ast sin(2 \ast \delta time) \ + \\ a3 \ast cos(2\pi\delta time) & (M4a) \end{array}
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4881 where 'Load' is alkalinity load [kgC d⁻¹], discharge is river discharge in m^3s^{-1} and 'dtime' 4882 = decimal time - center of decimal time from the empirical data.

Instead of directly using the rating curves established by Tank et al, we simplified those
rating curves when information loss was limited. For this, we used the daily discharges
and the predicted daily HCO₃- fluxes from Tank et al., 2012, and performed a regression
of these daily HCO₃- fluxes against daily discharge values.

4887 For the Mackenzie, Yukon, Kolyma, and Yenisei Rivers, we reproduced the original rating 4888 curves of the form M1 (see above). Also for the Lena, for which the original rating curve 4889 followed the second-order polynomial equation M2, we chose a linear regression of the form M1 instead, as the difference in R^2 and root mean square error (RMSE) between each form 4890 was negligible. Only for the Ob River, for which the original rating curve followed form M4 4891 4892 (see above), we removed the time variant and refitted a second-order polynomial equation of 4893 the form M2, using as well only discharge a independent variable. This simplified all basins' 4894 regression equation except for the Ob to the form in M1, with a0 = 7.424, 9.131, 12.84, 4895 22.924, 8.523, and 9.951, and a1=7.424, 0.762, 0.397, -1.915, 0.881, 0.722, for the Kolyma, 4896 Lena, Yenisei, Ob, Yukon and Mackenzie, respectively. For the Ob polynomial, a2=0.1294. 4897

- Table S1: Regression statistics for the regression calculations undertaken in Section 2.2.4 of the main text, using the following regression equation
- $Load_{obs} = b * (0.47 * Load_{sim,RUNOFF} + Load_{sim,DRAINAGE}).$ and solved for the factor *b* for each basin.

River	b	std	R ²	RMSE	р
Mackenzie	1.542	0.175	0.87	50%	2.54E-06
Yukon	2.472	0.165	0.95	30%	1.16E-08
Kolyma	0.664	0.012	1.00	14%	1.20E-14
Lena	1.032	0.053	0.97	28%	7.20E-10
Yenisei	1.050	0.105	0.89	39%	7.55E-07
Ob	1.077	0.110	0.89	44%	9.18E-07

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