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# Decidability and complexity of simulation preorder for data-centric Web services

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U.F.R. Sciences  
ÉCOLE DOCTORALE  
SCIENCES POUR L'INGENIEUR DE CLERMONT FERRAND  
**T H È S E**

Présentée par

**M. Lakhdar AKROUN**

en vue de l'obtention du grade de

**DOCTEUR de l'UNIVERSITÉ BLAISE PASCAL**

Spécialité : Informatique

Titre :

**Decidability and Complexity of Simulation  
Preorder for Data-Centric Web Services.**

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# Abstract

In this thesis we address the problem of analyzing specifications of data-centric Web service interaction protocols (also called data-centric business protocols). Specifications of such protocols include data in addition to operation signatures and messages ordering constraints. Analysis of data-centric services is a complex task because of the inherently infinite states of the underlying service execution instances. Our work focuses on characterizing the problem of checking a refinement relation between service interaction protocol specifications. More specifically, we consider the problem of checking the simulation preorder when service business protocols are represented using data-centric state machines. First we study the Colombo model [BCG<sup>+</sup>05]. In this framework, a service (i) exchanges messages using variables; (ii) acts on a shared database; (iii) has a transition based behavior. We show that the simulation test for unbounded Colombo is undecidable. Then, we consider the case of bounded Colombo where we show that simulation is (i) EXPTIME-complete for Colombo services without any access to the database (noted  $Colombo^{DB=\emptyset}$ ), and (ii) 2EXPTIME-complete when only bounded databases are considered (the obtained model is noted  $Colombo^{bound}$ ). In the second part of this thesis, we define a *generic model* to study the impact of various parameters on the simulation test in the context of data-centric services. The generic model is a guarded transition system acting (i.e., read and write) on databases (i.e., local and shared) and exchanging messages with its environment (i.e., other services or users). The model was designed with a database theory perspective, where all actions are viewed as queries (i.e. modification of databases, messages exchanges and guards). In this context, we obtain the following results (i) for *update free guarded* services (i.e., generic services with guards and only able to send empty messages) the decidability of simulation is fully characterized w.r.t decidability of satisfiability of the query language used to express the guards augmented with a restrictive form of negation, (ii) for *update free send* services (i.e., generic services without guards and able to send as messages the result of queries over local and shared database), we exhibit sufficient conditions for both decidability and undecidability of simulation test w.r.t the language used to compute messages payloads, and (iii) we study the case of *insert* services (i.e., generic services without guards and with the ability of insert the result of queries into the local and the shared database). In this case, we study the simulation as well as the weak simulation relations where we show that: (i) the weak simulation is undecidable when the insertions are expressed as conjunctive queries, (ii) the simulation is undecidable if satisfiability of the query language used to express the insertion augmented with a restricted form of negation is undecidable. Finally, we study the interaction between the queries used as guards and the ones used as insert where we exhibit a class of services where satisfiability of both languages is decidable while simulation is undecidable.

## Keywords

Formal verification, data-centric Web services, database theory



# Resumée

Dans cette thèse nous nous intéressons au problème d'analyse des spécifications des protocoles d'interactions des services Web orientés données. La spécification de ce type de protocoles inclue les données en plus de la signature des opérations et des contraintes d'ordonnement des messages. L'analyse des services orientés données est complexe car l'exécution d'un service engendre une infinité d'états.

Notre travail se concentre autour du problème d'existence d'une relation de simulation quand les spécifications des protocoles des services Web sont représentés en utilisant un système à transition orienté données. D'abord nous avons étudié le modèle Colombo [BCG<sup>+</sup>05]. Dans ce modèle, un service (i) échange des messages en utilisant des variables; (ii) modifie une base de données partagée; (iii) son comportement est modélisé avec un système à transition. Nous montrons que tester l'existence de la relation de simulation entre deux services Colombo non bornés est indécidable. Puis, nous considérons le cas où les services sont bornés. Nous montrons pour ce cas que le test de simulation est (i) EXPTIME-complet pour les services Colombo qui n'accèdent pas à la base de données (noté  $Colombo^{DB=\emptyset}$ ), et (ii) 2EXPTIME-complet quand le service peut accéder à une base de données bornée ( $Colombo^{bound}$ ). Dans la seconde partie de cette thèse, nous avons défini un modèle générique pour étudier l'impact de différents paramètres sur le test de simulation dans le contexte des services Web orientés données. Le modèle générique est un système à transition gardé qui peut lire et écrire à partir d'une base de données et échanger des messages avec son environnement (d'autres services ou un client). Dans le modèle générique toutes les actions sont des requêtes sur des bases de données (modification de la base de données, messages échangés et aussi les gardes). Dans ce contexte, nous avons obtenu les résultats suivants : (i) pour les services gardés sans mise à jour, le test de simulation est caractérisé par rapport à la décidabilité du test de satisfiabilité du langage utilisé pour exprimer les gardes augmenté avec une forme restrictive de négation, (ii) pour les services sans mise à jour mais qui peuvent envoyer comme message le résultat d'une requête, nous avons trouvé des conditions suffisantes d'indécidabilité et de décidabilité par rapport au langage utilisé pour exprimer l'échange de messages, et (iii) nous avons étudié le cas des services qui ne peuvent que insérer des tuples dans la base de données. Pour ce cas, nous avons étudié la simulation ainsi que la weak simulation et nous avons montré que : (a) la weak simulation est indécidable quand les requêtes d'insertion sont des requêtes conjonctives, (b) le test de simulation est indécidable si la satisfiabilité du langage de requête utilisé pour exprimer les insertions augmenté avec une certaine forme de négation est indécidable. Enfin, nous avons étudié l'interaction entre le langage utilisé pour exprimer les gardes et celui utilisé pour les insertions, nous exhibons une classe de service où la satisfiabilité des deux langages est décidable alors que le test de simulation entre les services qui leurs sont associés ne l'est pas.

**Mots clés**

Vérification Formel, service Web orienté données, base de donnée

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# Chapitre 1

## Introduction

Nowadays companies and organizations build (intra and inter) distributed information systems by integrating existing independent applications, also called legacy systems [Kra07]. These applications use proprietary tools and the cost of rewriting them from scratch would be unreasonable. The use of classical integration solutions (e.g., Enterprise Application Integration and Middlewares) requires a huge amount of resources and time to integrate the legacy systems [ACKM04]. *Web services* [W3C02] are gaining acceptance as a promising technology to deal with integration challenges. Web services are programs, that export their descriptions and make the functionality of an application available through standard web technologies. The use of such standards enable rapid, low-cost inter-operation and permit the definition of architectures and techniques to build new functionalities while integrating existing applications. Roughly speaking, a web service is a program that exports its behavior and can be invoked and executed by other programs via the web [ACKM04].

Different kinds of standards and models have been proposed to describe and reason on Web services [ACKM04]. Those standards focus on different levels of abstraction and target different aspects of Web services. In one extreme, a Web service is viewed as a black box, with its specification limited to the signatures of services operations. At the other extreme, the internal logic of the Web service is specified using workflow formalism and is made publicly available. Main stream service description languages such as WSDL<sup>1</sup> allow descriptions of low-level service operations. Semantic Web-based representation languages (e.g., OWL-S) investigated rich and machine-understandable descriptions of service properties and capabilities. Business protocol representation models and languages (e.g., state machines [BFHS03, BCT04b, BCT06], Petri-nets [NM02, HB03a, Loh08]) are description models which are used for specifying external behavior of services. Business protocols play an important role, since they provide to developers information on how to write a client (a service) to correctly interact with a given service. Business protocols record the intended behavior of the service [HLL<sup>+</sup>12] and open the possibility to formally analyze and synthesis services. Recent approaches address the problem of checking similarity and compatibility of business protocols (e.g., [BCT04a, BSBM04, XDMNZ04, PF04, WMFN04]) as well as problems related to verification and synthesis of business protocols [NM02, HB03a, BFHS03, BCG<sup>+</sup>03, GHIS04, DS05, BCG<sup>+</sup>05, PTB05, FGG<sup>+</sup>08, AP07, BCP08, FFM<sup>+</sup>10, ARN12, MW07].

Business process specifications have recently evolved from process centric approaches to data-centric approaches. Process-centric models (i.e., state machines, Petri-nets) concen-

---

1. Web Services Description Language.

trate only on the flow of actions while the data and its modifications by the process operations are completely hidden. On the other hand, data-centric models for business process specification describe data and processes at the same level [AN03, Hul08, HSV13, CDM13]. The importance of explicitly representing data in business process specification comes from the fact that many decisions during the execution of a task depend on data values [MSW11, CDM13], and there is a strong interaction between the data of an information system and its operations. In fact, the operations modify the data, and data act as guards that control the execution of operations.

Incorporation of data into business process specification challenges formal system verification [CDM13]. The presence of data makes the formal models infinite. As a consequence, the direct use of classical algorithms to analysis them is often not possible. But the infiniteness of the models does not lead necessarily to undecidability. For example, [AVFY98, AVFY00, DSV04, ABGM09, ASV08, ASV09a, ASV09b] consider the problem of verification of data-centric business processes using semantic or syntactic restrictions on the model (i.e., bounding the number of values in the database, limiting the access to the database) in order to obtain decidable fragments.

In this thesis, we consider the problem of analyzing specifications of data-centric business protocols using the simulation preorder [Mil71]. Simulation preorder is a relation between state transition systems which ensures that the behavior of a given system can be faithfully reproduced by a second one (in this case the first system is said to be *simulated* by the second one). Simulation stands out as the most well understood notion to compare behavior of programs [HHK95]. Checking if a transition system  $T_1$  is simulated by a transition system  $T_2$ , can be viewed as a game between two players :  $T_1$  is called *the spoiler* and  $T_2$  *the duplicator*. The spoiler wins the simulation game if it can execute a move that the duplicator cannot reproduce. In this case,  $T_1$  is not simulated by  $T_2$ . The duplicator wins the simulation game if it can reproduce each move of the spoiler. In this case,  $T_1$  is simulated by  $T_2$ .

The relation of simulation has been used to study business protocol compatibility and substitution problems [BCT06] as well as business protocol synthesis [MW07, BCGP08]. Those problems are reducible to simulation between finite state machines when the business protocol is modeled with a finite transition system. The test of simulation between two finite state machines can be achieved in a polynomial time [HHK95]. The relation of simulation was also studied in the context of infinite transition systems. For example in [HNT08] it is shown that the unbounded variant of the protocol synthesis problem is decidable, i.e., when the number of instances of an available service that can be involved in a composition is not bounded a priori. This problem has been recasted in [HNT08] as a problem of deciding simulation between a finite state machine and an infinite state machine representing a shuffle closure of existing services. When the service is represented using Petri net [Pet73], the simulation test is ranged from EXPTIME-complete [KM02b] to undecidable [KM02a]. The problem of simulation is known to be decidable for one-counter nets [AC98].

The study of simulation when services incorporate a database in their specifications was addressed only in few works [PG09, BCG<sup>+</sup>05]. For example, [PG09] studies the simulation between data-centric services in a restricted framework, where : (i) the language used to updates the databases is very restrictive, (ii) the size of the allowed database instances is bounded. The authors prove that the simulation is decidable in this setting. In [BCG<sup>+</sup>05], the authors study the composition problem where the decidability is obtained by bounding the number of new values introduced during an execution of a service as well as the number of accesses to the database. In this context, service composition has been shown

to be in 2-EXPTIME.

In this thesis, we study the relation of simulation between data-centric business protocols. We focus our attention first on the decidability and the complexity issues for an existing model, namely the Colombo model [BCG<sup>+</sup>05]. Then, we define a *generic model* to study the impact of various parameters on the simulation test in the context of data-centric services. The generic model is a guarded transition system acting (i.e., read and write) on databases (i.e., local and shared) and exchanging messages with its environment (i.e., other services or users). The generic model is inspired from data-centric models proposed in the literature [ABGM09, BLP11, AD07, AVFY98]. The model was designed with a database theory perspective, where all actions are viewed as queries (i.e. modification of databases, messages exchanges and guards). With this optic, existing results and tools developed in the area of database theory provide a great help to understand the impact of including data into specifications of web services and its effects on decidability of simulation.

## Main contributions

We summarize below, the main contributions of this thesis.

**Colombo model.** Colombo is a pioneer data-centric service model that has been used to investigate the service composition problem. A Colombo service is specified as a guarded transition system, augmented with a shared (with other services of the system) database as well as a set of variables that are used to send and receive messages. The modification of the database and the variables is achieved through *atomic processes*. An atomic process describes actions in terms of its inputs, outputs, preconditions and postconditions. Two sources of infiniteness make the simulation test difficult in this context :

- the variables take their values from an infinite domain and hence the number of potential messages (and hence values) that can be received by a service in a given state may be infinite. As a consequence, the number of successor of a state may be infinite as well as the number of configurations of a service (this is because a service execution may visit an infinite number of databases simply by inserting the received values in the shared database).
- the number of possible initial instances of the shared database is infinite which makes the number of initial configurations of a service infinite.

At first glance, the Colombo model appears to have a limited expressivity since :

- it restricts accesses to the database only through atomic processes, and
- it supports a very limited database ‘*query*’ language which consists in simple key-based access functions.

The table 1.1 summarizes the results of decidability and complexity obtained for different classes of the Colombo model. More precisely, we show that, checking simulation in a Co-

TABLE 1.1 – Results of simulation for the Colombo model.

Class of services	Simulation
$Colombo^{unb}$	Undecidable
$Colombo^{DB=\emptyset}$	EXPTIME-complete
$GVA$	EXPTIME-complete
$Colombo^{bound}$	2-EXPTIME-complete

lombo model with unbounded accesses to the database, called  $Colombo^{unb}$ , is undecidable. The proof is based on a reduction from the halting problem of a two counter machine (a

Minsky machine) [Min67] to the state reachability problem in  $Colombo^{unb}$ . Even worse, the way the proof is constructed enables to derive that the reachability and the simulation problems remain undecidable even in the case of non-communicating  $Colombo^{unb}$  services with read-only accesses to the database (i.e., services that cannot send or receive messages nor update the shared database). Then, we study the simulation problem in the case of Colombo services with a bounded database (i.e. the class of Colombo services having shared database with a number of tuples that cannot exceed a given constant  $k$ ). Such a class is called  $Colombo^{bound}$ . We show that the simulation is 2-EXPTIME-complete for  $Colombo^{bound}$ . The proof is achieved in two steps :

- First we show that checking simulation is EXPTIME-complete for Colombo services without any access to the database (namely DB-less services  $Colombo^{DB=\emptyset}$ ).  $Colombo^{DB=\emptyset}$  services are also infinite-state systems, because they manipulate variables which take their values from an infinite domain. A finite symbolic representation of such services can be obtained by partitioning the original infinite state space (here a state is characterized by the control state of the transition system and a valuation of the variables) into a finite number of equivalence classes. Then, a simulation algorithm can be designed using a symbolic procedure that manipulates finite sets of states (i.e., the equivalence classes) instead of infinite individual states. The complexity is obtained by a reduction from the existence of infinite execution of an *alternating Turing machine* working on a space polynomially bounded by the size of its input.
- As a side effect of this work, we establish a correspondence between  $Colombo^{DB=\emptyset}$ , restricted to equality, and Guarded Variable Automata (GVA) [BCR14]. As a consequence, we derive EXPTIME-completeness of simulation for GVA. Note that, an EXPTIME upper bound of simulation in GVA is provided in [BCR14].
- Then we show that checking the simulation for  $Colombo^{bound}$  services can be rewritten into equivalent  $Colombo^{DB=\emptyset}$  while preserving the simulation preorder. The 2-EXPTIME-hardness of checking the simulation for  $Colombo^{bound}$  services is obtained by a reduction from the existence of infinite execution of an *alternating Turing machine* working on a space exponentially bounded by the size of its input.

**Generic model.** We define a generic data-centric service as :

- a guarded transition system augmented with the ability of updating (i.e., read and write) databases (i.e., local and shared). The notion of local database is introduced to express the fact that some parts of the information are private to a service and hence are not visible to other services. Operations over a local database are defined as *silent* transitions [HB03b, vdADO<sup>+</sup>08]. For example, modifying the local database is a silent transition (i.e., not observable from an external point of view). In the context of the simulation preorder, this notion of observable transitions and non-observable transitions is captured with *weak simulation*,
- the service modifies the databases through update queries expressed in the language  $\mathcal{L}_U$ ,
- the guards are boolean queries over the databases, expressed in a language  $\mathcal{L}_T$ ,
- communication between web services is captured with incoming and outgoing messages. The incoming messages are databases, and the outgoing messages are expressed using queries over the *local* and the *shared* databases, in a language  $\mathcal{L}_S$ .

**Example 1.** Figure 1.1 depicts an example of services specified using our generic model. Each service (A and B) has its own local database as well as a shared database. Services communicate through messages. Note that an outgoing message is the result of a query

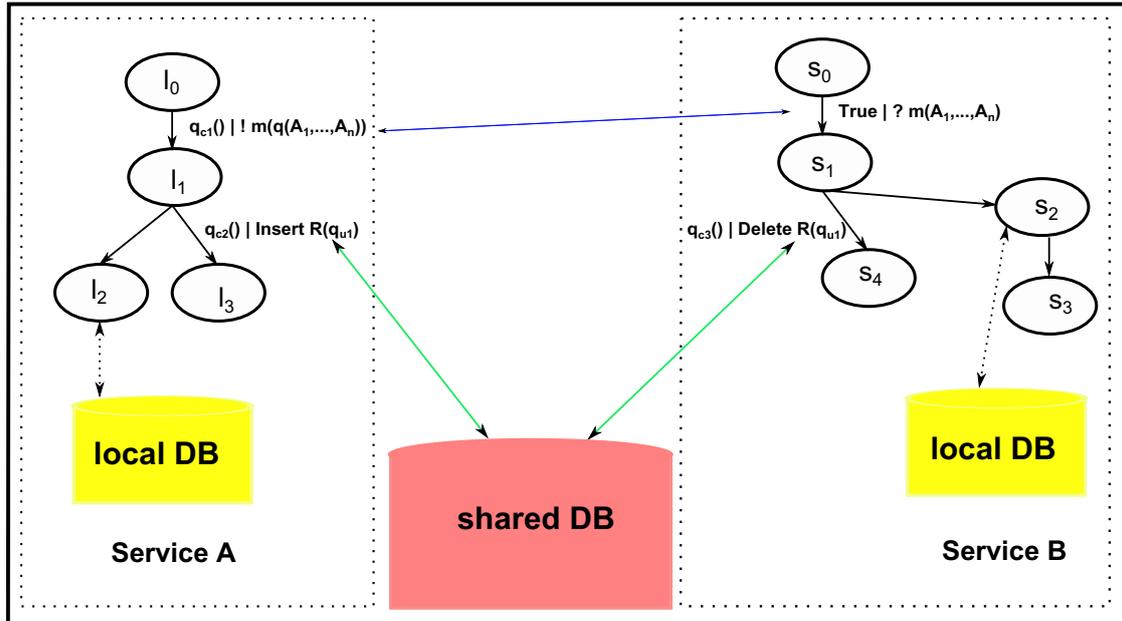


FIGURE 1.1 – Generic web service framework.

(e.g., the service A sends a message  $m$ , which contains a result of the query  $q$ ). A query  $q$  can be defined over the local as well as the shared database. The transitions are guarded by boolean queries ( $q_{ci}$ ). Finally, services can modify the databases using *update queries* (e.g., the service A inserts the result of the query  $q_{u1}$  into the relation  $R$ ). In this thesis, we focus our attention on insert queries and we do not consider delete and modify queries.

In order to isolate and study the impact of the different parameters of the generic model on the simulation preorder, we investigate the decidability and complexity issues of the simulation for various classes of our generic model. Each class is characterized by :

- the type of actions supported by the model, e.g., the service can only send messages, or only insert in the database, ... etc,
- the languages used to instantiate respectively  $\mathcal{L}_T$ ,  $\mathcal{L}_U$  and  $\mathcal{L}_S$ ,
- the presence or not of the local database (i.e., in the presence of local database, we study weak simulation).

Table 1.2 summarizes the considered sub-classes of the generic model as well as the obtained results. We consider more precisely the following classes :

- Update-free services. This class represents services which are not able to make modifications over the databases. The class of update-free service is decomposed into two sub-classes :
  - Guarded services, this class enables to focus on the role played by the language of guards ( $\mathcal{L}_T$ ) on the decidability of the simulation relation. Our main result regarding this class lies in a full characterization of the decidability of simulation in terms of the decidability of checking satisfiability of formulas expressed in the language  $\mathcal{L}_T$  augmented with a restricted form of negation. We denote this language  $\mathcal{L}_T \cup \{\wedge^b, -^b\}$  (i.e., the conjunction and negation is applied on boolean  $\mathcal{L}_T$  formulas). As for the case of  $Colombo^{DB=\emptyset}$ , we use a finite symbolic representation of update-free services by partitioning the original infinite state space into a finite number of equivalence classes.
  - Send services. This class represents update-free services which send the results of

TABLE 1.2 – Summarization of results.

Class of services	Restrictions	Simulation
Guarded services		decidable iff satisfiability of $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$ is decidable
Send services		undecidable if satisfiability of $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$ is undecidable
Send services		decidable if satisfiability of a partition is decidable
Insert services	-insertion	Undecidable if satisfiability of the language of insertion $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$ is undecidable
Insert services	-insertion - $\mathcal{L}_U = GNCQ$	Undecidable
Class of services	Restrictions	Weak simulation
Insert services	-insertion - $\mathcal{L}_U = CQ$ -local database	Undecidable

queries expressed in the language  $\mathcal{L}_S$  as messages. We focus on the role played by the language  $\mathcal{L}_S$ . As a result, we show that the test of simulation for send services is undecidable if satisfiability of formulas in the language  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$  is undecidable (i.e., the conjunction and negation is applied on boolean  $\mathcal{L}_S$  formulas). We extend the symbolization framework used in the case of guarded services to obtain decidability of simulation between send services. In this case, the simulation is decidable if testing the satisfiability of a partition is decidable. Note that, in current state of affairs we are not able to provide a full characterization of simulation in this class since we are only able to provide sufficient conditions for both decidability and undecidability of simulation in this context.

- Insert services. This class describes services without guards. The considered services are able to insert data in the shared database. In this context, we study the simulation as well as the weak simulation relations. This later one is considered when the insertion in the local database is allowed. As a result, the test of simulation for insert services is undecidable if checking the satisfiability of formulas in the language  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$  is undecidable (i.e., the conjunction and negation is applied on boolean  $\mathcal{L}_I$  formulas). We are not able to provide a full characterization of the decidability of simulation for insert services. We exhibit a language *GNCQ* (*Guarded Negation Conjunctive Query*<sup>2</sup>) where testing the satisfiability for boolean *GNCQ* queries augmented with a restricted form of negation is decidable but the simulation for insert services using the language *GNCQ* as insertion query language is undecidable. The problem remains open when  $\mathcal{L}_I = CQ$ . Finally, we prove that the weak simulation is undecidable when the language of insertion  $\mathcal{L}_I = CQ$ .
- We also study the interaction of the languages used to express the guards with the updates. More precisely we show that, testing the simulation relation is undecidable when generic services use *GNCQ* as guards and *CQ* as insertion query language.

2. The *Guarded Negation Conjunctive Query* (*GNCQ*) language is included in *Guarded Negation First Order* language *GNFO* [BtCS11]. *GNCQ* queries are conjunctive queries with guarded negations (i.e., all variables appearing in negative atoms must appears in a positive atom)

## Structure of the thesis

This thesis is structured as follows. In Chapter 2 we give an overview of the Colombo model and present our result regarding the undecidability of simulation for  $Colombo^{unb}$ . This chapter addresses then the complexity issue for  $Colombo^{DB=\emptyset}$  and  $Colombo^{bound}$ . In Chapter 3, we define our generic model and the relation of (weak)simulation. Then, we study decidability and complexity issues for *Update free* services. After that, we focus on *Insert* services. Finally, we review related works and conclude in Chapter 4. Additional proofs and technical details are given in appendix A.



## Chapitre 2

# Checking Simulation Preorder in the Colombo Model

This chapter is organized as follows : we start by some preliminaries in section 2.1. In section 2.2 we overview the *Colombo* model and defines the associated simulation problem. Section 2.3 describes our results regarding undecidability of unbounded Colombo. Section 2.4 considers the case of DB-less *Colombo*<sup>DB=∅</sup> services (i.e., Colombo services which are not able to access to databases) and show decidability and complexity results of simulation in this context. Section 2.5 is devoted to *Colombo*<sup>bound</sup> case (i.e., Colombo services with bounded database). Section 2.6 concludes this chapter.

### 2.1 Preliminaries

**Relational database** We assume some familiarity with relational database concepts (e.g., see [AHV95]). Let  $\mathcal{U}$  be an infinite set of attributes,  $\mathcal{V}$  a possibly infinite set of variables and  $\mathcal{D}$  an infinite set of constants (values). The sets  $\mathcal{U}$ ,  $\mathcal{V}$  and  $\mathcal{D}$  are pairwise disjoint. Associated with every attribute  $A \in \mathcal{U}$  an attribute domain  $Dom(A) \subseteq \mathcal{D}$ . A relational schema  $\mathcal{R}$  is a set  $\{R_1, \dots, R_n\}$  of relation schemas, where each  $R_i$  is defined over a finite set  $X_i \subset \mathcal{U}$  of attributes,  $X_i = \{A_1, \dots, A_n\}$  and  $arity(R_i) = n$ . We write  $schema(R_i) = X_i$ . An instance  $r$  of  $R_i$  over the set of attributes  $\{A_1, \dots, A_n\}$  is a finite subset of the Cartesian product  $Dom(A_1) \times \dots \times Dom(A_n)$ . We denote by  $|r|$  the cardinality of the relation  $r$  (i.e., the total number of tuples in the instance  $r$ ). An instance  $I$  (database) of the relational schema  $\mathcal{R}$  is the set  $\{r_1, \dots, r_n\}$  where each  $r_i$  is an instance of  $R_i \in \mathcal{R}$ . The set of all possible database of  $\mathcal{R}$  is denoted  $\mathcal{I}_{\mathcal{R}}$ .

**Finite state machine** A finite state machine  $M$  is a tuple  $\langle \Sigma_M, Q_M, F_M, q_M^0, \delta_M \rangle$  where :

- $\Sigma_M$  is a finite alphabet,
- $Q_M$  is a set of states,
- $F_M \subseteq Q_M$  is the set of final states,
- $q_M^0$  is the initial state,
- $\delta_M \subseteq Q_M \times \Sigma_M \times Q_M$  is the set of transitions.

**Simulation preorder for finite state machines** Let  $M = \langle \Sigma_M, Q_M, F_M, q_M^0, \delta_M \rangle$  and  $M' = \langle \Sigma_{M'}, Q_{M'}, F_{M'}, q_{M'}^0, \delta_{M'} \rangle$  be two finite state machines. A state  $q_1 \in Q_M$  is simulated by a state  $q'_1 \in Q_{M'}$  noted  $q_1 \preceq q'_1$  iff the following conditions hold :

- $\forall a \in \Sigma_M$  and  $\forall q_2 \in Q_M$  such that  $(q_1, a, q_2) \in \delta_M$  there exists a transition  $(q'_1, a, q'_2) \in \delta_{M'}$  such that  $q_2 \preceq q'_2$ , and
- if  $q_1 \in F_M$  then  $q'_2 \in F_{M'}$ .

$M \preceq M'$  iff  $q_M^0 \preceq q_{M'}^0$ .

$M$  and  $M'$  are simulation equivalent, noted  $M \cong M'$  iff  $M \preceq M'$  and  $M' \preceq M$ .

## 2.2 Overview on the Colombo model

We present below a simplified version of the Colombo model which is sufficient to present our results<sup>1</sup>. A detailed description of the Colombo model is given in [BCG<sup>+</sup>05].

A *world* database schema, denoted  $\mathcal{W}$ , is a finite set of relation schemas having the form  $R_k(A_1, \dots, A_k; B_1, \dots, B_n)$ , where  $A_i$ s,  $B_j$ s are attributes and the  $A_i$ s form a key for  $R_k$ . A world database is an instance over the schema  $\mathcal{W}$ . Let  $R(A_1, \dots, A_k; B_1, \dots, B_n)$  be a relation schema in  $\mathcal{W}$ , then  $f_j^R(A_1, \dots, A_k)$  is an access function that returns the  $k+j$ -th element of the tuple  $t$  in  $R$  identified by the key  $(A_1, \dots, A_k)$  (i.e., the  $j$ -th element of the tuple  $t$  after the key). Given a set of constants  $C$  and variables  $V$ , the set of accessible terms over  $C$  and  $V$  is defined recursively to include all the terms constructed using  $C, V$  and the  $f_j^R$  functions.

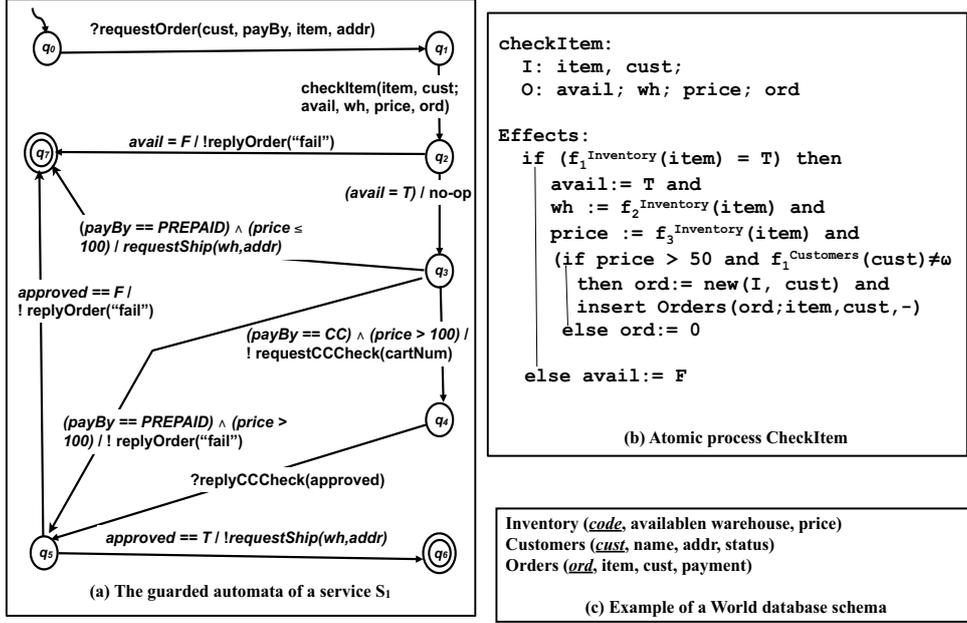
**Example 2.** Figure 2.1(c) depicts an example of a world database schema while figure 2.2 shows an instance of such a schema. For example, access to the relation `Inventory`(`code`, `available`, `warehouse`, `price`) is only possible through the access function  $f_j^{\text{Inventory}}(\text{code})$  with  $j \in [1, 3]$ . For instance, using the world database depicted at figure 2.2, the function  $f_2^{\text{Inventory}}(\text{"HP15"})$  returns the value `"NGW"`, corresponding to the value of the second attribute (i.e., the attribute `warehouse`) of the tuple identified by the code `"HP15"` in the relation `Inventory`.

### 2.2.1 Atomic processes

In the Colombo model, services *actions* are achieved using the notion of atomic processes. An atomic process is a triplet  $p = (I, O, CE)$  where  $I$  and  $O$  are respectively input and output signatures (i.e., sets of typed variables) and  $CE = \{(\theta, E)\}$ , is a set of conditional effects, with :

- Condition  $\theta$  is a boolean expression over atoms over accessible terms over some family of constants and the input variables  $u_1, \dots, u_n$  in  $I$ ,
- A set of effects  $E$  where each effect  $e \in E$  is a pair  $(es, ev)$  with :
  - $es$ , effect on world state, is a set of modifications on the global database, i.e., expressions of the form
    - *insert*  $R(t_1, \dots, t_k, s_1, \dots, s_l)$ ,
    - *delete*  $R(t_1, \dots, t_k)$ ,
    - *modify*  $R(t_1, \dots, t_k, r_1, \dots, r_l)$ ,
 where each  $t_i$ , with  $i \in [1, k]$ , (respectively,  $s_j$  with  $j \in [1, l]$ ) is an accessible term over some set of constants and input variables  $u_1, \dots, u_n$  in  $I$ , and where each  $r_j$ , with  $j \in [1, l]$ , is either an accessible term or the special symbol `"_"` which indicates a position of the identified tuple in  $R$  which should remain unchanged.
  - $ev$ , effects on output variables, is a set of expressions of the forms  $:v_j := t, \forall v_j \in O$  such that either  $t = \omega$  or  $t$  is an accessible term over some set of constants and

1. In particular, we omit notions like *QStore*, *linkage*, ..., which are not relevant for our purposes.

FIGURE 2.1 – Example of Colombo service (inspired from [BCG<sup>+</sup>05]).

over the input variables  $u_1, \dots, u_n$ . The symbol  $\omega$  is used to denote an *undefined* (or null) value.

**Example 3.** Figure 2.1(b) shows an example of a specification of an atomic process (the atomic process CheckItem). This process takes as input an item code (*item*) and a customer number (*cust*) and checks first if the requested item is available in the relation *inventory* (condition if  $f_1^{\text{Inventory}}(\text{item}) = T$ ). If the requested item is not available, the process CheckItem simply returns the output parameter *avail* = *F*. Otherwise, if the requested item is available, the process returns the warehouse where the item is stocked and the price. Moreover, if the price of the requested item is greater than 50 and the status of the current customer is defined (condition if  $\text{price} > 50$  and  $f_1^{\text{Customers}}(\text{cust}) \neq \omega$ ), then a new order id is created and inserted in the relation *Orders*. Otherwise, the process returns the output parameter *ord* = 0. Note that, the new order id is created with the function *new*, this is just for simplifying the example. In fact, the new value of *ord* is obtained through a message, then the service verify if this value is not the value of an existing order id.

## 2.2.2 Guarded automata

The behavior of a Colombo service is given by the notion of *guarded automata* as defined below.

### Definition 1. *guarded automaton (GA)*

A guarded automaton of a service  $S$  is a tuple  $GA(S) = \langle Q, \delta, q_0, F, LStore(S) \rangle$ , where :

- $Q$  is a finite set of control states with  $q_0 \in Q$  the initial state,
- $F \subseteq Q$  is a set of final states,
- $LStore(S)$  is a finite set of typed variables,
- the transition relation  $\delta$  contains tuples  $(q, \theta, \mu, q')$  where  $q, q' \in Q$ ,  $\theta$  is a condition over  $LStore$  (no access to world instance), and  $\mu$  has one of the following forms :

- (incoming message)  $\mu = ?m(v_1, \dots, v_n)$  where  $m$  is a message having as signature  $m(p_1, \dots, p_n)$ , and  $v_i \in LStore(S), \forall i \in [1, n]$ , or
- (send message)  $\mu = !m(b_1, \dots, b_n)$  where  $m$  is a message having as signature  $m(p_1, \dots, p_n)$ , and  $\forall i \in [1, n]$ , each  $b_i$  is either a variable of  $LStore(S)$  or a constant, or
- (atomic process invocation)  $\mu = p(u_1, \dots, u_n; v_1, \dots, v_m, CE)$  with  $p$  an atomic process having  $n$  inputs,  $m$  outputs and  $CE$  as conditional effects, and  $\forall i \in [1, n]$ , each  $u_i$  (respectively,  $v_i$ ) is either a variable of  $LStore(S)$  or a constant.

A message type has the form  $m(p_1, \dots, p_n)$  where  $m$  is the message name and  $p_1, \dots, p_n$  are message parameters. Each parameter  $p_i$  is defined over a domain  $\mathcal{D}$ .

$LStore(S)$  can be viewed as a working area of a service. The variables of  $LStore(S)$  are used to (i) capture the values of incoming messages, (ii) capture the output values of atomic processes, (iii) populate the parameters of outgoing messages, and (iv) populate the input parameters of atomic processes.

**Example 4.** Figure 2.1(a), inspired from [BCG<sup>+</sup>05], shows the guarded automata of a Warehouse service. The states of the automata represent the different phases that the service may go through during its execution. Transitions are associated with a send or a receive message or with an atomic process. The Warehouse service is initially at its initial state (i.e., the state indicated in the figure by an unlabeled entering arrow). The service starts its execution upon receiving a `requestOrder` message. Then, depending on the requested payment mode and the price, respectively given by the values of the received message parameters `payBy` and `price`, the service can make two possible moves : (i) if the payment mode is `CC` (credit card) or the price  $> 10$ , the service sends a `requestCCCheck` message, for example to a bank, in order the check whether the credit card can be used to make the payment, or (ii) if the payment mode is `PREPAID` and the price  $\leq 10$ , the service will execute the atomic process `charge` in order to achieve the payment. The service ends its execution at a final state, depicted in the figure by double-circled states.

If a given guarded automaton  $GA(S)$  uses only transitions of the form  $(q, \theta, \mu, q')$  with  $\mu$  is an atomic process, in this case the corresponding service  $S$  is called a *non-communicating* service (since  $S$  cannot exchange messages with its environment). Moreover, if all the atomic processes used in a guarded automaton  $GA(S)$  have no effects on world states (i.e., the set  $es$  of each atomic process is empty), in this case the service  $S$  is called a *read-only* Colombo service.

### 2.2.3 Service runs

We use the notion of an extended automata to define the semantics of a Colombo service. At every point in time, the behavior of an instance of a Colombo service  $S$  is determined by its *instantaneous description* (or simply, *configuration*). A configuration of a service is given by a triplet  $id = (l, \mathcal{I}, \alpha)$  where  $l$  is its current control state,  $\mathcal{I}$  a world database instance and  $\alpha$  is a valuation over the variables of  $LStore$ .

#### Definition 2. (service runs)

Let  $GA(S) = \langle Q, \delta, l_0, F, LStore(S) \rangle$  be a guarded automata of a service  $S$ . A run  $\sigma$  of  $S$  is a finite sequence  $\sigma = id_0 \xrightarrow{\mu_0} id_1 \xrightarrow{\mu_1} \dots \xrightarrow{\mu_{n-1}} id_n$  wich satisfy the following conditions :

- (Initiation)  $id_0 = (l_0, \mathcal{I}_0, \alpha_0)$  is an initial configuration of the run with  $\mathcal{I}_0$  is an arbitrary database over  $\mathcal{W}$  and  $\alpha_0(x) = \omega, \forall x \in LStore(S)$ .

- (Consecution)  $\forall i \in [1, n]$ ,  $id_i = (l_i, \mathcal{I}_i, \alpha_i)$  and there is a transition  $(l_i, \theta, \mu, l_{i+1}) \in \delta$  such that  $\alpha_i(\theta) \equiv \text{true}$  and one of the following conditions holds :
  - $\mu = ?m(v_1, \dots, v_n)$  and  $\mu_i = ?m(c_1, \dots, c_n)$ , with  $c_j$  a constant  $\forall j \in [1, n]$ , then  $\mathcal{I}_{i+1} = \mathcal{I}_i$  and  $\alpha_{i+1}(v_j) = c_j$  and  $\forall v \in LStore(S) \setminus \{v_1, \dots, v_n\}$ ,  $\alpha_{i+1}(v) = \alpha_i(v)$ ,
  - $\mu = !m(b_1, \dots, b_n)$  and  $\mu_i = !m(\alpha_i(b_1), \dots, \alpha_i(b_n))$  then  $\mathcal{I}_{i+1} = \mathcal{I}_i$  and  $\forall v \in LStore(S)$ ,  $\alpha_{i+1}(v) = \alpha_i(v)$ , and
  - $\mu = p(u_1, \dots, u_n; v_1, \dots, v_m, CE)$  and  $\mu_i = p(\alpha_i(u_1), \dots, \alpha_i(u_n); \alpha_{i+1}(v_1), \dots, \alpha_{i+1}(v_m), CE)$  then
    - if there is no  $(c, E) \in CE$  s.t.  $\alpha_i(c) \equiv \text{true}$  (or there is more than one such  $(c, E)$ ) then  $\mathcal{I}_{i+1} = \mathcal{I}_i$  and  $\forall v \in LStore(S)$ ,  $\alpha_{i+1}(v) = \alpha_i(v)$ , or
    - let  $(c, E)$  be the unique conditional effects in  $CE$  s.t.  $\alpha_i(c) \equiv \text{true}$ , and let  $(es, ev)$  be a non-deterministically chosen element of  $E$ , then :
      - for each statement *insert*  $R(t_1, \dots, t_k, s_1, \dots, s_l)$ , *delete*  $R(t_1, \dots, t_k)$ , or *modify*  $R(t_1, \dots, t_k, s_1, \dots, s_l)$  in  $es$ , apply the corresponding modification obtained by replacing  $t_i$  (respectively,  $s_i$ ) by  $\alpha_i(t_i)$  (respectively,  $\alpha_i(s_i)$ ) on the instance  $\mathcal{I}_i$ . The obtained instance is the database  $\mathcal{I}_{i+1}$ .
      - $\forall v_j := t \in ev$ ,  $\alpha_{i+1}(v_j) = \alpha_i(t)$  and  $\alpha_{i+1}(v) = \alpha_i(v)$  for all the other variables  $v$  of  $LStore(S)$ .

An execution of a service  $S$  starts at an initial configuration  $id_0 = (l_0, \mathcal{I}_0, \alpha_0)$ , with  $l_0$  the initial control state of  $GA(S)$ ,  $\mathcal{I}_0$  an arbitrary database over  $\mathcal{W}$  and  $\alpha_0(x) = \omega$ ,  $\forall x \in LStore(S)$ . Then, a service moves from an  $id_i$  to  $id_j$  according to the mechanics defined by the set of transitions of  $GA(S)$ . If  $id_i \xrightarrow{\mu_i} id_j$  satisfies the consecution condition above, we say that  $\mu_i$  is allowed from  $id_i$ . More specifically, we have the following cases :

- $\mu = ?m(v_1, \dots, v_n)$  then only  $(v_1, \dots, v_n)$  receive new values. The other variables and the database do no change.
- $\mu = !m(b_1, \dots, b_n)$  then there is no modification on the variables nor the database.
- $\mu = p(u_1, \dots, u_n; v_1, \dots, v_m, CE)$  then
  - if there is no  $(c, E) \in CE$  where  $c$  is verified (or there is more than one) then there is no modification of the variables nor the database.
  - let  $(c, E)$  be the unique conditional effects in  $CE$  s.t  $c$  is verified, and let  $(es, ev)$  be a non-deterministically chosen element of  $E$ , then :
    - for each statement *insert*  $R(t_1, \dots, t_k, s_1, \dots, s_l)$ , *delete*  $R(t_1, \dots, t_k)$ , or *modify*  $R(t_1, \dots, t_k, s_1, \dots, s_l)$  in  $es$ , apply the corresponding modifications. The obtained instance is the database  $\mathcal{I}_{i+1}$ .
    - for all  $v_j := t$  in  $ev$ , execute the assignment, all the other variables  $v$  of  $LStore(S)$  do not change.

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Initial World database $I_0$					$LStore(S_1)$																																												
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FIGURE 2.2 – Example of an initial configuration  $id_0 = (l_0, \mathcal{I}_0, \alpha_0)$ .

**Example 5.** We illustrate in this example a run of our sample Warehouse service  $S_1$  depicted at figure 2.1. Figure 2.2 shows a possible initial configuration of the Warehouse service  $S_1$ . This configuration is made of : (i) the initial state  $q_0$  of the guarded automaton of  $S_1$ , (ii) an initial world database over the relation schemas *Inventory*, *Customers* and *Orders*, and (iii) the local store  $LStore(S_1)$  having all its variables set to  $\omega$  (i.e., the variables are initially undefined). Upon the reception of the message `requestOrder(cust := '1', payBy := "cc", item := "HP15", addr := "NW")` the service  $S_1$  moves from configuration  $id_0 = (l_0, \mathcal{I}_0, \alpha_0)$  to the configuration  $id_1 = (l_1, \mathcal{I}_1, \alpha_1)$  depicted at figure 2.3. Note that at configuration  $id_1$ , the world database is left unchanged while the values conveyed by the message `requestOrder` are stored in the corresponding variables in  $LStore(S_1)$ . Then, upon the execution of the atomic process `CheckItem`, the service moves from configuration  $id_1$  to the configuration  $id_2 = (l_2, \mathcal{I}_2, \alpha_2)$  depicted at figure 2.4. As explained in the previous example, the atomic process `CheckItem` (c.f., figure 2.1(b)), takes as input parameter the variable `item` whose value at configuration  $id_1$  is  $\alpha_1(\text{item}) = \text{"HP15"}$ . Hence, the condition (if  $f_1^{\text{Inventory}}(\text{item}) = T$ ) in the specification of the *effects* of the `CheckItem` process is evaluated to true. Therefore, the output parameters `avail`, `wh` and `price` of the `CheckItem` process are updated as follows : `avail := T`, `wh := f_2^{\text{Inventory}}(\text{"HP15"}) = \text{"NGW"}` and `price := f_3^{\text{Inventory}}(\text{"HP15"}) = \text{"65"}`. Moreover, the condition (if `price > 50` and  $f_{\text{Customers}}^1(\text{cust}) \neq \omega$ ) is also evaluated to true at configuration  $id_1$ . Hence, a new order id is generated (e.g., the order `L021`) and inserted in the relation *Orders*.

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FIGURE 2.3 – The configuration  $id_1 = (l_1, \mathcal{I}_1, \alpha_1)$  after reception of the message `requestOrder`.

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FIGURE 2.4 – The configuration  $id_2 = (l_2, \mathcal{I}_2, \alpha_2)$  after the execution of the `checkItem` process.

### 2.2.4 Extended state machine

The semantics of a Colombo service can be captured by the following notion of an extended infinite state machine.

**Definition 3.** (*extended state machine*) Let  $GA(S) = \langle Q, \delta, l_0, F, LStore(S) \rangle$  be a guarded automata of a service  $S$ . The associated infinite state machine, noted  $E(S)$ , is a tuple  $E(S) = (\mathbb{Q}, \mathbb{Q}_0, \mathbb{F}, \Delta)$  where :

- $\mathbb{Q} = \{(l, \mathcal{I}, \alpha)\}$  with  $l \in Q$ ,  $\mathcal{I}$  a database over  $\mathcal{W}$  and  $\alpha$  a valuation over the variables of  $LStore$ . The set  $\mathbb{Q}$  contains all the possible configurations of  $E(S)$ .
- $\mathbb{Q}_0 = \{(l_0, \mathcal{I}_0, \alpha_0)\}$ , with  $\mathcal{I}_0$  an arbitrary database over  $\mathcal{W}$  and  $\alpha_0(x) = \omega$ ,  $\forall x \in LStore(S)$ .  $\mathbb{Q}_0$  is the infinite set of initial configurations of  $E(S)$ .
- $\mathbb{F} = \{(l_f, \mathcal{I}, \alpha) \mid l_f \in F\}$ .  $F$  is the set of final configurations of  $E(S)$ .
- $\Delta$  is an (infinite) set of transitions of the form  $\tau = (l_i, \mathcal{I}_i, \alpha_i) \xrightarrow{\mu_i} (l_j, \mathcal{I}_j, \alpha_j)$  such that  $\mu_i$  is allowed from  $(l_i, \mathcal{I}_i, \alpha_i)$  (i.e.,  $\tau$  satisfies the consecution condition of definition 2).

Any configuration of the extended state machine belongs in a path from an initial configuration to a final configuration. A run of  $E(S)$  is any finite path from an initial configuration of  $E(S)$  to one of its final configurations. Given an initial configuration  $id_0$  of  $E(S)$ , all the possible runs of  $E(S)$  starting from  $id_0$  form an (infinite) execution tree having  $id_0$  as its root. Hence, due to the infinite number of initial databases, all the runs of service  $S$  are captured in an (infinite) forest, that contains all possible execution trees of  $E(S)$  (i.e., the set of trees having as a root an initial configuration  $id$  with  $id \in \mathbb{Q}_0$ ).

### 2.2.5 Simulation relation

We define now the notion of simulation between two Colombo services.

**Definition 4.** (*Simulation*) Let  $S$  and  $S'$  be two Colombo services and let  $E(S) = (\mathbb{Q}, \mathbb{Q}_0, \mathbb{F}, \Delta)$  and  $E(S') = (\mathbb{Q}', \mathbb{Q}'_0, \mathbb{F}', \Delta')$  be respectively there associated extended state machines.

- Let  $(id, id') \in \mathbb{Q} \times \mathbb{Q}'$ . The configuration  $id = (l, \mathcal{I}, \alpha)$  is simulated by  $id' = (l', \mathcal{I}', \alpha')$ , noted  $id \preceq id'$ , iff :
  - if  $id \in \mathbb{F}$  then  $id' \in \mathbb{F}'$  and
  - $\mathcal{I} = \mathcal{I}'$ , and
  - $\forall id \xrightarrow{\mu} id_j \in \Delta$ , there exists  $id' \xrightarrow{\mu'} id'_j \in \Delta'$  such that  $\mu = \mu'$  and  $id_j \preceq id'_j$
- The extended state machine  $E(S)$  is simulated by the extended state machine  $E(S')$ , noted  $E(S) \preceq E(S')$ , iff  $\forall id_0 \in \mathbb{Q}_0, \exists id'_0 \in \mathbb{Q}'_0$  such that  $id_0 \preceq id'_0$
- A Colombo service  $S$  is simulated by a Colombo service  $S'$ , noted  $S \preceq S'$ , iff  $E(S) \preceq E(S')$ .

Informally, if  $S \preceq S'$ , this means that  $S'$  is able to faithfully reproduce the external visible behavior of  $S$ . The external visible behavior of a service is defined here with respect to the content of the world database as well as the exchanged *concrete* messages (i.e., message name together with the values of the message parameters). The existence of a simulation relation ensures that each execution tree of  $S$  is also an execution tree of  $S'$  (in fact, a subtree of  $S'$ ), modulo a relabeling of control states.

**Example 6.** Consider the Colombo services  $S_2$  and  $S_3$  depicted at figure 2.5. We assume that these services use the same world database schema as the service  $S_1$  of figure 2.1. An

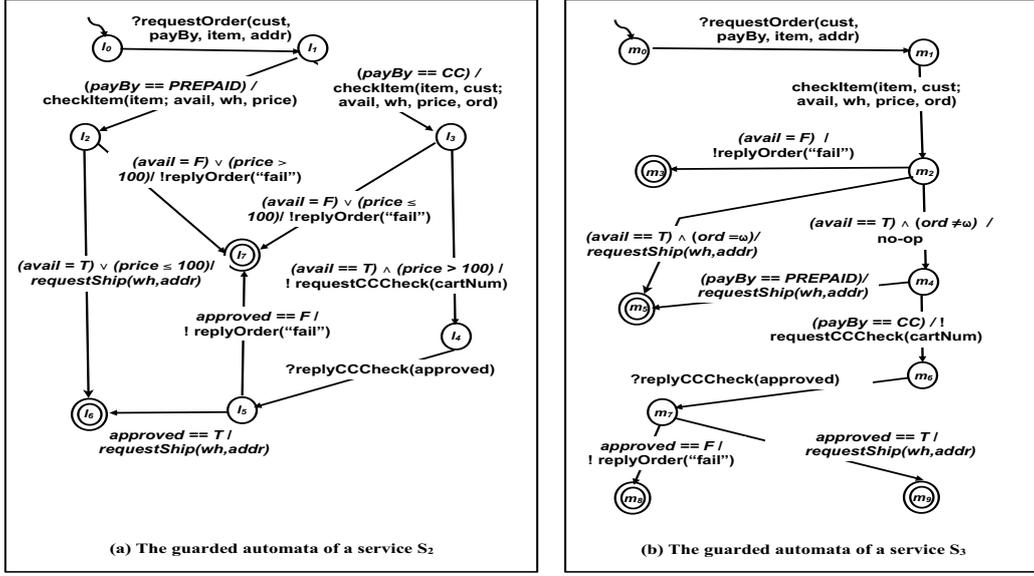


FIGURE 2.5 – Examples of Colombo services.

interesting question is to compare the three services with respect to their external visible behaviours. For example, although the automata of the services  $S_1$  and  $S_2$  look different, service  $S_1$  is in fact simulated by service  $S_2$  (i.e.,  $S_1 \preceq S_2$ ) which means that any behaviour of  $S_1$  can be reproduced by  $S_2$ .

In contrast, even if service  $S_3$  looks more general than  $S_1$ , the two services are in fact not comparable w.r.t. simulation relation (i.e.,  $S_1 \not\preceq S_3$  and  $S_3 \not\preceq S_1$ ). One can see that  $S_1$  does not simulate  $S_3$  because  $S_3$  allows the PREPAID payment mode for any item while  $S_1$  accepts the PREPAID payment mode only for items having a price less than 100. The service  $S_3$  does not simulate  $S_1$  because if a payment by credit card (payment mode CC) is approved, the service  $S_1$  sends a message  $!requestShip(wh, addr)$  before terminating the execution while service  $S_3$  never sends such a message.

### 2.3 Undecidability of simulation in unbounded Colombo

We shall show that the simulation problem is undecidable for Colombo services.

**Problem 1.** Let  $S$  and  $S'$  be two Colombo services. The simulation problem, noted  $CheckSim(S, S')$ , is the problem of deciding whether  $S \preceq S'$ .

We start by establishing a connection between the problems of *state reachability* and checking simulation between services. We exploit then this connection to establish undecidability of simulation.

Let us first define the state reachability problem for *Colombo* services.

**Problem 2.** Let  $S$  be a Colombo service and  $E(S) = (\mathbb{Q}, \mathbb{Q}_0, \mathbb{F}, \Delta)$  its extended state machine. Let  $l \in \mathbb{Q}$  be a control state in  $GA(S)$ . The reachability problem, noted  $reach(E(S), l)$ , is the following: Is there a database  $\mathcal{J}$  over the scheme  $\mathcal{W}$  and a valuation  $\alpha$  over  $LStore(S)$  such that the configuration  $(l, \mathcal{J}, \alpha)$  appears in a run of  $E(S)$ ?

**Example 7.** An example of a reachability problem is to ask whether the configuration  $id_2 = (l_2, \mathcal{I}_2, \alpha_2)$  depicted at figure 2.4 is reachable by our simple Warehouse service  $S_1$ . The

answer in this case is yes since, as illustrated in the previous example, the configuration  $id_2$  can be reached from the initial configuration  $id_0$  shown at figure 2.2.

We exhibit the following straightforward link between simulation and reachability.

**Theorem 1.** *If the reachability problem for a given class of Colombo service is undecidable so the simulation is also undecidable in this class.*

*Démonstration.* (sketch)

Let  $S$  be a Colombo service and  $l$  be a state in  $GA(S)$ . w.l.o.g., we assume that for any transition  $(l', c, \mu, l)$  of  $GA(S)$ , the label  $\mu$  is unique (i.e.,  $\mu$  do not appear in any another transition of  $GA(S)$ ). Then, given the reachability problem  $\text{reach}(E(S), l)$ , we build a new service  $S'$ , such that  $GA(S')$  is obtained from  $GA(S)$  by deleting the state  $l$ . Consider now the simulation problem  $\text{CheckSim}(S, S')$ . Hence in this case, it is easy to prove that  $S \preceq S'$  iff  $l$  is not reachable in  $E(S)$ . □

Let us consider now the reachability problem in Colombo.

**Lemma 1.** *The reachability problem in Colombo is undecidable.*

The proof of this lemma is achieved by a reduction from halting problem of a Minsky machine [Min67]. A Minsky machine  $M$  consists of two nonnegative counters,  $\text{cpt}_1$  and

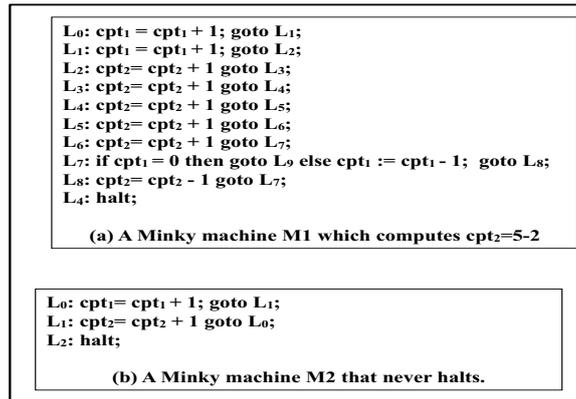


FIGURE 2.6 – Example of two Minsky machines.

$\text{cpt}_2$ , and a sequence of labelled instructions :

$$L_0 : \text{instr}_0; L_1 : \text{instr}_1; \dots L_{n-1} : \text{instr}_{n-1}; L_n : \text{halt}$$

where each of the first  $n$  instructions has one of the following forms :

1.  $L_i : \text{cpt}_k := \text{cpt}_k + 1; \text{goto } L_j$ , or
2.  $L_i : \text{if } \text{cpt}_k = 0 \text{ then } \text{goto } L_j \text{ else } \text{cpt}_k := \text{cpt}_k - 1; \text{goto } L_l$ .  
with  $k \in \{1, 2\}$ ,  $i \in [0, n-1]$  and  $j, l \in [0, n]$ .

A machine  $M$  starts its execution with counters  $\text{cpt}_1 = \text{cpt}_2 = 0$  and the control at label  $L_0$ . Then, when the control is at a label  $L_i, i \in [0, n-1]$ , the machine executes the instruction  $\text{instr}_i$  and jumps to the appropriate label as specified in this instruction. The machine  $M$  halts if the control reaches the halt instruction at label  $L_n$ .

**Example 8.** Figure 2.6(a) shows an example of a Minsky machine M1 which computes the difference operation  $5 - 2$  at the counter  $\text{cpt}_2$ . The seven first lines  $L_0$  to  $L_6$  of M1 are used to initialize the counters  $\text{cpt}_1 := 2$  and  $\text{cpt}_2 = 5$ . Then, the machine M1 loops on the lines  $L_7$  and  $L_8$  to compute the difference  $\text{cpt}_2 - \text{cpt}_1$  and halts. Figure 2.6(b) shows a Minsky machine M2 that never halts. An execution of such a machine leads to an infinite sequence :  $(L_0, \text{cpt}_1 = 0, \text{cpt}_2 = 0), (L_1, \text{cpt}_1 = 1, \text{cpt}_2 = 0), (L_0, \text{cpt}_1 = 1, \text{cpt}_2 = 1), \dots$

It is known that the halting problem of Minsky machines, i.e., whether the execution of a given machine halts, is undecidable even in the case when the two counters are initialized to zero [Min67].

Given a Minsky machine  $M$ , we construct a Colombo service  $S_M$  that captures the execution of  $M$ .  $S_M$  uses a world database schema containing a single binary relation schema (i.e.,  $\mathcal{W} = \{R(A;B)\}$ ). The main idea to simulate a machine  $M$  is to make  $S_M$

<p><b>Relation R</b></p> <table border="1" style="margin: auto; border-collapse: collapse;"> <thead> <tr> <th>A</th> <th>B</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>1</td> </tr> <tr> <td>1</td> <td>2</td> </tr> <tr> <td>2</td> <td>3</td> </tr> </tbody> </table> <p><b>(a) A 3-standard database.</b></p>	A	B	0	1	1	2	2	3	<p><b>Relation R</b></p> <table border="1" style="margin: auto; border-collapse: collapse;"> <thead> <tr> <th>A</th> <th>B</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>a</td> </tr> <tr> <td>a</td> <td>f</td> </tr> <tr> <td>f</td> <td>k</td> </tr> <tr> <td>l</td> <td>10</td> </tr> <tr> <td>10</td> <td>21</td> </tr> </tbody> </table> <p><b>(b) Another 3-standard database.</b></p>	A	B	0	a	a	f	f	k	l	10	10	21
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a	b																				
b	c																				
c	b																				
6	7																				

FIGURE 2.7 – Examples of standard and non-standard world databases.

working only on parts of instances of  $R$  that form a *chain* having the constant 0 as a root. A chain of length  $k$  is any set  $\Upsilon_k = \{(c_0, c_1), \dots, (c_{k-1}, c_k) \mid \forall i \in [0, k-1], c_i \text{ is a constant}\}$ . The constant  $c_0$  is called the root of  $\Upsilon_k$ . For a pair  $(c_{l-1}, c_l) \in \Upsilon_k$ , we note by  $d(c_l) = l$  the *distance* of  $c_l$  with respect to the root  $c_0$  in the chain  $\Upsilon_k$ . An instance  $I$  of  $R$  is said  $k$ -standard if there exists a chain  $\Upsilon_k$  such that  $\Upsilon_k \subseteq I$  and  $c_0 = 0$ . Hence, a  $k$ -standard instance contains a chain of length  $k$  that starts with pair  $(0, c_1)$ .

**Example 9.** Figures 2.7(a) and (b) show examples of two 3-standard databases. Each of the relations of these figures contains a chain of length 3 starting from the root 0 : the chain  $\Upsilon_3 = \{(0, 1), (1, 2), (2, 3)\}$  of figure 2.7(a) and the chain  $\Upsilon_3 = \{(0, a), (a, f), (f, k)\}$  of figure 2.7(b). Note that, the relation R of figure 2.7(b) contains two additional tuples  $(l, 10)$  and  $(10, 20)$  that do not belong to the chain  $\Upsilon_3 = \{(0, a), (a, f), (f, k)\}$ . These two tuples will never be accessed by the constructed Colombo services (i.e., the constructed Colombo services can *see* only the elements of a chain rooted at the constant 0). Figures 2.7(c) and (d) show examples of non-standard databases. The database at figure 2.7(c) is non 1-standard because it does not contain the constant 0 while the database at figure 2.7(d) is non-standard because it includes a chain with a cycle (i.e., the chain  $\Upsilon_3 = \{(0, a), (a, b), (b, c), (c, b)\}$ ).

To simulate the counters  $cpt_1$  and  $cpt_2$  during an execution of  $M$ ,  $S_M$  uses respectively two variables, namely  $x_1$  and  $x_2$  (hereafter called counter variables), of its LStore. The variables  $x_1$  and  $x_2$  are initially set to 0. Intuitively, a value of a counter  $cpt_j$ , with  $j \in \{1, 2\}$ , is captured by the *distance* between the current value of the variable  $x_j$  w.r.t. to the root 0 of the chain (i.e.,  $cpt_j = d(x_j)$ ). Hence, a given counter  $cpt_j$  of a minsky machine  $M$  is equal to 0 iff its corresponding counter variable  $x_j$  is equal to 0 (with  $j \in \{1, 2\}$ ). Incrementing a counter  $cpt_j$  is captured in  $S_M$  by moving forward the corresponding variable  $x_j$  in the chain  $\Upsilon_k$  while decreasing a counter amounts to moving  $x_k$  backward in the chain.

**Example 10.** Figure 2.8 shows some configurations of a Colombo service  $S_{M1}$  used to simulate the Minsky machine  $M1$  of figure 2.6(a). The local store of  $S_{M1}$  includes among others the variables  $x_1$  and  $x_2$  which are respectively used to simulate the counters  $cpt_1$  and  $cpt_2$  of  $M1$ . The initial state of  $M1$ , i.e.,  $cpt_1 = cpt_2 = 0$ , corresponds to the configuration of  $S_{M1}$  depicted at figure 2.8 (a). In this configuration, both  $x_1$  and  $x_2$  are set to 0. Figure 2.8 (b) shows the configuration of  $S_{M1}$  after the incrementation of the counter  $cpt_1$  of  $M1$  while figure 2.8 (c) shows a configuration of  $S_{M1}$  corresponding to a state of  $M1$  where  $cpt_1 = 1$  and  $cpt_2 = 5$ .

Moreover, to be able to simulate correctly an execution of a Minsky machine  $M$ , a service  $S_M$  requires an input database which is at least  $k_{max}$ -standard where  $k_{max}$  is the maximum value reached by the counters  $cpt_1$  and  $cpt_2$  of  $M$  in the considered execution. Hence, during its execution a service  $S_M$  needs to continuously check that

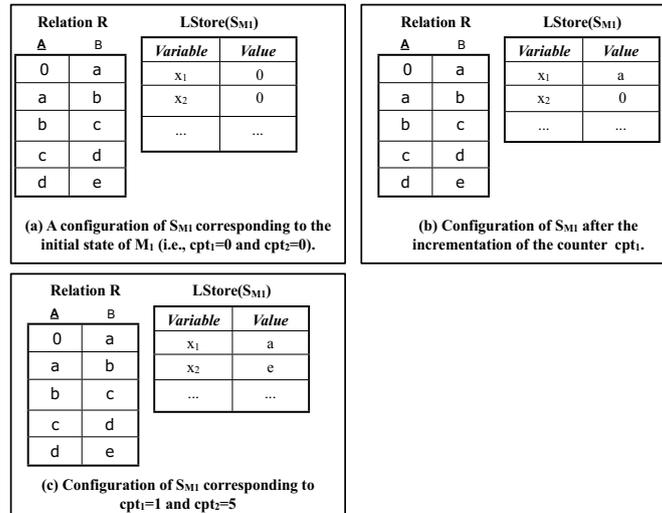


FIGURE 2.8 – Examples of configurations of a service  $S_{M1}$  which simulates the Minsky Machine  $M1$ .

the current database is  $k_{max}$ -standard. Due to the limited expressivity of the Colombo model, the implementation of such verification operations as well as the incrementation and decrementation of the counter variables  $x_1$  and  $x_2$  are not straightforward. We explain below in more details how the service  $S_M$  is constructed.

Let  $M$  be a Minsky machine defined as above. We associate to  $M$ , a Colombo service  $S_M$ , called the corresponding service of  $M$ , with the guarded automata  $GA(S_M) = \langle Q, \delta, q_{start}, F, LStore(S) \rangle$ . The set of states  $Q$  contains among other states, a state  $q_{L_i}$  for each label  $L_i$  in  $M$ , with  $i \in [1, n-1]$ , the initial state  $q_{start}$  and two final states  $q_{fail}$  and

$q_{halt}$ . The state  $q_{halt}$  corresponds to the label  $L_n$  of the `halt` instruction of  $M$ . An execution of  $S_M$  ends at the final state  $q_{halt}$  if the corresponding Minsky machine execution halts. An execution of  $S_M$  reaches the final state  $q_{fail}$  every time it is given as input an initial database which is not  $k_{max}$ -standard. To achieve this task, the service  $S_M$  uses a boolean variable noted  $x_{flag}$  to control the conformity of the current database :  $x_{flag}$  is initialized to true and then it is set to false if during a given execution the service finds out that the current database is not  $k_{max}$ -standard. Setting the boolean variable  $x_{flag}$  to false, will make the execution moving to the final state  $q_{fail}$ .

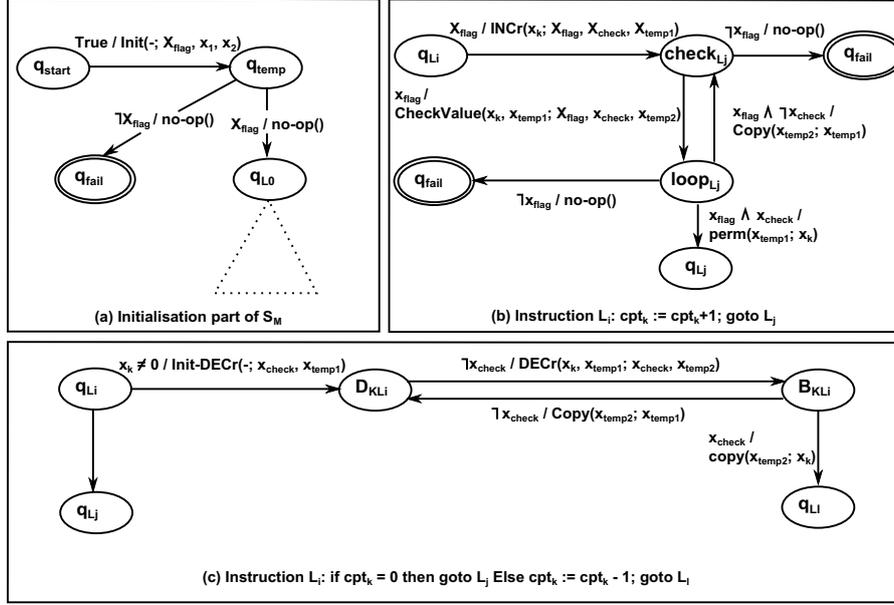
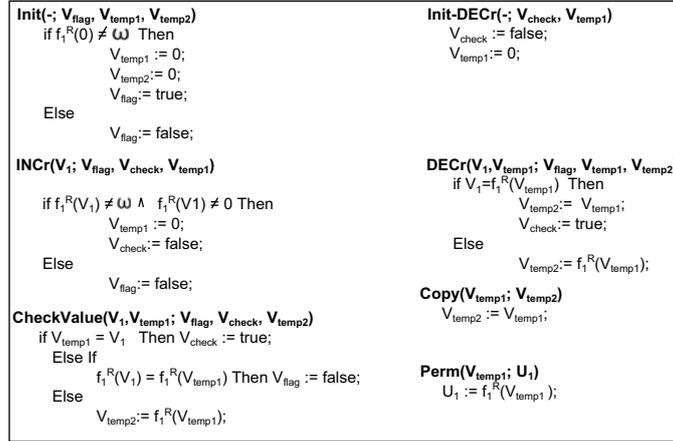
FIGURE 2.9 – Sub-processes of  $S_M$ .FIGURE 2.10 – Atomic processes of the Colombo service  $S_M$ .

Figure 2.9 shows fragment of a Colombo service used to model the two kinds of instructions used by Minsky machines while figure 2.10 describes the associated atomic processes. Figure 2.9 (a) depicts the initialisation of a service  $S_M$ . An execution of such a service starts by executing the atomic process `init` and moves to the state  $q_{temp}$ . The `init` process checks that the initial database is 1-standard (i.e., it contains a tuple  $(0, c_1)$ ) and in this case sets the counter variables to 0 and the boolean variable  $x_{flag}$  to true. In case the

initial database is not 1-standard, the variable  $x_{flag}$  is set to **false** which will make the execution moving from state  $q_{temp}$  to the final state  $q_{fail}$ .

**Example 11.** Consider again a Colombo service  $S_{M_1}$  which simulates the Minsky Machine  $M_1$ . By construction, the guarded automaton of such a service includes the initialisation part depicted at figure 2.9(a). Therefore, if the service  $S_{M_1}$  is given as initial database the non 1-standard database of figure 2.7(c), the service starts by executing the atomic process **linit** of figure 2.10 and moves to the state  $q_{temp}$ . As an effect of the execution of the atomic process **linit**, the variable  $V_{flag}$  is set to **false** during this transition. Indeed, when evaluated over the non 1-standard database of figure 2.7(c), the condition  $(f_1^R(0) \neq \omega)$  of the **linit** process returns **false** and hence the effect  $V_{flag} := \text{false}$  specified in the **Else** branch is applied. At state  $q_{temp}$ , the only possible transition for service  $S_{M_1}$  is to move to the final state  $q_{fail}$  and terminate the execution. Hence, when given any non 1-standard initial database, the service  $S_{M_1}$  always terminates at state  $q_{fail}$  (and can never reach the state  $q_{halt}$ ).

Figure 2.9(b) depicts part of a service that implements Minsky machine instructions of type 1 :  $L_i : cpt_k := cpt_k + 1; \text{ goto } L_j$  (i.e., incrementation of a counter  $cpt_k$ , with  $k \in \{1, 2\}$ ). As explained above, incrementation amounts to moving forward in the chain the corresponding counter variable  $x_k$ . Assume that the current value of the variable  $x_k$  is  $x_k = c_l$ , with  $c_l$  a constant. The incrementation of  $x_k$  requires to : (i) first check that  $f_1^R(x_k) \neq \omega$  (i.e., the chain is long enough to handle the new value of the counter), and (ii) check that  $f_1^R(c_l)$  is a new value which has not already appeared in the chain. These two conditions ensure that the considered database is  $k$ -standard (with  $k = d(c_l)+1$ ). The first condition is easy to check (c.f., atomic process **INCr**) while the second one is handled by reading the chain starting from the root until the tuple  $(c_{l-1}, c_l)$  and checking at each step whether the value  $f_1^R(c_l)$  has already appeared or not. To achieve this task, an execution of  $S_M$  enters the state  $check_{L_j}$  and then recursively calls the atomic process **CheckValue** starting from the root  $(0, c_1)$  of the chain (c.f., loop between the states  $Check_{L_j}$  and  $Loop_{L_j}$  in figure 2.9(b)). The execution exits from the loop in two cases : (i) either it reaches to tuple  $(c_{l-1}, c_l)$ , which means that the current database is  $k$ -standard (with  $k = d(c_l)+1$ ) and hence the service moves to the state  $q_{L_j}$  and continue the execution, or (ii) it reaches a tuple  $(c_i, f_1^R(c_l))$  in the chain which means that the database is not  $k$ -standard (with  $k = d(c_l)+1$ ) and hence the service moves to the final state  $q_{fail}$ .

**Example 12.** Let us illustrate the incrementation of a counter on the non-standard database of figure 2.7(d). Consider the state of the Minsky machine  $M_1$  of figure 2.6 after the execution of the lines **L0** to **L4** : the current values of the counters are  $cpt_1 = 2$  and  $cpt_2 = 3$  and the current line is **L5**. This state corresponds to a configuration of the  $S_{M_1}$  service with a current state  $q_{L_5}$  and the counter variables having as values :  $x_1 = b$  and  $x_2 = c$ . Note that, in the considered database, the distance of the constant **b** to the root is equal to 2 while the distance of **c** to the root is equal to 3 (i.e.,  $d(b) = 2$  and  $d(c) = 3$ ). Hence, such a configuration corresponds to a state of the Minsky machine  $M_1$  with the counter  $cpt_1$  equal to 2 and the counter  $cpt_2$  equal to 3 . The line **L5** of  $M_1$  increments  $cpt_2$  and moves to line **L6**. Let us see how such an incrementation is implemented by the Colombo service  $S_{M_1}$ . Following the automaton of figure 2.9(b),  $S_{M_1}$  calls the atomic process **INCr** and moves from state  $q_{L_5}$  to state  $check_{L_6}$ . The execution of the atomic process **INCr** checks that the current chain is long enough to handle the new value of the counter. This is the case in the considered database since the condition  $(f_1^R(x_2) \neq \omega) \wedge (f_1^R(x_2) \neq 0)$  evaluates to **true** over the non-standard database of figure 2.7(d) (indeed, we have  $(f_1^R(x_2) = f_1^R(c) = b)$ ). But before assigning the constant **b** to the variable  $x_2$ , the service  $S_{M_1}$  enters the state

$check_{L_6}$  and checks whether or not  $\mathbf{b}$  is a new constant in the chain (i.e.,  $\mathbf{b}$  does not already appear in the chain). This verification is achieved by iterating on the chain from the root 0 to the current value  $x_2$  (i.e., the constant  $\mathbf{c}$ ) and checking at each iteration that the constant  $\mathbf{b}$  do not belong to the chain (loop between the states  $check_{L_6}$  and  $loop_{L_6}$  and call to the atomic process **CheckValue** in the automaton of figure 2.9(b)). In the considered database, the first iteration reads the tuple  $(0, \mathbf{a})$  of the chain while the second iteration reads the tuple  $(\mathbf{a}, \mathbf{b})$ . The service  $S_{M1}$  is then able to detect that there is cycle in the chain because the constant  $\mathbf{b}$  appears twice and hence the considered database is not standard. Hence, the service will move to state  $q_{fail}$  and terminates the execution.

We consider now the implementation of instructions of type 2 :  $L_i : \text{if } cpt_k = 0 \text{ then goto } L_j \text{ else } cpt_k := cpt_k - 1 \text{ then goto } L_l$  (c.f. figure 2.9(c)). The main difficulty here lies in the implementation of the decrementation operation (which amounts to moving back the counter  $x_k$  in the chain). Assume that the current value of  $x_k$  is  $c_l$ . Decrementing  $x_k$  amounts to assigning to  $x_k$  the constant  $c$  such that  $f_1^R(c) = c_l$ . To find the constant  $c$  one needs to read again the chain starting from the root. In the service  $S_M$  this is implemented by first entering the state  $D_{kL_i}$ , by executing the **Init-Decr** process, and then recursively calling the atomic process **DECRr** (c.f., loop between the states  $D_{kL_i}$  and  $B_{kL_l}$  of figure 2.9(c)) to explore the chain starting from the root and stopping at the tuple  $(c, c_l)$  (we are sure that such a tuple exist because during the incrementation step to reach the value  $c_l$ , the database has been checked to be at least  $d(c_l)$ -standard).

**Example 13.** Consider again a configuration of the  $S_{M1}$  service with the database of figure 2.7(d) and the counter variable  $x_2 = c$  (i.e., corresponding to the counter  $cpt_2 = 3$ ). To decrement  $x_2$ , the service  $S_{M1}$  reads the chain from the root 0 and stops the tuple  $(\mathbf{b}, c)$  (third tuple of the database) because we have  $(f_1^R(\mathbf{b})) = c$  (and hence  $d(\mathbf{b}) = d(c) - 1 = 2$ ). The constant  $\mathbf{b}$  is then assigned as the new value for the variable  $x_1$  (which corresponds to a counter  $cpt_2 = 2$ ).

We give now the main property of the proposed construction that enables to prove lemma 1.

**Lemma 2.** *Let  $M$  be a Minsky machine and  $S_M$  the corresponding Colombo service, then :  $M$  halts iff  $reach(E(S_M), q_{halt})$*

This result is obtained from the connection that exists between executions of  $M$  and the executions of  $S_M$  that use as input a  $k$ -standard databases. In particular, the different values taken by the counter  $cpt_1$  and  $cpt_2$  during an execution of  $M$  are captured by the distances of the counter variables  $x_1$  and  $x_2$  during the execution of  $S_M$ . Hence, it is possible to map any execution of  $M$  into an execution of  $S_M$  on a  $k$ -standard database and conversely. Moreover, it is easy to show that if there exists an execution of  $M$  that halts and in which  $k_{max}$  is the maximum value reached by the counters of  $M$ , then the execution of the corresponding service  $S_M$  using a  $k_{max}$ -standard initial database terminates at the final state  $q_{halt}$ . On the other side, by construction,  $S_M$  terminates at the final state  $q_{halt}$  iff it takes as initial database a  $k$ -standard database (which hence can be mapped into an execution of  $M$  that halts).

From theorem 1 and lemma 1, we obtain the following main result regarding simulation in the Colombo model.

**Theorem 2.** *Let  $S$  and  $S'$  be two Colombo services, then  $CheckSim(S, S')$  is undecidable.*

Finally, the following theorem can be straightforwardly derived from the previous proof since the constructed service  $S_M$  is a non-communicating read-only Colombo service.

**Theorem 3.** *Let  $S$  and  $S'$  be two non-communicating services with read-only accesses to the world database and let  $l$  be a control state in  $GA(S)$ , then both  $\text{CheckSim}(S, S')$  and  $\text{reach}(E(S), l)$  are undecidable.*

The reduction from the halting problem of Minsky machine is possible because a non-communicating Colombo services with read-only accesses can access to an unbounded number of tuples in the database. In the next sections, we will prove that when the number of tuples accessed is bounded the simulation for Colombo model is decidable. This is done by a semantic restriction on the Colombo model and the resulting model is  $\text{Colombo}^{\text{bound}}$ . A  $\text{Colombo}^{\text{bound}}$  service has a shared database with a number of tuples that cannot exceed a given constant  $k$ ). To prove the decidability of the simulation for  $\text{Colombo}^{\text{bound}}$  services, we use an intermediary class named  $\text{Colombo}^{DB=\emptyset}$ .  $\text{Colombo}^{DB=\emptyset}$  services or DB-less services are Colombo services without any access to the database.

## 2.4 Decidability of simulation in DB-less Colombo

We investigate in this section the simulation problem in the setting of a Colombo model without a global database (i.e., we assume the world schema  $\mathcal{W} = \emptyset$ ).

Let  $S$  be a  $\text{Colombo}^{db=\emptyset}$  service. The associated state machine is a tuple  $E(S) = (\mathbb{Q}, \mathbb{Q}_0, \mathbb{F}, \Delta)$ . A configuration of  $E(S)$  has the form  $id = (l, \emptyset, \alpha)$  while there is only one initial configuration  $id_0 = (l_0, \emptyset, \alpha_0)$  with  $\alpha_0(x) = \omega, \forall x \in L\text{Store}(S)$ . Moreover, in  $\text{Colombo}^{db=\emptyset}$  services, atomic processes can only assign constants to variables of  $L\text{Store}(S)$  or assign value of a variable to another. Note that  $E(S)$  is still an infinite state system. This is due to the presence of input messages with parameters taking their values from a possibly infinite domain. We describe below a symbolization technique that allows to abstract from concrete values and hence turns extended machines associated with  $\text{Colombo}^{db=\emptyset}$  services into finite state machines.

**Notation and basic notions.** Let  $X$  be a set of variables taking their values from an infinite domain  $\mathcal{D} \cup \{\omega\}$ . Let  $\theta$  be a condition on a set of variables  $X$  and let  $\alpha$  be a valuation over  $X$ . Then  $\theta(\alpha)$  is the condition obtained by replacing each variable  $x$  appearing in  $\theta$  by  $\alpha(x)$ . We say that  $\alpha$  satisfies  $\theta$ , noted  $\alpha \models \theta$ , if  $\theta(\alpha) = \text{true}$ . A valuation  $\alpha$  satisfies a set  $\Theta$  of conditions, noted  $\alpha \models \Theta$ , if  $\alpha \models \theta, \forall \theta \in \Theta$ .

Let  $K = \{c_1, \dots, c_k\}$  with  $c_1 < \dots < c_k$  be a set of constants in  $\mathcal{D}$ . We define the set  $I_K$  of elementary intervals over  $K$  as  $I_K = \{[\omega, \omega], ] - \infty, c_1[, ]c_k, +\infty[ \} \cup \{[c_l, c_l], l \in [1, k]\} \cup \{]c_l, c_{l+1}[, l \in [1, k-1]\}$ . Note that, a set of intervals  $I_K$  forms a partition of the domain  $\mathcal{D} \cup \{\omega\}$  (i.e., intervals in  $I_K$  are pairwise disjoint).

**Example 14.** For  $K = \{4, 10\}$ , the associated set of elementary intervals is  $I_K = \{[\omega, \omega], ] - \infty, 4[, [4, 4], ]4, 10[, [10, 10], ]10, +\infty[ \}$

Let  $X$  be a set of variables and  $op \in \{=, <\}$ . We denote by  $\psi$  a set of conditions, where each condition is defined as follows :

$\forall x, y \in X$ ,  $\psi$  contains  $\{x = \omega\}$  or  $\{y = \omega\}$  or  $\{x op y\}$ .  $\psi$  is called a v-order over  $X$ . A v-order  $\psi$  is said consistent iff it exists at least one valuation  $\alpha$  over the variables of  $X$  such that  $\alpha \models \psi$ . We note by  $vo(X)$  the set of all v-orders on  $X$ .

**Example 15.** Let  $X = \{x, y\}$ , then examples of v-orders over  $X$  are :

- $\psi_0 = \{x = \omega, y = \omega\}$
- $\psi_1 = \{x = y\}$
- $\psi_2 = \{x = \omega\}$
- $\psi_3 = \{y = \omega\}$
- $\psi_4 = \{x < y\}$

We use below the notion of regions to extend intervals to a set of variables.

**Definition 5. (Regions)** Let  $X = \{x_1, \dots, x_n\}$  be a set of variables and  $K$  a set of constants. We assume variables in  $X$  ordered according to the lexicographic order. A region of  $X$  w.r.t  $K$  is a tuple  $r = (\tau_{x_1}, \dots, \tau_{x_n}, \psi)$  with  $\psi \in \text{vo}(X)$  and  $\tau_{x_i} \in I_K, \forall i \in [1, n]$ .

The set of all possible regions of  $X$  w.r.t.  $K$  is denoted  $R_g(X, K)$ .

Hence a region  $r = (\tau_{x_1}, \dots, \tau_{x_n}, \psi)$  associates an elementary interval  $\tau_{x_i}$  with each variable  $x_i \in X$ .

**Example 16.** Let us consider the set of constants  $K = \{4, 10\}$  and the set of variables  $X = \{x, y\}$ , with their associated elementary intervals and v-orders. The set  $R_g(X, K)$  includes the following regions :

- $r_\omega = ([\omega, \omega], [\omega, \omega], \psi_0)$
- $r_1 = (]4, 10[, ]4, 10[, \psi_1)$
- $r_2 = ([\omega, \omega], ] - \infty, 4[, \psi_2)$
- $r_3 = ([10, 10], [\omega, \omega], \psi_3)$

In the sequel, we abuse of notation and write  $r \wedge \theta$  instead of  $(\tau_{x_1}, \dots, \tau_{x_n}, \psi \wedge \theta)$  where  $\theta$  is a condition. We introduce below some notation regarding regions.

- A valuation  $\alpha$  over  $X$  belongs to a region  $r = (\tau_{x_1}, \dots, \tau_{x_n}, \psi)$  of  $X$ , denoted  $\alpha \in r$ , iff  $\alpha(x_i) \in \tau_{x_i}, \forall i \in [1, n]$  and  $\alpha \models \psi$ . The set of valuations that belong to a region  $r$  is noted  $\text{val}(r)$ ,
- A region  $r$  is inconsistent, noted  $r \models \perp$ , if  $\text{val}(r) = \emptyset$ . In the previous example, the region  $r_3$  is inconsistent.
- Let  $r = (\tau_{x_1}, \dots, \tau_{x_n}, \psi)$  be a region of  $X$ . A projection of  $r$  on a set  $\{x_{i_1}, \dots, x_{i_k}\} \subseteq X$ , noted  $\pi_{\{x_{i_1}, \dots, x_{i_k}\}}(r)$ , is the region  $\pi_{\{x_{i_1}, \dots, x_{i_k}\}}(r) = (\tau_{x_{i_1}}, \dots, \tau_{x_{i_k}}, \psi|_{x_{i_1}, \dots, x_{i_k}})$ , where  $\psi|_{x_{i_1}, \dots, x_{i_k}}$  is the subset of  $\psi$  that contains only the conditions over  $\{x_{i_1}, \dots, x_{i_k}\} \cup \mathcal{D} \cup \{\omega\}$ .
- Let  $r = (\tau_{x_1}, \dots, \tau_{x_n}, \psi)$  and  $r' = (\tau_{x_1}, \dots, \tau_{x_n}, \psi')$  be two regions of  $X$ . We say that  $r$  coincides with  $r'$  on a set of variables  $\{x_1, \dots, x_k\} \subseteq X$ , noted  $r \equiv_{\{x_1, \dots, x_k\}} r'$ , if  $\pi_{\{x_{i_1}, \dots, x_{i_k}\}}(r) = \pi_{\{x_{i_1}, \dots, x_{i_k}\}}(r')$

In the sequel, w.l.o.g., we assume that the set  $R_g(X, K)$  contains only consistent regions. Note that, if  $X$  and  $K$  are both finite sets so  $R_g(X, K)$  is also a finite set.

**Lemma 3.** Let  $X$  be a finite set of variables and  $K$  a finite set of constants in  $\Theta$ . Let  $r \in R_g(X, K)$ , then :  $\forall \alpha_1, \alpha_2 \in r, \forall \Theta' \subseteq \Theta, \text{if } \alpha_1 \models \Theta' \text{ then } \alpha_2 \models \Theta'$ .

*Démonstration.* Suppose  $\alpha_1 \models \Theta'$  with  $\Theta' = \theta_1 \wedge \theta_2 \dots \theta_k$ . It is sufficient to prove the property for a condition  $\theta \in \Theta'$ . Let  $\psi$  be the v-order of  $r$ . We distinguish 4 cases :

1.  $\theta$  is the condition  $x = y$ , then  $\alpha_1 \models x = y$  implies  $(x = y) \in \psi$ , otherwise  $r$  is not consistent (by construction of  $r$ ). Thus  $\alpha_2 \models (x = y)$  since  $\alpha_2 \models \psi$ .
2.  $\theta$  is the condition  $x > y$ . Similar to case 1.
3.  $\theta$  is the condition  $x = c$ , with  $c \in K$ , then  $\alpha_1 \models x = c$  implies  $\tau_x = [c, c]$  in  $r$  (by construction of the elementary intervals). Thus  $\alpha_2 \models (x = c)$  since  $\alpha_2 \in r$ .

4.  $\theta$  is the condition  $x > c$ , with  $c \in K$ , then  $\alpha_1 \models x > c$  implies that  $\forall c' \in \tau_x, c' > c$  (by construction of the elementary intervals). Thus  $\alpha_2 \models (x > c)$  since  $\alpha_2(x) \in \tau_x$ .

□

**Canonic representation of Colombo<sup>db=0</sup> services.** Given a Colombo service  $S$ , the main idea is to use the notion of regions to group together extended states of  $E(S)$ . Interestingly, the obtained representation, called a Colombo region automaton (defined below), is a finite state machine. We define below such state machines and then we show how they can be used to test simulation between Colombo<sup>db=0</sup> services.

W.l.o.g., we consider in the sequel only Colombo services with atomic processes having :

- disjoint input and output variables (i.e., services  $S$  that use atomic processes of the form  $p(u_1, \dots, u_n; v_1, \dots, v_m)$  with  $\{u_1, \dots, u_n\} \cap \{v_1, \dots, v_m\} \cap LStore(S) = \emptyset$ , and
- a unique conditional effects  $(c, E)$  with  $E = \{(es, ev)\}$  s.t.  $es = \emptyset$  (since there are no modification on the database) and  $ev = \{v_i := t, \text{ with } t \text{ is either a constant or } \omega \text{ or an input variable}\}$ .

**Definition 6. (Colombo<sup>db=0</sup> region automata)** Let  $GA(S) = \langle Q, \delta, q_0, F, LStore(S) \rangle$  be a guarded automata of a Colombo<sup>db=0</sup> service  $S$  with  $X = LStore(S) = \{x_1, \dots, x_n\}$ , and let  $\Theta$  be a set of atomic conditions in  $GA(S)$ . Let  $K$  be a set of constants appearing in  $\Theta$ . The associated Colombo<sup>db=0</sup> region automaton is a finite state machine  $R^S = (Q^S, q_0^S, F^S, \delta^S, R_g(X, K))$  defined as follows :

- $Q^S \subseteq Q \times R_g(X, K)$ , the set of states of  $R^S$ ,
- $q_0^S = (q_0, r_\omega)$ , the initial state, where  $r_\omega = ([w, w], \dots, [w, w], \{(x_i = \omega), i \in [1, n]\})$ .
- $F^S \subseteq F \times R_g(X, K)$ , the set of final states,
- Let  $r \in R_g(X, K)$ . For each state  $(q, r)$  of  $R^S$  and for each transition  $(q, \theta, \mu, q') \in \delta$  such that  $r \wedge \theta$  is consistent then :
  - (a) if  $\mu = !m(v_1, \dots, v_m)$ , we have  $((q, r), \mu, (q', r)) \in \delta^S$ .
  - (b) if  $\mu = ?m(v_1, \dots, v_m)$  we have  $((q, r), \mu, (q', r')) \in \delta^S$  for each  $r' \in R_g(X, K)$  which coincides with  $r$  on the variables  $LStore(S) \setminus \{v_1, \dots, v_m\}$ .
  - (c) If  $\mu = p(u_1, \dots, u_n; v_1, \dots, v_m, \{c, E\})$ , we have two cases :
    - (c-1) if  $r \wedge \theta \wedge c$  is consistent then  $((q, r), p(u_1, \dots, u_n; v_1, \dots, v_m), (q', r')) \in \delta^S$  where  $r'$  coincides with  $r$  on the variables  $LStore(S) \setminus \{v_1, \dots, v_m\}$  and :
 

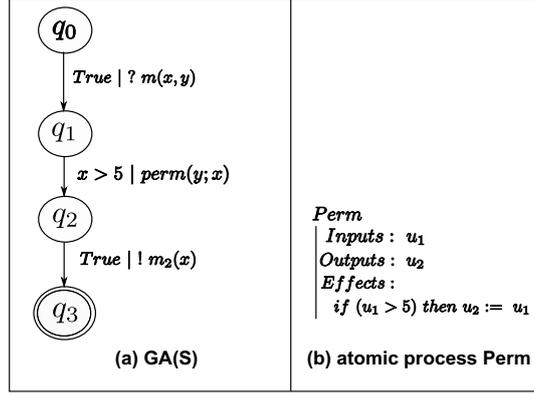
for each  $i \in [1, m]$

      - If  $v_i := c \in E$ , then  $r'$  includes  $\tau_{v_i} = [c, c]$ .
      - If  $v_i := u_j \in E$  then  $r'$  includes  $\tau_{v_i} = \tau_{u_j}$  and  $\psi'$  of  $r'$  includes  $v_i = u_j$ .
      - If  $v_i := \omega \in E$  then  $r'$  includes  $\tau_{v_i} = [\omega, \omega]$
    - (c-2) if  $r \wedge \theta \wedge \neg c$  is consistent, we have  $((q, r), p(u_1, \dots, u_n; v_1, \dots, v_m), (q', r)) \in \delta^S$ .

It is worth noting that a region automaton constructed according to definition 6 must be cleaned to remove states that are not included in a path from the initial state to a final state. We illustrate the construction of a region automaton on the simple Colombo service depicted at figure 2.11

**Example 17.** The service  $S$  of figure 2.11 uses :

- a set of variables  $X = LStore(S) = \{x, y\}$ ,
- a set of conditions  $\Theta = \{(x > 5), (y > 5)\}$  used as guards in transitions or condition in the atomic process Perm.
- a set  $K = \{5\}$  of constants that appear in  $\Theta$ .

FIGURE 2.11 – A  $Colombo^{db=\emptyset}$  service  $S$ .

Hence, the set of elementary intervals over  $K$  is :

$$I_K = \{[\omega, \omega], ] - \infty, 5[, [5, 5], ]5, +\infty[ \}$$

while the set  $R_g(X, K)$  includes, among others, the following regions :

- $r_\omega = ([\omega], [\omega], \{x = \omega, y = \omega\}$
- $r_1 = ([\omega], ] - \infty, 5[, \{x = \omega, y = y\}$
- $r_2 = (]5, +\infty[, ]5, +\infty[, \{y < x\}$
- $r_3 = (]5, +\infty[, ]5, +\infty[, \{y = x\}$
- $r_4 = (]5, +\infty[, ]5, +\infty[, \{x < y\}$
- $r_5 = (]5, +\infty[, [5, 5], \{y < x\}$
- $r_6 = (]5, +\infty[, ] - \infty, 5[, \{y < x\}$
- ...

The corresponding region automaton  $R^S$  is depicted at figure 2.12. The initial state of  $R^S$  is made of the pair  $(q_0, r_\omega)$ . We illustrate below the cases (a), (b) and (c) of definition 6 on this region automaton.

- the transition  $(q_0, ?m1(x, y), q_1)$  of  $GA(S)$  (c.f., figure 2.11), is translated into a set of transitions  $((q_0, r_\omega), ?m1(x, y), (q, r))$  with  $r \in R_g(X, K)$  (case (b) of definition 6). This captures the fact that on a reception of a message  $?m1(x, y)$ , any new values may be associated to the variables  $x$  and  $y$ .
- the transition  $(q_1, x > 5 \mid Perm(y; x), q_2)$  of  $GA(S)$ , enables to a create new transition from the state  $(q_1, r_2)$  of  $R^S$  as illustrated below :
  - $((q_1, r_2), Perm(y; x), (q_2, r_3))$ , this is because the region  $r_2$  satisfies both the guard  $x > 5$  of the transition and the condition  $u_1 > 5$  of the atomic process (case (c-1) of definition 6). Hence, in this case the atomic process Perm is executed. The atomic process Perm assigns variable  $y$  to the variable  $x$ , hence the region automata moves to a region where  $\tau_x := \tau_y$  and requires to have  $x = y$  in the associated v-order. In our example, region  $r_3$  satisfies both conditions.
  - $((q_1, r_5), Perm(y; x), (q_2, r_5))$ , this is because the region  $r_5$  satisfies the guard  $x > 5$  of the transition but does not satisfy the condition  $u_1 > 5$  of the atomic process Perm (case (c-2) of definition 6). According to the Colombo semantics, the transition is fired but the atomic process Perm execute a *no-op* operation (no operation). As a consequence, the region automata moves to state  $q_2$  while staying in the same region  $r_5$ .
- the transition  $((q_2, r_5), !m2(x, ), (q_3, r_5))$  (case (a) of definition 6). A send of a message does not modify values of the variables, hence upon sending the message  $!m2(x)$ ,

the region automaton  $R^S$  moves into a new state  $(q_3, r_5)$  while staying in the same region  $r_5$ .

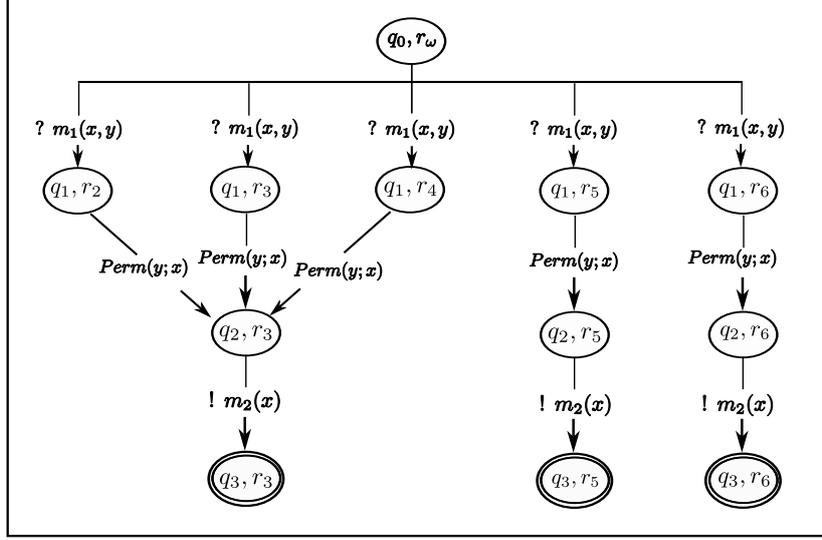


FIGURE 2.12 – A region automaton  $R^S$ .

In the following we show that the region automata  $R^S$  constitutes a compact representation of the extended state machine of  $E(S)$  and hence it faithfully abstracts the original Colombo service  $S$ . To do so, we define the notion of unfolding of a region automaton  $U_{nfold}(R^S)$  as given below.

**Definition 7.** (*unfolding of region automata*) Let  $R^S = (Q^S, q_0^S, F^S, \delta^S, R_g(X, K))$  be a region automata of a service  $S$ . The associated extended state machine, noted  $U_{nfold}(R^S)$ , is a tuple  $U_{nfold}(R^S) = (\mathbb{Q}^g, \mathbb{Q}_0^g, \mathbb{F}^g, \Delta^g)$  where :

- $\mathbb{Q}^g = \bigcup_{r \in R_g(X, K)} \{(q, \alpha) \text{ s.t. } (q, r) \in Q^S, \alpha \in r\}$ .
- $\mathbb{Q}_0^g = \{(q_0, \alpha_w)\}$ , with  $\alpha_w(x) = \omega, \forall x \in LStore(S)$ .
- $\mathbb{F}^g = \bigcup_{r \in R_g(X, K)} \{(q, \alpha) \text{ s.t. } (q, r) \in F^S, \alpha \in r\}$ .
- $\forall (q, r) \xrightarrow{\mu_i} (q', r') \in \delta^S$ , a new transition  $(q, \alpha) \xrightarrow{\mu_i} (q', \alpha')$  is added to  $\Delta$  such that  $\alpha \in r, \alpha' \in r'$  and :
  - (a) if  $\mu = !m(v_1, \dots, v_m)$ , then  $\alpha' = \alpha$ .
  - (b) if  $\mu = ?m(v_1, \dots, v_m)$  then  $\forall x \in LStore(S) \setminus \{v_1, \dots, v_m\}$ , we have  $\alpha'(x) = \alpha(x)$ .
  - (c) If  $\mu = p(u_1, \dots, u_n; v_1, \dots, v_m, \{c, E\})$ , we have two cases :
    - (c-1) if  $r \wedge \theta \wedge c$  is consistent then  $\forall x \in LStore(S) \setminus \{v_1, \dots, v_m\}$ , we have  $\alpha'(x) = \alpha(x)$  and for each  $i \in [1, m]$ , we have :
      - If  $v_i := k \in E$ , with  $k \in \mathcal{D} \cup \{\omega\}$ , then  $\alpha'(v_i) = k$
      - If  $v_i := u_j \in E$  then  $\alpha'(v_i) = \alpha(u_j)$
    - (c-2) if  $r \wedge \theta \wedge \neg c$  is consistent, then  $\alpha' = \alpha$ .

A run of  $U_{nfold}(R^S)$  is any finite path from an initial configuration of  $E(RS)$  to one of its final configurations.

**Example 18.** Figure 2.13(b) depicts part of the extended automata obtained by unfolding the region automata of figure 2.13(a) which corresponds to a fragment of the region automata of figure 2.12.

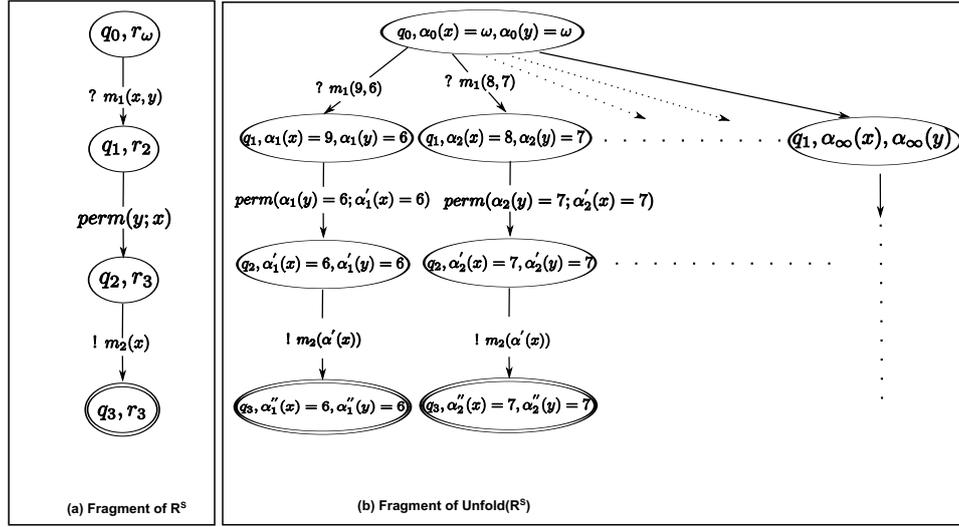


FIGURE 2.13 – Unfolding a region automaton.

The following lemma states that  $R^S$  preserves the semantics of the original Colombo service  $S$  in the sense that an unfolding of a region automaton coincides with the extended automaton of the original Colombo service.

**Lemma 4.** *Let  $E(S) = (\mathbb{Q}, \mathbb{Q}_0, \mathbb{F}, \Delta)$  and  $R^S = (Q^S, q_0^S, F^S, \delta^S, R_g(X, K))$  with  $X$  and  $K$  defined as in definition 6. Then  $E(S) = U_{nfold}(R^S)$ .*

*Démonstration.* It is sufficient to show that a transition  $(q, \emptyset, \alpha) \xrightarrow{\mu} (q', \emptyset, \alpha') \in \Delta$  iff  $(q, \alpha) \xrightarrow{\mu} (q', \alpha') \in \Delta^g$ .

By construction we have  $(q_0, \emptyset, \alpha_0) \in \mathbb{Q}_0$  and  $q_0^S = (q_0, r_w)$ . Now, take  $(q, \emptyset, \alpha) \xrightarrow{\mu} (q', \emptyset, \alpha') \in \Delta$ . Hence, there exists  $(q, \theta, \mu, q') \in GA(S)$  s.t.  $\alpha \models \theta$ . Let  $r, r' \in R_g(X, K)$  such that  $\alpha \in r$  and  $\alpha' \in r'$ . We show that  $(q, r) \xrightarrow{\mu} (q', r') \in \delta^S$  which implies that  $(q, \alpha) \xrightarrow{\mu} (q', \alpha') \in \Delta^g$ . We distinguish the following three cases :

1.  $\mu = !m(c_1, \dots, c_n)$ . We have  $\alpha = \alpha'$  and therefore  $(q, r) \xrightarrow{\mu} (q', r) \in \delta^S$  by definition 6.
2.  $\mu = ?m(c_1, \dots, c_n)$ . By definition 3, we have :

$$\alpha'(x) = \begin{cases} \alpha(x) & \text{if } x \in LStore(S) \setminus \{x_1, \dots, x_n\} \\ c_i & \text{if } x = x_i \text{ with } i \in [1, n] \end{cases}$$

$$\Rightarrow r' \text{ concides with } r \text{ on } LStore(S) \setminus \{x_1, \dots, x_n\}$$

Moreover, we have  $r \models \theta$  since  $\alpha \models \theta$ . So  $(q, r) \xrightarrow{\mu} (q', r') \in \delta^S$ .

3.  $\mu = p(\alpha(u_1), \dots, \alpha(u_n); \alpha'(v_1), \dots, \alpha'(v_m), \{c, E\})$ . We consider two cases.

(a)  $\alpha \models r \wedge \theta \wedge \neg c$  : By lemma 3 all  $\alpha_1 \in r$ ,  $\alpha_1 \models r \wedge \theta \wedge \neg c$  and thus  $r \models r \wedge \theta \wedge \neg c$ . So  $\alpha = \alpha'$  and therefore  $(q, r) \xrightarrow{\mu} (q', r) \in \delta^S$  by Definition 6.

(b)  $\alpha \models r \wedge \theta \wedge c$  : By definition 3, we have  
 –  $\alpha'(u_i) = \alpha(u_i)$   
 –  $\alpha'(v_i) = \alpha(u_i)$  or  $\alpha'(v_i) = c$ , where  $c \in K$ .

So,  $r'$  concides with  $r$  on  $LStore(S) \setminus \{v_1, \dots, v_m\}$ . Moreover  $\tau_{v_i} = \tau_{u_j}$  or  $\tau_{v_i} = [c, c]$ . Thus By definition 6 we have  $(q, r) \xrightarrow{\mu} (q', r') \in \delta^S$ .

The other direction of the proof can be derived using a similar scheme. □

### 2.4.1 Simulation between regions automata

In this section, we define a simulation relation between region automata and then we show how such a relation can be used to check simulation between two Colombo services.

**Definition 8. (Simulation of Colombo<sup>db=0</sup> region automata)** Let  $S$  and  $S'$  be two Colombo<sup>db=0</sup> services,  $X = LStore(S)$ ,  $X' = LStore(S')$  and let  $\Theta_S$  (resp.  $\Theta_{S'}$ ) be the set of atomic conditions used in  $GA(S)$  (resp.  $GA(S')$ ). Let  $K$  be the set of all constants appearing in  $\Theta_S \cup \Theta_{S'}$  and let  $R^S = (Q^S, q_0^S, F^S, \delta^S, R_g(X, K))$  and  $R^{S'} = (Q^{S'}, l_0^{S'}, F^{S'}, \delta^{S'}, R_g(X', K))$  be, respectively, the region automata associated with  $S$  and  $S'$ .

- Let  $((q, r_1), (l, r_2)) \in Q^S \times L^{S'}$  and  $\beta$  is a subset of the set of equalities of variables in  $S$  and  $S'$ , i.e.  $\{x = x' \text{ s.t. } x \in X, x' \in X'\}$ . The configuration  $((q, r_1), \beta)$  is simulated by  $((l, r_2), \beta)$  noted  $((q, r_1), \beta) \preceq_g ((l, r_2), \beta)$  iff :
  - $\forall (q, r_1) \xrightarrow{\mu} (q', r'_1) \in \delta^S$ , there exists  $(l, r_2) \xrightarrow{\mu'} (l', r'_2) \in \delta^{S'}$  such that
    1. if  $\mu = !m(x_1, \dots, x_n)$  then  $\mu' = !m(y_1, \dots, y_n)$  and  $r_1 \wedge r_2 \wedge \beta \Rightarrow x_i = y_i$  where  $i \in [1, n]$  and  $((q', r'_1), \beta) \preceq_g ((l', r'_2), \beta)$ .
    2. if  $\mu = ?m(x_1, \dots, x_n)$  then  $\mu' = ?m(y_1, \dots, y_n)$  and  $r'_1 \wedge r'_2 \wedge \beta'$  is consistent and  $((q', r'_1), \beta') \preceq_g ((l', r'_2), \beta')$  where  $\beta' = \{x_i = y_i, i \in [1, n]\} \cup \{z = t \in \beta \text{ s.t. } z \neq x_i, z \neq y_i, t \neq x_i, t \neq y_i\}$ .
    3. if  $\mu = p(x_1, \dots, x_n; y_1, \dots, y_m, \{c, E\})$  then  $\mu' = p(u_1, \dots, u_n; v_1, \dots, v_m, \{c, E\})$  and  $r_1 \wedge r_2 \wedge \beta \Rightarrow x_i = u_i$  and
      - if  $r_1 \wedge c$  is consistent then  $r'_1 \wedge r'_2 \wedge \beta' \Rightarrow y_i = v_i$  and  $((q', r'_1), \beta') \preceq_g ((l', r'_2), \beta')$  where  $\beta' = \{\beta\} \setminus \{z = t \in \beta \text{ s.t. } z = y_i, z = v_i, t = y_i, t = v_i\}$ .
      - if  $r_1 \wedge \neg c$  is consistent then  $((q', r'_1), \beta) \preceq_g ((l', r'_2), \beta)$
- $R^S \preceq_g R^{S'}$  iff  $((q_0, r_w), \emptyset) \preceq_g ((l_0, r_w), \emptyset)$

The following lemma ensures that the relation  $\preceq_g$  captures correctly the simulation preorder on Colombo services.

**Lemma 5.** Let  $S$  and  $S'$  be two Colombo<sup>db=0</sup> services and  $X = LStore(S) \cup LStore(S')$  and  $\Theta_S$  (resp.  $\Theta_{S'}$ ) be the set of atomic conditions appearing in the guards of  $GA(S)$  (resp.  $GA(S')$ ), and  $K$  be the sets of all constants appearing in  $\Theta_S \cup \Theta_{S'}$ . Let  $R^S = (Q^S, q_0^S, F^S, \delta^S, R_g(X, K))$  and  $R^{S'} = (Q^{S'}, q_0^{S'}, F^{S'}, \delta^{S'}, R_g(X, K))$ , then :

$$Unfold(R^S) \preceq Unfold(R^{S'}) \text{ iff } R^S \preceq_g R^{S'}$$

*Démonstration.* Let  $Unfold(R^S) = (Q^g, Q_0^g, \mathbb{F}^g, \Delta^g)$  and  $Unfold(R^{S'}) = (Q'^g, Q_0'^g, \mathbb{F}'^g, \Delta'^g)$ .  
 $(\Leftarrow)$  Assume that  $R^S \preceq_g R^{S'}$ . Take  $\preceq = \{((q, \alpha_1), (l, \alpha_2)) \text{ s.t. } ((q, r_1), (l, r_2), \beta) \in \preceq_g, \text{ with } \alpha_1 \in r_1, \alpha_2 \in r_2 \text{ and } (\alpha_1, \alpha_2) \models \beta\}$ . We show that  $\preceq$  is a simulation relation (i.e.,  $Unfold(R^S) \preceq Unfold(R^{S'})$ ).

Clearly  $((q, \alpha_w), (l, \alpha_w)) \in \preceq$  since  $((q, r_w), (l, r_w), \emptyset) \in \preceq_g$ . Now, suppose that  $((q, \alpha_1), (l, \alpha_2)) \in \preceq$ . We show that for any transition  $((q, \alpha_1), \mu, (q', \alpha'_1)) \in \Delta^g$ , there exists a transition  $((l, \alpha_2), \mu', (l', \alpha'_2)) \in \Delta'^g$  such that  $((q', \alpha'_1), (l', \alpha'_2)) \in \preceq$ .

Let  $((q, \alpha_1), \mu, (q', \alpha'_1)) \in \Delta^g$ . Then, by lemma 4, there exists a transition  $((q, r_1), \mu, (q', r'_1)) \in \delta^S$  such that  $\alpha_1 \in r_1$  and  $\alpha'_1 \in r'_1$ .

By construction of  $\preceq$ , there exists  $\beta$  and  $(l, r_2) \in Q^{S'}$  s.t.  $((q, r_1), (l, r_2), \beta) \in \preceq_g$ . Thus there exists a transition  $((l, r_2), \mu', (l', r'_2)) \in \delta^{S'}$  such that  $((q', r'_1), (l', r'_2), \beta') \in \preceq_g$ , since  $R^S \preceq_g R^{S'}$ . It suffices to take  $\alpha'_2$  in  $r'_2$  s.t.  $(\alpha'_1, \alpha'_2) \models \beta'$  (this is always possible since  $r'_1 \wedge r'_2 \wedge \beta'$  is consistent). This implies that  $((q', \alpha'_1), (l', \alpha'_2)) \in \preceq$ .

( $\Rightarrow$ ) Assume that  $U_{\text{unfold}}(R^S) \preceq U_{\text{unfold}}(R^{S'})$ . Following the same schema as previously, one can show that it is possible to derive from the relation  $\preceq$  a relation  $\preceq_g$  which can be used as a witness to deduce that  $R^S$  is simulated by  $R^{S'}$ . The relation  $\preceq_g$  is constructed inductively, starting with  $\preceq_g = \{((q, r_w), (l, r_w), \emptyset)\}$  and then recursively augmenting it with new elements by exploiting the relation  $\preceq$  to identify target states and regions at each step and carefully defining the  $\beta$  conditions in order to cope with conditions of definition 8. The construction of  $\preceq_g$  stops when a fix point is reached.  $\square$

We provide below the main result of this section by showing that simulation between  $Colombo^{db=\emptyset}$  services can be reduced to simulation between the corresponding region automata. This ensures the decidability of simulation in  $Colombo^{db=\emptyset}$  setting since  $Colombo^{db=\emptyset}$  region automata are finite state machines and hence exhaustive exploration of the state-space of such machines is possible.

**Theorem 4.** *Let  $S$  and  $S'$  be two  $Colombo^{db=\emptyset}$  services then  $S \preceq S'$  iff  $R^S \preceq_g R^{S'}$ .*

### 2.4.2 Complexity of simulation in DB-less services

This section is devoted to the complexity analysis of the simulation in DB-less Colombo model. We shall show that the simulation in DB-less Colombo services is EXPTIME-complete. We first show that the problem is in EXPTIME, then the EXPTIME-hardness is showed by a reduction inspired from the work of [MW07]. The reduction is obtained from the existence of an infinite execution of an alternating Turing machine  $M$  working on a space polynomially bounded by the input word size [CKS81]. That is, starting from  $M$  with an input word  $w$  of size  $n$ , we construct a test of simulation between two DB-less Colombo services  $S_{\text{spoiler}}$  and  $S_{\text{duplicator}}$ . We prove that there exists an infinite execution of  $M$  on  $w$  iff  $S_{\text{spoiler}} \preceq S_{\text{duplicator}}$ .

**Proposition 1.** Testing the simulation for  $Colombo^{db=\emptyset}$  services is EXPTIME.

*Démonstration.* Let  $S_1$  and  $S_2$  be two  $Colombo^{db=\emptyset}$  services. Let  $K$  be the set of constants and  $X$  be the set of variables in  $S_1$  and  $S_2$ . We suppose that  $|K|=n$  is the number of constants and  $|X|=m$  is the number of variables. The number of intervals (resp. v-orders) is bounded by  $O(n)$  (resp.  $O(!m)$ ). The number of regions is bounded by  $O(n^m \times m!)$  and therefore the number of states in the region automata is bounded by  $O(|Q| \times n^m \times m!) = O(2^{\log(|Q_1| \times n^m \times m!)}) = O(2^{\log|Q_1| + m \log n + \log m!})$ . Knowing that,  $m! \leq m^m$ , then we have  $O(2^{\log|Q_1| + m \log n + m \log m})$  which is equal to  $O(2^{\log|Q_1| + m(\log n + \log m)})$ . We conclude that the size of the region automata associated to  $S_1$  and  $S_2$  are respectively  $O(2^{2 \cdot (\log|Q_1| + m(\log n + \log m))})$  and  $O(2^{2 \cdot (\log|Q_2| + m(\log n + \log m))})$ .  $\square$

The proof of exptime-hardness is achieved by a reduction from the problem of existence of an infinite execution of an alternating Turing machine  $M$  working on space polynomially bounded by an input word  $w$  of size  $n$ . This problem is know to be EXPTIME-hard [CKS81, MW07]. We provide below a reduction from this problem to a test of simulation between two DB-less Colombo services  $S_{\text{spoiler}}$  and  $S_{\text{duplicator}}$ .

We first recall the definition of an alternating Turing machine  $M$ , then we give the intuition of the reduction. After that, we explain the construction of the services  $S_{\text{duplicator}}$  and  $S_{\text{spoiler}}$ . Finally, we give the construction of the test of simulation  $S_{\text{spoiler}} \preceq S_{\text{duplicator}}$  and prove that  $M$  has an infinite execution on  $w$  iff  $S_{\text{spoiler}} \preceq S_{\text{duplicator}}$ .

**Alternating Turing machine M** An alternating Turing machine M [CKS81] is a tuple  $(\mathbb{Q}, q_0, \Gamma, \delta, mode)$  where :

- $\mathbb{Q}$  is the set of control states.
- $q_0$  is the initial state.
- $\Gamma$  is the set of tape symbols.
- $mode : \mathbb{Q} \rightarrow \{\forall, \exists, \text{accept}, \text{reject}\}$  is the labelling function of control state.
- $\delta : \mathbb{Q} \times \Gamma \rightarrow \mathcal{P}(\mathbb{Q} \times \Gamma \times \{L, R\})$ .

A configuration  $C$  of  $M$  is of the form  $y_1, \dots, qy_j, \dots, y_n$ , where  $q$  is a state of the machine, and the head points actually on the  $j$ 'th letter on the tape (i.e.,  $y_i$  are the letters of the word on the tape). A transition  $qa \rightarrow bRq'$  is applicable from a configuration  $C$  if the letter pointed by the head is equal to  $a$  ( $y_j = a$ ), then the successor  $C'$  of  $C$  is equal to  $y_1, \dots, y_j, q'y_{j+1}, \dots, y_n$  s.t  $y_k = y'_k$  for  $k \in [1, n]$  and  $k \neq j$  and  $y_j = b$ . We note this step  $C \xrightarrow{qa/bRq'} C'$  or  $(y_1, \dots, qy_j, \dots, y_n) \xrightarrow{qa/bRq'} (y_1, \dots, y_j, q'y_{j+1}, \dots, y_n)$ . The machine  $M$  starts on  $C_0 = qy_1, \dots, y_n$ , where  $y_i = w_i$ , the  $i$ 'th letter of the input word  $w$ .

The definition of acceptance of an alternating Turing machine is recursive :

- If the configuration  $C$  is in an accepting control state  $q$ , then  $C$  is accepting.
- If the configuration  $C$  is in an rejecting control state  $q$ , then  $C$  is rejecting.
- If the configuration  $C$  is in a universal control state  $q$ , then  $C$  is accepting if all the configurations reachable from  $C$  in one step are accepting and rejecting if some configurations reachable from  $C$  in one step are rejecting.
- If the configuration  $C$  is in an existential control state  $q$ , then  $C$  is accepting if some configurations reachable in one step are accepting and rejecting when all configurations reachable in one step are rejecting (the case of classical non-deterministic Turing machine correspond to an alternating machine where all states are existential).

$M$  is said to accept an input word  $w$  if the initial configuration of  $M$  is accepting, and to reject  $w$  if the initial configuration is rejecting. A configuration reachable in one step from configuration  $C$  is called a *successor* of  $C$  and the set of *successors* of  $C$  is denoted  $successors(C)$ .

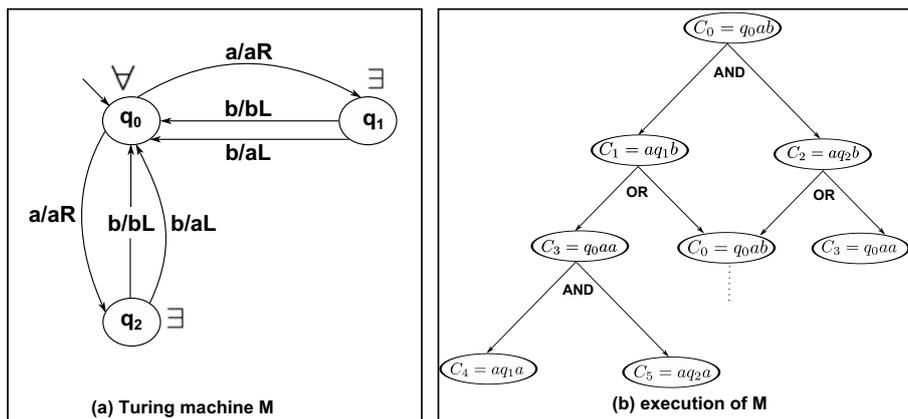


FIGURE 2.14 – Alternating Turing machine  $M$ .

We consider the problem of the existence of an infinite execution of an alternating Turing machine  $M$  on an input word  $w = y_1, \dots, y_n$ , where  $y_i$ 's are letters from  $\Gamma$ . That is given a word  $w$  as input,  $M$  can make choices of existential transitions such that whatever the transitions chosen by universal states the machine continues the execution. Assume

that, the rejecting states are states without outgoing transitions. The machine works on a space bounded by the size  $n$  of the input word  $w$ . Hence, if the head points on  $y_1$  the machine is not allowed to move to the left (i.e., execute a transition labelled with L), and if the head points on  $y_n$  the machine is not allowed to move to the right (i.e., execute a transition labelled with R).

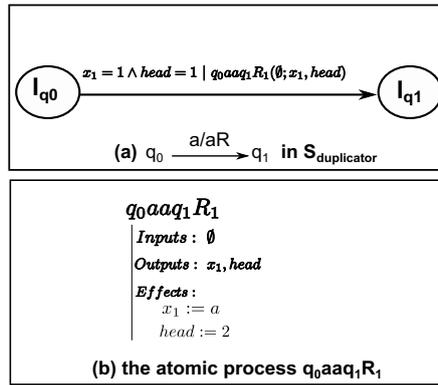
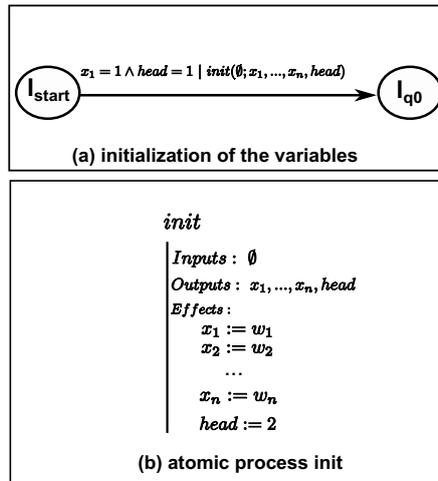
**Example 19.** Figure 2.14(a) depicts an alternating Turing machine  $M$ , where the initial state  $q_0$  is universal, and  $q_1, q_2$  are existential states. Suppose  $w=ab$ , then starting from the initial configuration  $C_0 = (q_0ab)$ , the machine has two successors :  $C_0 \xrightarrow{qa/aRq_1} C_1 = (aq_1b)$ , and  $C_0 \xrightarrow{qa/aRq_2} C_2 = (aq_2b)$ .  $C_1$  has two successors, one reads  $b$  and replaces it by itself leading to the configuration  $C_0$ , the other replaces  $b$  by  $a$ , hence the machine reaches  $C_3$ . The two successors of  $C_3$  are blocking. Starting from the initial state, for all choices of the universal state, there is a successor of the existential state s.t the machine continue the execution. So the machine  $M$  has an infinite execution on the input word  $ab$ .

The idea of the proof is that, starting from the machine  $M$ , we construct the service  $S_{spoiler}$  which is able to execute any action of the machine infinitely often. The service  $S_{duplicator}$  encodes exactly the execution of  $M$  on the word  $w$ . During the execution of  $M$ , if  $M$  is in a configuration without successors then the corresponding configuration of the service  $S_{duplicator}$  does not have successors. For each configuration of  $C$  with successors, the corresponding configuration of the service  $S_{duplicator}$  has also successors. Now, because  $S_{spoiler}$  can execute any action infinitely often,  $S_{duplicator}$  must contain also an infinite execution to simulate  $S_{spoiler}$  and this is possible if and only if the machine  $M$  has an infinite execution. Additional transitions will be added to  $S_{duplicator}$ . Those transitions will be used to prevent the  $S_{spoiler}$  of cheating, because this service can execute any action at any time. Then, at a given step of execution of  $S_{duplicator}$ , if  $S_{duplicator}$  can execute a transition representing a transition of  $M$ , then  $S_{spoiler}$  must follow it. If  $S_{spoiler}$  tries to execute a transition not allowed by the machine  $M$ , then  $S_{spoiler}$  loses the simulation game.  $S_{spoiler}$  wins the simulation game if and only if  $S_{duplicator}$  blocks during an execution, which means the machine  $M$  blocks and does not contains an infinite execution on  $w$ .

Given a machine  $M$  bounded by the size  $n$  of the input word  $w$ .  $S_{duplicator}$  will use  $n$  variables to simulate the  $n$  cells. The position of the head is encoded in a variable called *head*. A state  $q$  of  $M$  is encoded as a state  $l_q$  in  $S_{duplicator}$ . A transition  $q \xrightarrow{a/bR} q'$  of  $M$ , is encoded in a transition  $(l_q, x_i = a \wedge head = i, qabq'R_i(\emptyset; x_i, head), l_{q'})$  (i.e., if the actual value of the  $i$ 'th variable is equal to  $a$  and the variable *head* contains  $i$ , then executes the atomic process  $qabq'R_i(\emptyset; x_i, head)$  which increments the variable *head* and modifies the value of  $x_i$  to  $b$ ). Because during the execution of the machine we do not control which cell is read, we create  $n - 1$  transitions in  $S_{duplicator}$  from  $l_q$  to  $l_{q'}$  (the head cannot move to the right of the last variable this why  $i$  is ranged in  $[1, n-1]$ ). If the direction is  $L$ , the same construction is made, but now the atomic process decrements the head and  $i$  is ranged in  $[2, n]$ .

**Example 20.** Figure 2.15(a) depicts the transition in  $S_{duplicator}$  which encodes the transition  $q_0 \xrightarrow{a/aR} q_1$  of the machine  $M$  in example 19. The atomic process  $qabq'R_1(\emptyset; x_1, head)$  is depicted in Figure 2.15(b). There is only one transition, because in this example the size of  $w=2$  hence it is not possible to move to the right from the second cell.

$S_{duplicator}$  starts by initializing the variables  $x_1, \dots, x_n$  to the input word  $w$  and assigning 1 to the variable *head*.

FIGURE 2.15 – A transition in  $S_{\text{duplicator}}$  corresponding to a transition of  $M$ .FIGURE 2.16 – initialization of variables in  $S_{\text{duplicator}}$ .

**Example 21.** Figure 2.16 depicts the initialization of the service  $S_{\text{duplicator}}$  corresponding to the machine  $M$  of the example 19, where  $x_1 := a$ ,  $x_2 := b$  and  $head := 1$ .

Before giving the construction of  $S_{\text{duplicator}}$ , we need to introduce some notations :

–  $\mathcal{P}$  is the set of all atomic processes used to encode actions of the machine  $M$ , it contains the following sets :

–  $\{qabq'R_i(\emptyset; x_i, head) \mid q \xrightarrow{a/bR} q' \text{ in } M \text{ and } i \in [1, n-1]\}$

For each transition of the machine labelled with a move to the right, we create  $n-1$  atomic processes to encode it.

–  $\{qabq'L_i(\emptyset; x_i, head) \mid q \xrightarrow{a/bL} q' \text{ in } M \text{ and } i \in [2, n]\}$

For each transition of the machine labelled with a move to the left, we create  $n-1$  atomic processes to encode it.

The atomic process  $qabq'R_i(\emptyset; x_i, head)$  has no condition, it assigns to  $x_i$  the value  $b$  and increments the head. The atomic process  $qabq'L_i(\emptyset; x_i, head)$  has no conditions, it assigns to  $x_i$  the value  $b$  and decrements the head.

–  $g_i^a$  is a condition of the form  $x_i = a \wedge head = i$ . It will be used as guard on transitions of  $S_{\text{duplicator}}$ .

The incrementation is not allowed in the definition of the Colombo model. When defining the effects of the atomic process, we write the result of the sum rather than the operation of incrementation. For example, in the atomic process  $qabq'R_1(\emptyset; x_1, head)$ ,

which represents the transition  $q \xrightarrow{a/bR} q'$  depicted at figure 2.15, the variable head receives 2 instead of 1+1.

In the sequel, we make  $\mathcal{P}_{qa}^i$  the subset of  $\mathcal{P}$  restricted to the atomic processes modifying the variable  $x_i$  and representing only the transitions of the machine  $M$  from the state  $q$  and reading the letter  $a$ .

**Construction of  $S_{\text{duplicator}}$  :** Each configuration of  $M$  that is reachable during the execution of the machine on  $w$  corresponds to an *id* of  $S_{\text{duplicator}}$ . During an execution of  $M$ , the actual configuration of the machine  $M$  has a successor if the corresponding *id* of an execution of  $S_{\text{duplicator}}$  has a successor. If the execution of  $M$  blocks on a configuration, then the service also blocks on the corresponding *id*. We will use a set of additional transitions to force the spoiler to follow the actions chosen by the duplicator during an execution.

Let  $GA(S_{\text{duplicator}})$  be the *guarded automaton* of the service  $S_{\text{duplicator}}$  where  $GA(S_{\text{duplicator}}) = \langle Q_{\text{duplicator}}, \delta_{\text{duplicator}}, l'_{\text{start}}, L\text{Store}(S_{\text{duplicator}}) \rangle$  and :

- the set of states of  $S_{\text{duplicator}}$  are :
  - $\{l_q \mid q \in \mathbb{Q}\}$ .
  - the initial state of  $M$  is a final state in  $S_{\text{duplicator}}$ .
  - a state  $l_{\text{copy}}$ , which is also a final state.
  - $\{\text{choice}_{qbdq'} \mid q \xrightarrow{a/bd} q' \text{ and } q \text{ an existential state and } d = R/L\}$
  - $l'_{\text{start}}$  is the initial state.
- $L\text{store}(S_{\text{duplicator}}) = \{x_1, \dots, x_n\} \cup \{\text{head}\}$ , where  $n = |w|$
- $\delta_{\text{duplicator}}$  is made of the following sets of transitions :
  - $(l'_{\text{start}}, \text{true}, \text{init}(\emptyset; \text{head}, x_1, \dots, x_n), l_q)$ , where  $q$  the initial state of  $M$ .
  - $(l_{\text{copy}}, \text{true}, a, l_{\text{copy}})$ , where  $a \in \mathcal{P} \cup \{!m()\}$  and  $\mathcal{P}$  is the set of all atomic processes in  $S_{\text{spoiler}}$ .
- For each transition  $q \xrightarrow{a/bd} q'$  in  $M$ , where  $q$  is a universal state :
  - if  $d = R$  then :
    - $\{(l_q, g_i^a, qabq' R_i(\emptyset; x_i, \text{head}), l_{q'}) \mid i \in [1, n-1]\}$ .
    - $\{(l_q, \text{true}, !m(), l_{\text{copy}})\}$ .
    - $\{(l_q, g_i^a, \mathcal{P} \setminus \mathcal{P}_{qa}^i, l_{\text{copy}}) \mid i \in [1, n-1]\}$ .
  - if  $d = L$  then :
    - $\{(l_q, g_i^a, qabq' L_i(\emptyset; x_i, \text{head}), l_{q'}) \mid i \in [2, n]\}$ .
    - $\{(l_q, \text{true}, !m(), l_{\text{copy}})\}$ .
    - $\{(l_q, g_i^a, \mathcal{P} \setminus \mathcal{P}_{qa}^i, l_{\text{copy}}) \mid i \in [2, n]\}$ .
- For each transition  $q \xrightarrow{a/bd} q'$  in  $M$ , where  $q$  is a existential state :
  - if  $d = R$  then :
    - $\{(l_q, \text{true}, !m(), \text{choice}_{qbRq'})\}$
    - $\{(l_q, \text{true}, \mathcal{P} \setminus \{!m()\}, l_{\text{copy}})\}$ .
    - $\{(\text{choice}_{qbRq'}, g_i^a, qabq' R_i(\emptyset; x_i, \text{head}), l_{q'}) \mid i \in [1, n-1]\}$ .
    - $\{(\text{choice}_{qbRq'}, \text{true}, \mathcal{P} \setminus \{qabq' R_i(\emptyset; x_i, \text{head})\}, l_{\text{copy}}) \mid i \in [1, n-1]\}$ .
  - if  $d = L$  then :
    - $\{(l_q, \text{true}, !m(), \text{choice}_{qbLq'})\}$
    - $\{(l_q, \text{true}, \mathcal{P} \setminus \{!m()\}, l_{\text{copy}})\}$ .
    - $\{(\text{choice}_{qbLq'}, g_i^a, qabq' L_i(\emptyset; x_i, \text{head}), l_{q'}) \mid i \in [2, n]\}$ .
    - $\{(\text{choice}_{qbLq'}, \text{true}, \mathcal{P} \setminus \{qabq' L_i(\emptyset; x_i, \text{head})\}, l_{\text{copy}}) \mid i \in [2, n]\}$ .

Note that, if the machine reads or writes the special blank character  $B$  during a transition, then we replace the constants  $a, b$  by the special symbol  $\omega$ , in the construction of the corresponding transition.

$S_{duplicator}$  starts by initializing the variables representing the cells with the input word. If  $M$  has a transition  $q \xrightarrow{a/bR} q'$  and  $q$  is a universal state, then the service contains  $n - 1$  transitions from  $l_q$  to  $l_{q'}$  labelled with condition/action : if  $x_i = a$  and the head points on  $i$  then we can execute the atomic process which modifies  $x_i$  to  $b$  and increments the head. So,  $S_{duplicator}$  can only execute the atomic process representing the transition  $q \xrightarrow{a/bR} q'$  if the actual value of  $x_i = a$  and the head points on  $i$ . Note that, for any actual valuation of variables, there is only one transition from the "n-1" transitions which can be executed. This is due to the guards where several  $x_i$  can verify the condition but the head points only to one cell.

If  $q$  is an existential state, then  $S_{duplicator}$  sends a message  $m$  before executing the atomic process. The state  $l_{copy}$  contains a set of self loop labelled with all atomic processes  $\mathcal{P}$  and  $!m()$  (if  $S_{duplicator}$  reaches this state, it wins the simulation). All transitions which reach the state  $l_{copy}$  are used to prevent  $S_{spoiler}$  from cheating during the test of simulation.

The next lemma asserts that each configuration of  $M$  on the input word  $w$  has a corresponding configuration in the extended state machine of  $S_{duplicator}$ . The proof is obtained by induction (details are given in appendix A).

**Lemma 6.** *Each configuration  $C$  of the execution of an alternating Turing machine  $M$  on an input  $w$  has a corresponding configuration in the extended state machine of  $S_{duplicator}$ .*

**Example 22.** The Figure 2.17 depicts the part of service  $S_{duplicator}$  corresponding to the transition  $q_0 \xrightarrow{a/aR} q_1$  where  $q_0$  is universal, and the two transitions  $q_1 \xrightarrow{b/bL} q_0$  and  $q_1 \xrightarrow{b/aL} q_0$  where  $q_1$  is an existential state of the machine  $M$  of example 19.

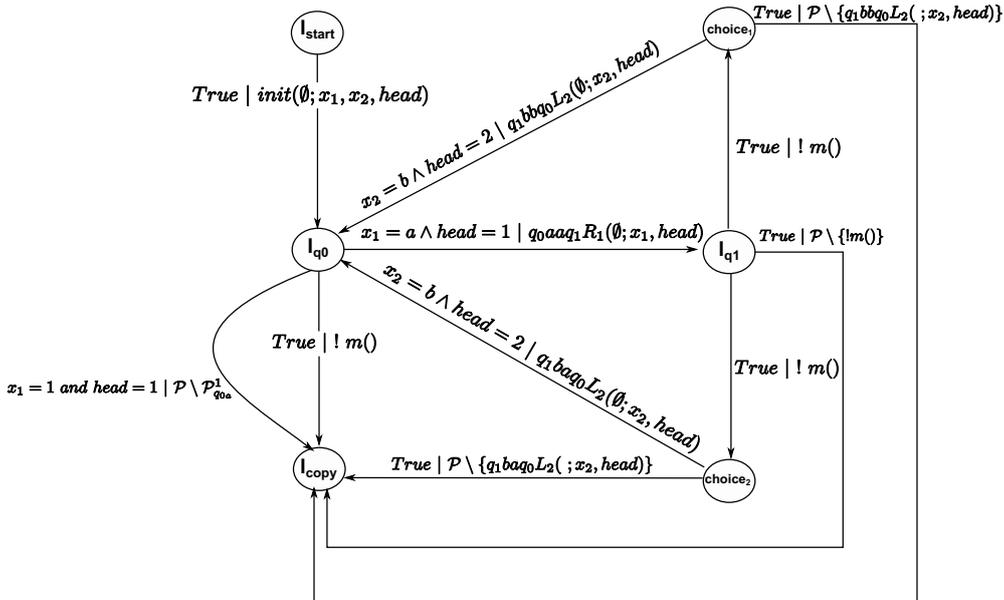


FIGURE 2.17 – A part of the service  $S_{duplicator}$ .

**Construction of  $S_{spoiler}$  :** The spoiler uses  $n$  variables  $z_1, \dots, z_n$  and the variable  $head$ . It starts as  $S_{duplicator}$  by initializing the variables to the letters of the word  $w$  and the head to 1.  $S_{spoiler}$  encodes all transitions that the machine  $M$  can do. If  $M$  has a transition  $q \xrightarrow{a/bR} q'$  and  $q$  is a universal state, then the service has  $(n-1)$  self loop on state  $q_{univ}$  labelled with  $qabq'R_i(\emptyset; z_i, head)$ . If  $q$  is an existential state, first the service goes to an intermediate state  $q_{exist}$  by sending the message  $m()$ . Then, the service has  $n - 1$  transitions labelled with atomic processes  $qabq'R_i(\emptyset; z_i, head)$  from  $q_{exist}$  to  $q_{univ}$ . The transitions are not guarded. Hence,  $S_{spoiler}$  can choose to execute any actions (infinitely often) without constraints on actual values of variables.

The guarded automata of  $S_{spoiler}$  is given below.  $GA(S_{spoiler}) = \langle Q_{spoiler}, \delta_{spoiler}, q_{start}, q_{univ}, LStore(S_{spoiler}) \rangle$  where :

- $Q_{spoiler} = \{q_{start}, q_{univ}, q_{exist}\}$  , where  $q_{start}$  is the initial state and  $q_{univ}$  the final state.
- $Lstore(S_{spoiler}) = \{z_1, \dots, z_n\} \cup \{head\}$ .
- $\delta_{spoiler}$  is made of the following sets of transitions :
  - $(q_{start}, \mathbf{true}, \mathit{init}(\emptyset; head, z_1, \dots, z_n), q_{univ})$ ,  
where  $\mathit{init}$  initializes  $head$  to 1 and  $z_i$  to  $w_i$  (the  $i$ 'th) letter of  $w$ .
  - $(q_{univ}, \mathbf{true}, !m(), q_{exist})$ .
  - For each transition  $q \xrightarrow{a/bd} q'$  in  $M$ , where  $q$  is a universal state :
    - if  $d = R$  then :
      - $\{(q_{univ}, \mathbf{true}, qabq'R_i(\emptyset; z_i, head), q_{univ}) \mid i \in [1, n - 1]\}$ ,  
where  $z_i$  receives  $b$  and  $head$  receives  $i + 1$  (moves to the right).
    - if  $d = L$  then :
      - $\{(q_{univ}, \mathbf{true}, qabq'L_i(\emptyset; z_i, head), q_{univ}) \mid i \in [2, n]\}$ ,  
where  $z_i$  receives  $b$  and  $head$  receives  $i - 1$  (moves to the left).
  - For each transition  $q \xrightarrow{a/bd} q'$  where  $q$  is a existential state :
    - if  $d = R$  then :
      - $\{(q_{exist}, \mathbf{true}, qabq'R_i(\emptyset; z_i, head), q_{univ}) \mid i \in [1, n - 1]\}$ .
    - if  $d = L$  then :
      - $\{(q_{exist}, \mathbf{true}, qabq'L_i(\emptyset; z_i, head), q_{univ}) \mid i \in [2, n]\}$ .

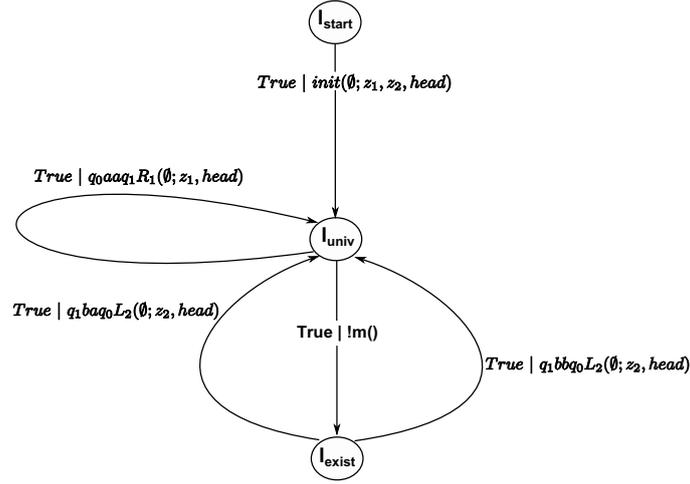
**Example 23.** The Figure 2.18 depicts the part of the service  $S_{spoiler}$  corresponding to :

- the transition  $q_0 \xrightarrow{a/aR} q_1$  where  $q_0$  is universal and
- the two transitions  $q_1 \xrightarrow{b/bL} q_0$  and  $q_1 \xrightarrow{b/aL} q_0$  where  $q_1$  is an existential state of the machine  $M$  in example 19.

Given an alternating Turing machine  $M$  an input word  $w$ , we call the services  $S_{spoiler}$  and  $S_{duplicator}$  constructed as explained previously, respectively the *Spoiler* and the *Duplicator* associated to  $M$  and  $w$ . The next lemma shows the connection between the existence of infinite execution of the machine  $M$  over the word  $w$  and the test of simulation between  $S_{spoiler}$  and  $S_{duplicator}$ . The proof is given in appendix A.

**Lemma 7.** *Let  $M$  be an alternating Turing machine working in space bounded by the size of an input word  $w$ , and let  $S_{spoiler}$  and  $S_{duplicator}$  the services associated to  $M$  and  $w$ . Then,  $M$  has an infinite computation on  $w$  iff  $S_{spoiler} \preceq S_{duplicator}$ .*

From lemma 7 and knowing that the problem of existence of an infinite execution of an alternating Turing machine work on a space polynomially bounded by the size of the input is EXPTIME-hard [CKS81] we can derive the following lemma :

FIGURE 2.18 – part of  $S_{spoiler}$ .

**Lemma 8.** *Given two DB-less Colombo services  $S, S'$ , checking whether  $S \preceq S'$  is EXPTIME-hard.*

Hence, the following theorem can now be claimed from proposition 1 and lemma 8

**Theorem 5.** *Given two DB-less Colombo services  $S, S'$ , checking whether  $S \preceq S'$  is EXPTIME-complete.*

## 2.5 Decidability of simulation in $Colombo^{bound}$

We study in this section the simulation problem in the setting of a Colombo model with a *bounded* global database (i.e., the size of the instance over  $\mathcal{W}$  is at most equal to a constant  $k$ ). Given two services  $S$  and  $S'$ ,  $S$  is *k-bounded simulated* by  $S'$  means that  $S'$  is able to reproduce the behavior of  $S$  on all executions where the size of the database is at most equal to  $k$ . We will prove that the simulation is decidable in this setting by providing a reduction to a test of simulation between two DB-less  $Colombo^{DB=\emptyset}$  services. This is done by encoding the bounded database using a finite set of variables. First we start by giving the definition of *k-bounded extended state machines*, which is used to capture the notion of k-bounded simulation. Then we give the construction of the DB-less service and prove the equivalence of the two tests.

### 2.5.1 k-bounded extended state machine $E^k(S)$ and k-bounded simulation

Let  $k$  be an integer. We call a database instance  $I$  k-bounded if  $|I| \leq k$ . The k-bounded extended state machine  $E^k(S)$  of a Colombo service  $S$  is the extended state machine  $E(S)$  of  $S$  restricted to configurations having k-bounded instances.

**Definition 9.** *Let  $S$  be a Colombo service and  $E(S) = (\mathbb{Q}, \mathbb{Q}_0, \mathbb{F}, \Delta)$  the associated extended state machine, then  $E^k(S) = (\mathbb{Q}^k, \mathbb{Q}_0^k, \mathbb{F}^k, \Delta^k)$  is the k-bounded extended state machine of  $S$  where :*

$$- \mathbb{Q}^k = \{(l, \mathcal{I}, \alpha) \mid (l, \mathcal{I}, \alpha) \in \mathbb{Q} \text{ and } |\mathcal{I}| \leq k\}.$$

The k-bounded extended state machine of  $S$  is the part of  $E(S)$  where all configurations contain only k-bounded databases. Like  $E(S)$ , a run  $\sigma$  of  $E^k(S)$  is a finite sequence

$\sigma = id_0 \xrightarrow{\mu_0} id_1 \xrightarrow{\mu_1} \dots \xrightarrow{\mu_{n-1}} id_n$  where  $id_0$  is an initial configuration and  $id_n$  a final configuration but  $|\mathcal{I}_i| \leq k$  for  $i \in [0, n]$  where  $id_i = ((l_i, \mathcal{I}_i, \alpha_i))$ . Due to infinite number of  $k$ -bounded initial databases, all runs of  $E^k(S)$  form a forest.

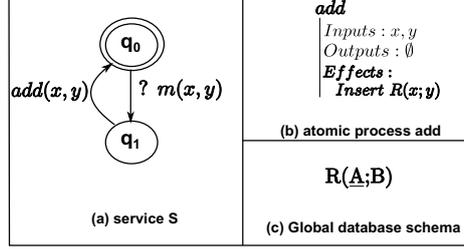


FIGURE 2.19 – A Colombo service  $S$ .

**Example 24.** Figure 2.19 depicts a simple Colombo service  $S$  which receives two variables  $x$  and  $y$ . The service  $S$  uses the atomic process  $add$  to insert the tuple  $(x,y)$  in the database  $R$ . The service can make an infinite loop during an execution and inserts an unbounded number of tuples in  $R$ .

Figure 2.20 depicts two execution paths of  $E(S)$ . The execution path depicted at figure 2.20(a) starts with an instance of  $R$  which contains one tuple  $\langle 6, h \rangle$  then inserts the tuple  $\langle 7, 2 \rangle$ . This execution path of  $E(S)$  is also an execution path of the 2-bounded extended state machine  $E^2(S)$ . The second execution path (figure 2.20(b)) starts with an instance containing only the tuple  $\langle 8, 1 \rangle$ , then inserts the tuple  $\langle 9, 3 \rangle$ , and finally inserts the tuple  $\langle 1, 2 \rangle$ . The second path does not belong to  $E^2(S)$  because the database of the last configuration does not satisfies the condition  $|R| \leq 2$ .

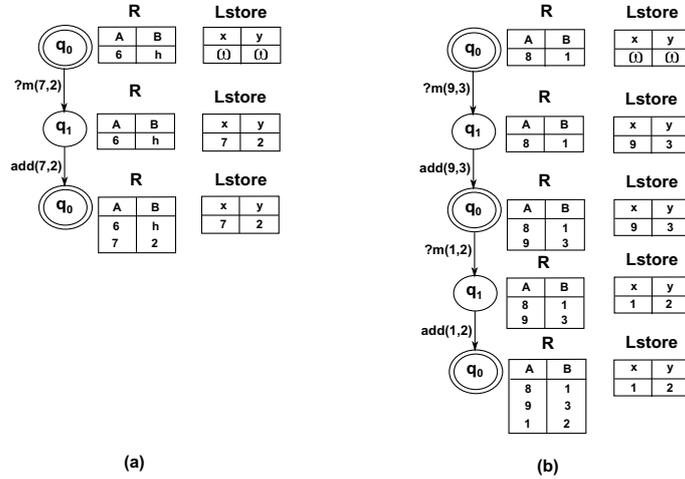


FIGURE 2.20 – example of an execution path in  $E^2(S)$  and an execution path not in  $E^2(S)$ .

We define now the notion of  $k$ -bounded simulation, denoted  $\preceq_k$ .

**Definition 10.** A Colombo service  $S$  is  $k$ -bounded simulated by a Colombo service  $S'$ , noted  $S \preceq_k S'$ , iff  $E^k(S) \preceq E^k(S')$ .

It is worth noting that if  $S \preceq S'$  then  $S \preceq_k S'$  but the converse is not true.

### 2.5.2 Mapping bounded Colombo services into Colombo DB-less services

We will prove that the decidability of  $k$ -bounded simulation by proving that, for any two colombo services  $S$  and  $S'$ , testing  $k$ -bounded simulation  $S \preceq_k S'$  is equivalent to testing  $\mathcal{M}(S) \preceq \mathcal{M}(S')$ , where  $\mathcal{M}(S)$  and  $\mathcal{M}(S')$  are two DB-less services.

The main idea is to use a set of variables to encode  $k$ -bounded database instances. Assume that  $\mathcal{W} = \{R\}$  and  $\text{arity}(R) = n$ , then the maximum number of values that can be stored in a  $k$ -bounded database is  $n * k$ . Hence, all  $k$ -bounded instances can be encoded with  $n * k$  variables. We will use the following example to explain the transformation from a bounded Colombo service into a DB-less service.

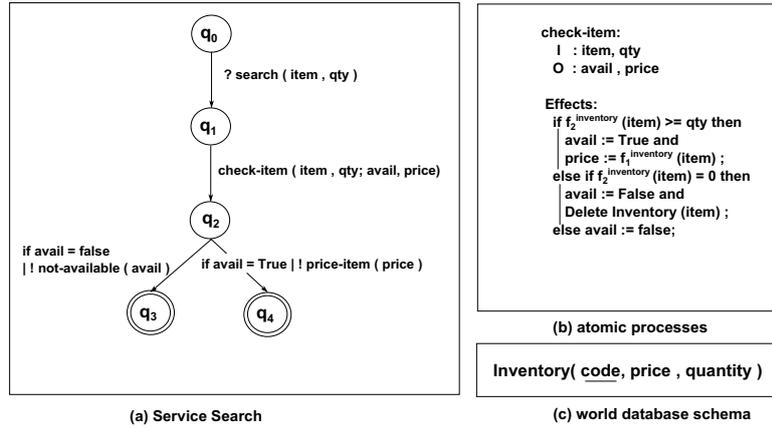


FIGURE 2.21 – Colombo services *Search*.

**Example 25.** Figure 2.21(a) depicts the Colombo service *Search*. *Search* makes use of the atomic process *check-item* depicted at figure 2.21(b) in order to retrieve a product for a client in the global relation *Inventory* (figure 2.21(c)) and sends the price of the product if the quantity requested is available. If the quantity of the product is equal to zero, then the product is deleted from the inventory.

#### Database variables DV

As said earlier, the number of variables used to encode the database depends on the arity of the database schema and the bound  $k$ . To simplify the presentation, and w.l.o.g, from now we suppose that  $\mathcal{W}$  contains only one relation  $R(A_1; B_1, \dots, B_m)$ . The set of variables used to encode the bounded database instances are called *database variables* (*DV*) and denoted  $dv_{ij}$ , where  $i$  and  $j$  are integers.

**Definition 11.** Let  $R(A_1; B_1, \dots, B_m)$  be a world database schema and  $k$  be a constant. Then  $DV = \{dv_{ij} \mid i \in [1, k] \text{ and } j \in [1, m + 1]\}$ .

Note that the variables  $dv_{i1}$ , here often called *key variables*, represent the possible values of the keys (the attribute  $A_1$ ). Figure 2.22 depicts two instances of the relation schema *Inventory* and the corresponding set *DV*. The elements of the tuple  $\langle HP5, 31, 200 \rangle$  of the first instance ( figure 2.22(a) ) are stored respectively in the variables  $dv_{11}$ ,  $dv_{12}$ ,  $dv_{13}$  and those of the tuple  $\langle XS3, 48, 159 \rangle$  are stored in  $dv_{21}$ ,  $dv_{22}$ ,  $dv_{23}$  (the valuation of *DV* is depicted at figure 2.22(d)). The tuple  $\langle HS7, 23, 120 \rangle$  of the second instance (figure 2.22(b)) is stored in  $dv_{11}$ ,  $dv_{12}$ ,  $dv_{13}$  ( the second valuation of *DV* depicted at figure 2.22(e)).

Relation Inventory			Relation Inventory		
<small>code</small>	<small>price</small>	<small>quantity</small>	<small>code</small>	<small>price</small>	<small>quantity</small>
HP5	31	200	HS7	23	120
XS3	48	159	(B) Another 2-bounded database.		
(a) A 2-bounded database.					

<small>dv<sub>11</sub></small>	<small>dv<sub>12</sub></small>	<small>dv<sub>13</sub></small>	HP5	31	200	HS7	23	120
dv <sub>11</sub>	dv <sub>12</sub>	dv <sub>13</sub>	XS3	48	159	ω	ω	ω
(c) DV			(d) a valuation of DV			(e) Another valuation of DV		

FIGURE 2.22 – Instances of the database *Inventory* and the corresponding sets of variables *DV*.

### Initialization of DV

The execution of a Colombo service starts with a null valuation ( $\omega$ ) for all variables in the *Lstore*. An additional state and a transition are added in order to enable the initialization of the database. Let  $S$  be a Colombo service, the corresponding DB-less service will start with a transition labelled with  $?database(dv_{1j}, \dots, dv_{1m+1}, \dots, dv_{km+1})$ . It should be noted that, during the execution of a Colombo service, only database instances satisfying the key constraints can be used. w.l.o.g, we assume that, the key constraints are always satisfied in our case. Indeed, it is possible to add to DB-less services a test to check that the values of the database variables correspond to a database instance that satisfies the key constraints.

### Atomic process transformation

We recall that, a Colombo service accesses to the database only using *atomic processes*, either to retrieve information or modify it. An atomic process is a triplet  $p = (I, O, CE)$  where  $I = u_1, \dots, u_n$  are the input variables and  $O = v_1, \dots, v_m$  are the output variables and  $CE = \{(\theta, es, ev)\}$  is a set of conditional effects with  $\theta$  a condition,  $ev$  the set of modifications of output variables and  $es$  (state effects) are the modifications of the database instance. An atomic process accesses to the values of a database using the access function  $f_j^R$  through the condition  $\theta$  or in  $ev$  by assigning a value of the database to an output variable. The atomic process can also modify the database using the state effects  $es(Insert, Delete, Modify)$ .

In the following, We will explain how to transform an atomic process  $p = (I, O, CE)$  acting on a database  $R$  to an atomic process  $p_v = (I_v, O_v, CE_v)$  acting on the set of variables  $DV$ . We start by the access function  $f_j^R$  then the outputs effects  $ev$  and finally we explain how to transform the state effects.

1. Encoding  $f_j^R$

Let " $f_j^R(t) \text{ op } t'$ " be a condition in  $\theta$ . The corresponding  $p_v$  will contain  $k$  conditions  $\theta_{vi}$  with  $i \in [1, k]$  of the form :

$$- \theta_{vi} = \{ (dv_{i1} = t) \wedge (dv_{ij+1} \text{ op } t') \}$$

$f_j^R(t)$  returns the value of the  $j+1$ 'th element of the tuple having the key equal to  $t$ , and then compares this value with  $t'$ . To encode this action, we need to check if the key variable  $dv_{i1}$  ( $i \in [1, k]$ ) is equal to  $t$ , then compare  $dv_{ij+1}$  to  $t'$  according to  $op$ . This test is repeated  $k$  times.

For example, the atomic process *check-item* depicted in figure 2.21(b), contains a condition  $f_2^{Inventory}(item) \geq qty$ . This test will be transformed into  $\theta_{v_1}, \theta_{v_2}$  where :

- $\theta_{v_1} : (dv_{11} = item) \wedge (dv_{13} \geq qty)$ .
- $\theta_{v_2} : (dv_{21} = item) \wedge (dv_{23} \geq qty)$ .

## 2. Encoding *ev*

Let " $v_l := f_j^R(t)$ ". Here the output variable  $v_l$  receives the value of  $f_j^R(t)$ . As for the condition  $\theta$ , we need first to retrieve the key variable  $dv_{i1}$  equal to  $t$  and then assign  $dv_{ij+1}$  to  $v_l$ . The test and the assignment is made  $k$  times. The corresponding atomic process will contain a set of pairs  $(\theta_i, ev_i)$  where :

- $\theta_i : dv_{i1} = t$  and
- $ev_i : v_l := dv_{ij+1}$

Continuing with the atomic process *check-item*. The assignment  $price := f_1^{Inventory}(item)$ , will be mapped into two pairs  $(\theta_i, ev_i)$  :

- $(\theta_1, ev_1) : (dv_{11} = item, price := dv_{12})$ .
- $(\theta_2, ev_2) : (dv_{21} = item, price := dv_{22})$ .

## 3. Encoding *es*

- *insert*  $R(t_1, s_1, \dots, s_m)$ . The insertion is encoded by retrieving a variable  $dv_{i1} = \omega$ , then assigning respectively  $t_1, s_1, \dots, s_m$  to  $dv_{i1}, dv_{i2}, \dots, dv_{i+m}$ .  $p_v$  will contain  $k$  pairs of the form  $(\theta_i, ev_i)$  where

- $\theta_i = dv_{i1} = \omega$  and
- $ev_i = \{ dv_{ij} := s_l \mid j \in [2, m+1] \text{ and } l \in [1, m] \} \cup \{ dv_{i1} := t_1 \}$ .

- *delete*  $R(t_1)$ . The deletion is made by retrieving the key variable  $dv_{i1}$  equal to  $t_1$  then assigning to the variable  $dv_{i1}$  the value  $\omega$ . The new atomic process  $p_v$  will contain  $k$  pairs of the form  $(\theta_i, ev_i)$  :

- $\theta_i = dv_{i1} = t_1$  and
- $ev_i = dv_{i1} := \omega$ .

- *modify*  $R(t_1, r_1, \dots, r_m)$ . To simulate the modification we need to find the key variable  $dv_{i1}$  equal to  $t_1$ , then assign to  $dv_{ij}$  the corresponding  $r_l$  if  $r_l$  is different from “\_”. As for the previous cases, we add  $k$  pairs of the form  $(\theta_i, ev_i)$  :

- $\theta_i = dv_{i1} = t_1$  and
- $ev_i = \{ dv_{ij} := r_l \mid r_l \neq \text{"_"} \text{ and } l = j + 1 \}$ .

For example, the atomic process *check-item* deletes a product if its quantity is equal to zero (i.e., with the state effect *Delete Inventory(item)*). This action on database will be transformed into two pairs  $(\theta_i, ev_i)$  :

- $(\theta_1, ev_1) : (dv_{11} = 0, v_{11} := \omega)$ .
- $(\theta_2, ev_2) : (dv_{21} = 0, dv_{21} := \omega)$ .

Let  $p = (I, O, CE)$  be an atomic process updating the database  $R(A_1; B_1, \dots, B_m)$ . then  $p_v = (I_v, O_v, CE_v)$  is constructed as follows :

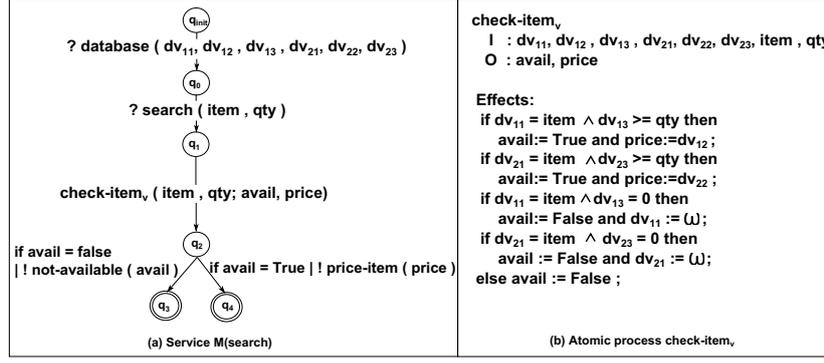
- $I_v = I \cup DV$ .
- $O_v = O \cup DV$ .
- The set  $CE_v$  is obtained by applying the rules defined before.

The Figure 2.23(b) depicts the atomic process *check-item*<sub>v</sub>.

Now we will give the definition of the mapping from a bounded Colombo service  $S$  to a corresponding DB-less service  $\mathcal{M}(S)$ .

**Definition 12.** Let  $GA(S) = \langle Q, \delta, l_0, F, LStore(S) \rangle$  be a guarded automata of a service  $S$  and  $k$  a constant. Then  $GA(\mathcal{M}(S)) = \langle Q_{\mathcal{M}(S)}, \delta_{\mathcal{M}(S)}, l_{init}, F_{\mathcal{M}(S)}, LStore(\mathcal{M}(S)) \rangle$  where :

- $Q_{\mathcal{M}(S)} = Q \cup \{l_{init}\}$ .  $\mathcal{M}(S)$  contains all states of  $S$  and an additional state  $l_{init}$

FIGURE 2.23 –  $\mathcal{M}(\text{Search})$ .

- $l_{init}$  is the initial state of  $\mathcal{M}(S)$ .
- $F_{\mathcal{M}(S)} = F$  is the set of final states.
- $LStore(\mathcal{M}(S)) = LStore(S) \cup DV$ .
- $\delta_{\mathcal{M}(S)}$  is constructed as follows :
  - A transition from  $l_{init}$  to  $l_0$  labelled with the reception of the message  $?database(v_{11}, \dots, v_{ij})$ , where  $i \in [1, k]$  and  $j \in [1, m + 1]$ .
  - If  $(l, \theta, \mu, l') \in \delta$  and  $\mu$  is a send or a reception of a message, then  $(l, \theta, \mu, l') \in \delta_{\mathcal{M}(S)}$ .
  - If  $(l, \theta, \mu, l') \in \delta$  and  $\mu$  is the atomic process  $p(u_1, \dots, u_i; v_1, \dots, v_j, (\psi, E))$ , then  $(l, \theta, p, l') \in \delta_{\mathcal{M}(S)}$ .

Figure 2.23 depicts the DB-less service  $\mathcal{M}(\text{Search})$ . The service starts by initializing the set of variables  $DV$ . The atomic process  $\text{check-item}_v$  modifies the values of the variables of the set  $DV$  instead of the database  $R$ .

The next two lemmas show the equivalence between testing k-bounded simulation and the test of simulation between the corresponding DB-Less services. The proof is given in appendix A. Note that a valuation of variables  $\alpha$  restricted to a subset of variables  $m$  is denoted  $\alpha|_m$ .

**Lemma 9.** *Let  $S$  be a Colombo service,  $E^k(S) = (\mathbb{Q}^k, \mathbb{Q}_0^k, \mathbb{F}^k, \Delta^k)$  its k-bounded extended state machine and  $E(\mathcal{M}(S))$  the extended state machine of DB-less  $\mathcal{M}(S)$ , then*

- If  $(q_i, \mathcal{I}_i, \alpha_i) \in \mathbb{Q}^k$  then  $\exists (q_i, \alpha'_i) \in \mathbb{Q}_{\mathcal{M}(S)}$  s.t  $\alpha'_i|_{Lstore} = \alpha_i$  and  $\alpha'_i|_{DV} = \mathcal{I}_i$  and
- $\forall (q_i, \mathcal{I}_i, \alpha_i) \xrightarrow{\mu_i} (q_j, \mathcal{I}_j, \alpha_j), \exists (q_i, \alpha'_i) \xrightarrow{\mu_i} (q'_j, \alpha'_j)$  s.t  $\alpha'_j|_{Lstore} = \alpha_j$  and  $\alpha'_j|_{DV} = \mathcal{I}_j$ .

Lemma 9 asserts that for each state in the k-bounded state machine of  $S$  there exists a corresponding state in the extended state machine of  $\mathcal{M}(S)$  s.t the valuation of  $DV$  is equal to database  $\mathcal{I}$  and the valuation of variables of  $Lstore$  in the two states are equal.

**Lemma 10.** *Let  $S$  be a Colombo service,  $E^k(S) = (\mathbb{Q}^k, \mathbb{Q}_0^k, \mathbb{F}^k, \Delta^k)$  its k-bounded extended state machine and  $E(\mathcal{M}(S))$  the extended state machine of DB-less  $\mathcal{M}(S)$ , then*

- If  $(q_i, \alpha'_i) \in \mathbb{Q}_{\mathcal{M}(S)}$  then  $\exists (q_i, \mathcal{I}_i, \alpha_i) \in \mathbb{Q}^k$  s.t  $\alpha'_i|_{Lstore} = \alpha_i$  and  $\alpha'_i|_{DV} = \mathcal{I}_i$  and
- $\forall (q_i, \alpha'_i) \xrightarrow{\mu_i} (q'_j, \alpha'_j), \exists (q_i, \mathcal{I}_i, \alpha_i) \xrightarrow{\mu_i} (q_j, \mathcal{I}_j, \alpha_j)$  s.t  $\alpha'_j|_{Lstore} = \alpha_j$  and  $\alpha'_j|_{DV} = \mathcal{I}_j$ .

Hence, from lemma 10 and lemma 9 we can derive the following theorem :

**Theorem 6.** *Let  $S, S'$  two Colombo services, then  $S \preceq_k S'$  iff  $\mathcal{M}(S) \preceq \mathcal{M}(S')$ .*

### 2.5.3 Complexity of k-bounded simulation

In this section, we will prove the 2-EXPTIME completeness of checking k-bounded simulation. First, we show the membership in 2-EXPTIME. Then, the 2-EXPTIME hardness is proved by reduction from the problem of the existence of an infinite execution of an exponentially space bounded alternating Turing machine  $M$  (for an input word  $w$  of size  $n$ ,  $M$  can explore  $2^n$  cells).

**Proposition 2.** Let  $S_1$  and  $S_2$  be two Colombo services, testing  $S_1 \preceq_k S_2$  is in 2-EXPTIME.

*Démonstration.* Let  $S_1$  and  $S_2$  be two Colombo services with  $C$  is the set of constants and  $X$  the set of variables in  $S_1$  and  $S_2$ . Testing  $S_1 \preceq_k S_2$  is achieved by testing  $\mathcal{M}(S_1) \preceq \mathcal{M}(S_2)$ , where  $\mathcal{M}(S_1)$  and  $\mathcal{M}(S_2)$  are two DB-less services. We suppose that  $n$  is the number of constants and  $m + (k \times l)$  the number of variables, with  $l$  the arity of  $\mathcal{W}$  and  $m$  number of variables in  $S_1, S_2$ . A region is a set of intervals and a v-order on  $X \cup \{\omega\}$ . The number of intervals (resp. v-orders) is bounded by  $O(n)$  (resp.  $O((m + (k \times l))!)$ ). The number of regions is bounded by  $O(n^{m+(k \times l)} \times (m + (k \times l))!)$  and therefore the number of states in the region automata is bounded by  $O(|Q_1| \times n^{m+(k \times l)} \times (m + (k \times l))!) = O(2^{\log |Q_1| + (m+(k \times l))(\log n + \log (m+(k \times l)))})$ . We conclude that the size of the region automata associated to  $\mathcal{M}(S_1)$  and  $\mathcal{M}(S_2)$  are respectively  $O(2^{2 \cdot (\log |Q_1| + (m+(2^{\log k \times l}))(\log n + \log (m+(2^{\log k \times l}))))})$  and  $O(2^{2 \cdot (\log |Q_2| + (m+(2^{\log k \times l}))(\log n + \log (m+(2^{\log k \times l}))))})$ .  $\square$

We will prove the 2-exptime-hardness of the problem by proving that, given a Turing machine  $M$  working on an exponential space bounded by the size  $n$  of an input word  $w$ , we can construct two Colombo services  $S_{spoiler}$  and  $S_{duplicator}$  such that the machine  $M$  has an infinite execution on  $w$  iff  $S_{spoiler} \preceq_k S_{duplicator}$ , where  $k = 2^n$ .

The proof is in the same spirit of the proof of lemma 8. But knowing that the machine  $M$  can reach  $2^n$  cells, where  $n$  is the size of the input word  $w$ . If we use directly two DB-less services in the reduction, we need  $2^n$  variables to store the  $2^n$  cells. Hence, the construction is exponential. To avoid this problem, the services  $S_{spoiler}$  and  $S_{duplicator}$  will use a database schema  $R(A_1, \dots, A_n; W)$  to encode the  $2^n$  cells. The key is on  $n$  attributes. Taking the domain of  $A_i$  to be  $\{0, 1\}$ , the key is a binary number on  $n$  position. Hence, the services can reach  $2^n$  tuples where their keys are ranged from  $(0, \dots, 0)$  to  $(1, \dots, 1)$ . To simulate the head, the services will use  $n$  variables where the value of each variable is either 0 or 1. Then, the actual values of  $x_1, \dots, x_n$  correspond to the binary number  $x_1 \dots x_n$ . Hence, a valuation of the variables  $x_1, \dots, x_n$  is a key for an instance of  $R$ . The move to the right of the machine is made by incrementing the binary number  $x_1 \dots x_n$ , then the new binary number points on the next tuple. Similarly, the move to the left is made by decrementing the binary number  $x_1 \dots x_n$  and the new valuation of the variables  $x_1, \dots, x_n$  points on the previous tuple. The attribute  $W$  is used to store the letter of the cell. Assume that, the machine  $M$  is at a configuration  $C$  and the head points on a cell containing  $a$  and  $q \xrightarrow{a/bR} q'$  is a transition of  $M$ . This transition will be executed by 3 transitions in  $S_{duplicator}$  :

- first the service tests if the actual tuple contains  $a$ ,
- then writes  $b$  in the attribute  $W$  of this tuple,

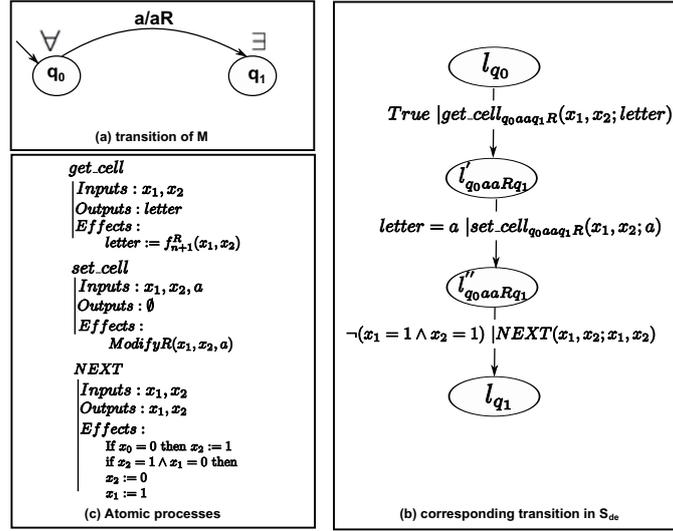


FIGURE 2.24 – transitions corresponding to  $q_0 \xrightarrow{a/aR} q_1$  in  $M$ .

- finally moves to the next tuple by executing a binary addition on  $x_1 \dots x_n$ .

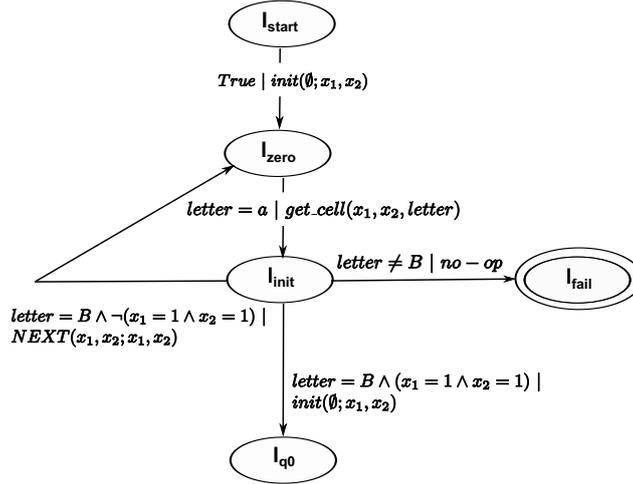
**Example 26.** Figure 2.24(a) depicts a transition of the machine  $M$  of example 19. If the actual value of the cell pointed by the head is equal to  $a$  and the machine is in the state  $q_0$ , the machine writes  $a$ , and moves to the next cell and reaches the state  $q_1$ . Suppose the input word is  $ab$ , so  $n=2$ . The part of  $S_{duplicator}$  representing this transition starts by storing the value of the attribute  $W$  corresponding to the tuple identified with the key  $x_1x_2$  in the variable  $letter$  (i.e.,  $letter := f_{n+1}^R(x_1, x_2)$ ) using the atomic process  $get\_cell$ . Then, the service tests if  $letter = a$  and writes in the current tuple the new value of  $W$  with  $set\_cell$ . After that, the service increments the binary number  $x_1x_2$  using the atomic process  $NEXT$ . As a consequence,  $x_1x_2$  points on the next tuple. The guard  $\neg(x_1 = 1 \wedge x_2 = 1)$  prevents a move to the right if the service points on the last cell. Note that, when encoding a transition of  $M$ , the service  $S_{spoiler}$  will not contain the guard  $letter = a$ , because  $S_{spoiler}$  will encode all transitions that the machine can do infinitely often.

The services  $S_{duplicator}$  and  $S_{spoiler}$  will start with an initialization part where they :

1. Check if all tuples identified with key from  $(0, \dots, 0)$  to  $(1, \dots, 1)$  contain the symbol  $B$ , which means the  $2^n$  cells are empty. In following, we will call the database instances which satisfy this condition *standard* instances and those that do not satisfy it *non-standard* instances.
2. initialize the  $n$  first tuples with the  $n$  letters of the input word  $w$ .

**Example 27.** Continuing with our example, figure 2.25 depicts the initialization part of the two services. The services start by assigning zero to  $x_1$  and  $x_2$ , then check if the value of the attribute  $W$  of the actual tuple identified with the key  $x_1x_2$  is equal to  $B$ . If  $x_1x_2$  points on an empty tuple and it is not the last tuple (key equal 11), the services increment the key and test the next tuple. If one of them does not contain  $B$ , then the database is *non-standard* and there is simulation. If all tuples ranged from 00 to 11 contain  $B$ , the services reinitialize the variables to zero.

For all executions starting with a *non-standard* database,  $S_{spoiler} \preceq S_{duplicator}$  is true, because the two services have the same initialization part. Figure 2.26 depicts examples of

FIGURE 2.25 – initialization part of  $S_{duplicator}$  and  $S_{spoiler}$ .

*standard* and *non-standard* databases. As we can see, the order of tuples is not important for standard databases (Figure 2.26(a) and figure 2.26(b)). The database depicted at figure 2.26(c) fails in the initialization part because  $f_3^R(1, 1)$  and  $f_3^R(0, 1)$  are equal to  $\omega$ , and the database depicted at figure 2.26(d) is *non-standard* because there are tuples with values different from  $B$  for the attribute  $W$ .

Relation R			Relation R		
A1	A2	W	A1	A2	W
1	0	B	0	0	B
0	0	B	0	1	B
1	1	B	1	0	B
0	1	B	1	1	B

(a) Standard database.      (b) Another standard database.

Relation R			Relation R		
A1	A2	W	A1	A2	W
1	0	B	0	0	B
0	0	B	0	1	a
			1	0	B
			1	1	b

(c) A not standard database.      (d) Another non-standard database.

FIGURE 2.26 – Standard database.

Now we will give the formal definition of atomic processes.

**Atomic processes**  $\mathcal{P}$  is the set of all atomic processes used to encode the actions of the machine  $M$  :

- for each transition  $q \xrightarrow{a/bR} q'$  in  $M$  :
  - $get\_cell_{qabq'R}(x_1, \dots, x_n; letter, CE)$  is an atomic process with one conditional effect :
    - $\theta$  : true.
    - $ev$  :  $letter := f_{n+1}^R(x_1, \dots, x_n)$ .
  - $set\_cell_{qabq'R}(x_1, \dots, x_n, b)$  is an atomic process with one conditional effect :

- $\theta$  : true.
- $ev$  : MODIFY R( $x_1, \dots, x_n; b$ ).
- NEXT( $x_1, \dots, x_n; x_1, \dots, x_n, \{CE\}$ ) is an atomic process with n conditional effect where  $CE = \{(\theta_n, v_n)\} \cup \{(\theta_k, v_k) \mid k \in [n-1, 1]\}$  and
  - $\theta_n : x_n = 0$
  - $v_n : x_n := 1$
  - $\theta_k : x_n = 1 \wedge x_{n-1} = 1 \wedge \dots \wedge x_{k-1} = 1 \wedge x_k = 0$
  - $v_k : x_n := 0 \wedge x_{n-1} := 0 \wedge \dots \wedge x_{k-1} := 0 \wedge x_k := 1$
- for each transition  $q \xrightarrow{a/bL} q'$  in M
  - $get\_cell_{qabq'_L}(x_1, \dots, x_n; letter, CE)$  is an atomic process with one conditional effect :
    - $\theta$  : true.
    - $ev$  :  $letter := f_{n+1}^R(x_1, \dots, x_n)$ .
  - $set\_cell_{qabq'_L}(x_1, \dots, x_n, b)$  is an atomic process with one conditional effect :
    - $\theta$  : true.
    - $ev$  : MODIFY R( $x_1, \dots, x_n; b$ ).
  - PREVIOUS( $x_1, \dots, x_n; x_1, \dots, x_n, \{CE\}$ ) is an atomic process with n conditional effect
    - where  $CE = \{(\theta_n, v_n)\} \cup \{(\theta_k, v_k) \mid k \in [n-1, 1]\}$  and
      - $\theta_n : x_n = 1$
      - $v_n : x_n := 0$
      - $\theta_k : x_n = 0 \wedge x_{n-1} = 0 \wedge \dots \wedge x_{k-1} = 0 \wedge x_k = 1$
      - $v_k : x_n := 1 \wedge x_{n-1} := 1 \wedge \dots \wedge x_{k-1} := 1 \wedge x_k := 0$

Now we give the formal definition of the service  $S_{duplicator}$

**Service  $S_{duplicator}$ .** Let  $GA(S_{duplicator}) = \langle Q_{duplicator}, \delta_{duplicator}, l'_{start}, LStore(S_{duplicator}) \rangle$  where :

- the set of states of  $S_{duplicator}$  are the following
  - For each state  $q$  in  $M$ , a state  $l_q$ .
  - a set of states  $l_{copy}, l_{zero}, l_{init}, l_{fail}$ , where  $l_{fail}$  is final.
  - $\{choice_{qbdq'} \mid q \xrightarrow{a/bd} q' \text{ in } M \text{ and } q \text{ an existential state and } d = R/L\}$
  - $\{l'_{qbdq'}, l''_{qbdq'} \mid q \xrightarrow{a/bd} q' \text{ in } M \text{ and } d = R/L\}$
  - $l'_{start}$  is the initial state.
  - for each  $w_i$  (i'th letter of the input word) a state  $l_{w_i}$ .
- $Lstore(S_{duplicator}) = \{x_1, \dots, x_n\} \cup \{letter\}$ .
- $\delta_{duplicator}$  is composed of the following sets of transitions :
  - $(l'_{start}, \text{true}, \text{init}(\emptyset; letter, x_1, \dots, x_n), l_{zero})$ .
  - $(l_{zero}, \text{true}, get\_cell(x_1, \dots, x_n; letter), l_{init})$ .
  - $(l_{init}, letter = B \wedge \neg(x_1 = 1 \wedge \dots \wedge x_n = 1), NEXT(x_1, \dots, x_n; x_1, \dots, x_n), l_{zero})$ .
  - $(l_{init}, letter \neq B, \text{no-op}, l_{fail})$ .
  - $(l_{init}, letter = B \wedge x_1 = 1 \wedge \dots \wedge x_n = 1, \text{init}(\emptyset; letter, x_1, \dots, x_n), l_{w_1})$ .
  - $(l_{copy}, \text{true}, \mathcal{P} \cup \{!m()\}, l_{copy})$ , where  $\mathcal{P}$  is the set of all atomic process in  $S_{duplicator}$ .
  - for each  $w_i$  (i'th letter of the input word) two transition :
    - $(l_{w_{i-1}}, \text{true}, \text{Insert}(x_1, \dots, x_n, w_i), l_{w_i})$
    - $(l_{w_i}, \text{true}, NEXT(x_1, \dots, x_n), l_{w_{i+1}})$
  - For each transition  $q \xrightarrow{a/bd} q'$  in  $M$ , where  $q$  is a universal state :
    - if  $d = R$  then :

- $\{(l_q, \text{true}, \text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter}), l'_{qbRq'})\}$ .
- $\{(l'_{qbRq'}, \text{letter} = a, \text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b; \emptyset), l''_{qbRq'})\}$ .
- $\{(l''_{qbRq'}, \neg(x_1 = 1 \wedge \dots \wedge x_n = 1), \text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n), l_{q'})\}$ .
- $\{(l_q, \text{true}, !m(), l_{\text{copy}})\}$ .
- $\{(l_q, \text{true}, \mathcal{P} \setminus \mathcal{P}_{\text{test\_cell}q}, l_{\text{copy}})\}$ .  $\mathcal{P}_{\text{test\_cell}q}$  is the set of atomic process *test\_cell* used to encode transition from state  $q$  of  $M$ .
- if  $d = L$  then :
  - $\{(l_q, \text{true}, \text{get\_cell}_{qabq'L}(x_1, \dots, x_n; \text{letter}), l'_{qbRq'})\}$ .
  - $\{(l'_{qbRq'}, \text{letter} = a, \text{set\_cell}_{qabq'L}(x_1, \dots, x_n, b; \emptyset), l''_{qbRq'})\}$ .
  - $\{(l''_{qbRq'}, \neg(x_1 = 0 \wedge \dots \wedge x_n = 0), \text{Previous}(x_1, \dots, x_n; x_1, \dots, x_n), l_{q'})\}$ .
  - $\{(l_q, \text{true}, !m(), l_{\text{copy}})\}$ .
  - $\{(l_q, \text{true}, \mathcal{P} \setminus \mathcal{P}_{\text{test\_cell}q}, l_{\text{copy}})\}$ .  $\mathcal{P}_{\text{test\_cell}q}$  is the set of atomic process *test\_cell* used to encode transition from state  $q$  of  $M$ .
- For each transition  $q \xrightarrow{a/bd} q'$  in  $M$ , where  $q$  is a existential state :
  - if  $d = R$  then :
    - $\{(l_q, \text{true}, !m(), \text{choice}_{qbRq'})\}$
    - $\{(l_q, \text{true}, \mathcal{P} \setminus \{!m()\}, l_{\text{copy}})\}$ .
    - $\{(\text{choice}_{qbRq'}, \text{true}, \text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter}), l'_{qbRq'})\}$ .
    - $\{(l'_{qbRq'}, \text{letter} = a, \text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b), l''_{qbRq'})\}$ .
    - $\{(l''_{qbRq'}, \neg(x_1 = 1 \wedge \dots \wedge x_n = 1), \text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n), l_{q'})\}$ .
    - $\{(\text{choice}_{qbRq'}, \text{true}, \mathcal{P} \setminus \{\text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter})\}, l_{\text{copy}})\}$ .
  - if  $d = L$  then :
    - $\{(l_q, \text{true}, !m(), \text{choice}_{qbLq'})\}$
    - $\{(l_q, \text{true}, \mathcal{P} \setminus \{!m()\}, l_{\text{copy}})\}$ .
    - $\{(\text{choice}_{qbLq'}, \text{true}, \text{get\_cell}_{qabq'L}(x_1, \dots, x_n; \text{letter}), l'_{qbLq'})\}$ .
    - $\{(l'_{qbLq'}, \text{letter} = a, \text{set\_cell}_{qabq'L}(x_1, \dots, x_n, b), l''_{qbLq'})\}$ .
    - $\{(l''_{qbLq'}, \neg(x_1 = 0 \wedge \dots \wedge x_n = 0), \text{PREVIOUS}(x_1, \dots, x_n; x_1, \dots, x_n), l_{q'})\}$ .
    - $\{(\text{choice}_{qbLq'}, \text{true}, \mathcal{P} \setminus \{\text{get\_cell}_{qabq'L}(x_1, \dots, x_n; \text{letter})\}, l_{\text{copy}})\}$ .

The next lemma asserts that, each configuration  $C$  of the execution of an alternating Turing machine  $M$  on the input  $w$  has a corresponding configuration in the extended state machine of  $S_{\text{duplicator}}$ . The proof is by induction (the details are given in appendix A).

**Lemma 11.** *Each configuration  $C$  of the execution of an alternating Turing machine  $M$  on the input  $w$  has a corresponding configuration in the extended state machine of  $S_{\text{duplicator}}$ .*

**Service  $S_{\text{spoiler}}$ .** Let  $GA(S_{\text{spoiler}}) = \langle Q_{\text{spoiler}}, \delta_{\text{spoiler}}, q_{\text{start}}, L\text{Store}(S_{\text{spoiler}}) \rangle$  where :

- the set of states of  $S_{\text{spoiler}}$  are following
  - a set of states  $q_{\text{start}}, q_{\text{zero}}, q_{\text{init}}, q_{\text{fail}}, q_{\forall}, q_{\exists}$ , where  $q_{\text{fail}}$  is final.
  - $\{q_{qbdq'}, q'_{qbdq'} \mid q \xrightarrow{a/bd} q' \text{ in } M\}$
  - $q'_{\text{start}}$  is the initial state.
  - for each  $w_i$  ( $i$ 'th letter of the input word) a state  $q_{w_i}$ .
- $L\text{store}(S_{\text{duplicator}}) = \{z_1, \dots, z_n\} \cup \{\text{letter}\}$ .
- $\delta_{\text{duplicator}}$  is as follows :
  - The part of initialization of the input word and checking the database is the same as in  $S_{\text{duplicator}}$ , the difference is after storing the last letter of the input word  $w$ ,

- $S_{spoiler}$  goes to the state  $q_{\forall}$ .
- $(q_{\forall}, \text{true}, !m(), q_{\exists})$ .
  - For each transition  $q \xrightarrow{a/bd} q'$  in  $M$ , where  $q$  is a universal state :
    - if  $d = R$  then :
      - $\{(q_{\forall}, \text{true}, \text{get\_cell}_{qabq'R}(z_1, \dots, z_n; \text{letter}), q_{qbdq'})\}$ .
      - $\{(q_{qbdq'}, \text{true}, \text{set\_cell}_{qabq'R}(z_1, \dots, z_n, b; \emptyset), q'_{qbdq'})\}$ .
      - $\{(q'_{qbdq'}, \neg(z_1 = 1 \wedge \dots \wedge z_n = 1), \text{NEXT}(z_1, \dots, z_n; z_1, \dots, z_n), q_{\forall})\}$ .
    - if  $d = L$  then :
      - $\{(q_{\forall}, \text{true}, \text{get\_cell}_{qabq'L}(z_1, \dots, z_n; \text{letter}), q_{qbdq'})\}$ .
      - $\{(q_{qbdq'}, \text{true}, \text{set\_cell}_{qabq'L}(z_1, \dots, z_n, b; \emptyset), q'_{qbdq'})\}$ .
      - $\{(q'_{qbdq'}, \neg(z_1 = 0 \wedge \dots \wedge z_n = 0), \text{Previous}(z_1, \dots, z_n; z_1, \dots, z_n), q_{\forall})\}$ .
  - For each transition  $q \xrightarrow{a/bd} q'$  in  $M$ , where  $q$  is an existential state :
    - if  $d = R$  then :
      - $\{(q_{\exists}, \text{true}, \text{get\_cell}_{qabq'R}(z_1, \dots, z_n; \text{letter}), q_{qbdq'})\}$ .
      - $\{(q_{qbdq'}, \text{true}, \text{set\_cell}_{qabq'R}(z_1, \dots, z_n, b; \emptyset), q'_{qbdq'})\}$ .
      - $\{(q'_{qbdq'}, \neg(z_1 = 1 \wedge \dots \wedge z_n = 1), \text{NEXT}(z_1, \dots, z_n; z_1, \dots, z_n), q_{\forall})\}$ .
    - if  $d = L$  then :
      - $\{(q_{\exists}, \text{true}, \text{get\_cell}_{qabq'L}(z_1, \dots, z_n; \text{letter}), q_{qbdq'})\}$ .
      - $\{(q_{qbdq'}, \text{true}, \text{set\_cell}_{qabq'L}(z_1, \dots, z_n, b; \emptyset), q'_{qbdq'})\}$ .
      - $\{(q'_{qbdq'}, \neg(z_1 = 1 \wedge \dots \wedge z_n = 1), \text{PREVIOUS}(z_1, \dots, z_n; z_1, \dots, z_n), q_{\forall})\}$ .

Lemma 12 shows the connection between the existence of infinite execution of the machine  $M$  on the word  $w$  and the test of simulation between  $S_{spoiler}$  and  $S_{duplicator}$ . The proof is given in appendix A.

**Lemma 12.** *Given an alternating Turing machine  $M$  working in space bounded by the size of the input  $w$ ,  $M$  has an infinite computation on  $w$  iff  $S_{spoiler} \preceq S_{duplicator}$ .*

From lemma 12 and knowing that the problem of existence of an infinite execution of an alternating Turing machine working on a space exponentially bounded by the size of the input word is 2-EXPTIME-hard, we can derive the following lemma :

**Lemma 13.** *Given two Colombo services  $S, S'$ , checking whether  $S \preceq_k S'$  is 2-EXPTIME-hard.*

Hence, the following theorem can now be derived from lemma 13 and proposition 2 :

**Theorem 7.** *Given two Colombo services  $S, S'$ , checking whether  $S \preceq_k S'$  is 2-EXPTIME-complete.*

## 2.6 Conclusion

In this chapter, we studied the decidability and the complexity issues related to the simulation problem in the framework of the Colombo model. Our results, ranging from EXPTIME to undecidability show that the marriage between data and web service business protocols gives rise to some challenging issues. The decidability and complexity results, EXPTIME-complete for  $Colombo^{DB=\emptyset}$  and 2-EXPTIME-complete for  $Colombo^{bound}$  are far from being straightforward, due to the fact we are dealing with infinite state systems.

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This chapter proposed also a *symbolic* procedure based on the notion of *region automata* to handle the infiniteness of the framework.

The next chapter will be devoted to the definition of a generic framework that generalizes the Colombo model, where the messages exchanged as well as the updates over the databases are expressed using queries. The main goal is to identify the parameters that impact the decidability and the complexity of the simulation for data-centric web services.



## Chapitre 3

# Data-Centric Generic Model

This chapter is organized as follows : we start by some preliminaries in section 3.1. In section 3.2 we introduce a generic data centric model and define the associated simulation problem. Section 3.3 describes our results regarding decidability and complexity of simulation for *guarded* services (i.e., generic services with guards and empty send messages). Section 3.4 considers the case of *send* services (i.e., unguarded generic services with send messages) and show decidability and complexity results of simulation in this context. Section 3.5 is devoted to *insert* services (i.e., unguarded generic services with insert actions).

### 3.1 Notations

Let  $\mathcal{L}$  be a query language,  $R \in \mathcal{R}$  be a relation schema and let  $I$  be a database over  $\mathcal{R}$  with  $r \in I$  a relation over  $R$ . Let  $q, q'$  be  $\mathcal{L}$  queries with  $schema(q) = schema(q') = schema(R)$ . An update language, noted  $\mathcal{L}_U$ , defines the update queries that can be used to modify a database. In this thesis, we focus our attention on insertions. An insert query is an expression  $U = \text{insert } R(q)$ . The semantics is that the answers of  $q$  are inserted in  $R$  (i.e.,  $U(r) = r \cup q(I)$ ). If  $q$  is a query and  $I$  a database, we write  $q(I)$  to denote the set of answers of  $q$  when it is executed on  $I$ . In the similar way, if  $U$  is an update query, we write  $U(I)$  to denote the database obtained after the application of the insert  $U$  on  $I$ . We use  $\mathcal{L}^{insert(\mathcal{I})}$  to denote an update language restricted to insertions expressed in the language  $\mathcal{I}$ . Let  $\mathcal{L}$  be a boolean query language, we denote by  $\wedge^b$  and  $\neg^b$  the conjunction and negation operators applied on  $\mathcal{L}$  formulas. A formula  $\theta$  in  $\mathcal{L} \cup \{\wedge^b, \neg^b\}$  is constructed with the following recursive definition :  $\theta := \theta \wedge \theta \mid (\neg)\beta$  where  $\beta \in \mathcal{L}$ .

### 3.2 Generic web service

We start this section with the formal definition of a *generic* web service and give its semantics, then we define the relation of simulation in the context of this model.

#### 3.2.1 Generic web service model

A generic data-centric web service is a state machine :

- acting on a *global* database (shared with all services of the system) and a *local* (private) database.
- labelled with guards on the transitions. The guards are boolean queries defined over the databases and expressed in a language  $\mathcal{L}_T$ .

- the service communicate through messages. The messages exchanged are relations where the outgoing messages are queries defined over the local and the global databases expressed in a language  $\mathcal{L}_S$ .
- the service can modify the databases (*local* and *global*), through update queries expressed in the language  $\mathcal{L}_U$ .

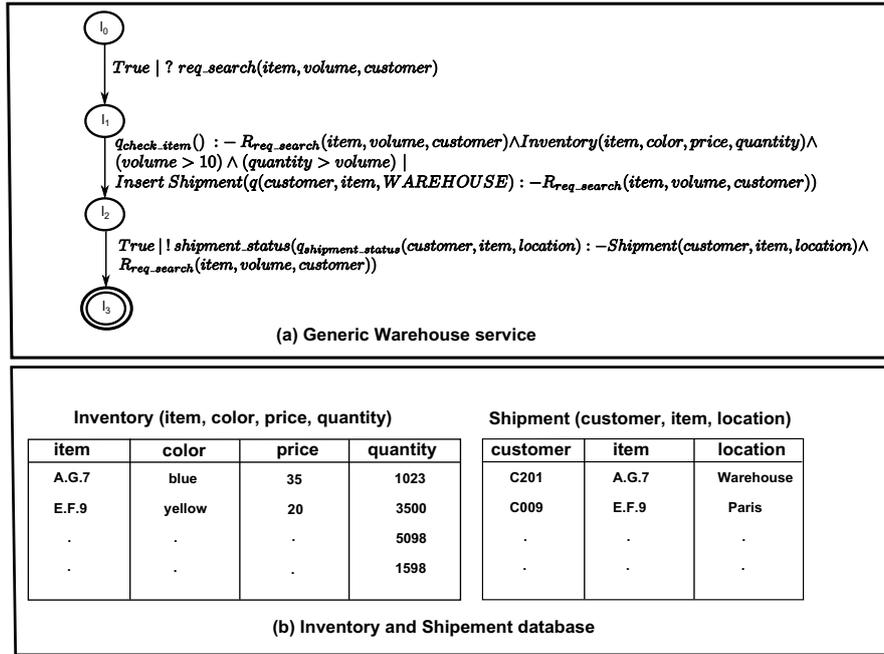
**Definition 13. generic web service** Let  $\mathcal{L}_T$  be a boolean query language,  $\mathcal{L}_S$  a query language and  $\mathcal{L}_U$  an update query language. An  $(\mathcal{L}_T, \mathcal{L}_S, \mathcal{L}_U)^{?,p}$  service  $S$  is a tuple  $S = \langle \Sigma, \mathcal{W}, L, l_0, F, \delta \rangle$  where :

- $\Sigma$  is a set of messages. We associated to a message  $m(p_1, \dots, p_n)$  the relation schema  $R_m$  where  $\text{schema}(R_m) = (A_1, \dots, A_n)$ .
- $\mathcal{W} = \mathcal{W}_l \cup \mathcal{W}_g \cup \mathcal{W}_m$  is a relational schema made of three disjoint schemas :
  - $\mathcal{W}_l$  the local schema of the service,
  - $\mathcal{W}_g$  the global schema (i.e., visible to all other services) and
  - $\mathcal{W}_m$  the set of messages schema.
- $L$  is a finite set of locations (or control states) with  $l_0 \in L$  the initial state, and  $F \subseteq L$  a set of final states,
- The transition relation  $\delta$  contains tuples  $(l, q, \mu, l')$  where  $l, l' \in L$ ,  $q$  is a boolean query in  $\mathcal{L}_T$  defined over  $\mathcal{W}$ , and  $\mu$  has one of the following forms :
  - $\mu = ?m(p_1, \dots, p_n)$  (incoming message) or
  - $\mu = !m(q')$  (send message).  $q'$  is a query expressed in the language  $\mathcal{L}_S$  and defined over  $\mathcal{W}$  such that  $\text{schema}(m) = \text{schema}(q')$  or
  - $\mu = u$  where  $u$  is an update query expressed in the language  $\mathcal{L}_U$  and defined over  $\mathcal{W}_l \cup \mathcal{W}_g$ .

**Example 28.** Figure 3.1 depicts a service *Warehouse*. This service starts when receiving a message *req\_search* containing the product, the quantity requested and the ID of the customer. Then the service checks whether the product is listed in the database *Inventory* and the quantity requested is greater than 10 and greater the available stock. If it is the case, the service processes the shipment by adding a row in the database *Shipment* and records the customer ID, the item code and the actual location (initially the warehouse). Finally, it sends the message *Shipment\_status* containing the actual status of the order. In this example, the global database schema  $\mathcal{W}_g = \{\text{Inventory}\}$ , the local database schema  $\mathcal{W}_l = \{\text{Shipment}\}$  and the message database schema is  $\mathcal{W}_m = \{\text{Req\_search}\}$ . The languages  $\mathcal{L}_U, \mathcal{L}_S$  are conjunctive queries (CQ) and the language of guards  $\mathcal{L}_T$  is boolean conjunctive queries with arithmetic comparisons.

### 3.2.2 Extended state machines

We use the notion of an extended automata to define the semantic of a generic web service. At every point in time, the behavior of an instance of a generic service (or simply a service) is determined by its *instantaneous description (ID)*. An ID of a service is given by a pair  $id = (l, I)$  where  $l$  is its current location (or control state) and  $I$  the current database instance over the schema  $\mathcal{W}$ . We note  $I = I_l \cup I_g \cup I_m$ , with  $I_l$  the local database (i.e., the database over the schema  $\mathcal{W}_l$ ),  $I_g$  the global database (i.e., the database over the schema  $\mathcal{W}_g$ ), and  $I_m$  the messages database over the schema  $\mathcal{W}_m$ . In the sequel, we use the notation  $id^{db}$  to refer to the database associated with the instantaneous description  $id$  (i.e.,  $id^{db} = I$ ) and  $id^{db_l}, id^{db_g}, id^{db_m}$  to refer respectively to the local database  $I_l$ , global database  $I_g$  and messages database  $I_m$ .

FIGURE 3.1 – Data-centric generic web service *Warehouse*.

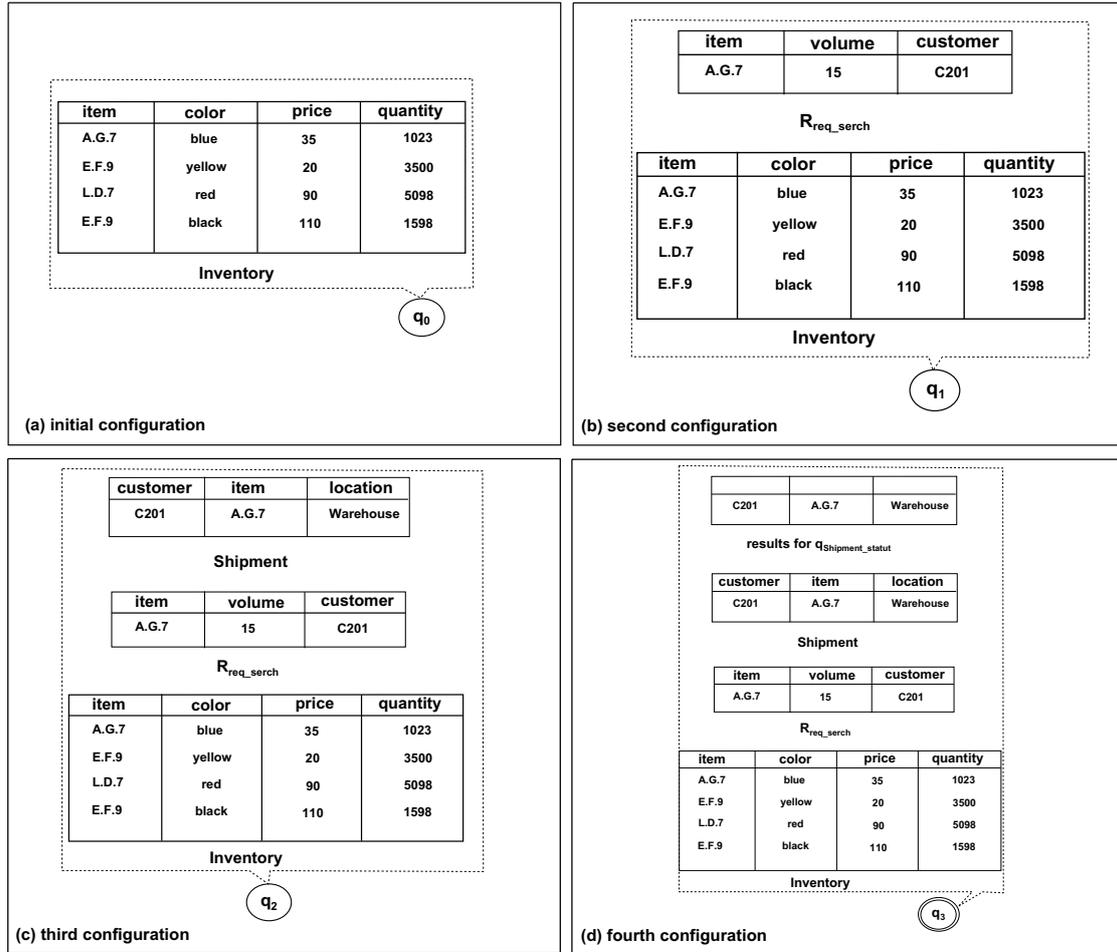
A run of a generic service starts with an arbitrary instance over  $\mathcal{W}_g$  and  $\mathcal{W}_l$  and an empty instance of  $\mathcal{W}_m$ . The database representing the incoming message is not cumulative, in the sense that each time the service receives a message  $m$ , the associated instance  $R_m$  is overwritten.

**Definition 14. service runs (executions)** Let  $S = \langle \mathcal{W}, L, l_0, F, \delta \rangle$  be a service. A run  $\sigma$  of  $S$  is a finite sequence  $\sigma = id_0 \xrightarrow{\mu_0} id_1 \xrightarrow{\mu_1} \dots \xrightarrow{\mu_{n-1}} id_n$  which satisfies the following conditions :

- (Initiation)  $id_0 = (l_0, I_0)$  is the initial state of the run and  $I_0$  is an arbitrary instance over  $\mathcal{W}_g$  and  $\mathcal{W}_l$ .  $\mathcal{W}_m$  starts empty.
- (Consecution)  $\forall i \in [0, n-1]$ , there is a transition  $(l_i, q, \mu, l_{i+1}) \in \delta$  such that  $id_i^{db} \models q$  and one of the following conditions holds :
  - $\mu = ?m()$  then  $\mu_i = ?m(r_{m_{i+1}})$ , with  $r_{m_{i+1}}$  an instance over  $R_m$  and  $id_{i+1} = (l_{i+1}, I_i, I_{g_i}, I_{m_{i+1}})$  with  $I_{m_{i+1}} = (I_{m_i} \setminus \{r_{m_i}\}) \cup \{r_{m_{i+1}}\}$  and  $r_{m_i}$  the instance of  $R_m$  in  $id_i^{dbm}$ .
  - $\mu = !m(q_m)$  then  $\mu_i = !m(q_m(id_i^{db}))$  and  $id_{i+1} = (l_{i+1}, id_i^{db})$ .
  - $\mu = u$  then  $\mu_i = "u"$  and  $id_{i+1} = (l_{i+1}, u(id_i^{db}))$ .

A service moves from an  $id_i$  to  $id_j$  according to the mechanics defined by its set of transitions. If  $id_i \xrightarrow{\mu_i} id_j$  satisfies the consecution condition above, we say that  $\mu_i$  is allowed from  $id_i$ .

**Example 29.** Figure 3.2 depicts a run of the service *Warehouse*. The service starts with an arbitrary instance of *inventory*, all the others relations are empty (figure 3.2.a). Then, the service receives the message *?req\_search* and moves to the control state  $q_1$  with the same global database but with a new instance of  $R_{req\_search}$ , representing the instance received (figure 3.2.b). Then, if the conditions are verified, the service adds a tuple in the database *Shipment* (figure 3.2.c). Finally, it sends the shipment status in the message *Shipment\_status*, the result of the query  $q_{Shipment\_status}$  is depicted in figure 3.2.d. At

FIGURE 3.2 – A run of the data-centric generic web service *Warehouse*.

this point of execution, if the service receives another message  $req\_search$ , the previous instance of  $R_{req\_search}$  is deleted and replaced by the new one.

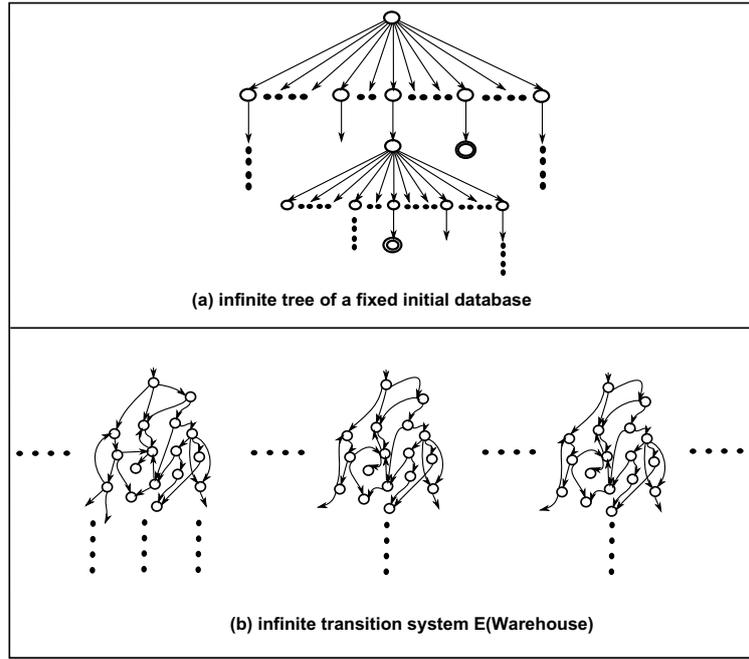
The semantics of a generic service can be captured by the following notion of an extended infinite state machine.

**Definition 15. extended state machine** Let  $S = \langle \mathcal{W}, L, l_0, F, \delta \rangle$  be a generic service. The associated infinite state machine, noted  $E(S)$ , is a tuple  $E(S) = (\mathbb{L}, \mathbb{L}_0, \mathbb{F}, \Delta)$  where :

- $\mathbb{L} = \{(l, I)\}$  is the set of all instantaneous description.
- $\mathbb{L}_0 = \{(l_0, I)\}$  is the set of initial configurations, with  $I$  an arbitrary database over  $\mathcal{W}$  where the messages database are empty.
- $\mathbb{F} = \{(l, I) \mid l \in F\}$  is the set of final instantaneous description.
- $\Delta$  is an (infinite) set of transitions of the form  $\tau = (l_i, I_i) \xrightarrow{\mu_i} (l_j, I_j)$  such that  $\mu_i$  is allowed from  $(l_i, I_i)$  (i.e.,  $\tau$  satisfies the consecution condition).

A finite run of  $E(S)$  is any finite path from an initial state of  $E(S)$  to a final state. Given an initial state  $id_0$  of  $E(S)$ , all the possible runs of  $E(S)$  starting from  $id_0$  can be captured by an (infinite) execution tree noted  $tree(id_0)$  having as its root  $id_0$ .

**Example 30.** Figure 3.3(a) depicts the infinite tree of executions of the service *Warehouse* for a initial instance over the *global (Inventory)* and the *local (Shipment)* schema. The

FIGURE 3.3 –  $E(\text{Warehouse})$ 

source of infiniteness comes from the infinite number of instances that the service can receive with the message *req\_search*. Another source of infiniteness, is the number of initial databases as depicted in figure 3.3(b).

### 3.2.3 Simulation and weak simulation

We introduce now the notion of *weak* transition, *weak* simulation and then the relation of simulation for generic services.

#### Weak Simulation

When the modification of the *local* database ( $\mathcal{W}_l$ ) is allowed, we talk about *weak* simulation. This is because this kind of modification, called hereafter *silent* transitions, is not observable from an external point of view (i.e., another service or the client). Before giving the definition of the *weak* simulation, we introduce the notion of *weak* transition :

**Definition 16. Weak transition** Let  $S = \langle \mathcal{W}, L, l_0, F, \delta \rangle$  be a data-centric generic service, and  $E(S) = (\mathbb{L}, \mathbb{L}_0, \mathbb{F}, \Delta)$  its extended state machine.

A weak transition denoted by  $(id_1) \xRightarrow{\mu_n} (id_{n+1})$  is the path  $(id_1) \xrightarrow{\mu_1} (id_2) \xrightarrow{\mu_2} \dots \xrightarrow{\mu_n} (id_{n+1})$  in  $E(S)$  where  $\mu_i = u(id_i^{db_i})$  with  $i \in [1, n - 1]$  and  $\mu_n$  is not a silent transition.

A *weak* transition collapses a path where only observable actions are kept (i.e., the modification of the global database as well as the exchanged messages).

**Definition 17.** Let  $S$  and  $S'$  be two  $(\mathcal{L}_T, \mathcal{L}_S, \mathcal{L}_U)^{2,p}$  services defined over the same global database schema and let  $E(S) = (\mathbb{L}, \mathbb{L}_0, \mathbb{F}, \Delta)$  and  $E(S') = (\mathbb{L}', \mathbb{L}'_0, \mathbb{F}', \Delta')$  be respectively their associated extended state machines.

- Let  $(id_i, id'_i) \in \mathbb{L} \times \mathbb{L}'$ . The state  $id_i$  weakly simulates  $id'_i$ , noted  $id_i \preceq_w id'_i$ , iff :
  - if  $id_i \in \mathbb{F}$  then  $id'_i \in \mathbb{F}'$  and

- $id_i^{db_g} = id_i'^{db_g}$ , and
- $\forall id_i \xrightarrow{\mu_i} id_j \in \Delta$ , there exists  $id_i' \xrightarrow{\mu_i'} id_j' \in \Delta'$  such that
  - if  $\mu_i = ?m(r_m)$  then  $\mu_i' = ?m(r_m)$  and  $id_j \preceq_w id_j'$ .
  - if  $\mu_i = !m(q)$  then  $\mu_i' = !m(q')$  and  $q(id_i^{db}) = q'(id_i'^{db})$  and  $id_j \preceq_w id_j'$ .
  - if  $\mu_i = u$  then  $\mu_i' = u'$  and  $u(id_i^{db_g}) = u'(id_i'^{db_g})$  and  $id_j \preceq_w id_j'$
- $E(S) \preceq_w E(S')$  iff  $\forall id_0 \in \mathbb{L}_0, \exists id_0' \in \mathbb{L}'_0$  such that  $id_0 \preceq_w id_0'$
- $S \preceq_w S'$  iff  $E(S) \preceq_w E(S')$

When we study the simulation, the *local* database is always empty. The relation of simulation is defined as the weak simulation, but we replace  $\preceq_w$  by  $\preceq$  and  $\implies$  by  $\longrightarrow$  (i.e., when the database is empty, this means there is no silent transition, hence no weak transitions). The external visible behavior of a service is defined here with respect to the content of the global database as well as the exchanged *concrete* messages (i.e., message name together with the instance exchanged).

The existence of a simulation relation ensures that each execution tree of  $S$  is also an execution tree of  $S'$  (in fact, a subtree of  $S'$ ), modulo a relabeling of control states.

### 3.2.4 Analyzing various classes of the generic model

We investigate the decidability and the complexity issues of simulation for various classes of our generic model, each class is characterized by :

- the type of actions supported in the model ,e.g., the service can only send messages or can only insert in the database, ...etc,
- the languages used to instantiate respectively  $\mathcal{L}_T$ ,  $\mathcal{L}_U$  and  $\mathcal{L}_S$  (e.g.,  $CQ$ , etc...),
- the presence or not of the local database (i.e., in the presence of local database, we study the weak simulation).

TABLE 3.1 – sub-models.

Class of services	$\mathcal{A}$	$\mathcal{W}_g$	$\mathcal{W}_l$
$(\mathcal{L}_T, \emptyset, \emptyset)$	!	+	-
$(\emptyset, \mathcal{L}_S, \emptyset)$	!	+	-
$(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$	<i>Insertion</i>	+	-
$(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})^p$	<i>Insertion</i>	+	+
$(\mathcal{L}_T, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$	<i>Insertion</i>	+	-

Table 3.1 depicts the different classes we study. We consider the following parameters :

- $\mathcal{A}$  specifies the type of actions allowed. For example,  $(\mathcal{L}_T, \emptyset, \emptyset)$  denotes the class of services that are able to send messages (symbolized by ! in the table 3.1), but the messages are empty because  $\mathcal{L}_S = \emptyset$ .  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  denotes the services that are only able to make insertion in the database using the language  $\mathcal{L}_I$ .
- Regarding the other columns of table 3.1, the symbol + denotes the presence of the component in the considered class while the symbol - indicates that the corresponding component is not provided by the class.

We consider more precisely the following classes :

- *Update-free* services. This class represents services which are not able to modify the databases. This class enables to focus on the role played by the language of guards

$(\mathcal{L}_T)$  and the query language used to send messages  $(\mathcal{L}_S)$  on the decidability of the simulation. The main sub-classes investigated in this class are described below :

- Guarded services  $(\mathcal{L}_T, \emptyset, \emptyset)$ . This class deals with guards expressed in a language  $\mathcal{L}_T$ . An  $(\mathcal{L}_T, \emptyset, \emptyset)$  service can only send empty messages. Our purpose is to study the impact of the guards language on checking the simulation. The ability of sending empty messages is added for convenience to simplify the proofs.
- Send services  $(\emptyset, \mathcal{L}_S, \emptyset)$ . This class represent services which can only send messages. The content of an outgoing message is the result of a query expressed in the language  $\mathcal{L}_S$ . This class enables to analyze the impact of  $\mathcal{L}_S$  on the simulation.
- *Insert* services. This class describes services without guards. The considered services are able to insert data in the global database. In this context, we study the simulation as well as the *weak* simulation relation. The main sub-classes investigated in this context are described below :
  - $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services. This class focuses on services able to insert data in the global database (there is no other action than the insertion in the global database). In this case a service can encode, for example, a recursive program. As we shall see, this is an important property that it will be exploited to prove the undecidability of simulation for some instances of this class.
  - $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})^p$  services. This class is used to study the *weak simulation*. A service can insert in the *global* and the *local* database. An insertion in the *local* database is considered as a *silent* transition. We show that, the *weak* simulation is undecidable when  $\mathcal{L}_I = CQ$ .
  - $(\mathcal{L}_T, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services. This class is used to study the interaction between the guards language and the update language.

The analysis of the aforementioned classes is presented in the subsequent sections.

### 3.3 Guarded services $(\mathcal{L}_T, \emptyset, \emptyset)$

We study in this section the impact of the language  $\mathcal{L}_T$  on the decidability of the simulation for guarded services. For this purpose, we consider the sub-class  $(\mathcal{L}_T, \emptyset, \emptyset)$  of *Update-free* services having transitions guarded with boolean queries expressed in the language  $\mathcal{L}_T$ .

**Example 31.** Figure 3.4(a) depicts an example of two  $(\mathcal{L}_T, \emptyset, \emptyset)$  services over the same *global* database  $R$ , where the guards are boolean conjunctive queries (figure 3.4(b)). The service  $S_1$  sends  $m_1()$  either if the instance contains a tuple (guard  $q_1$ ) or if it contains a tuple with the same value for the two attributes (guard  $q_2$ ).

#### 3.3.1 Characterization of simulation for guarded services

We will prove that, the simulation of  $(\mathcal{L}_T, \emptyset, \emptyset)$  services is decidable iff checking the satisfiability of formula in  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$  is decidable, where  $\mathcal{L}_T$  is a boolean query language.

From definition of simulation (c.f., definition 17), one can expect two sources of difficulties to test simulation between two guarded services  $S$  and  $S'$  :

1. the problem of testing whether  $id \preceq id'$  with  $id$  (respectively,  $id'$ ) an instantaneous description of  $S$  (respectively,  $S'$ ). We refer to this test as *simID*.
2. the possibly infinite number of *simID* tests required to check whether  $S \preceq S'$ .

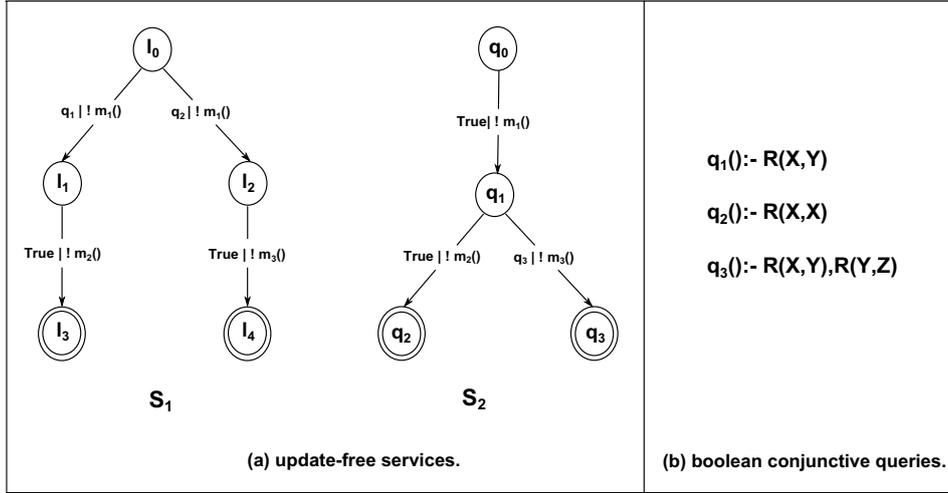


FIGURE 3.4 –  $(\mathcal{L}_T, \emptyset, \emptyset)$  services  $S_1, S_2$  and their corresponding guards.

The test `simID` is clearly decidable since every (eventually infinite) execution tree  $tree(id_0)$  of an  $(\mathcal{L}_T, \emptyset, \emptyset)$  service  $S$  can be represented by a finite state machine  $FSM_{id_0}(S)$ . Hence, checking simulation between  $FSM_{id_0}(S)$  and  $FSM_{id_0}(S')$  can be reduced to a simulation test between two finite state machines.

**Definition 18.** Let  $S = \langle \mathcal{W}, L, l_0, F, \delta, \Sigma \rangle$  be a  $(\mathcal{L}_T, \emptyset, \emptyset)$  service. Let  $I$  be an instance over  $\mathcal{W}_g$ . We denote by  $FSM_I(S) = \langle \mathcal{W}, L, l_0, F, \delta_I, \Sigma_I \rangle$  a finite state machine such that :

- (i)  $\delta_I = \{(l, a_m, l') \mid \exists (l, q, !m(), l') \in \delta \text{ and } q(I) = \text{true}\}$ .
- (ii)  $\forall l \in \delta_I$ , there is a path from  $l_0$  to a final state through  $l$ .

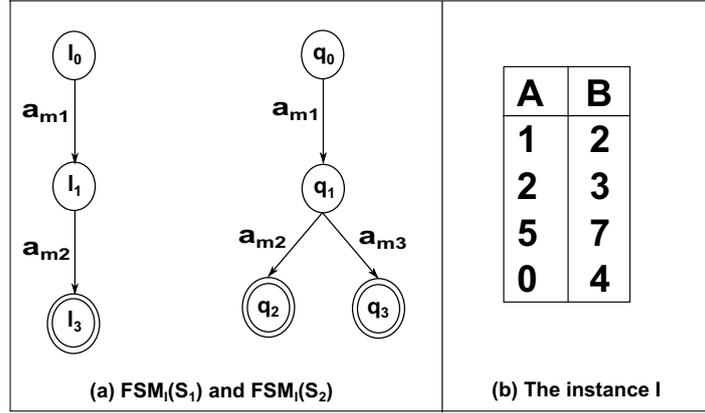
Note that, each message  $!m()$  of  $S$  is renamed in the state machine  $FSM_I(S)$  to a string  $a_m$ .  $\Sigma_I$  represents the alphabet obtained by renaming the messages. The point (ii) ensures that each state of  $FSM_I(S)$  is reachable from the initial state and reaches a final state. We denote by  $tree(FSM_I(S))$  the possibly infinite execution tree of  $FSM_I(S)$ .

Hence, to define a simulation algorithm for the class  $(\mathcal{L}_T, \emptyset, \emptyset)$  it remains to cope with the problem 2, i.e., to handle an infinite number of `simID` tests. Let  $S$  and  $S'$  be two  $(\mathcal{L}_T, \emptyset, \emptyset)$  services defined over the same global database schema  $\mathcal{W}_g$ . To cope with problem 2, the main idea is to partition the infinite set of instances over  $\mathcal{W}_g$  into a finite set of partitions such that :

- (i) the number of partitions is finite (but a given partition may represent an infinite number of instances over  $\mathcal{W}_g$ ),
- (ii) the simulation between  $S$  and  $S'$  can be reduced to a set of simulation tests between partitions, and
- (iii) the simulation test between two partitions is decidable, since it can be recast to test of simulation between finite state machines.

**Example 32.** Figure 3.5(a) depicts the  $FSM_I(S_1)$  and the  $FSM_I(S_2)$ , ( $S_1$  and  $S_2$  are depicted at figure 3.4). According to definition 18 : since  $I \not\models q_2$ , there is no transition from  $l_0$  to  $l_2$ , hence there is no *valid* path from  $l_0$  to  $l_4$ . On another hand, since  $I \models q_1$ , we have a path from  $l_0$  to  $l_3$ . The messages  $!m_1()$  and  $!m_2()$  are renamed as  $a_{m_1}$  and  $a_{m_2}$  respectively. Note that,  $FSM_I(S_1) \preceq FSM_I(S_2)$ .

**Lemma 14.** Let  $S = \langle \mathcal{W}, L, l_0, F, \delta, \Sigma \rangle$  be a  $(\mathcal{L}_T, \emptyset, \emptyset)$  service and  $id_0 = (l_0, I, \emptyset)$  an initial configuration of  $S$ , with  $I$  an arbitrary instance over  $\mathcal{W}_g$ , then  $tree(id_0) \cong tree(FSM_I(S))$ .

FIGURE 3.5 –  $FSM_I(S_1)$ ,  $FSM_I(S_2)$ .

*Démonstration.* The lemma is a direct consequence of the construction of  $FSM_I(S)$  (c.f., definition 18).  $\square$

As a direct consequence of lemma 14, simulation between two  $(\mathcal{L}_T, \emptyset, \emptyset)$  services  $S$  and  $S'$  can be rephrased as follows :

**Lemma 15.** *Let  $S$  and  $S'$  be two  $(\mathcal{L}_T, \emptyset, \emptyset)$  services over the same global schema  $\mathcal{W}_g$ , then  $S \preceq S'$  iff for every instance  $I$  over  $\mathcal{W}_g$ , we have  $FSM_I(S) \preceq FSM_I(S')$*

**Definition 19.** *Let  $G$  be a set of  $\mathcal{L}_T$  boolean queries over a database schema  $\mathcal{W}_g$  and let  $g \subseteq G$ . Let  $\mathcal{I}_{\mathcal{W}}$  be the infinite set of all the possible instances over  $\mathcal{W}_g$ . We denote by  $q_G^g$  the formula obtained by the conjunction of the queries in  $g$  and the negation of the queries of  $G$  not in  $g$ , i.e., :  $q_G^g := (\bigwedge_{q \in g} q) \wedge (\bigwedge_{q \in G \setminus g} \neg q)$*

**Example 33.** Let  $G$  be the set of boolean queries of the service  $S_1$  depicted at figure 3.4 :

- If  $g = \{q_1\}$  then  $q_G^g() = R(X_1, Y_1) \wedge \neg(R(X_2, X_2))$ .
- If  $g = \{q_2\}$  then  $q_G^g() = R(X_1, X_1) \wedge \neg(R(X_2, Y_2))$ , which is unsatisfiable, because  $q_2 \subseteq q_1$ .

Given such a formula  $q_G^g$ , we define the following associated sets :

- $P_g(\mathcal{I}_{\mathcal{W}}) = \{I \in \mathcal{I}_{\mathcal{W}} \mid q_G^g(I) = \text{true}\}$ , this set denotes the set of instances of  $\mathcal{W}$  which returns a positive answer to the boolean query  $q_G^g$ , and
- $\mathcal{P}_G = \{P_g(\mathcal{I}_{\mathcal{W}}) \mid g \in 2^G\}$ , where  $2^G$  denotes the powerset of  $G$ . The set  $\mathcal{P}_G$  forms a partition of  $\mathcal{I}_{\mathcal{W}}$  since :
  - $\forall g, g' \in 2^G$ , with  $g \neq g'$ , we have  $q_G^g \wedge q_G^{g'}$  is unsatisfiable (and hence  $P_g(\mathcal{I}_{\mathcal{W}}) \cap P_{g'}(\mathcal{I}_{\mathcal{W}}) = \emptyset$ ), and
  - the query  $\bigvee_{g \in 2^G} q_G^g$  is valid (and hence  $\bigcup_{g \in 2^G} P_g(\mathcal{I}_{\mathcal{W}}) = \mathcal{I}_{\mathcal{W}}$ ).

**Lemma 16.** *Let  $S$  be a  $(\mathcal{L}_T, \emptyset, \emptyset)$  service over the schema  $\mathcal{W}_g$  and let  $G^S$  be the set of boolean queries used as guards in  $S$ . Then,  $\forall g \subseteq G^S$ , we have  $\forall I, I' \in P_g(\mathcal{I}_{\mathcal{W}})$ ,  $FSM_I(S) \cong FSM_{I'}(S)$*

*Démonstration.* The lemma is a direct consequence of the definition of  $FSM_I(S)$  and the definition of a partition (c.f., definition 19 and 18).  $\square$

In the sequel, given a partition  $P_g(\mathcal{I}_{\mathcal{W}})$  that satisfies the conditions of lemma 16, we denote by  $FSM_{P_g(\mathcal{I}_{\mathcal{W}})}(S)$  the FSM representing, modulo simulation equivalence, the finite state machines of the instances of  $\mathcal{I}_{\mathcal{W}}$  contained in the partition  $P_g(\mathcal{I}_{\mathcal{W}})$ .  $FSM_{P_g(\mathcal{I}_{\mathcal{W}})}(S)$

is obtained by constructing the finite state machine of an arbitrary database that belong to the partition  $P_g(\mathcal{I}_W)$ .

**Lemma 17.** *Let  $S$  and  $S'$  be two  $(\mathcal{L}_T, \emptyset, \emptyset)$  services over the same schema  $\mathcal{W}_g$  and let  $G$  be the set of boolean queries used as guards in  $S$  or  $S'$ . Then :  $S \preceq S'$  iff  $\forall P_g(\mathcal{I}_W) \in \mathcal{P}_G$ , such that  $P_g(\mathcal{I}_W)$  is not empty, we have  $FSM_{P_g(\mathcal{I}_W)}(S) \preceq FSM_{P_g(\mathcal{I}_W)}(S')$*

*Démonstration.* The lemma is a direct consequence of lemma 15 and lemma 16.  $\square$

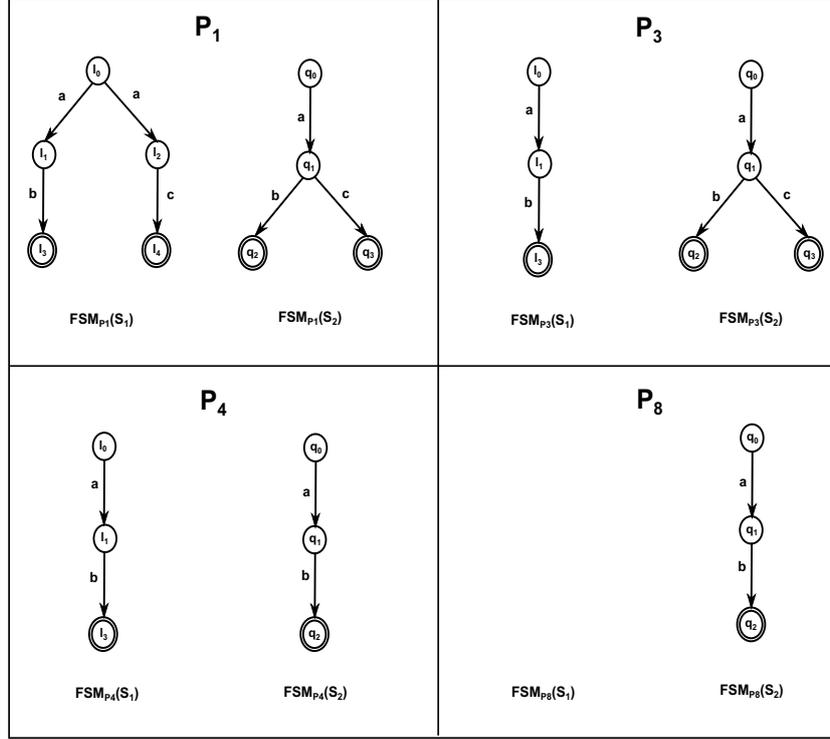


FIGURE 3.6 – The set of satisfiable partitions and their associated  $FSM_P(S_1)$ ,  $FSM_P(S_2)$ .

**Example 34.** Let  $S_1, S_2$  the two services depicted at figure 3.4, then  $G = \{q_1, q_2, q_3\}$ . The set  $\mathcal{P}_G$  contains the following elements :

- $P_1 = q_1 \wedge q_2 \wedge q_3$ .
- $P_2 = q_1 \wedge q_2 \wedge \neg q_3$ .  $P_2$  is unsatisfiable because  $q_2 \subseteq q_3$
- $P_3 = q_1 \wedge q_3 \wedge \neg q_2$ .
- $P_4 = q_1 \wedge \neg q_2 \wedge \neg q_3$ .
- $P_5 = q_2 \wedge q_3 \wedge \neg q_1$ .  $P_5$  is unsatisfiable because  $q_2 \subseteq q_1$  and  $q_3 \subseteq q_1$ .
- $P_6 = q_2 \wedge \neg q_3 \wedge \neg q_1$ .  $P_6$  is unsatisfiable because  $q_2 \subseteq q_3$
- $P_7 = q_3 \wedge \neg q_1 \wedge \neg q_2$ .  $P_7$  is unsatisfiable because  $q_3 \subseteq q_1$
- $P_8 = \neg q_3 \wedge \neg q_1 \wedge \neg q_2$ .  $P_8$  is satisfiable by the empty instance.

Figure 3.6 depicts the set of satisfiable partitions  $\{P_1, P_3, P_4, P_8\}$  and their corresponding FSM for  $S_1$  and  $S_2$ . From lemma 17 we can conclude that  $S_1 \preceq S_2$ .

Lemma 17 asserts that, the simulation between two guarded services is decidable if checking the satisfiability of the query associated to a partition is decidable (i.e., the partition is not empty). The query associated to a partition is a formula expressed in the language  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$ . To provide a full characterization of simulation in this context, we shall prove now that simulation in  $(\mathcal{L}_T, \emptyset, \emptyset)$  is undecidable if checking the satisfiability of a formula expressed in the language  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$  is undecidable.

**Lemma 18.** *Let  $P$  be a formula expressed in the language  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$ . Then, there exists two  $(\mathcal{L}_T, \emptyset, \emptyset)$  services  $S_1$  and  $S_2$  such that the formula  $P$  is satisfiable iff  $S_1 \not\leq S_2$*

*Démonstration.* The proof is based on the observation that the test of satisfiability of the formula  $P$  can be reduced to a test of simulation between two  $(\mathcal{L}_T, \emptyset, \emptyset)$  services.  $P$  is of the form  $q_1() \wedge q_2() \dots \wedge q_i() \wedge \neg q_{i+1}() \wedge \dots \wedge \neg q_n()$  where each  $q_j$  where  $j \in [1, n]$  is a boolean query expressed in the language  $\mathcal{L}_T$ . For  $k \in [1, i]$ ,  $q_k$  is a positive boolean query of the form  $q_k() :- body_k$ . We construct the boolean query  $q_{pos} = \bigwedge_{k \in [1, i]} body_k$ . Note that, the obtained query  $q_{pos}$  is a boolean query expressed in the language  $\mathcal{L}_T$ . The figure 3.7 depicts the obtained services  $S_1$  and  $S_2$ . The service  $S_1$  contains one transition guarded by the query  $q_{pos}$  and sends the message  $m()$ . The service  $S_2$  contains  $n-i$  transitions (i.e. the number of negated queries), where each transition is guarded by a query  $q_k$  with  $k \in [i+1, n]$  and sends the message  $m()$ . Hence  $S_1 \not\leq S_2$  iff there exists an instance  $I$  such that  $I \models q_{pos}$  and for each  $k \in [i+1, n]$   $I \not\models q_k$ . This is the case if the formula  $P$  is satisfiable. Hence, simulation in  $(\mathcal{L}_T, \emptyset, \emptyset)$  services is undecidable if satisfiability in  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$  is undecidable.  $\square$

Hence, from lemma 17 and lemma 18, we can derive the following theorem :

**Theorem 8.** *Simulation of  $(\mathcal{L}_T, \emptyset, \emptyset)$  services is decidable iff checking the satisfiability of formula in  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$  is decidable.*

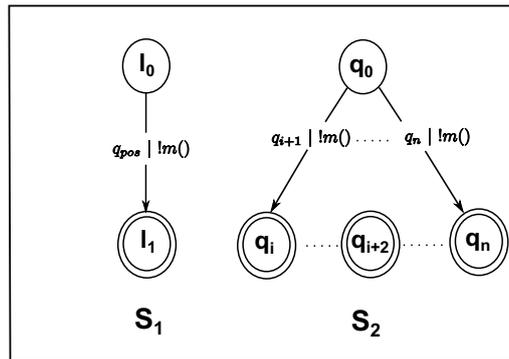


FIGURE 3.7 – Connection between simulation and the language  $\mathcal{L}_T$ .

In the following, we study the complexity of simulation for guarded services when  $\mathcal{L}_T$  is propositional logic, noted  $PL$ . we will prove that, for this case the complexity of simulation is ranged between co-NP-HARD and  $\Pi_2^p$  where  $\Pi_2^p$  represents the set of decision problems solvable by a non deterministic Turing machine augmented with an oracle for some co-NP-COMplete problems.

**Problem 3. CO-SIM  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$**

*Inputs :* two  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$  services  $S$  and  $S'$ .

*Question :*  $S \not\leq S'$  ?

**Proposition 3.** CO-SIM  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$  is in  $\Sigma_2^p$ .

*Démonstration.* Let  $S$  and  $S'$  be two  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$  services and let  $G$  be the set of propositional logic queries (i.e, boolean queries containing only constants) used as guards in  $S$  and  $S'$ . Given a partition  $P \in P_G$  and an oracle for checking the satisfiability of partitions of  $P_G$ , it is possible to check in polynomial time whether  $S$  is not simulated by  $S'$ . Indeed, it is sufficient to check the consistency of the partition  $P$  and then check the simulation

between the two finite state machines  $FSM_P(S)$  and  $FSM_P(S')$ . Since satisfiability of a propositional logic formula is NP-complete,  $\text{CO-SIM}(\mathcal{L}_{PL}, \emptyset, \emptyset)$  is in  $\Sigma_2^P$ .  $\square$

We shall prove now the NP-hardness of the problem  $\text{CO-SIM}$  in the case of  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$  services using a reduction from 3-SAT problem [Coo71]. The 3-SAT problem is stated as following : given  $n$  boolean variables  $\{x_1, \dots, x_n\}$ , 3-SAT problem is the problem of testing the satisfiability of a formula composed of a conjunction of clauses where each clause contains a disjunction of exactly three boolean (or its negation) variables (called also a literal). Given a 3-SAT problem, we construct two services  $S_{3\text{SAT-spoiler}}$  and  $S_{3\text{SAT-duplicator}}$  and reduce 3-SAT to a simulation test between these services.

**Lemma 19.** *Given a 3-SAT problem instance with  $n$  boolean variables, the problem has a solution iff  $S_{3\text{SAT-spoiler}} \not\leq S_{3\text{SAT-duplicator}}$ , where  $S_{3\text{SAT-spoiler}}$  and  $S_{3\text{SAT-duplicator}}$  are  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$  services.*

*Démonstration.* Let the formula  $\varphi$  be a 3-SAT problem instance with  $n$  variables. The idea of the proof is that,  $S_{3\text{SAT-spoiler}}$  will have a transition guarded with  $\varphi$  and the action will be the send of the message  $m()$ . If there exists a database instance  $I$  over  $\mathcal{W}_g$  such that  $\varphi$  is true then  $S_{3\text{SAT-spoiler}}$  can make an action which cannot be simulated by  $S_{3\text{SAT-duplicator}}$ , hence there is no simulation. Now we will detail the construction.

$\mathcal{W}_g$  contains  $n$  boolean relational schema  $\{R_1, \dots, R_n\}$ . An instance  $I$  over  $\mathcal{W}_g$  corresponds to a set of instance  $\{I_{R_1}, \dots, I_{R_n}\}$ . The relations  $R$  does not have any attribute, and there are only two possible instances : one containing the empty tuple, then we say that  $R$  is evaluated to true, the other instance is empty then we say that  $R$  is evaluated to false.

$S_{3\text{SAT-spoiler}}$  contains only one transition  $(l_0, q_\varphi, !m(), l_1)$  and  $S_{3\text{SAT-duplicator}}$  contains one state  $u_0$  without any transition. A literal  $x_i$  (or its negation  $\neg x_i$ ) in  $\varphi$  is transformed to  $R_i()$  ( $\neg R_i()$ ) in  $q_\varphi$ . If there exists an instance  $I \models \varphi$  (hence, 3-SAT has a solution), then  $S_{3\text{SAT-spoiler}}$  sends the message  $m()$  while  $S_{3\text{SAT-duplicator}}$  cannot reproduce this action. Hence,  $S_{3\text{SAT-spoiler}} \not\leq S_{3\text{SAT-duplicator}}$ . If 3-SAT does not accept any solution, there is no database instance  $I$  which satisfies the guard  $q_\varphi$ , hence there is simulation. The figure 3.8 depicts the test of simulation between  $S_{3\text{SAT-spoiler}}$  and  $S_{3\text{SAT-duplicator}}$ .

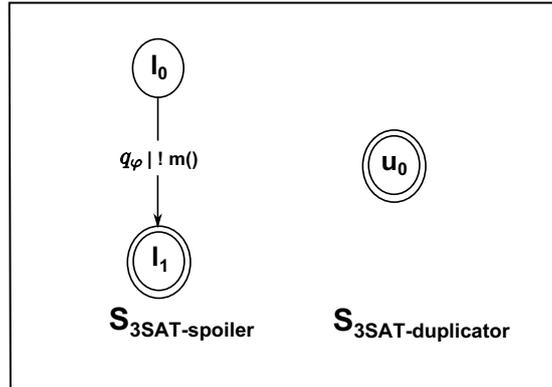


FIGURE 3.8 – Reduction from 3-SAT problem to  $\text{CO-SIM}(\mathcal{L}_{PL}, \emptyset, \emptyset)$ .

$\square$

**Theorem 9.**  $\text{CO-SIM}(\mathcal{L}_{PL}, \emptyset, \emptyset)$  is NP-HARD.

*Démonstration.* From lemma 19 and knowing that 3-SAT problem is NP-HARD [Coo71].  $\square$

Hence, from proposition 3 and theorem 9 we can state that simulation in  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$  is ranged between co-NP-HARD and  $\Pi_2^P$ .

### 3.4 Send services $(\emptyset, \mathcal{L}_S, \emptyset)$

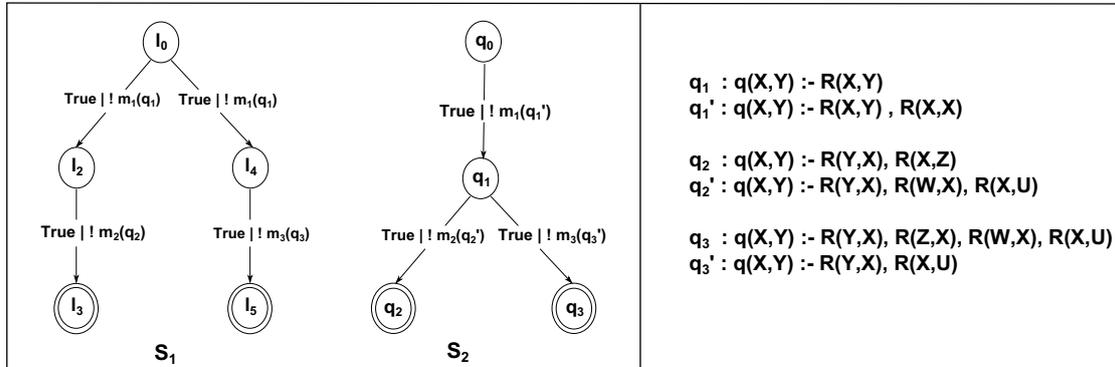


FIGURE 3.9 –  $(\emptyset, \mathcal{L}_S, \emptyset)$  services  $S_1$  and  $S_2$ .

An  $(\emptyset, \mathcal{L}_S, \emptyset)$  service denotes an *unguarded* service that is only able to send messages. The content of a message sent correspond to the result of the associated query when executed over the current global database. Note that, different queries expressed in  $\mathcal{L}_S$  can be associated to the same message. As an example, figure 3.9 depicts two service  $S_1$  and  $S_2$  defined over the same schema  $\mathcal{W}_g$  where  $q_1$  and  $q_1'$  are associated to the same message  $m_1$  respectively in  $S_1, S_2$ .

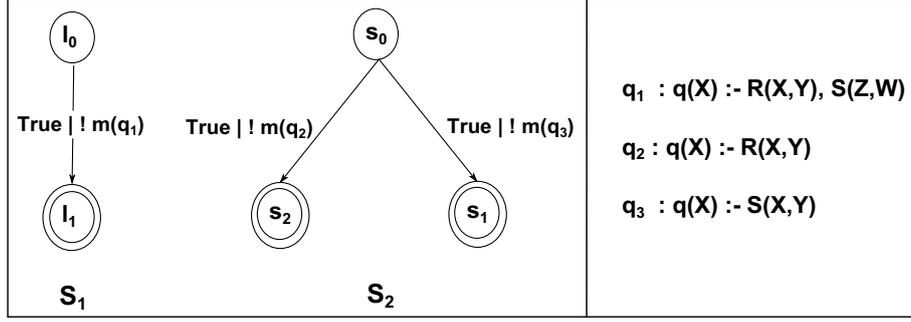
**Undecidability of simulation between send services** We will show the connection between the decidability of checking the simulation for  $(\emptyset, \mathcal{L}_S, \emptyset)$  services and the query language  $\mathcal{L}_S$  used to send the messages. The next theorem states that, simulation in  $(\emptyset, \mathcal{L}_S, \emptyset)$  services is undecidable if satisfiability of formula expressed in the language  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$  is undecidable, where  $\mathcal{L}_S$  is a boolean query language. The proof is similar to the proof of lemma 18. It is based on a reduction from the problem of testing satisfiability of a formula to the problem of checking simulation between two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services (the proof is given in appendix A).

**Theorem 10.** *Simulation in  $(\emptyset, \mathcal{L}_S, \emptyset)$  services is undecidable if satisfiability of formula in  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$  is undecidable, where  $\mathcal{L}_S$  is a boolean query language.*

#### 3.4.1 Decidability of simulation between send services.

In this section, we will present a framework that enables to check the simulation between two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services. As for the previous class, we will use a partitioning approach to reduce the test of simulation between two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services to a set of tests between finite state machines.

**Example 35.** Figure 3.10 depicts two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services.  $S_1$  sends the result of the query  $q_1$ .  $S_2$  sends either the result of  $q_2$  or  $q_3$ . The language  $\mathcal{L}_S = CQ$ . Hence,  $S_1 \preceq S_2$  iff  $\forall I q_1(I) = q_2(I)$  or  $q_1(I) = q_3(I)$ . Observe that, the test of simulation cannot be reduced to a test of equivalence between union of conjunctive queries. In fact in this example there is simulation but  $q_1 \not\equiv q_2 \cup q_3$

FIGURE 3.10 – two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services  $S_1$  and  $S_2$ .

Let  $S_1$  and  $S_2$  be two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services. Every execution tree  $tree(id_0)(S_1)$  (resp.  $tree(id'_0)(S_2)$ ) of service  $S_1$  (resp.  $S_2$ ) can be represented by a finite state machine  $FSM_I(S_1)$  ( $FSM_I(S_2)$ ) where the transitions are labelled with the answers of queries on  $I$ . Then, checking the simulation between  $FSM_I(S_1)$  and  $FSM_I(S_2)$  is equivalent to check the equality between answers of queries for the same database. Note that, this transformation is applicable because there is no modification of the database during the execution of the service.

**Definition 20.** Let  $S = \langle \mathcal{W}, L, l_0, F, \delta, \Sigma \rangle$  be a  $(\emptyset, \mathcal{L}_S, \emptyset)$  service. Let  $I$  be an instance over  $\mathcal{W}_g$ . We denote by  $FSM_I(S) = \langle \mathcal{W}, L, l_0, F, \delta_I, \Sigma_I \rangle$  a finite state machine such that :

- (i)  $\delta_I = \{(l, q(I), l') \mid \exists (l, True, !m(q), l')\}$ .  $\delta_I$  is a transition relation where we replace the message  $m(q)$  by the answers of  $q$  on  $I$ .
- (ii)  $\Sigma_I = \{q(I) \mid q \text{ is a query appearing in } S\}$

**Lemma 20.** Let  $S = \langle \mathcal{W}, L, l_0, F, \delta, \Sigma \rangle$  be a  $(\emptyset, \mathcal{L}_S, \emptyset)$  service and  $id_0 = (l_0, I, \emptyset)$  an initial configuration of  $S$ , with  $I$  an arbitrary instance over  $\mathcal{W}_g$ , then  $tree(id_0) \cong tree(FSM_I(S))$ .

*Démonstration.* The lemma follows the construction of  $FSM_I(S)$  (c.f., definition 20).  $\square$

As a direct consequence of lemma 20, simulation between two send services  $S$  and  $S'$  can be rephrased as follows :

**Lemma 21.** Let  $S$  and  $S'$  be two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services over the same schema  $\mathcal{W}_g$ , then :  $S \preceq S'$  iff for every instance  $I$  over  $\mathcal{W}_g$ , we have  $FSM_I(S) \preceq FSM_I(S')$ .

The number of instances over  $\mathcal{W}_g$  is infinite. Hence, the number of finite state machines  $FSM_I(S)$ 's is infinite. To handle this problem, we provide below an abstraction technique. This abstraction framework allows to regroup the infinite number of finite state machines into a finite set of FSM.

Let  $\mathcal{Q}$  be the a set of queries and  $p = \{b_1, b_2, \dots, b_n\}$  be a partition of  $\mathcal{Q}$ , then each  $b_i$  is a subset of  $\mathcal{Q}$  and all  $b_i$  are pairwise disjoint.

**Definition 21.** Let  $S_1$  and  $S_2$  be two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services over the same global database schema  $\mathcal{W}_g$ . Let  $\mathcal{Q}$  be the set of queries appearing in the two services and  $p$  be a partition of  $\mathcal{Q}$  then :

$\mathcal{I}_{\mathcal{W}}(p) = \{I \in \mathcal{I}_{\mathcal{W}} \mid \forall q_j, q_k \in b_i \text{ then } q_j(I) = q_k(I) \text{ and } \forall q_j \in b_i \text{ and } q_k \in b_l \text{ then } q_j(I) \neq q_k(I) \text{ where } i \neq l \text{ and } i, l \in [1, n]\}$

We call the subset  $b$  of a partition a *bucket*. An instance  $I$  of  $\mathcal{W}_g$  belongs to a partition  $p$  of  $\mathcal{Q}$  if and only if the answers of queries on  $I$  in the same bucket are equal and the answers of queries on  $I$  from different buckets are different.

We denote by  $\mathcal{P}_{\mathcal{Q}}$  the set of partitions of the set  $\mathcal{Q}$ . Note that, an instance cannot be in two different partitions and a partition may be empty.

We associate to each partition a formula such that the formula is unsatisfiable iff the partition is empty.

**Definition 22.** Let  $p = \{b_1, b_2, \dots, b_n\}$  be a partition. The formula  $f_p$  associated to  $p$  is constructed as follows :

$$f_p = \bigwedge_{i=1}^n \bigwedge_{j=1}^{|b_i|} (q_{i1} = q_{ij}) \wedge \bigwedge_{i=1}^n \bigwedge_{j=i+1}^n (q_{i1} \neq q_{j1})$$

**Example 36.** Continuing with the example depicted at figure 3.10, the set of partitions  $\mathcal{P}_{\mathcal{Q}}$  is :

- $p_1 = \{(q_1), (q_2), (q_3)\}$
- $p_2 = \{(q_1, q_2), (q_3)\}$
- $p_3 = \{(q_1, q_3), (q_2)\}$
- $p_4 = \{(q_2, q_3), (q_1)\}$
- $p_5 = \{(q_1, q_2, q_3)\}$

Each instance  $I$  belonging to  $p_2$  satisfies the following formula  $f_{p_2} : (q_1(I) = q_2(I)) \wedge (q_1(I) \neq q_3(I))$ .

**Definition 23.** Let  $S_1 = \langle \mathcal{W}_g, L^1, l_0^1, F^1, \delta^1, \Sigma^1 \rangle$  and  $S_2 = \langle \mathcal{W}_g, L^2, l_0^2, F^2, \delta^2, \Sigma^2 \rangle$  be two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services. Let  $\mathcal{Q}$  be the set of queries appearing in the two services and  $p = \{b_1, b_2, \dots, b_n\}$  be a partition of  $\mathcal{Q}$ . Then  $FSM_p(S_1) = \langle L^1, l_0^1, F^1, \delta_p^1, \Sigma_p \rangle$  is a finite state machine such that :

- $\delta_p^1 = \{(l, b_i, l') \mid \exists (l, True, !m(q_j), l') \in \delta^1 \text{ and } q_j \in b_i\}$  and
- $\Sigma_p = \{b_1, b_2, \dots, b_n\}$ .

Because answers of queries in the same bucket are equal for a fixed instance, we can reduce the test of simulation between two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services to a set of simulation tests between finite state machines representing the partitions.

**Lemma 22.** Let  $S$  be a  $(\emptyset, \mathcal{L}_S, \emptyset)$  service and  $p$  a partition of the set of query  $\mathcal{Q}$ . Then for each database instance  $I$  over  $\mathcal{W}_g$  such that  $I \in p$ , we have  $FSM_I(S) \cong FSM_p(S)$ .

The previous lemma is a direct consequence of the definition of  $FSM_p$  (c.f., definition 23). It asserts that the finite state machines of the instances belonging to a same partition are all simulation equivalent to the finite state machine of the partition.

Because the number of queries in the service is finite, the number of partitions is also finite. Hence, we can reduce the test of simulation between two send services to a set of simulation tests between finite state machines.

**Example 37.** The figure 3.11 depicts the set of tests of simulation for  $S_1$  and  $S_2$  ( from example 35). The partitions  $p_1$  and  $p_4$  are not satisfiable.

**Lemma 23.** Let  $S$  and  $S'$  be two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services over the schema  $\mathcal{W}_g$  and let  $\mathcal{P}_{\mathcal{Q}}$  be the set of queries used in  $S$  or  $S'$ . Then,  $S \preceq S'$  iff  $\forall p \in \mathcal{P}_{\mathcal{Q}}$  such that  $p$  is not empty, we have  $FSM_p(S) \preceq FSM_p(S')$

*Démonstration.* This lemma is a direct consequence of lemma 21 and lemma 22.  $\square$

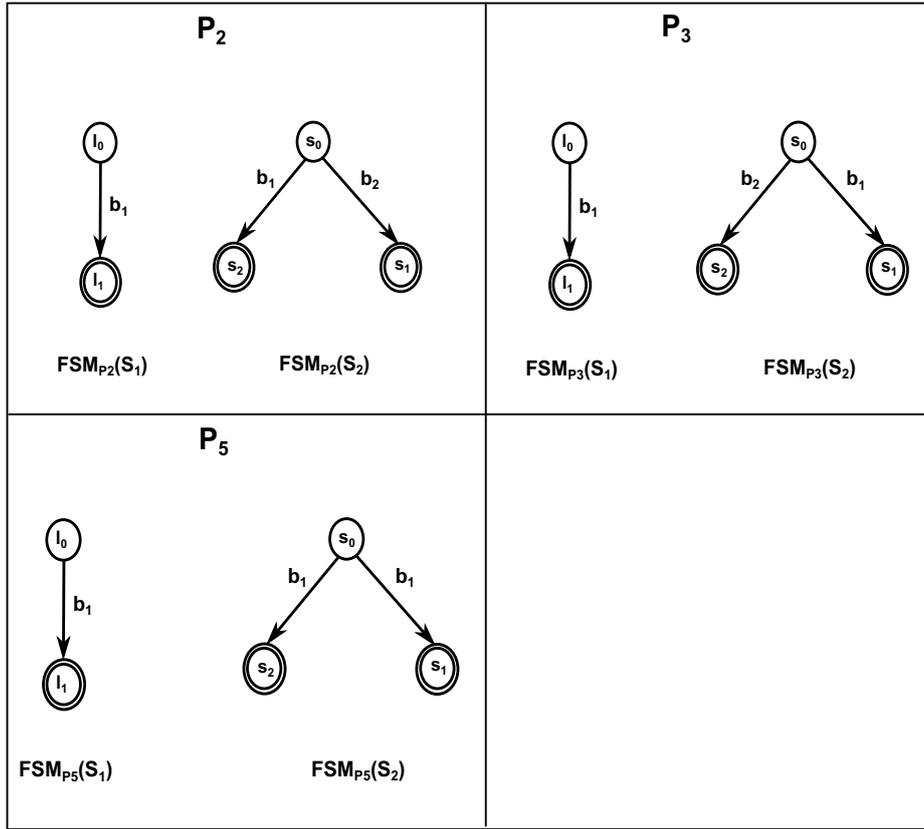


FIGURE 3.11 – set of tests of simulation.

Now, we can derive the following theorem :

**Theorem 11.** *Checking the simulation between two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services is decidable if checking the satisfiability of formula  $f_p$  associated to a partition as constructed above is decidable.*

*Démonstration.* from lemma 23. □

Unfortunately, theorem 10 and theorem 11 do not provide a full characterization of simulation between send services. This is due to the fact that, in current state of affairs, we are not able to reduce the test of satisfiability of a formula associated to a partition to a test of simulation between two send services.

The next subsection is devoted to the complexity of simulation between send services when  $\mathcal{L}_S$  is the propositional logic. We prove that, for this case the problem of simulation is ranged between co-NP-HARD and  $\Pi_2^P$ . Because the proofs of the next proposition and theorem are respectively similar to the proofs of proposition 3 and theorem 9, we give them in appendix A.

**Problem 4. CO-SEND  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$**

*Inputs :* two  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  services  $S$  and  $S'$ . *Question :*  $S \not\sim S'$  ?

**Proposition 4.** the problem CO-SEND  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  is in  $\Sigma_2^P$

**Theorem 12.** CO-SEND  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  is NP-HARD

Hence, from proposition 4 and theorem 12 we can state that simulation in  $(\emptyset, \mathcal{L}_{PL}, \emptyset)$  is ranged between co-NP-HARD and  $\Pi_2^P$ .

### 3.5 Insert services $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$

In this section we study the simulation for generic services without guards. The considered services are able to insert data in the global database. In this context, we study the simulation as well as the *weak* simulation relation.

The next theorem shows the connection between satisfiability of the insert language  $\mathcal{L}_I$  and simulation.

**Theorem 13.** *Simulation in  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services is undecidable if checking satisfiability of formulas in  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$  is undecidable.*

The proof is given in appendix A.

From theorem 13, one can expect to characterize decidability of simulation by establishing a correspondence with decidability of satisfiability of formulas in  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$ . Next, we will show that this is not true. In fact, there exists a language  $\mathcal{L}_I = GNCQ$  such that satisfiability in  $GNCQ \cup \{\wedge^f, \neg^f\}$  is decidable while simulation in  $(\emptyset, \emptyset, \mathcal{L}_U^{Insert(GNCQ)})$  is undecidable. The *Guarded Negation Conjunctive Query* (*GNCQ*) language is included in *Guarded Negation First Order* language *GNFO* [BtCS11]. *GNCQ* queries are conjunctive queries with guarded negations (i.e., all free variables appearing in a negative atom must appear in a positive atom). Next, we will give the proof of undecidability of simulation for  $(\emptyset, \emptyset, \mathcal{L}_U^{Insert(GNCQ)})$  services. The proof is by reduction from the problem of containment between two Datalog programs [Shm93].

#### 3.5.1 Undecidability of simulation for $(\emptyset, \emptyset, \mathcal{L}_U^{Insert(GNCQ)})$ services

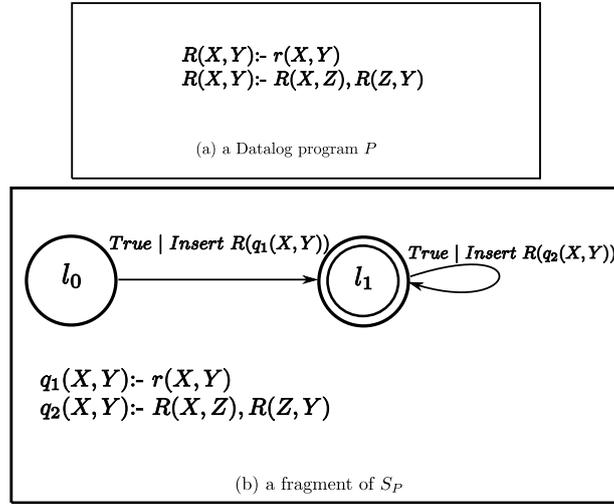


FIGURE 3.12 – A Datalog program and its corresponding  $(\emptyset, \emptyset, \mathcal{L}_U^{Insert(CQ)})$  service.

In this section we prove the undecidability of simulation for  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$  services by a reduction from the containment problem of *Datalog* programs [Shm93]. A *Datalog* program can be simulated by an insert service using *CQ* as insertion language. The figure 3.12(a) shows a simple Datalog program  $P$  with a query predicate  $R$  that computes the transitive closure of a relation  $r$ . A fragment of the corresponding service  $S_P$  is shown at figure 3.12 (b). The service starts by copying the relation  $r$  in  $R$ , then moves to the state  $l_1$  and calculates the transitive closure. Hence, it is easy to check that any

answer computed by  $P$  can also be computed by  $S_P$ . There are two difficulties to ensure that any answer computed by  $P$  can also be computed by  $S_P$  :

- all the  $IDB$  (i.e., intentional predicates, which appear in the head of a rule of the program Datalog) must be initially empty.
- enforce fixpoint semantics of Datalog program in a given service.  $S_P$  can compute only partial answers of  $P$  and stops. This is because during an execution of the service  $S_P$ , each time the service reaches the final state  $l_1$ ,  $S_P$  can decide either to terminate or to compute additional answers. Therefore, to reduce Datalog query containment to simulation, one have to deal with this problem.

Starting from a test of containment of two Datalog programs  $P_1 \sqsubseteq P_2$ , we construct a test of simulation between two  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$  services  $S_{spoiler}$  and  $S_{duplicator}$  such that  $P_1 \sqsubseteq P_2$  iff  $S_{spoiler} \preceq S_{duplicator}$ .

The figure 3.13 depicts the two services  $S_{spoiler}$  and  $S_{duplicator}$ .  $S_{spoiler}$  starts by executing the part of the service encoding the program  $P_1$ , then executes the part encoding the program  $P_2$  and finally calculate the intersection between the goal relations of  $P_1$  and  $P_2$ , respectively noted  $goal_{P_1}$  and  $goal_{P_2}$ , and inserts the result in a relation  $G$ . The service  $S_{duplicator}$  will also start by executing the part of service encoding  $P_1$ , then executes the part encoding the program  $P_2$  but instead of calculating the intersection of the goals of  $P_1$  and  $P_2$ , it copies the goal of  $P_1$  in  $G$ . Clearly,  $P_1 \sqsubseteq P_2$  iff all the instances of the relation  $G$  calculated by  $S_{spoiler}$  is equal to the instance  $G$  calculated by  $S_{duplicator}$ . A rule of a Datalog program of the form  $R(\bar{x}) :-T_1(\bar{x}_1), \dots, T_k(\bar{x}_k)$ , where  $T_j$  is an  $IDB$  or an  $EDB$  and the set of variables  $\bar{x} \subseteq \bigcup_{i=1}^k \bar{x}_i$ , is encoded with a transition labelled with INSERT  $R(q_R(\bar{x}) :-T_1(\bar{x}_1), \dots, T_k(\bar{x}_k))$ .

It should be noted that, at this step of construction,  $S_{spoiler}$  can cheat to win the simu-

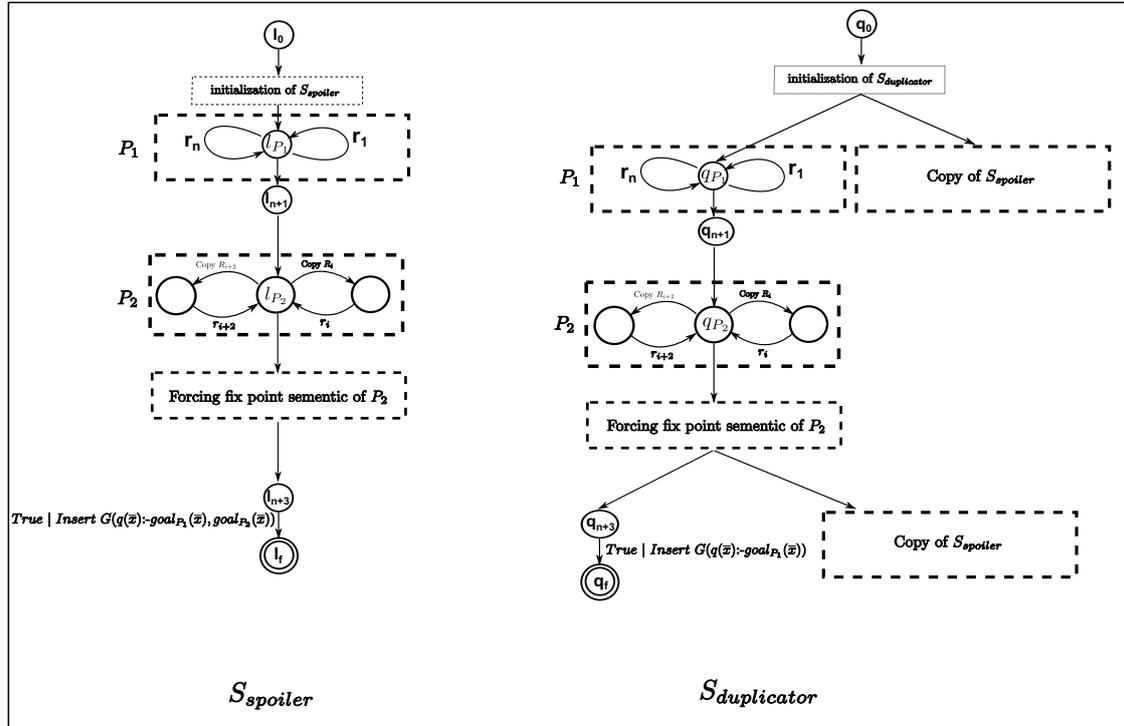


FIGURE 3.13 – reduction of containment of Datalog program to test of simulation between two  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$  services.

lation game. This is because after executing some actions of  $P_1$ ,  $S_{spoiler}$  can decide to not execute the transitions of  $P_2$ . Hence when  $S_{spoiler}$  calculates the intersection between the two goals, the result is always empty, while  $S_{duplicator}$  will insert some answers of  $P_1$  in  $G$ , hence there is no simulation. To handle this problem we have to force  $S_{spoiler}$  to calculate the fix point (i.e., all the answers are calculated) of  $P_2$ . This is done by using a set of additional relations.

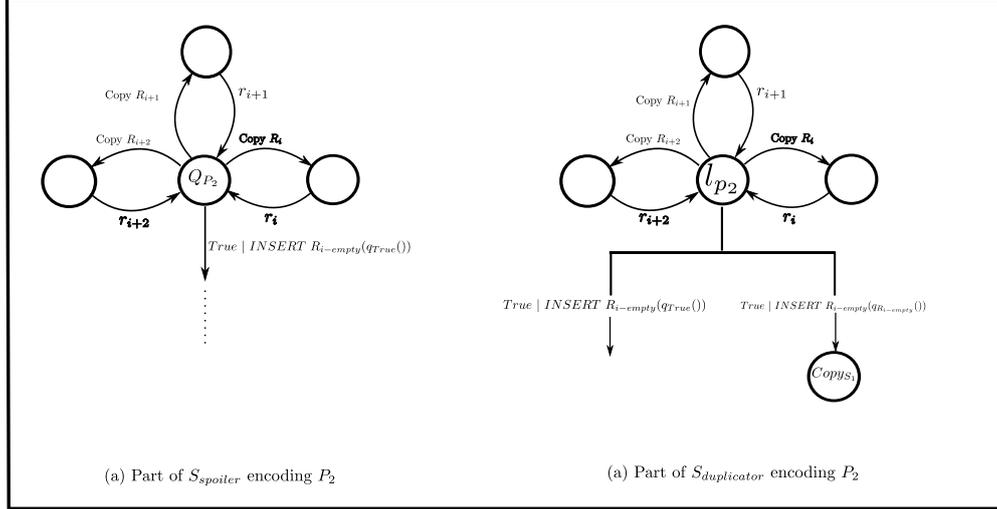


FIGURE 3.14 – forcing the spoiler to calculate the fix point of  $P_2$ .

The figure 3.14 depicts the part of services  $S_{spoiler}$  and  $S_{duplicator}$  encoding the execution of  $P_2$ . Assume that,  $P_2$  contains  $n$  rules  $r_1, \dots, r_n$  which modify  $m$   $IDB$ . We denote an  $IDB$  by  $R$  and we add  $m$  relation  $R_i^{copy}$  where  $i \in m$ . For each rule  $r$  of  $P_2$ , the services contain a cycle made of two transitions. The first transition copies the content of the head  $R$  in  $R^{copy}$ . Then, the second transition executes the rule  $r$ . We will also add  $m$  relation  $R_{i\text{-empty}}$  where  $i \in [1, m]$ . After the part encoding  $P_2$ , for each  $IDB$   $R$  of  $P_2$ , we add a transition in  $S_{spoiler}$ . This transition inserts  $true$  into the relation  $R_{i\text{-empty}}$  using the query  $q_{true}()$  ( $q_{true}() :-$ ). In the same time,  $S_{duplicator}$  will contain two transitions, one execute the same transition as  $S_{spoiler}$ , the other one inserts  $true$  in  $R_{i\text{-empty}}$  if there exists a tuple in  $R_i$  which does not exist in  $R_i^{copy}$  (i.e. the transition inserts the result of the query  $q_{R_{i\text{-empty}}}() :- R_i(\bar{x}) \wedge \neg R_i^{copy}(\bar{x})$ ) and reaches a state which simulated  $S_{spoiler}$ .

Assume that, during its execution,  $S_{spoiler}$  does not calculate the fix point of  $P_2$ . Then there exists in some  $R_i$  a tuple which does not exist in  $R_i^{copy}$ . When  $S_{spoiler}$  executes the transition labelled with  $q_{true}$ , the service inserts  $true$  in  $R_{i\text{-empty}}$ .  $S_{duplicator}$  can simulate this transition by executing the two transitions and reaches a state which simulates  $S_{spoiler}$  (the transition on the right depicted at figure 3.14). Hence,  $S_{spoiler}$  loses the simulation game. Assume now,  $S_{spoiler}$  calculates the fix point of  $P_2$ , then for each transition labelled with  $q_{true}$  (for  $i \in [1, m]$ ) the service inserts  $true$  in the corresponding relation  $R_{i\text{-empty}}$ . In the same time, to maintain simulation  $S_{duplicator}$  must execute the same transition labelled with  $q_{true}$ . If  $S_{duplicator}$  chooses to execute the transition labelled with  $q_{R_{i\text{-empty}}}$ , it loses the simulation game (because there is no tuple in  $R_i^{copy}$  which is not in  $R_i$ , hence it inserts nothing in  $R_{i\text{-empty}}$ ).

With this construction, we ensure that, before calculating the intersection of the goals of

$P_1$  and  $P_2$ ,  $S_{spoiler}$  is simulated by  $S_{duplicator}$  iff  $S_{spoiler}$  calculate the fix point of  $P_2$ .

Now, we come back to the first problem : how to ensure that the *IDBs* and the additional relations added are initially empty. Assume that  $R$  is an *IDB* of  $P_1$  or  $P_2$ . The figure 3.15 depicts the part of  $S_{spoiler}$  and  $S_{duplicator}$  which ensures that  $R$  starts empty. The service  $S_{duplicator}$  insert *true* into the relation  $empty_R$  using the query  $q_{true}$ .  $S_{duplicator}$  will have two transitions, one inserts *true* in  $empty_R$  iff  $R$  is not empty and reaches a state which simulates  $S_{spoiler}$ . The other one inserts *true* in  $empty_R$  using  $q_{true}$  (i.e., the same transition of  $S_{spoiler}$ ). Assume that,  $R$  is not empty, when  $S_{spoiler}$  executes the transition labeled with  $q_{true}$ ,  $S_{duplicator}$  can simulates  $S_{spoiler}$  by choosing the transition which inserts *true* if  $R$  is not empty. Hence,  $S_{spoiler}$  loses the simulation game. Now, assume that  $R$  is empty,  $S_{spoiler}$  insert *true* in  $empty_R$ , to maintain the simulation,  $S_{duplicator}$  must execute the transition labelled with  $q_{true}$ , otherwise  $S_{duplicator}$  loses the simulation.

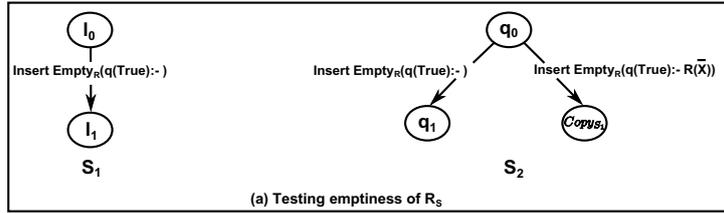


FIGURE 3.15 – initialization part.

Now we can state the following lemma :

**Lemma 24.** *Let  $P_1$  and  $P_2$  be two Datalog programs.  $P_1 \sqsubseteq P_2$  iff  $S_{spoiler} \preceq S_{duplicator}$ , where  $S_{spoiler}$  and  $S_{duplicator}$  are  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$  services constructed as described above.*

Hence, from lemma 24 and knowing that the problem of containment between two Datalog programs is undecidable [Shm93], we can derive the following theorem :

**Theorem 14.** *Checking simulation between two  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$  services is undecidable.*

### 3.5.2 Undecidability of weak simulation for $(\emptyset, \emptyset, \mathcal{L}_U^{insert(CQ)})^p$ services

In this section we prove the undecidability of *weak* simulation for  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(CQ)})^p$  by a reduction from the containment problem of Datalog programs [Shm93]. As we have seen before, a Datalog program can be simulated by an insert service using *CQ* as insertion language.

Like the proof of undecidability of simulation for insert services where  $\mathcal{L}_I = GNCQ$ , starting from a test of containment between two Datalog program  $P_1 \sqsubseteq P_2$ , we construct a test of weak simulation between two  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(CQ)})^p$  services  $S_{spoiler} \preceq_w S_{duplicator}$ . The difference with the previous proof is that  $P_2$  will only be executed by  $S_{duplicator}$ , where the service stores the answers in a local database.

**Lemma 25.** *Let  $P_1, P_2$  be two Datalog programs then there exists two  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(CQ)})^p$  services  $S_{spoiler}$  and  $S_{duplicator}$  such that  $P_1 \sqsubseteq P_2$  iff  $S_{spoiler} \preceq_w S_{duplicator}$ .*

*Démonstration.* As depicted in figure 3.16, Service  $S_{spoiler}$  has 3 steps : (i) the initialization part is used to verify the emptiness of all *IDB* of  $P_1$  and an additional relation  $G$  (ii)

$S_{spoiler}$  executes  $P_1$  (iii) finally,  $S_{spoiler}$  copies the answers of  $P_1$  into a new relation  $G$ . Service  $S_{duplicator}$  executes the two first steps as  $S_{spoiler}$ , but then executes the program  $P_2$ , where the corresponding  $IDB$  of  $P_2$  are *local* databases, and finally copies the intersection of answers of  $P_1$  and  $P_2$  into the relation  $G$ .

Assume that, all  $IDB$  and  $G$  are empty.  $S_{spoiler}$  and  $S_{duplicator}$  execute  $P_1$ , then  $S_{spoiler}$  copies the answers of  $P_1$  into the *global* database  $G$  while  $S_{duplicator}$  can execute  $P_2$  then copies in  $G$  the intersection of  $P_1$  and  $P_2$ . There is a weak simulation if and only if the two services calculates the same *global* database  $G$  (which implies that  $P_1 \sqsubseteq P_2$ ).  $S_{duplicator}$  must calculate the fix point of  $P_2$  to have a chance to win the simulation game. On the other hand,  $S_{spoiler}$  must calculate the fix point of  $P_1$  trying to find answers not calculated by  $P_2$ . Note that,  $S_{spoiler}$  does not have a *local* database, and all transitions which involve  $P_2$  are considered as *silent* transitions in  $S_{duplicator}$ .

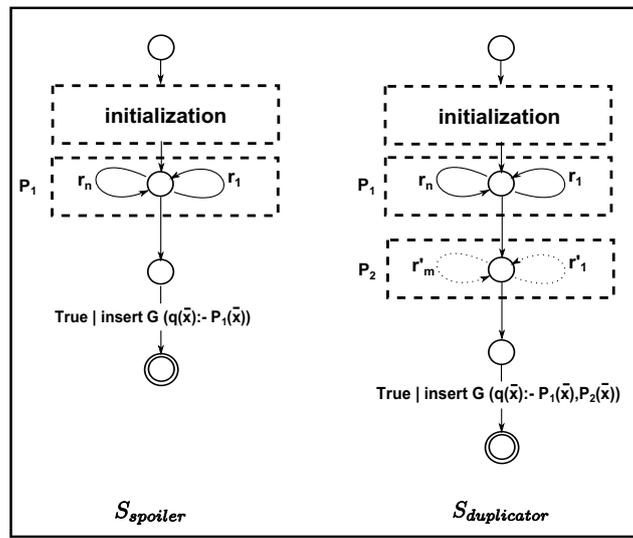


FIGURE 3.16 –  $S_1$  and  $S_2$ .

Now we come back to the initialization part. To ensure the emptiness of the *global* databases ( $G$ , and  $IDB$  of  $P_1$ ) we use the same construction as for  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$ . We face, however, on additional problems since we have to ensure that the  $IDB$  of  $P_2$  start empty, knowing that they belong to the *local* databases. Figure 3.17 depicts the part of initialization which fix this problem. To achieve this goal, we introduce the following construction : the service  $S_{spoiler}$  inserts nothing in  $empty_{P_2}$ , while  $S_{duplicator}$  inserts true in  $empty_{P_2}$  if  $R_{P_2}$  is not empty (where  $empty_{P_2}$  is a shared database, and  $R_{P_2}$  an  $IDB$  of  $P_2$ ). Hence,  $S_{duplicator}$  loses the simulation game if it starts with an  $IDB$  instance  $R_{P_2}$  which is not empty. This construction is repeated for all the  $IDB$ s of  $P_2$ . □

From lemma 25 and knowing that the problem of containment between two Datalog programs is undecidable [Shm93], we can derive the following theorem :

**Theorem 15.** *Checking weak simulation for  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(CQ)})^p$  services is undecidable.*

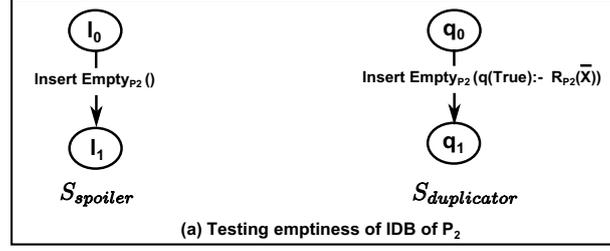
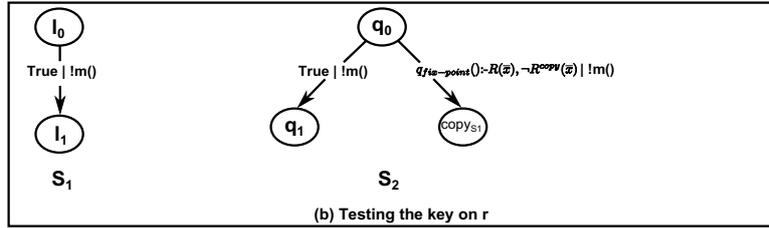


FIGURE 3.17 – Initialization part.

### 3.5.3 $(\mathcal{L}_T, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$ Services

This class enables to study the connection between the *insertion* language and the *guards* language. We will prove that, the simulation for  $(GNCQ, \emptyset, \mathcal{L}_U^{insert(CQ)})$  is undecidable. The reduction is obtained from the problem of containment of datalog programs. Given two Datalog programs  $P_1$  and  $P_2$  we construct a test of simulation between two  $(GNCQ, \emptyset, \mathcal{L}_U^{insert(CQ)})$  namely  $S_{spoiler}$  and  $S_{duplicator}$  and we prove that,  $P_1 \sqsubseteq P_2$  iff  $S_{spoiler} \preceq S_{duplicator}$ . The construction is similar to the one used for the case of  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$  services (Theorem 16), with the only difference being in the part that force  $S_{spoiler}$  to calculate the fix point of  $P_2$ .

**Lemma 26.** *Let  $P_1$  and  $P_2$  be two Datalog programs. Then, there exists two  $(CQ \neq \emptyset, \emptyset, \mathcal{L}_U^{insert(CQ)})$  services  $S_{spoiler}$  and  $S_{duplicator}$  such that :  $P_1 \sqsubseteq P_2$  iff  $S_{spoiler} \preceq S_{duplicator}$ .*

FIGURE 3.18 – testing if  $S_{spoiler}$  calculates the fix point of  $P_2$ .

*Démonstration.* We present below the part of services which tests if the service  $S_{spoiler}$  calculates the fix point of  $P_2$ . Figure 3.18 depicts the part of the two services  $S_{spoiler}$  and  $S_{duplicator}$  used to ensure that  $S_{spoiler}$  calculates the fix point of  $P_2$ . Assume that  $R$  is an IDB of  $P_2$ . The service  $S_{spoiler}$  sends the empty message  $m$  without any restriction (guard), while  $S_{duplicator}$  has two cases : (i)  $S_{spoiler}$  has reached a fix point, hence  $R$  and  $R^{copy}$  contain the same set of tuples. In this case, the transition on the right is not allowed (i.e., this transition is allowed if and only if there exist a tuple in  $R$  which is not in  $R^{copy}$ ). So,  $S_{duplicator}$  sends the empty message  $m$  and the game of simulation continues, or (ii)  $S_{spoiler}$  has not yet reached the fix point of  $P_2$ , then  $S_{duplicator}$  can execute the two transitions, but the transition on the right ensures that  $S_{duplicator}$  will win the simulation game. Hence, to have a chance to win the simulation game,  $S_{spoiler}$  must calculate the fix point of  $P_2$ .  $\square$

Hence, we can state the following theorem :

**Theorem 16.** *Checking simulation between two  $(GNCQ, \emptyset, \mathcal{L}_U^{insert(CQ)})$  services is undecidable.*

Note that the result of theorem 16 is interesting in the sense that it exhibits a class of services where the satisfiability of guards is decidable while simulation is not.



# Chapitre 4

## Related Work and Conclusion

In this chapter we review related works then we conclude by summarizing the main results of this thesis and drawing few future research directions.

### 4.1 Related works

Up to our knowledge, there are only very few works that address the simulation problem in the context of data-centric services. We review below closed works related to data-centric service composition and, independently from the web service area, we mention also similar works in the formal verification area.

[BCG<sup>+</sup>05] investigates the service composition problem using a very constrained class of Colombo, called *Colombo*<sup>*k*,*b*</sup>, which poses several semantic restrictions : (i) the number of accesses to the database, and (ii) the number of new incoming values. As a consequence, *Colombo*<sup>*k*,*b*</sup> is included in *k*-bounded Colombo service (i.e., *k*-bounded Colombo can access infinitely often to a bounded database, which is not the case for *Colombo*<sup>*k*,*b*</sup>). The main result of [BCG<sup>+</sup>05] is to show that service composition is 2-EXPTIME in *Colombo*<sup>*k*,*b*</sup>. This is done using a symbolization framework which abstracts the infinite number of configurations into finite numbers of symbolic configurations. The values of variables as well as the domain of instances are taken from a finite symbolic domain. This domain is construct with respect to the constants appearing in the services and the bounds, respectively, *k* and *b*.

In [PG09], the authors study the composition problem for data-centric services using an approach based on the simulation relation. More precisely, [PG09] models a service as a finite transition system modifying a shared binary relation. During an execution of a service, the service can only receive one parameter from the outside. The expressiveness of the model is also restricted, for example  $y := f_1^R(x)$  cannot be encoded in this model. The obtained framework is still an infinite transition system, where a configuration of a service is made of a control state and an instance of the shared database. The authors shown that, when the database instance is bounded, the service composition problem can be reduced into a simulation test between finite state transition systems. They use a symbolization framework to prove the decidability of simulation. They construct a finite symbolic domain with respect to the bound, then construct the transition systems using this finite symbolic domain and test the simulation between them. The used model is less expressive than *k*-bounded Colombo model.

[LPT14] addresses the problem of checking simulation between probabilistic data-centric services. He considered the case of data-centric services which take as input a

fixed probabilistic database and shows that in this context the simulation problem is in 2-EXPTIME and is EXPTIME-hard.

The simulation problem between infinite transition systems has also been addressed independently from the web service area. This problem is shown undecidable in the general case but there are few classes, e.g., one-counter nets [AC98], automate with finite memory [KF94], where the problem is known to be decidable.

[GKS10] introduces a new formalism called *Variable Automata (VA)*. A *VA* is a finite state machine where a transition is labelled either with a constant or a variable. The set of variable in a *VA* is made of only one *free* variable and a set of *bounded* variables. During an execution, the values of the *bounded* variables is fixed (the value does not change during an execution) while the value of the *free* variable changes each time the automata execute a transition labelled with this free variable. [GKS10] proved that the language containment in this context is undecidable.

In [BCR13], the authors define *Fresh Variable Automata (FVA)*. In a *FVA*, the transitions are labelled with constants or variables. Here, during an execution the value of a variable changes in specific states, called *refresh* states. In [BCR14], the authors extend *fresh-variable automata* with guards on transitions (conjunction of equality and inequality over variables and constants). The model is called *guarded variable automata (GVA)*. *FVA* are not comparable with *VA* and the two models are included in *GVA*. The authors study the simulation for the two models *FVA* and *GVA*. They prove that the problem of simulation is in EXPTIME for *GVA*. The authors prove the decidability of simulation for the guarded variables automata by proving the equivalence between the test of simulation for infinite machines and the test of simulation between two finite state machines. They use a finite symbolic domain representing the constants appearing in the two services and a set of new constant representing the set of variables. As mentioned earlier, as a side effect of our work on DB-less Colombo service, we can derive the EXPTIME-hardness of simulation for *GVA*. More precisely, we can use the same reduction from existing infinite execution for an Alternating Turing machine work on a space polynomially bounded by the size of its input to test of simulation between two *GVA*. A *GVA* can be encoded in a DB-less Colombo service.

Data-centric services attracted a lot of attention from the formal verification community these recent years (see [CDM13] for a detailed survey). The most important models include :

- *relation transducer* [AVFY98], generalized by M.Spielmann in [Spi00] with the Abstract State Machine (ASM) model. The verification problems are undecidable in the general case. M.Spielmann in [Spi00] proves positive results (regarding decidability) of verification problems using a syntactic restriction on the model. This restriction, namely *input boundedness*, ensures that, during an execution, the machine can only access to a bounded number of tuples, hence an input-bounded ASM works only on a bounded database. The same restrictions is also used in another data-centric model proposed by [DSV04]. The authors propose a framework to model a web service interacting with a user. A service is described as a guarded transition system, where control states represent web pages. Each control state provides a set of input choices to the user, based on queries over a fixed database (i.e., does not change during the execution) and a dynamic database (i.e., can be updated during the execution). Transition from one state to another depends on user's choices and the actual instances of the dynamic and static databases.
- The artifact-centric approach was introduced by IBM research in [AN03] and studied in many works [BGL<sup>+</sup>05, BCK<sup>+</sup>07, BGH<sup>+</sup>07, GS07, KRG07, KLV08, DHPV09,

DDHV11, DDV11]. A *Business artifact* record key business-relevant entities. They are augmented with a data model and they are modified with a set of actions. An artifact evolves through its life-cycle (i.e., transition system) and can interact with other artifacts or external users (see [CH09, Hul08] for a survey on research directions and challenges for this approach). In [BLP11], the authors consider the problem of verifying artifact system against specifications expressed in quantified temporal logic. In this framework, an artifact is made of a database schema, an initial database instance and a set of actions which modify the database. An action is modeled as a precondition and a set of postconditions over the database schema. During an execution, an artifact can introduce new values into the database instance through the actions. The verification problem is undecidable in the general setting. So, the paper considers a semantic restriction by bounding the number of values stored in a state of the system during a given execution. The authors use a specific abstraction technique to construct a finite symbolic system which is bisimilar to the original infinite system. By this way, model checking can be carried out over the (finite) symbolic model instead of the original infinite artefact system. The upper bound time complexity of the proposed procedure is doubly exponential. More precisely, the size of the symbolic system is doubly exponential in the arity of the database schema and the bound, which coincide with our upper bound for simulation. [HCD<sup>+</sup>13] proposes a syntactic restriction based on the notion of weak acyclicity studied in data exchange [FKMP05] ensuring the boundedness of the database instances during an execution of the artifact.

Note that, the common point between those works on data-centric models is that : the positive results (of the different problems of verification studied) are ensured by bounding the database instance used by the model.

## 4.2 Conclusion

In this work, we focus on the decidability and complexity of the (weak) simulation preorder for data-centric web services, i.e., checking if the behaviour of a service can be reproduced by another one. We considered services that export their behaviour using state machines augmented with data.

TABLE 4.1 – Summarization of actual results.

Class of services	Simulation
$Colombo^{Unb}$	Undecidable
$Colombo^{DB=\emptyset}$	EXPTIME-complete
$GVA$	EXPTIME[BCR14]- <b>complete</b>
$Colombo^{bound}$	2-EXPTIME-complete
$(\mathcal{L}_T, \emptyset, \emptyset)$	decidable iff satisfiability of $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$ is decidable
$(\emptyset, \mathcal{L}_S, \emptyset)$	Undecidable if satisfiability of $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$ is undecidable
$(\emptyset, \mathcal{L}_S, \emptyset)$	decidable if satisfiability of a partition in $\mathcal{L}_S$ is decidable
$(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$	Undecidable if satisfiability of $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$ is undecidable
$(\emptyset, \emptyset, \mathcal{L}_U^{insert(GNCQ)})$	Undecidable
$(\emptyset, \emptyset, \mathcal{L}_U^{insert(CQ)})_p$	Undecidable
$(GNCQ, \emptyset, \mathcal{L}_U^{insert(CQ)})$	Undecidable

Table 4.1 summarizing the results of this work. In Chapter 2 we studied the Colombo framework [BCG<sup>+</sup>05]. A Colombo service is specified as a guarded transition system dealing with a shared database and a set of variables used to send and receive messages. The modification of the database and the variables is achieved through *atomic processes*. An atomic process describes actions in terms of its inputs, outputs, preconditions and post-conditions. In this context, we showed that the simulation is undecidable for  $Colombo^{unb}$  i.e., services able to read an unbounded number of tuples in the shared database. Then, we focused on the simulation of Colombo services with a bounded database (i.e. the class of Colombo services having global databases with a number of tuples that cannot exceed a given constant  $k$ ). Such a class is called  $Colombo^{bound}$ . Hence, by definition,  $Colombo^{bound}$  cannot read an unbounded number of information from the database. We showed that the simulation is 2-EXPTIME-complete for  $Colombo^{bound}$ . The proof is achieved in 2 steps. First, we showed the EXPTIME completeness of the simulation for Colombo services without any access to the database (namely DB-less services  $Colombo^{DB=\emptyset}$ ). As a side effect of this work, we establish a correspondence between  $Colombo^{DB=\emptyset}$ , restricted to equality, and Guarded Automata (GVA) [BCR14]. As a consequence, we derived EXPTIME completeness of simulation for GVA. Then, we showed that checking the simulation for  $Colombo^{bound}$  services can be rewritten into equivalent  $Colombo^{DB=\emptyset}$  while preserving the simulation preorder. Up to our knowledge, it is the first results of lower bound for the simulation problem in the context of data-centric web services.

The second part of the thesis tackled the problem of simulation for our generalization of the Colombo model, namely the generic model, where a generic service  $(\mathcal{L}_T, \mathcal{L}_S, \mathcal{L}_U)^{?,p}$  uses a local database instead of a set of variables. The messages exchanged are databases, where the outgoing messages are results of queries expressed in a language  $\mathcal{L}_S$ . The guards are also expressed as boolean queries in a language  $\mathcal{L}_T$ . Finally, the updates are represented with queries over the language  $\mathcal{L}_U$ . In this context, we provide first results regarding the decidability of simulation w.r.t the presence or not of each parameter i.e., guards, updates and send query languages. We detailed the results in the following :

- Guarded services  $(\mathcal{L}_T, \emptyset, \emptyset)$ . For this class, we obtained a full characterization of the decidability of simulation w.r.t to the satisfiability of the language  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$ .
- Send services  $(\emptyset, \mathcal{L}_S, \emptyset)$ . Unlike guarded services, we do not obtain a full characterization of decidability of simulation for send services. We provided sufficient conditions of undecidability of simulation w.r.t to the language  $\mathcal{L}_S$ . More specifically, the simulation is undecidable if the test of satisfiability in the language  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$  is undecidable. In the other hand, the simulation is decidable if the satisfiability of a formula representing a partition is decidable. The language of the formula representing a partition is more expressive than  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$ . For guarded and send services we define a symbolization technique enabling the reduction of test of simulation between two infinite state machine to a finite set of tests of simulation between finite state machines w.r.t the satisfiability of the language considered. This reduction is applicable because the database does not change during the execution of a guarded or send service. Note also that, for the send services, when we consider only the boolean queries over the language  $\mathcal{L}_S$  then we obtain a full characterization of the simulation w.r.t the decidability of the satisfiability of the language  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$ .
- $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$ . For this class, we proved that testing the simulation is undecidable if satisfiability of formula expressed in the insert language  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$  is undecidable. Unfortunately, we are not able to provide a full characterization of the decidability of the simulation regarding the insert language  $\mathcal{L}_I$ . In fact the simulation is undecidable for  $(\emptyset, \emptyset, \mathcal{L}_U^{Insert(GNCQ)})$  services, while satisfiability of boolean query over  $GNCQ \cup$

- $\{\wedge^b, \neg^b\}$  is decidable. We left the problem open when  $\mathcal{L}_I = CQ$ .
- $(\emptyset, \emptyset, \mathcal{L}_U^{Insert(CQ)})^p$ . We proved that, the weak simulation is undecidable for this class.
  - $(\mathcal{L}_T, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$ . This class enables to study the interaction between the guards language and updates language. We proved that the simulation is undecidable when  $\mathcal{L}_T = GNCQ$  and the language of insertion is  $\mathcal{L}_I = CQ$ .

Up to our knowledge, the results on generic model are the first results that characterize the problem of simulation for data-centric model w.r.t the satisfiability of the languages of guards, send messages and updates. We note also that, our abstraction techniques (for Colombo cases and generic model) are not just a way to prove the decidability of the problem of simulation for the different cases, but also a crucial step leading to the implementation of simulation algorithms.

Below, we give some future directions :

- We give tight results on decidability and complexity of the relation of simulation for the Colombo model, but a major assumption we made is that all services share the **same** database. This scenario is realistic when the web services come from the same company, but when we move to an inter-organization framework, the assumption made is not adequate. We plane to handle this case by considering the inclusion rather than the equality between the shared databases of the two services. The same remark can be done for the generic model.
- Another direction we plane to explore is instead of imposing semantic restriction to ensure decidability of simulation of Colombo model (i.e  $Colombo^{bound}$ ), is to find syntactic restriction ensuring the boundedness of the database instance. In this context, we are interested to use the weak acyclicity studied in data exchange [FKMP05] framework (e.g., used to prove the decidability of verification problems in artifact models [HCD<sup>+</sup>13]). The use of weak acyclicity is not direct and straightforward and need more investigations.
- Finally, we believe that our techniques to prove lower bounds of complexity of simulation for  $Colombo^{bound}$  and  $Colombo^{DB=\emptyset}$  can be extended to prove the complexity of verification problem in other models where the lower bound is left open (i.e. [HCD<sup>+</sup>13, BLP11]).
- For the generic model, we plane to address the following problems :
  - The decidability of simulation when the generic service can update the shared database using  $CQ$  queries.
  - Find semantics or structural restrictions (i.e., restrictions on the structure of the service : with only self loops) leading to the decidability of the simulation problem. For example, the result on the decidability of verification problems when data-centric services satisfy the weak acyclicity, suggest that this property can be used to prove that generic services that verify weak acyclicity ensure the decidability of the simulation preorder.



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# Annexe A

## Appendix

### Proofs of Chapter 2

**Lemma 6** *Each configuration  $C$  of the execution of an alternating Turing machine  $M$  on the input  $w$  has a corresponding configuration in the extended state machine of  $S_{duplicator}$*

*Démonstration.* We will prove that, each configuration  $C$  of  $M$  correspond to a configuration of the extended state machine of  $S_{duplicator}$  and each configuration of the extended state machine of  $S_{duplicator}$  correspond to a configuration  $C$  of  $M$ . The proof is by induction :

- The base : The initial configuration of  $M$  is  $C_0=qy_1, \dots, y_n$ . Assume that  $q$  is universal. After the part of initialization,  $E(S_{duplicator})$  is in an  $id=(l_q, \alpha(Lstore))$  where  $\alpha(x_i)=w_i$  (i.e., the  $i$ 'th letter of  $w$ ) and  $\alpha(head)=1$ . Assume that there exists a move  $C_0 \xrightarrow{qa/bRq'} C'$ , that means  $y_1=a$ , so  $\alpha(x_1)=a$ . From construction of  $S_{duplicator}$  there exists a transition  $l_q \xrightarrow{g_1^a \mid qabq'R_1(\emptyset; x_1, head)} l_{q'}$  where  $g_1 : x_1 = a \wedge head = 1$ , so  $(l_q, \alpha(Lstore)) \xrightarrow{qabq'R_1(\emptyset; \alpha'(x_1), \alpha'(head))} (l_{q'}, \alpha'(Lstore))$  where : (i)  $\alpha'(x_1)=y'_1=b$ , and  $\alpha'(head)=2$ .
- iteration  $i$  : Now Assume that  $M$  is in configuration  $C=y_1, \dots, qy_j, \dots, y_n$ , and there exists in  $E(S_{duplicator})$  an  $id=(l_q, \alpha(Lstore))$ , where  $y_i=\alpha(x_i)$  and  $\alpha(head)=j$ . If  $C \xrightarrow{qa/bRq'} C'$  exists, then  $y_j=a$ ,  $y'_j=b$  and  $\alpha(x_j)=a$ . From construction of  $S_{duplicator}$ , we know there is a transition  $l_q \xrightarrow{g_1^a \mid qabq'R_j(\emptyset; x_j, head)} l_{q'}$  where  $g_1 : x_1 = a \wedge head = j$ , so there exists in  $E(S_{duplicator})$  a transition  $(l_q, \alpha(Lstore)) \xrightarrow{qabq'R_j(\emptyset; \alpha'(x_j), \alpha'(head))} (l_{q'}, \alpha'(Lstore))$  where : (i)  $\alpha'(x_j)=y'_j=b$ , and  $\alpha'(head)=j+1$ .

The same reasoning is used if  $M$  is in a configuration where the state is existential, the only difference is  $S_{duplicator}$  starts by sending the message  $m()$ , then executes the atomic process  $qabq'R_j(\emptyset; x_j, head)$ , this additional transition does not change the values of the variables.

Now, we will prove the second direction, i.e., each configuration of the extended state machine of  $S_{duplicator}$  correspond to a configuration  $C$  of  $M$ .

- The base : From the construction of  $S_{duplicator}$ , after the part of initialization the execution of the service reaches an  $id=(l_q, \alpha(Lstore))$ , where  $q$  is the initial state of  $M$ ,

Assume that it is universal.  $\alpha(x_i)=w_i$  and  $\alpha(head)=1$ . If in  $E(S_{duplicator})$  there exists a transition  $(l_q, \alpha(Lstore)) \xrightarrow{qabq'R_1(\emptyset; \alpha'(x_1), \alpha'(head))} (l_{q'}, \alpha'(Lstore))$ , then  $\alpha'(x_1)=b$  and  $\alpha'(head)=2$ . From construction of  $S_{duplicator}$ , there exists  $C_0 \xrightarrow{qa/bRq'} C'$  where  $y_1=a$  and  $y'_1=b$ .

- Iteration  $i$  : Assume that in  $E(S_{duplicator})$  there is an  $id=(l_q, \alpha(Lstore))$ , where  $q$  is an universal state of  $M$  and  $\alpha(x_i)=w_i$ . Assume that  $\alpha(head)=j$  and there is a configuration of  $M$ ,  $C=y_1, \dots, qy_j, \dots, y_n$ , where  $\alpha(x_i)=y_i$ . If in  $E(S_{duplicator})$ , there exists a transition  $(l_q, \alpha(Lstore)) \xrightarrow{qabq'R_j(\emptyset; \alpha'(x_j), \alpha'(head))} (l_{q'}, \alpha'(Lstore))$ , then  $\alpha'(x_j)=b$  and  $\alpha'(head)=j+1$ . From construction of  $S_{duplicator}$ , there exists  $C \xrightarrow{qa/bRq'} C'$  where  $y_j=a$  and  $y'_j=b$ .

□

**Lemma 7** *Given an alternating Turing machine  $M$  working in space bounded by the size of the input  $w$ ,  $M$  has an infinite computation on  $w$  iff  $S_{spoiler} \preceq S_{duplicator}$ .*

*Démonstration.* The services  $S_{spoiler}$  and  $S_{duplicator}$  start by initializing the variables. If  $M$  has a transition  $q \xrightarrow{a/bR} q'$  and  $q$  universal, then  $S_{spoiler}$  has  $n - 1$  loops :  $q_{universal} \xrightarrow{qabq'R_i(\emptyset; x_i, head)} q_{universal}$  and the service  $S_{duplicator}$  contains  $n - 1$  transitions from  $l_q \xrightarrow{g_i^a \mid qabq'R_i(\emptyset; x_i, head)} l_{q'}$ . Hence, the difference with  $S_{spoiler}$  is that  $S_{duplicator}$  can only execute one transition representing the action  $q \xrightarrow{a/bR} q'$  if the actual value of  $x_i=a$  and the head points on  $i$ . Assume that the condition is verified, then if  $S_{spoiler}$  chooses to execute any transition different from  $qabq'R_i(\emptyset; x_i, head)$ , the service  $S_{duplicator}$  wins the game by choosing the transition which reaches the state  $l_{copy}$ . If  $S_{spoiler}$  chooses to execute  $qabq'R_i(\emptyset; x_i, head)$ , then  $S_{duplicator}$  executes  $qabq'R_i(\emptyset; x_i, head)$  and the game continue. Now, Assume that the condition is not verified, the service  $S_{duplicator}$  is blocked, then  $S_{spoiler}$  wins the game by executing  $qabq'R_i(\emptyset; x_i, head)$ .

If  $q$  is existential,  $S_{spoiler}$  has a transition  $q_{univ} \xrightarrow{!m()} q_{exist}$  and  $n - 1$  transitions from  $q_{exist} \xrightarrow{qabq'R_i(\emptyset; x_i, head)} q_{univ}$ .  $S_{duplicator}$  contains a transition from  $l_q \xrightarrow{!m()} l_{qbRq'}$  and  $n - 1$  transitions  $l_{qbRq'} \xrightarrow{g_i^a \mid qabq'R_i(\emptyset; x_i, head)} l_{q'}$ . Assume that the actual values of the variables verify the condition. If  $S_{spoiler}$  chooses another action different from sending the message it loses. If it sends the message, it reaches the state  $q_{exist}$ . Then  $S_{duplicator}$  reaches an intermediate state  $l_{qbRq'}$  by sending the message.  $S_{spoiler}$  can do any action but if it chooses an action different from  $qabq'R_i(\emptyset; x_i, head)$  it loses the game, if it chooses the action  $q \xrightarrow{a/bR} q'$ , then the game continues.

Note that, after sending the message  $m()$ ,  $S_{spoiler}$  reaches  $l_{exist}$ . This transition can be simulated by many transition of  $S_{duplicator}$  because  $S_{duplicator}$  may have several transitions labelled with sending  $m()$  at  $l_q$ . So, there is no simulation if all tests of simulation are false. That means  $S_{duplicator}$  is blocked whatever it chooses. If one choice is not blocking the game continue and hence there is simulation iff the duplicator can always chooses a non blocking state for existential transitions of  $M$  and does not block for all universal transition of  $M$ . Which means  $M$  during its execution has always a successor, so there exists an infinite computation. □

**Lemma 9** Let  $S$  be a Colombo service and  $E^k(S) = (\mathbb{Q}^k, \mathbb{Q}_0^k, \mathbb{F}^k, \Delta^k)$  its  $k$ -bounded extended state machine and  $E(\mathcal{M}(S))$  the extended state machine of DB-less  $\mathcal{M}(S)$ , then

- If  $(q_i, \mathcal{I}_i, \alpha_i) \in \mathbb{Q}^k$  then  $\exists (q_i, \alpha'_i) \in \mathbb{Q}_{\mathcal{M}(S)}$  s.t  $\alpha'_{i|Lstore} = \alpha_i$  and  $\alpha'_{i|DV} = \mathcal{I}_i$  and
- $\forall (q_i, \mathcal{I}_i, \alpha_i) \xrightarrow{\mu_i} (q_j, \mathcal{I}_j, \alpha_j), \exists (q_i, \alpha'_i) \xrightarrow{\mu'_i} (q'_j, \alpha'_j)$  s.t  $\alpha'_{j|Lstore} = \alpha_j$  and  $\alpha'_{j|DV} = \mathcal{I}_j$ .

Lemma 9 asserts that for each state in the  $k$ -bounded state machine of  $S$  there exists a corresponding state in the extended state machine of  $\mathcal{M}(S)$  s.t the valuation of  $DV$  is equal to database  $\mathcal{I}$  and the valuation of variables of  $Lstore$  in the two states are equal. The proof is by induction.

*Démonstration. The base :*

1. Assume that  $(q_0, \mathcal{I}_0, \alpha_0) \in \mathbb{Q}^k$ , from the construction of  $\mathcal{M}(S)$  there exists a state  $(q_0, \alpha'_0) \in \mathbb{Q}_{\mathcal{M}(S)}$  s.t (i)  $\alpha'_{0|Lstore} = \alpha_0 = \emptyset$  and (ii)  $\alpha'_{0|DV} = \mathcal{I}_0$ . The first point is easy to see, from the definition of an execution of a service, all variables start with null value. The second point come from the construction of  $\mathcal{M}(S)$ , where there is a transition from  $q_{init}$  to  $q_0$  labelled with reception of messages containing values of  $DV$ .
2. Let  $(q_0, \mathcal{I}_0, \alpha_0) \xrightarrow{\mu_0} (q_j, \mathcal{I}_j, \alpha_j)$  a transition in  $\Delta^k$ , then from the construction of  $\mathcal{M}(S)$  and 1, we know there exists a transition  $(q_0, \alpha'_0) \xrightarrow{\mu'_0} (q'_j, \alpha'_j)$  where :
  - if  $\mu_0 = ?m(\alpha_j(u_1), \dots, \alpha_j(u_n))$ , then  $\mu'_0 = ?m(\alpha'_j(u_1), \dots, \alpha'_j(u_n))$  where :
    - $\alpha'_{j|Lstore - \{v_1, \dots, v_n\}} = \alpha_{j|Lstore - \{v_1, \dots, v_n\}}$ .
    - $\alpha'_j(v_k) = \alpha_j(v_k)$  for  $k \in [1, \dots, n]$ . This is due to the fact the two substitution have the same infinite co-domain.
    - $\alpha'_{j|DV} = \mathcal{I}_j$  because :  $\mathcal{I}_0 = \mathcal{I}_j$ ,  $\alpha'_{0|DV} = \mathcal{I}_0$  and  $\alpha'_{j|DV} = \alpha'_{0|DV}$ .
  - if  $\mu_0 = !m(\alpha_0(u_1), \dots, \alpha_0(u_n))$ , then  $\mu'_0 = !m(\alpha'_0(u_1), \dots, \alpha'_0(u_n))$  where :
    - $\alpha'_{j|Lstore} = \alpha_j$  : because  $\alpha_0 = \alpha_j$  and  $\alpha_0 = \alpha'_{0|Lstore}$  and  $\alpha'_0 = \alpha'_j$ .
    - $\alpha'_{j|DV} = \mathcal{I}_j$  : because  $\mathcal{I}_0 = \alpha'_{0|DV}$  and  $\mathcal{I}_0 = \mathcal{I}_j$  and  $\alpha'_0 = \alpha'_j$ .
  - if  $\mu_0 = p(\alpha_0(u_1), \dots, \alpha_0(u_n), \alpha_0(DV); \alpha_j(v_1), \dots, \alpha_j(v_m), \alpha_j(DV))$ , then  $\mu'_0 = p(\alpha'_0(u_1), \dots, \alpha'_0(u_n), \alpha'_0(DV); \alpha'_j(v_1), \dots, \alpha'_j(v_m), \alpha'_j(DV))$  where :
    - $\alpha'_{j|DV} = \mathcal{I}_j$  : from construction of  $\mathcal{M}(S)$  and  $\alpha'_{0|Lstore} = \alpha_0$  and  $\alpha'_{0|DV} = \mathcal{I}_0$ ,  $DV$  is modified regarding to updates made by  $p$ , where the values of variables depends on inputs and the database.
    - $\alpha'_{j|Lstore - \{v_1, \dots, v_m\}} = \alpha_{j|Lstore - \{v_1, \dots, v_m\}}$  because :  $\alpha'_{i|Lstore - \{v_1, \dots, v_m\}} = \alpha'_{j|Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha_{i|Lstore - \{v_1, \dots, v_m\}} = \alpha_{j|Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha'_{i|Lstore - \{v_1, \dots, v_m\}} = \alpha_{i|Lstore - \{v_1, \dots, v_m\}}$ .
    - $\alpha'_{j|\{v_1, \dots, v_m\}} = \alpha_{j|\{v_1, \dots, v_m\}}$  : From the construction of  $p_v$  and  $\alpha_i = \alpha'_i$  and  $\alpha'_{i|DV} = \mathcal{I}_0$ .

**The iteration i :**

1. Assume that  $(q_i, \mathcal{I}_i, \alpha_i) \in \mathbb{Q}^k$ , and there exists  $(q_i, \alpha'_i) \in \Delta_{\mathcal{M}(S)}$  where  $\mathcal{I}_i = \alpha'_{i|DV}$  and  $\alpha_i = \alpha'_{i|Lstore}$ , then for each transition  $(q_i, \mathcal{I}_i, \alpha_i) \xrightarrow{\mu_i} (q_j, \mathcal{I}_j, \alpha_j)$  in  $\Delta^k$ , we know there exists a transition  $(q_0, \alpha'_0) \xrightarrow{\mu'_0} (q'_j, \alpha'_j)$  from the construction of  $\mathcal{M}(S)$  and 1 where :

- if  $\mu_i = ?m(\alpha_j(u_1), \dots, \alpha_j(u_n))$ , then  $\mu'_i = ?m(\alpha'_j(u_1), \dots, \alpha'_j(u_n))$  where :
  - $\alpha'_j|_{Lstore-\{v_1, \dots, v_n\}} = \alpha_j|_{Lstore-\{v_1, \dots, v_n\}}$  : because  $\alpha_i|_{Lstore-\{v_1, \dots, v_m\}} = \alpha'_i|_{Lstore-\{v_1, \dots, v_m\}}$  and  $\alpha_i|_{Lstore-\{v_1, \dots, v_m\}} = \alpha_j|_{Lstore-\{v_1, \dots, v_m\}}$  and  $\alpha'_i|_{Lstore-\{v_1, \dots, v_m\}} = \alpha'_j|_{Lstore-\{v_1, \dots, v_m\}}$ .
  - $\alpha'_j(v_k) = \alpha_j(v_k)$  for  $k \in [1, \dots, n]$ . This is due to the fact the two substitution have the same infinite co-domain.
  - $\alpha'_j|_{DV} = \mathcal{I}_j$  because :  $\mathcal{I}_i = \mathcal{I}_j$ ,  $\alpha'_i|_{DV} = \mathcal{I}_i$  and  $\alpha'_j|_{DV} = \alpha'_i|_{DV}$ .
- if  $\mu_i = !m(\alpha_i(u_1), \dots, \alpha_i(u_n))$ , then  $\mu'_i = !m(\alpha'_i(u_1), \dots, \alpha'_i(u_n))$  where :
  - $\alpha'_j|_{Lstore} = \alpha_j$  : because  $\alpha_i = \alpha_j$  and  $\alpha_i = \alpha_i|_{Lstore}$  and  $\alpha'_i = \alpha'_j$ .
  - $\alpha'_j|_{DV} = \mathcal{I}_j$  : because  $\mathcal{I}_i = \alpha'_i|_{DV}$  and  $\mathcal{I}_i = \mathcal{I}_j$  and  $\alpha'_i = \alpha'_j$ .
- if  $\mu_i = p(\alpha_i(u_1), \dots, \alpha_i(u_n), \alpha_i(DV); \alpha_j(v_1), \dots, \alpha_j(v_m), \alpha_j(DV))$ , then  $\mu'_i = p(\alpha'_i(u_1), \dots, \alpha'_i(u_n), \alpha'_i(DV); \alpha'_j(v_1), \dots, \alpha'_j(v_m), \alpha'_j(DV))$  where :
  - $\alpha'_j|_{DV} = \mathcal{I}_j$  : from construction of  $\mathcal{M}(S)$  and  $\alpha'_i|_{Lstore} = \alpha_i$  and  $\alpha'_i|_{DV} = \mathcal{I}_i$ ,  $DV$  are modified regarding to updates made by  $p$ , where the values of variables depends on inputs and the database.
  - $\alpha'_j|_{Lstore-\{v_1, \dots, v_m\}} = \alpha_j|_{Lstore-\{v_1, \dots, v_m\}}$  because :  $\alpha'_0|_{Lstore-\{v_1, \dots, v_m\}} = \alpha'_j|_{Lstore-\{v_1, \dots, v_m\}}$  and  $\alpha_0|_{Lstore-\{v_1, \dots, v_m\}} = \alpha_j|_{Lstore-\{v_1, \dots, v_m\}}$  and  $\alpha'_0|_{Lstore-\{v_1, \dots, v_m\}} = \alpha_0|_{Lstore-\{v_1, \dots, v_m\}}$ .
  - $\alpha'_j|_{\{v_1, \dots, v_m\}} = \alpha_j|_{\{v_1, \dots, v_m\}}$  : because  $\alpha_0 = \alpha'_0$  and  $\alpha'_0|_{DV} = \mathcal{I}_0$ .

□

**Lemma10** *Let  $S$  be a Colombo service and  $E^k(S) = (\mathbb{Q}^k, \mathbb{Q}_0^k, \mathbb{F}^k, \Delta^k)$  its  $k$ -bounded extended state machine and  $E(\mathcal{M}(S))$  the extended state machine of DB-less  $\mathcal{M}(S)$ , then*

- *If  $(q_i, \alpha'_i) \in \mathbb{Q}_{\mathcal{M}(S)}$  then  $\exists (q_i, \mathcal{I}_i, \alpha_i) \in \mathbb{Q}^k$  s.t  $\alpha'_i|_{Lstore} = \alpha_i$  and  $\alpha'_i|_{DV} = \mathcal{I}_i$  and*
- *$\forall (q_i, \alpha'_i) \xrightarrow{\mu'_i} (q'_j, \alpha'_j)$ ,  $\exists (q_i, \mathcal{I}_i, \alpha_i) \xrightarrow{\mu_i} (q_j, \mathcal{I}_j, \alpha_j)$  s.t  $\alpha'_j|_{Lstore} = \alpha_j$  and  $\alpha'_j|_{DV} = \mathcal{I}_j$ .*

*Démonstration.* The proof of this lemma is in the same spirit of the previous one, we will prove by induction the correspondence between the two extended state machines :

**The base :**

1. Assume that  $(q_0, \alpha'_0) \in \mathbb{Q}_{\mathcal{M}(S)}$ , from the construction of  $\mathcal{M}(S)$  there exists a state  $(q_0, \mathcal{I}_0, \alpha_0) \in \mathbb{Q}^k$  s.t (i)  $\alpha'_0|_{Lstore} = \alpha_0 = \emptyset$  and (ii)  $\alpha'_0|_{DV} = \mathcal{I}_0$ . (i) comes from the definition of an execution of a service, all variables of  $Lstore$  start with null values. (ii) comes from the construction of  $\mathcal{M}(S)$ , where there is a transition from  $q_{init}$  to  $q_0$  labelled with reception of messages over all variables of  $DV$ , because the variables and the database are range over the same infinite domain, necessarily there exists a valuation of the variables of the message  $\alpha'_0(DV) = \mathcal{I}_0$ .
2. Let  $(q_0, \alpha'_0) \xrightarrow{\mu'_0} (q'_j, \alpha'_j)$  a transition in  $\Delta_{\mathcal{M}(S)}$ , then from the construction of  $\mathcal{M}(S)$  and 1, we know there exists a transition  $(q_0, \mathcal{I}_0, \alpha_0) \xrightarrow{\mu_0} (q_j, \mathcal{I}_j, \alpha_j)$  in  $\Delta^k$  where :
  - if  $\mu'_0 = ?m(\alpha'_j(u_1), \dots, \alpha'_j(u_n))$ , then  $\mu_0 = ?m(\alpha_j(u_1), \dots, \alpha_j(u_n))$  where :
    - $\alpha_j|_{Lstore-\{v_1, \dots, v_n\}} = \alpha'_j|_{Lstore-\{v_1, \dots, v_n\}}$ .
    - $\alpha_j(v_k) = \alpha'_j(v_k)$  for  $k \in [1, \dots, n]$ . This is due to the fact the two substitution have the same infinite co-domain.
    - $\mathcal{I}_j = \alpha'_j|_{DV}$  because :  $\alpha'_j|_{DV} = \alpha'_0|_{DV}$ ,  $\alpha'_0|_{DV} = \mathcal{I}_0$  and  $\mathcal{I}_0 = \mathcal{I}_j$ .

- if  $\mu'_0 = !m(\alpha'_0(u_1), \dots, \alpha'_0(u_n))$ , then  $\mu_0 = !m(\alpha_0(u_1), \dots, \alpha_0(u_n))$  where :
  - $\alpha_j = \alpha'_j|_{Lstore}$  : because  $\alpha'_0 = \alpha'_j$  and  $\alpha_0 = \alpha'_0|_{Lstore}$  and  $\alpha_0 = \alpha_j$ .
  - $\mathcal{I}_j = \alpha'_j|_{DV}$  : because  $\alpha'_0 = \alpha'_j$  and  $\mathcal{I}_0 = \mathcal{I}_j$  and  $\mathcal{I}_0 = \alpha'_0|_{DV}$ .
- if  $\mu'_0 = p(\alpha'_0(u_1), \dots, \alpha'_0(u_n), \alpha'_0(DV); \alpha'_j(v_1), \dots, \alpha'_j(v_m), \alpha'_j(DV))$ , then  $\mu_0 = p(\alpha_0(u_1), \dots, \alpha_0(u_n), \alpha_0(DV); \alpha_j(v_1), \dots, \alpha_j(v_m), \alpha_j(DV))$  where :
  - $\mathcal{I}_j = \alpha'_j|_{DV}$  : from construction of  $\mathcal{M}(S)$  and  $\alpha'_0|_{Lstore} = \alpha_0$  and  $\alpha'_0|_{DV} = \mathcal{I}_0$ ,  $DV$  is modified regarding to updates made by  $p$ , where the values of variables depends on inputs and the database.
  - $\alpha_j|_{Lstore - \{v_1, \dots, v_m\}} = \alpha'_j|_{Lstore - \{v_1, \dots, v_m\}}$  because :  $\alpha'_i|_{Lstore - \{v_1, \dots, v_m\}} = \alpha_i|_{Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha_i|_{Lstore - \{v_1, \dots, v_m\}} = \alpha_j|_{Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha'_i|_{Lstore - \{v_1, \dots, v_m\}} = \alpha'_j|_{Lstore - \{v_1, \dots, v_m\}}$ .
  - $\alpha_j|_{\{v_1, \dots, v_m\}} = \alpha'_j|_{\{v_1, \dots, v_m\}}$  : From the construction of  $p_v$  and  $\alpha'_i|_{DV} = \mathcal{I}_0$  and  $\alpha_i = \alpha'_i$ .

### The iteration i :

1. Assume that  $(q_i, \alpha'_i) \in \Delta_{\mathcal{M}(S)}$ , and there exists  $(q_i, \mathcal{I}_i, \alpha_i) \in \mathbb{Q}^k$  where  $\alpha'_i|_{DV} = \mathcal{I}_i$  and  $\alpha'_i|_{Lstore} = \alpha_i$ , then for each transition  $(q_0, \alpha'_0) \xrightarrow{\mu'_0} (q'_j, \alpha'_j)$ , we know there exists a transition  $(q_i, \mathcal{I}_i, \alpha_i) \xrightarrow{\mu_i} (q_j, \mathcal{I}_j, \alpha_j)$  in  $\Delta^k$  from the construction of  $\mathcal{M}(S)$  and 1 where :
  - if  $\mu'_i = ?m(\alpha'_i(u_1), \dots, \alpha'_i(u_n))$ , then  $\mu_i = ?m(\alpha_i(u_1), \dots, \alpha_i(u_n))$  where :
    - $\alpha_j|_{Lstore - \{v_1, \dots, v_n\}} = \alpha'_j|_{Lstore - \{v_1, \dots, v_n\}}$  : because  $\alpha'_i|_{Lstore - \{v_1, \dots, v_m\}} = \alpha_i|_{Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha_i|_{Lstore - \{v_1, \dots, v_m\}} = \alpha_j|_{Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha'_i|_{Lstore - \{v_1, \dots, v_m\}} = \alpha_i|_{Lstore - \{v_1, \dots, v_m\}}$ .
    - $\alpha_j(v_k) = \alpha'_j(v_k)$  for  $k \in [1, \dots, n]$ . This is due to the fact the two substitution have the same infinite co-domain.
    - $\mathcal{I}_j = \alpha'_j|_{DV}$  because :  $\alpha'_i|_{DV} = \alpha'_i|_{DV}$ ,  $\alpha'_i|_{DV} = \mathcal{I}_i$  and  $\mathcal{I}_i = \mathcal{I}_j$ .
  - if  $\mu'_i = !m(\alpha'_i(u_1), \dots, \alpha'_i(u_n))$ , then  $\mu_i = !m(\alpha_i(u_1), \dots, \alpha_i(u_n))$  where :
    - $\alpha_j = \alpha'_j|_{Lstore}$  : because  $\alpha'_i = \alpha'_j$  and  $\alpha_i = \alpha'_i|_{Lstore}$  and  $\alpha_i = \alpha_j$ .
    - $\mathcal{I}_j = \alpha'_j|_{DV}$  : because  $\alpha'_i = \alpha'_j$  and  $\mathcal{I}_i = \mathcal{I}_j$  and  $\mathcal{I}_i = \alpha'_i|_{DV}$ .
  - if  $\mu'_i = p(\alpha'_i(u_1), \dots, \alpha'_i(u_n), \alpha'_i(DV); \alpha'_j(v_1), \dots, \alpha'_j(v_m), \alpha'_j(DV))$ , then  $\mu_i = p(\alpha_i(u_1), \dots, \alpha_i(u_n), \alpha_i(DV); \alpha_j(v_1), \dots, \alpha_j(v_m), \alpha_j(DV))$  where :
    - $\mathcal{I}_j = \alpha'_j|_{DV}$  : from construction of  $\mathcal{M}(S)$  and  $\alpha'_i|_{Lstore} = \alpha_i$  and  $\alpha'_i|_{DV} = \mathcal{I}_i$ ,  $DV$  are modified regarding to updates made by  $p$ , where the values of variables depends on inputs and the database.
    - $\alpha_j|_{Lstore - \{v_1, \dots, v_m\}} = \alpha'_j|_{Lstore - \{v_1, \dots, v_m\}}$  because :  $\alpha'_0|_{Lstore - \{v_1, \dots, v_m\}} = \alpha_0|_{Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha_0|_{Lstore - \{v_1, \dots, v_m\}} = \alpha_j|_{Lstore - \{v_1, \dots, v_m\}}$  and  $\alpha'_0|_{Lstore - \{v_1, \dots, v_m\}} = \alpha'_j|_{Lstore - \{v_1, \dots, v_m\}}$ .
    - $\alpha_j|_{\{v_1, \dots, v_m\}} = \alpha'_j|_{\{v_1, \dots, v_m\}}$  : because  $\alpha'_0|_{DV} = \mathcal{I}_0$  and  $\alpha_0 = \alpha'_0$ .

□

**Lemma 11** *Each configuration  $C$  of the execution of an alternating Turing machine  $M$  on the input  $w$  has a corresponding configuration in the extended state machine of  $S_{duplicator}$ .*

*Démonstration.* We will prove that, each configuration  $C$  of  $M$  correspond to a configuration of the extended state machine of  $S_{duplicator}$  and each configuration of the extended

state machine of  $S_{duplicator}$  correspond to a configuration  $C$  of  $M$ . Here a configuration  $C$  of  $M$  is  $y_1, \dots, qy_j, \dots, y_{2^n}$ , where the head points on the  $j$ 'th cell. The proof is by induction :

- the base : Assume that the initial configuration of  $M$  is  $C_0 = qy_1, \dots, y_{2^n}$  and  $q$  universal, from construction of  $S_{duplicator}$ , after checking the database and initializing  $n$  first tuples with the word  $w$ ,  $E(S_{duplicator})$  is in  $id = (l_q, \alpha(Lstore), I)$ , where the binary number  $\alpha(x_1) \dots \alpha(x_n)$  points on the first tuple and  $f_{n+1}^R(\alpha(x_1), \dots, \alpha(x_n)) = y_1$ . Assume that  $C_0 \xrightarrow{qabRq'} C'$  exists, that means  $y_1 = a$  in  $C_0$ , so  $f_{n+1}^R(\alpha(x_1), \dots, \alpha(x_n)) = a$ . From

construction of  $S_{duplicator}$  there is a transition  $l_q \xrightarrow{\text{true} \mid \text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter})} l'_{qbdq'}$  so  $id \xrightarrow{\text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter})} id'$  exist where letter in  $id'$  is equal to  $a$ .

There is also a transition  $l'_{qbdq'} \xrightarrow{\text{letter}=a \mid \text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b; \emptyset)} l''_{qbdq'}$ , so  $id' \xrightarrow{\text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b; \emptyset)} id''$  because letter= $a$ .  $y_1$  in  $C'$  is equal to  $b$  and the head point in the second cell.  $f_{n+1}^R(x_1, \dots, x_n) = b$  in  $id''$ . in  $S_{duplicator}$  there is a transition  $l''_{qbdq'} \xrightarrow{\neg(x_1=1 \wedge \dots \wedge x_n=1) \mid \text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n)} l'_q$  so there is a transition  $id'' \xrightarrow{\text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n)} id'''$ , where the binary number  $x_1 \dots x_n$  in  $id'''$  is equal to 2.

We can conclude that  $C'$  is encoded in  $id'''$ .

If  $C_0 \xrightarrow{qa/bRq'} C'$  does not exist, then letter is different from  $a$  in  $id'$  and the condition of  $l'_{qbdq'} \xrightarrow{\text{letter}=a \mid \text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b; \emptyset)} l''_{qbdq'}$  is not verified so the execution of  $S_{duplicator}$  blocks.

- the iteration  $i$  : Now Assume that the execution of  $M$  is in configuration  $C = y_1, \dots, qy_j, \dots, y_{2^n}$  where  $q$  is universal and there exists an  $id$  in  $E(S_{duplicator})$  where the binary number  $x_1 \dots x_n$  is equal to  $j$  and the control state is  $l_q$ . Assume that

$C \xrightarrow{qabRq'} C'$  exists, that means  $y_j = a$  in  $C$ , so  $f_{n+1}^R(\alpha(x_1), \dots, \alpha(x_n)) = a$ . From construction of  $S_{duplicator}$  there is a transition  $l_q \xrightarrow{\text{true} \mid \text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter})} l'_{qbdq'}$  so  $id \xrightarrow{\text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter})} id'$  exist where letter in  $id'$  is equal to  $a$ .

There is also a transition  $l'_{qbdq'} \xrightarrow{\text{letter}=a \mid \text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b; \emptyset)} l''_{qbdq'}$ , so  $id' \xrightarrow{\text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b; \emptyset)} id''$  because letter= $a$ .  $y_j$  in  $C'$  is equal to  $b$  and the head point in the  $j + 1$ 'th cell.  $f_{n+1}^R(x_1, \dots, x_n) = b$  in  $id''$  in  $S_{duplicator}$  there is a transition  $l''_{qbdq'} \xrightarrow{\neg(x_1=1 \wedge \dots \wedge x_n=1) \mid \text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n)} l'_q$  so there is a transition  $id'' \xrightarrow{\text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n)} id'''$ , where the binary number  $x_1 \dots x_n$  in  $id'''$  is equal to  $j + 1$ . We can conclude that  $C'$  is encoded in  $id'''$ .

Now, we will prove the second direction, i.e., each configuration of the extended state machine of  $S_{duplicator}$  correspond to a configuration  $C$  of  $M$ .

- the base : From construction of  $S_{duplicator}$ , after the part if initialisation, the execution of the service is in an  $id$ , with the control state  $l_q$  where  $q$  is the initial state of  $M$ , Assume that it is universal. all  $x_i$  are equal to zero, and the  $n$  first tuples contains the letters of the input word  $w$ , then  $id$  correspond to  $C_0$  in the execution of  $M$ , and  $y_1 = f_{n+1}^R(\alpha(x_1), \dots, \alpha(x_n))$ . If there exists in  $E(S_{duplicator})$  transitions  $id \xrightarrow{\text{get\_cell}_{qabq'R}(x_1, \dots, x_n; \text{letter})} id' \xrightarrow{\text{set\_cell}_{qabq'R}(x_1, \dots, x_n, b; \emptyset)} id'' \xrightarrow{\text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n)} id'''$ , then from construction of  $S_{duplicator}$ , there exists a transition  $q \xrightarrow{a/bR} q'$  in  $M$ .

We know also  $f_{n+1}^R(\alpha(x_1, \dots, \alpha(x_n)))=a$  in  $id$  and  $letter=a$  in  $d'$  so  $y_1=a$ . Then  $C_0 \xrightarrow{qabRq'} C' . f_{n+1}^R(\alpha''(x_1, \dots, \alpha''(x_n)))=b$  in  $id''$ . Then  $y_1$  in  $C'=b$ , and the head point on 2, because after executing next  $x_1 \dots x_n=1$ .

- iteration  $i$  : Assume that  $E(S_{duplicator})$  contains an  $id$  where the control state correspond to an universal state of the machine and  $id$  correspond to a configuration  $C$  of the machine. Let a set of transition  $id \xrightarrow{get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)} id' \xrightarrow{set\_cell_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)} id'' \xrightarrow{NEXT(x_1, \dots, x_n; x_1, \dots, x_n)} id'''$ . From construction of  $S_{duplicator}$ , there is a transition  $q \xrightarrow{a/bR} q'$  in  $M$ .  $y_j=f_{n+1}^R(\alpha(x_1, \dots, \alpha(x_n)))$  in  $id$ .  $letter=a$  in  $d'$  so  $y_j=a$ , then  $C \xrightarrow{qabRq'} C' , f_{n+1}^R(\alpha''(x_1, \dots, \alpha''(x_n)))=b$  in  $id''$ . Then  $y_j$  in  $C'=b$ , and the head point on  $j+1$ , because after executing NEXT, the binary number  $x_1 \dots x_n=j$ .

The same reasoning is used where  $q$  is existential with the difference that  $S_{duplicator}$  has an additional transition before executing  $get\_cell$ , it send the message  $m()$ , which does not change the values of the variables nor the database. Also for the action labelled with  $L$ , we just replace NEXT by PREVIOUS.  $\square$

**Lemma 12** *Given an alternating Turing machine  $M$  working in space exponentially bounded by the size  $n$  of the input word  $w$ .  $M$  has an infinite computation on  $w$  iff  $S_{spoiler} \preceq S_{duplicator}$ .*

*Démonstration.*  $S_{spoiler}, S_{duplicator}$  start by checking the database and initializing the  $n$  first tuple with the input word  $w$ . If  $M$  has a transition  $q \xrightarrow{a/bR} q'$  and  $q$  universal, then  $S_{spoiler}$

has a loop :  $l_{\forall} \xrightarrow{\text{true} \mid get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)} l_{qbdq'} \xrightarrow{\text{true} \mid set\_cell_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)} l'_{qbdq'} \xrightarrow{\neg(x_1=1 \wedge \dots \wedge x_n=1) \mid NEXT(x_1, \dots, x_n; x_1, \dots, x_n)} l_{\forall}$

and the service  $S_{duplicator}$  contains transitions  $l_q \xrightarrow{\text{true} \mid get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)} l'_{qbdq'} \xrightarrow{letter=a \mid set\_cell_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)} l''_{qbdq'} \xrightarrow{\neg(x_1=1 \wedge \dots \wedge x_n=1) \mid NEXT(x_1, \dots, x_n; x_1, \dots, x_n)} l'_q$ . So the difference with  $S_{spoiler}$  is that,  $S_{duplicator}$  can only execute the transition

$l'_{qbdq'} \xrightarrow{letter=a \mid set\_cell_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)} l''_{qbdq'}$  if  $x_1 \dots x_n$  points on a tuple with value of  $W=a$ . Assume that the condition is verified, then if  $S_{spoiler}$  chose to execute any transition with label different from  $get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)$ ,  $S_{duplicator}$  wins the game by choosing the transition which reaches the state  $l_{copy}$ , if  $S_{spoiler}$  chooses to execute  $get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)$ , then  $S_{duplicator}$  execute  $get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)$  and it can execute  $set\_cell_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)$  and the game continue. Now, assume that the condition is not verified, then if  $S_{spoiler}$  chose to execute any transition with label different from  $get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)$ ,  $S_{duplicator}$  wins the game by choosing the transition which reaches the state  $l_{copy}$ , if  $S_{spoiler}$  chooses to execute  $get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)$ , then  $S_{duplicator}$  execute  $get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)$  but it can not execute  $set\_cell_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)$ , because the condition is not verified, so there is not simulation.

If  $M$  has a transition  $q \xrightarrow{a/bR} q'$  and  $q$  existential,  $S_{spoiler}$  has transitions  $l_{\forall} \xrightarrow{\text{true}, !m()} l_{\exists} \xrightarrow{\text{true} \mid get\_cell_{qabq'_R}(x_1, \dots, x_n; letter)} l_{qbdq'} \xrightarrow{\text{true} \mid set\_cell_{qabq'_R}(x_1, \dots, x_n, b)} l_{\forall}$ .  $S_{duplicator}$  has transitions  $l'_{qbdq'} \xrightarrow{(x_1=1 \wedge \dots \wedge x_n=1) \mid NEXT(x_1, \dots, x_n; x_1, \dots, x_n)} l_{\forall}$ .

$l_q \xrightarrow{\text{true} \mid !m()} \text{choice}_{qbdq'}$ 
 $\xrightarrow{\text{true} \mid \text{get\_cell}_{qabq'_R}(x_1, \dots, x_n; \text{letter})} l_{q'}$

$l'_{qbdq'} \xrightarrow{\text{letter}=a \mid \text{set\_cell}_{qabq'_R}(x_1, \dots, x_n, b)} l''_{qbdq'}$ 
 $\xrightarrow{(x_1=1 \wedge \dots \wedge x_n=1) \mid \text{NEXT}(x_1, \dots, x_n; x_1, \dots, x_n)} l_{q'}$

So the difference with  $S_{spoiler}$  is that,  $S_{duplicator}$  can only execute the transition  $l'_{qbdq'} \xrightarrow{\text{letter}=a \mid \text{set\_cell}_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)} l''_{qbdq'}$  if  $x_1 \dots x_n$  points on a tuple with value of  $W=a$ . Assume that the condition is verified. If  $S_{spoiler}$  does not execute the transition with sending message, it loses the simulation, because  $S_{duplicator}$  can execute the transition to  $l_{copy}$ . Assume that  $S_{spoiler}$  sends the message  $m()$ , then  $S_{duplicator}$  also sends the message. At this step  $S_{spoiler}$  reaches the state  $l_{\exists}$ , if it chooses a transition different from  $\text{get\_cell}_{qabq'_R}(x_1, \dots, x_n; \text{letter})$ ,  $S_{duplicator}$  wins the game by choosing the transition which reaches the state  $l_{copy}$ . If  $S_{spoiler}$  chooses to execute  $\text{get\_cell}_{qabq'_R}(x_1, \dots, x_n; \text{letter})$ , then  $S_{duplicator}$  executes  $\text{get\_cell}_{qabq'_R}(x_1, \dots, x_n; \text{letter})$  and it can execute  $\text{set\_cell}_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)$  and the game continue. Now, assume that the condition is not verified, if  $S_{spoiler}$  at state  $l_{\forall}$  does not choose sending the message it loses the simulation. Assume that it sends the message and reaches  $l_{\exists}$ , then  $S_{duplicator}$  also sends the message. Then if  $S_{spoiler}$  choose to execute any transition with label different from  $\text{get\_cell}_{qabq'_R}(x_1, \dots, x_n; \text{letter})$ ,  $S_{duplicator}$  wins the game by choosing the transition which reaches the state  $l_{copy}$ . If  $S_{spoiler}$  chooses to execute  $\text{get\_cell}_{qabq'_R}(x_1, \dots, x_n; \text{letter})$ , then  $S_{duplicator}$  executes  $\text{get\_cell}_{qabq'_R}(x_1, \dots, x_n; \text{letter})$  but it can not execute  $\text{set\_cell}_{qabq'_R}(x_1, \dots, x_n, b; \emptyset)$ , because the condition is not verified, so there is not simulation. Because when  $S_{spoiler}$  send the message  $m()$ , there is many transition at state  $l_q$  which can send the message  $m()$ , there is no simulation if all transition of  $l_q$  labelled with sending  $m()$  will block.  $\square$

### Proofs of Chapter 3

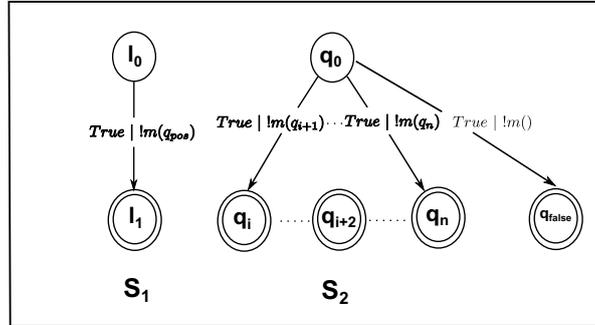


FIGURE A.1 – connection between simulation and the language  $\mathcal{L}_S$

**Lemma 27.** *Let  $P$  be a formula expressed in the language  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$ , where  $\mathcal{L}_S$  is a boolean query language. Then, there exists two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services  $S_1$  and  $S_2$  such that the formula  $P$  is satisfiable iff  $S_1 \not\leq S_2$*

*Démonstration.* the proof follow the same spirit as the proof of lemma 18. It is based on a reduction from the problem of testing satisfiability of a formula to the problem of checking simulation between two  $(\emptyset, \mathcal{L}_S, \emptyset)$  services.  $P$  is of the form  $q_1() \wedge q_2() \dots \wedge q_i() \wedge \neg q_{i+1}() \wedge \dots \wedge \neg q_n()$  where each  $q_j$  where  $j \in [1, n]$  is a boolean query expressed in the language  $\mathcal{L}_S$ . For  $k \in [1, i]$ ,  $q_k$  is a positive boolean query of the form  $q_k() :-body_k$ . We construct the

boolean query  $q_{pos} = \bigwedge_{k \in [1, i]} body_k$ .  $q_{pos}$  still a boolean query expressed in the language  $\mathcal{L}_S$ . The figure A.1 depicts the test of simulation constructed, where the service  $S_1$  sends the message  $m(q_{pos})$ . The service  $S_2$  will have  $n - i + 1$  transitions (i.e. the number of negated queries plus one), where each transition is labeled with  $m(q_k)$  with  $k \in [i + 1, n]$ . The last transition of  $S_2$  is labeled with  $!m()$  (i.e., send the empty message). Hence  $S_1 \not\leq S_2$  iff there exists an instance  $I$  such that  $I \models q_{pos}$  and for each  $k \in [i + 1, n]$   $I \not\models q_k$ . So, the formula  $P$  is satisfiable. Hence, Simulation in  $(\emptyset, \mathcal{L}_S, \emptyset)$  services is undecidable if satisfiability in  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$  is undecidable. Note that the transition labeled with  $!m()$  is used to handle the case where  $S_1$  chooses an instance  $I \not\models q_{pos}$  and  $I \models q_k$  for  $k \in [i + 1, n]$ .  $\square$

**Theorem 10** *Simulation in  $(\emptyset, \mathcal{L}_S, \emptyset)$  services is undecidable if satisfiability of formula in  $\mathcal{L}_S \cup \{\wedge^b, \neg^b\}$  is undecidable, where  $\mathcal{L}_S$  is a boolean query language.*

*Démonstration.* The theorem is a direct consequence of lemma 27.  $\square$

### Complexity of the simulation for $(\emptyset, \mathcal{L}_{LP}, \emptyset)$

#### **Problem 5. CO-SEND** $(\emptyset, \mathcal{L}_{LP}, \emptyset)$

*Inputs :* two  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  services  $S$  and  $S'$ . *Question :*  $S \not\leq S'$  ?

**Proposition 4** *the problem CO-SEND  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  is in  $\Sigma_2^P$*

*Démonstration.* Let  $S$  and  $S'$  be two  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  services and let  $\mathcal{P}_Q$  be the set of partitions of the propositional logic queries (i.e, boolean queries containing only constants) used in  $S$  or in  $S'$ . Given a partition  $p \in \mathcal{P}_Q$  and an oracle checking the satisfiability of partitions of  $\mathcal{P}_Q$ , it is possible to check in polynomial time whether  $S$  is not simulated by  $S'$ . Indeed, it is sufficient to check the consistency of the partition  $p$  and then check the simulation between the two finite state machines  $FSM_p(S)$  and  $FSM_p(S')$ . Because satisfiability of a propositional logic formula is NP-complete, CO-SEND  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  is in  $\Sigma_2^P$ .  $\square$

We will prove the NP-hardness of the problem CO-SIM  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  using a reduction from . The reduction is nearly the same as for the complexity of simulation for  $(\mathcal{L}_{PL}, \emptyset, \emptyset)$  services. Starting from an instance  $\varphi$  of 3-SAT problem using  $n$  boolean variables  $\{x_1, \dots, x_n\}$ , we construct a test of simulation between two  $(\emptyset, \mathcal{L}_{PL}, \emptyset)$  services namely  $S_{spoiler}$  and  $S_{duplicator}$ .

**Lemma 28.** *Given a 3-SAT problem instance with  $n$  boolean variables, the problem has a solution iff  $S_{3SAT-spoiler} \not\leq S_{3SAT-duplicator}$ , where  $S_{3SAT-spoiler}$  and  $S_{3SAT-duplicator}$  are  $(\emptyset, \mathcal{L}_{PL}, \emptyset)$  services.*

*Démonstration.* Let the formula  $\varphi$  be a 3-SAT problem instance with  $n$  variables. The idea of the proof is that,  $S_{3SAT-spoiler}$  will have a transition which sends the message  $m(q_\varphi)$ .  $q_\varphi$  is a boolean query having  $\varphi$  as body.  $S_{3SAT-duplicator}$  will have a transition which sends the empty message  $!m()$ . Hence, if there exists a database instance  $I$  over  $\mathcal{W}_g$  such that  $\varphi$  is true then  $S_{3SAT-spoiler}$  sends *true* in the message  $m()$  and  $S_{3SAT-duplicator}$  sends *m empty*. Then, there is no simulation. Now we will detail the construction.  $\mathcal{W}_g$  will contain  $n$  boolean relational schema  $\{R_1, \dots, R_n\}$ . An instance  $I$  over  $\mathcal{W}_g$  corresponds to a set of instance  $\{I_{R_1}, \dots, I_{R_n}\}$ . The relations  $R$  do not have any attribute, and there are only two possible instance : one containing the empty tuple, then we say  $R$  is evaluated to true, the other instance is empty then we say  $R$  is evaluated to false.

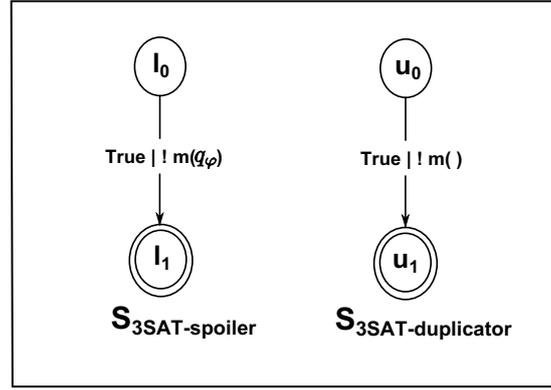


FIGURE A.2 – Reduction from 3-SAT problem to simulation.

$S_{3SAT-spoiler}$  will contain only one transition  $(l_0, True, !m(q_\varphi), l_1)$  and  $S_{3SAT-duplicator}$  contains one transition  $(u_0, True, !m(), u_1)$ . A literal  $x_i$  (or its negation  $\neg x_i$ ) in  $\varphi$  will be transformed to  $R_i()$  ( $\neg R_i()$ ) in  $q_\varphi$ .

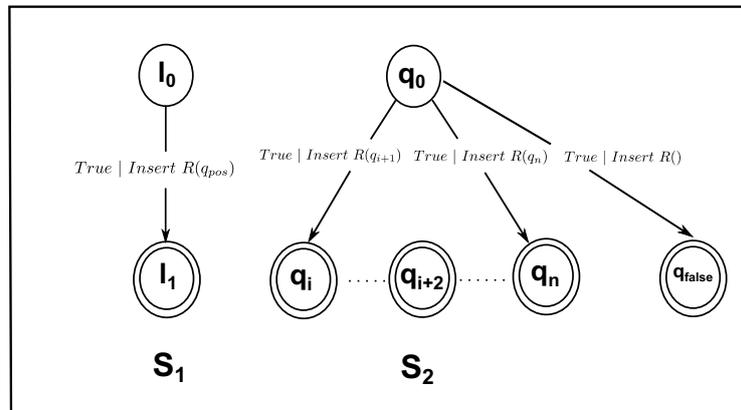
If there exists an instance  $I \models \varphi$  (hence, 3-SAT has a solution), then  $S_{3SAT-spoiler}$  sends the message  $m(true)$  while  $S_{3SAT-duplicator}$  sends the empty message  $!m()$ . Hence,  $S_{3SAT-spoiler} \not\leq S_{3SAT-duplicator}$ . If 3-SAT does not accept any solution, there is no database instance  $I$  which satisfies the query  $q_\varphi$ , hence there is simulation. The figure A.2 depicts the test of simulation between  $S_{3SAT-spoiler}$  and  $S_{3SAT-duplicator}$ .  $\square$

**Theorem 12** CO-SEND  $(\emptyset, \mathcal{L}_{LP}, \emptyset)$  is NP-HARD

*Démonstration.* From lemma 28 and knowing that the 3-SAT problem is NP-HARD [Coo71].  $\square$

**connection between simulation for  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  and satisfiability of  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$**

**Lemma 29.** Let  $P$  be a formula expressed in the language  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$ , where  $\mathcal{L}_I$  is a boolean query language. Then, there exists two  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services  $S_1$  and  $S_2$  such that the formula  $P$  is satisfiable iff  $S_1 \not\leq S_2$ .

FIGURE A.3 – Connection between simulation of  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services and the language  $\mathcal{L}_I$

*Démonstration.* the proof follow the same spirit as the proof of lemma 18. It is based on a reduction from the problem of testing satisfiability of a formula to the problem of checking simulation between two  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services.  $P$  is of the form  $q_1() \wedge q_2() \dots \wedge q_i() \wedge \neg q_{i+1}() \wedge \dots \neg q_n()$  where each  $q_j$  with  $j \in [1, n]$  is a boolean query expressed in the language  $\mathcal{L}_I$ . For  $k \in [1, i]$ ,  $q_k$  is a positive boolean query of the form  $q_k() :-body_k$ . We construct the boolean query  $q_{pos} = \bigwedge_{k \in [1, i]} body_k$ .  $q_{pos}$  still a boolean query expressed in the language  $\mathcal{L}_I$ . The figure A.3 depicts the test of simulation constructed, where the service  $S_1$  inserts the result of  $q_{pos}()$  in  $R$ . The service  $S_2$  will have  $n - i + 1$  transitions (i.e. the number of negated queries plus one), where each transition inserts the result of  $q_k()$  in  $R$  with  $k \in [i + 1, n]$ . The last transition of  $S_2$  inserts nothing in  $R$ . Hence  $S_1 \not\sim S_2$  iff there exists an instance  $I$  such that  $I \models q_{pos}$  and for each  $k \in [i + 1, n]$   $I \not\models q_k$ . So, the formula  $P$  is satisfiable. Hence, Simulation in  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services is undecidable if satisfiability in  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$  is undecidable. Note that the last transition of  $S_2$  is used to handle the case where  $S_1$  chooses an instance  $I \not\models q_{pos}$  and  $I \models q_k$  for  $k \in [i + 1, n]$  or an instance of  $R$  containing *True*.  $\square$

**Theorem 13** *Simulation in  $(\emptyset, \emptyset, \mathcal{L}_U^{insert(\mathcal{L}_I)})$  services is undecidable if checking satisfiability of formulas in  $\mathcal{L}_I \cup \{\wedge^b, \neg^b\}$  is undecidable.*

*Démonstration.* From lemma 29.  $\square$



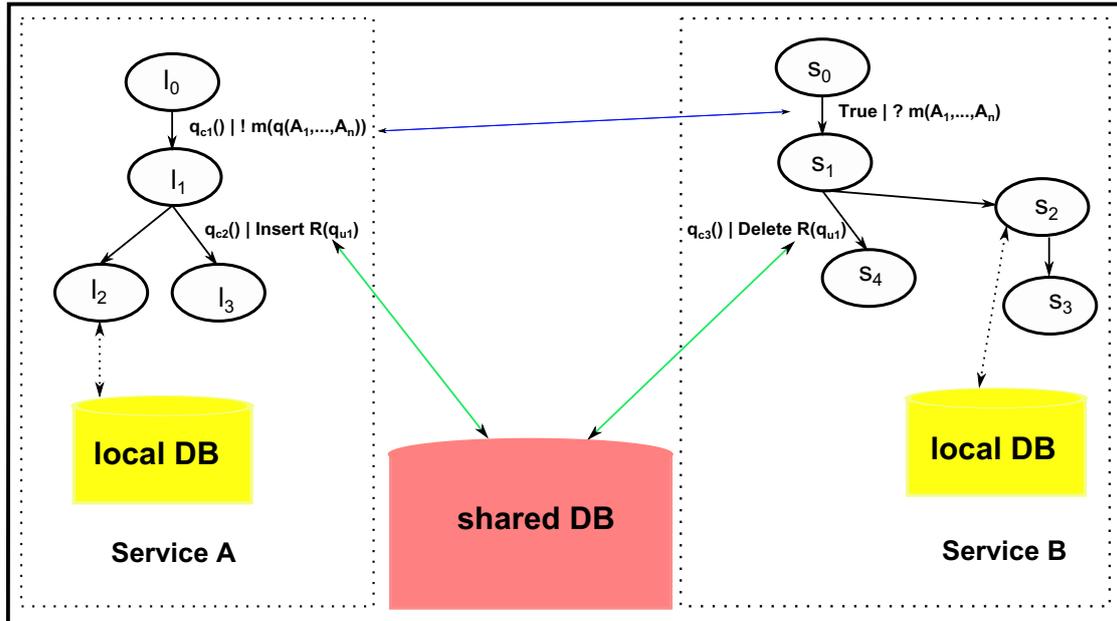


FIGURE 1.1 – Generic web service framework.

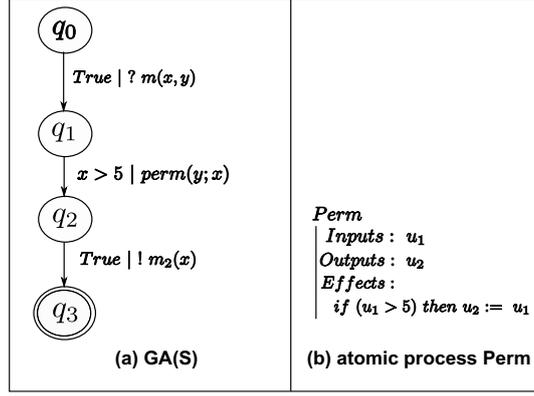
(e.g., the service A sends a message  $m$ , which contains a result of the query  $q$ ). A query  $q$  can be defined over the local as well as the shared database. The transitions are guarded by boolean queries ( $q_{ci}$ ). Finally, services can modify the databases using *update queries* (e.g., the service A inserts the result of the query  $q_{u1}$  into the relation  $R$ ). In this thesis, we focus our attention on insert queries and we do not consider delete and modify queries.

In order to isolate and study the impact of the different parameters of the generic model on the simulation preorder, we investigate the decidability and complexity issues of the simulation for various classes of our generic model. Each class is characterized by :

- the type of actions supported by the model, e.g., the service can only send messages, or only insert in the database, ... etc,
- the languages used to instantiate respectively  $\mathcal{L}_T$ ,  $\mathcal{L}_U$  and  $\mathcal{L}_S$ ,
- the presence or not of the local database (i.e., in the presence of local database, we study weak simulation).

Table 1.2 summarizes the considered sub-classes of the generic model as well as the obtained results. We consider more precisely the following classes :

- Update-free services. This class represents services which are not able to make modifications over the databases. The class of update-free service is decomposed into two sub-classes :
  - Guarded services, this class enables to focus on the role played by the language of guards ( $\mathcal{L}_T$ ) on the decidability of the simulation relation. Our main result regarding this class lies in a full characterization of the decidability of simulation in terms of the decidability of checking satisfiability of formulas expressed in the language  $\mathcal{L}_T$  augmented with a restricted form of negation. We denote this language  $\mathcal{L}_T \cup \{\wedge^b, \neg^b\}$  (i.e., the conjunction and negation is applied on boolean  $\mathcal{L}_T$  formulas). As for the case of  $Colombo^{DB=\emptyset}$ , we use a finite symbolic representation of update-free services by partitioning the original infinite state space into a finite number of equivalence classes.
  - Send services. This class represents update-free services which send the results of

FIGURE 2.11 – A  $Colombo^{db=\emptyset}$  service  $S$ .

Hence, the set of elementary intervals over  $K$  is :

$$I_K = \{[\omega, \omega], ] - \infty, 5[, [5, 5], ]5, +\infty[\}$$

while the set  $R_g(X, K)$  includes, among others, the following regions :

- $r_\omega = ([\omega], [\omega], \{x = \omega, y = \omega\}$
- $r_1 = ([\omega], ] - \infty, 5[, \{x = \omega, y = y\}$
- $r_2 = (]5, +\infty[, ]5, +\infty[, \{y < x\}$
- $r_3 = (]5, +\infty[, ]5, +\infty[, \{y = x\}$
- $r_4 = (]5, +\infty[, ]5, +\infty[, \{x < y\}$
- $r_5 = (]5, +\infty[, [5, 5], \{y < x\}$
- $r_6 = (]5, +\infty[, ] - \infty, 5[, \{y < x\}$
- ...

The corresponding region automaton  $R^S$  is depicted at figure 2.12. The initial state of  $R^S$  is made of the pair  $(q_0, r_\omega)$ . We illustrate below the cases (a), (b) and (c) of definition 6 on this region automaton.

- the transition  $(q_0, ?m1(x, y), q_1)$  of  $GA(S)$  (c.f., figure 2.11), is translated into a set of transitions  $((q_0, r_\omega), ?m1(x, y), (q, r))$  with  $r \in R_g(X, K)$  (case (b) of definition 6). This captures the fact that on a reception of a message  $?m1(x, y)$ , any new values may be associated to the variables  $x$  and  $y$ .
- the transition  $(q_1, x > 5 \mid Perm(y; x), q_2)$  of  $GA(S)$ , enables to a create new transition from the state  $(q_1, r_2)$  of  $R^S$  as illustrated below :
  - $((q_1, r_2), Perm(y; x), (q_2, r_3))$ , this is because the region  $r_2$  satisfies both the guard  $x > 5$  of the transition and the condition  $u_1 > 5$  of the atomic process (case (c-1) of definition 6). Hence, in this case the atomic process Perm is executed. The atomic process Perm assigns variable  $y$  to the variable  $x$ , hence the region automata moves to a region where  $\tau_x := \tau_y$  and requires to have  $x = y$  in the associated v-order. In our example, region  $r_3$  satisfies both conditions.
  - $((q_1, r_5), Perm(y; x), (q_2, r_5))$ , this is because the region  $r_5$  satisfies the guard  $x > 5$  of the transition but does not satisfy the condition  $u_1 > 5$  of the atomic process Perm (case (c-2) of definition 6). According to the Colombo semantics, the transition is fired but the atomic process Perm execute a *no-op* operation (no operation). As a consequence, the region automata moves to state  $q_2$  while staying in the same region  $r_5$ .
- the transition  $((q_2, r_5), !m2(x, ), (q_3, r_5))$  (case (a) of definition 6). A send of a message does not modify values of the variables, hence upon sending the message  $!m2(x)$ ,

the region automaton  $R^S$  moves into a new state  $(q_3, r_5)$  while staying in the same region  $r_5$ .

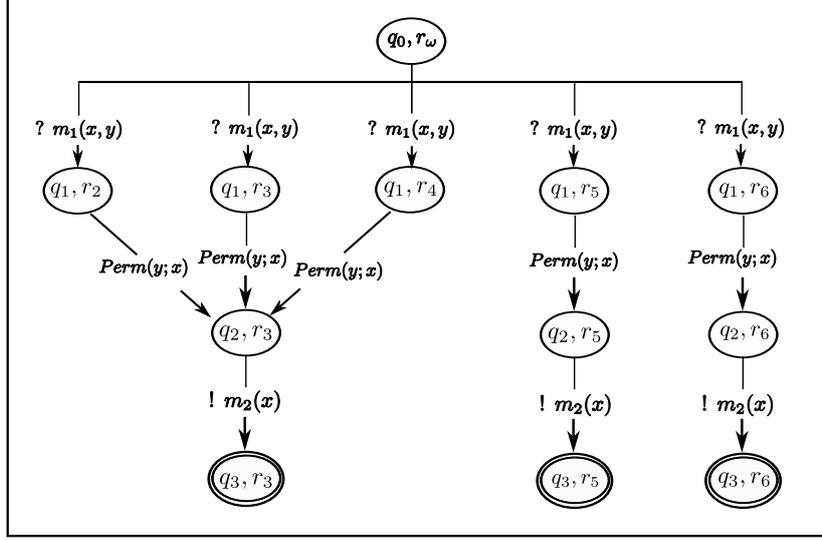


FIGURE 2.12 – A region automaton  $R^S$ .

In the following we show that the region automata  $R^S$  constitutes a compact representation of the extended state machine of  $E(S)$  and hence it faithfully abstracts the original Colombo service  $S$ . To do so, we define the notion of unfolding of a region automaton  $U_{nfold}(R^S)$  as given below.

**Definition 7.** (*unfolding of region automata*) Let  $R^S = (Q^S, q_0^S, F^S, \delta^S, R_g(X, K))$  be a region automata of a service  $S$ . The associated extended state machine, noted  $U_{nfold}(R^S)$ , is a tuple  $U_{nfold}(R^S) = (\mathbb{Q}^g, \mathbb{Q}_0^g, \mathbb{F}^g, \Delta^g)$  where :

- $\mathbb{Q}^g = \bigcup_{r \in R_g(X, K)} \{(q, \alpha) \text{ s.t. } (q, r) \in Q^S, \alpha \in r\}$ .
- $\mathbb{Q}_0^g = \{(q_0, \alpha_w)\}$ , with  $\alpha_w(x) = \omega, \forall x \in LStore(S)$ .
- $\mathbb{F}^g = \bigcup_{r \in R_g(X, K)} \{(q, \alpha) \text{ s.t. } (q, r) \in F^S, \alpha \in r\}$ .
- $\forall (q, r) \xrightarrow{\mu_i} (q', r') \in \delta^S$ , a new transition  $(q, \alpha) \xrightarrow{\mu_i} (q', \alpha')$  is added to  $\Delta$  such that  $\alpha \in r, \alpha' \in r'$  and :
  - (a) if  $\mu = !m(v_1, \dots, v_m)$ , then  $\alpha' = \alpha$ .
  - (b) if  $\mu = ?m(v_1, \dots, v_m)$  then  $\forall x \in LStore(S) \setminus \{v_1, \dots, v_m\}$ , we have  $\alpha'(x) = \alpha(x)$ .
  - (c) If  $\mu = p(u_1, \dots, u_n; v_1, \dots, v_m, \{c, E\})$ , we have two cases :
    - (c-1) if  $r \wedge \theta \wedge c$  is consistent then  $\forall x \in LStore(S) \setminus \{v_1, \dots, v_m\}$ , we have  $\alpha'(x) = \alpha(x)$  and for each  $i \in [1, m]$ , we have :
      - If  $v_i := k \in E$ , with  $k \in \mathcal{D} \cup \{\omega\}$ , then  $\alpha'(v_i) = k$
      - If  $v_i := u_j \in E$  then  $\alpha'(v_i) = \alpha(u_j)$
    - (c-2) if  $r \wedge \theta \wedge \neg c$  is consistent, then  $\alpha' = \alpha$ .

A run of  $U_{nfold}(R^S)$  is any finite path from an initial configuration of  $E(RS)$  to one of its final configurations.

**Example 18.** Figure 2.13(b) depicts part of the extended automata obtained by unfolding the region automata of figure 2.13(a) which corresponds to a fragment of the region automata of figure 2.12.

**Alternating Turing machine M** An alternating Turing machine M [CKS81] is a tuple  $(\mathbb{Q}, q_0, \Gamma, \delta, mode)$  where :

- $\mathbb{Q}$  is the set of control states.
- $q_0$  is the initial state.
- $\Gamma$  is the set of tape symbols.
- $mode : \mathbb{Q} \rightarrow \{\forall, \exists, \text{accept}, \text{reject}\}$  is the labelling function of control state.
- $\delta : \mathbb{Q} \times \Gamma \rightarrow \mathcal{P}(\mathbb{Q} \times \Gamma \times \{L, R\})$ .

A configuration  $C$  of  $M$  is of the form  $y_1, \dots, qy_j, \dots, y_n$ , where  $q$  is a state of the machine, and the head points actually on the  $j$ 'th letter on the tape (i.e.,  $y_i$  are the letters of the word on the tape). A transition  $qa \rightarrow bRq'$  is applicable from a configuration  $C$  if the letter pointed by the head is equal to  $a$  ( $y_j = a$ ), then the successor  $C'$  of  $C$  is equal to  $y_1, \dots, y_j, q'y_{j+1}, \dots, y_n$  s.t  $y_k = y'_k$  for  $k \in [1, n]$  and  $k \neq j$  and  $y_j = b$ . We note this step  $C \xrightarrow{qa/bRq'} C'$  or  $(y_1, \dots, qy_j, \dots, y_n) \xrightarrow{qa/bRq'} (y_1, \dots, y_j, q'y_{j+1}, \dots, y_n)$ . The machine  $M$  starts on  $C_0 = qy_1, \dots, y_n$ , where  $y_i = w_i$ , the  $i$ 'th letter of the input word  $w$ .

The definition of acceptance of an alternating Turing machine is recursive :

- If the configuration  $C$  is in an accepting control state  $q$ , then  $C$  is accepting.
- If the configuration  $C$  is in an rejecting control state  $q$ , then  $C$  is rejecting.
- If the configuration  $C$  is in a universal control state  $q$ , then  $C$  is accepting if all the configurations reachable from  $C$  in one step are accepting and rejecting if some configurations reachable from  $C$  in one step are rejecting.
- If the configuration  $C$  is in an existential control state  $q$ , then  $C$  is accepting if some configurations reachable in one step are accepting and rejecting when all configurations reachable in one step are rejecting (the case of classical non-deterministic Turing machine correspond to an alternating machine where all states are existential).

$M$  is said to accept an input word  $w$  if the initial configuration of  $M$  is accepting, and to reject  $w$  if the initial configuration is rejecting. A configuration reachable in one step from configuration  $C$  is called a *successor* of  $C$  and the set of *successors* of  $C$  is denoted  $successors(C)$ .

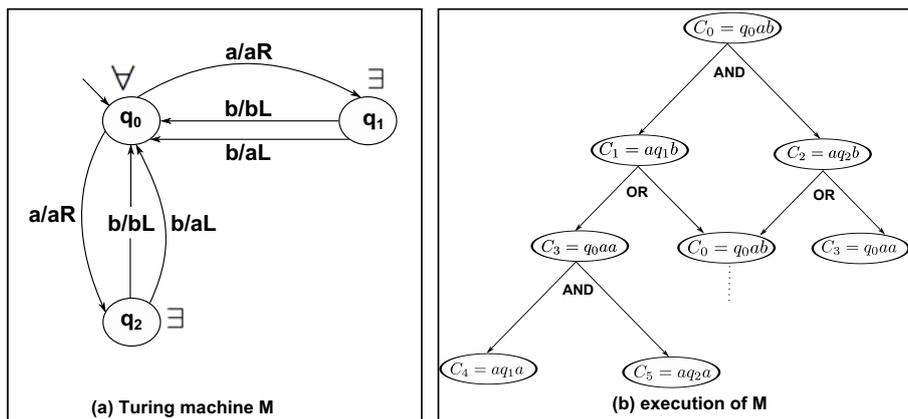
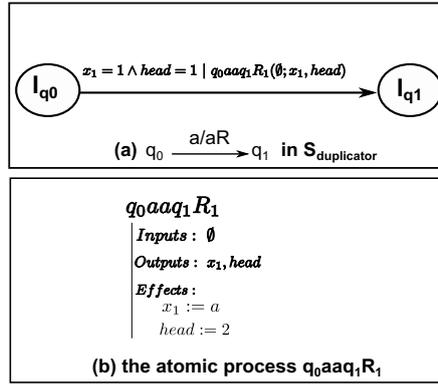
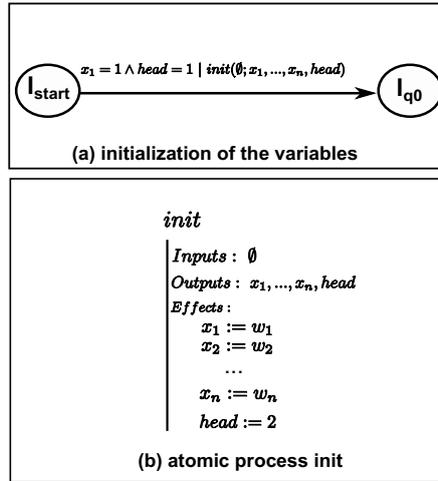


FIGURE 2.14 – Alternating Turing machine  $M$ .

We consider the problem of the existence of an infinite execution of an alternating Turing machine  $M$  on an input word  $w = y_1, \dots, y_n$ , where  $y_i$ 's are letters from  $\Gamma$ . That is given a word  $w$  as input,  $M$  can make choices of existential transitions such that whatever the transitions chosen by universal states the machine continues the execution. Assume

FIGURE 2.15 – A transition in  $S_{\text{duplicator}}$  corresponding to a transition of  $M$ .FIGURE 2.16 – initialization of variables in  $S_{\text{duplicator}}$ .

**Example 21.** Figure 2.16 depicts the initialization of the service  $S_{\text{duplicator}}$  corresponding to the machine  $M$  of the example 19, where  $x_1 := a$ ,  $x_2 := b$  and  $head := 1$ .

- Before giving the construction of  $S_{\text{duplicator}}$ , we need to introduce some notations :
- $\mathcal{P}$  is the set of all atomic processes used to encode actions of the machine  $M$ , it contains the following sets :
    - $\{qabq'R_i(\emptyset; x_i, head) \mid q \xrightarrow{a/bR} q' \text{ in } M \text{ and } i \in [1, n-1]\}$   
For each transition of the machine labelled with a move to the right, we create  $n-1$  atomic processes to encode it.
    - $\{qabq'L_i(\emptyset; x_i, head) \mid q \xrightarrow{a/bL} q' \text{ in } M \text{ and } i \in [2, n]\}$   
For each transition of the machine labelled with a move to the left, we create  $n-1$  atomic processes to encode it.
- The atomic process  $qabq'R_i(\emptyset; x_i, head)$  has no condition, it assigns to  $x_i$  the value  $b$  and increments the head. The atomic process  $qabq'L_i(\emptyset; x_i, head)$  has no conditions, it assigns to  $x_i$  the value  $b$  and decrements the head.
- $g_i^a$  is a condition of the form  $x_i = a \wedge head = i$ . It will be used as guard on transitions of  $S_{\text{duplicator}}$ .

The incrementation is not allowed in the definition of the Colombo model. When defining the effects of the atomic process, we write the result of the sum rather than the operation of incrementation. For example, in the atomic process  $qabq'R_1(\emptyset; x_1, head)$ ,

Note that, if the machine reads or writes the special blank character  $B$  during a transition, then we replace the constants  $a, b$  by the special symbol  $\omega$ , in the construction of the corresponding transition.

$S_{duplicator}$  starts by initializing the variables representing the cells with the input word. If  $M$  has a transition  $q \xrightarrow{a/bR} q'$  and  $q$  is a universal state, then the service contains  $n - 1$  transitions from  $l_q$  to  $l_{q'}$  labelled with condition/action : if  $x_i = a$  and the head points on  $i$  then we can execute the atomic process which modifies  $x_i$  to  $b$  and increments the head. So,  $S_{duplicator}$  can only execute the atomic process representing the transition  $q \xrightarrow{a/bR} q'$  if the actual value of  $x_i = a$  and the head points on  $i$ . Note that, for any actual valuation of variables, there is only one transition from the "n-1" transitions which can be executed. This is due to the guards where several  $x_i$  can verify the condition but the head points only to one cell.

If  $q$  is an existential state, then  $S_{duplicator}$  sends a message  $m$  before executing the atomic process. The state  $l_{copy}$  contains a set of self loop labelled with all atomic processes  $\mathcal{P}$  and  $!m()$  (if  $S_{duplicator}$  reaches this state, it wins the simulation). All transitions which reach the state  $l_{copy}$  are used to prevent  $S_{spoiler}$  from cheating during the test of simulation.

The next lemma asserts that each configuration of  $M$  on the input word  $w$  has a corresponding configuration in the extended state machine of  $S_{duplicator}$ . The proof is obtained by induction (details are given in appendix A).

**Lemma 6.** *Each configuration  $C$  of the execution of an alternating Turing machine  $M$  on an input  $w$  has a corresponding configuration in the extended state machine of  $S_{duplicator}$ .*

**Example 22.** The Figure 2.17 depicts the part of service  $S_{duplicator}$  corresponding to the transition  $q_0 \xrightarrow{a/aR} q_1$  where  $q_0$  is universal, and the two transitions  $q_1 \xrightarrow{b/bL} q_0$  and  $q_1 \xrightarrow{b/aL} q_0$  where  $q_1$  is an existential state of the machine  $M$  of example 19.

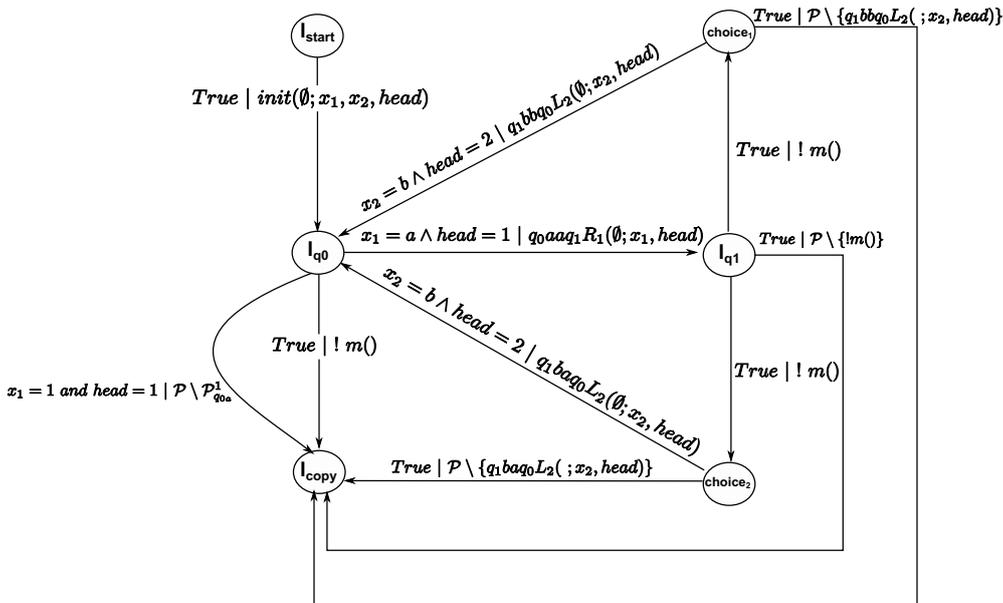
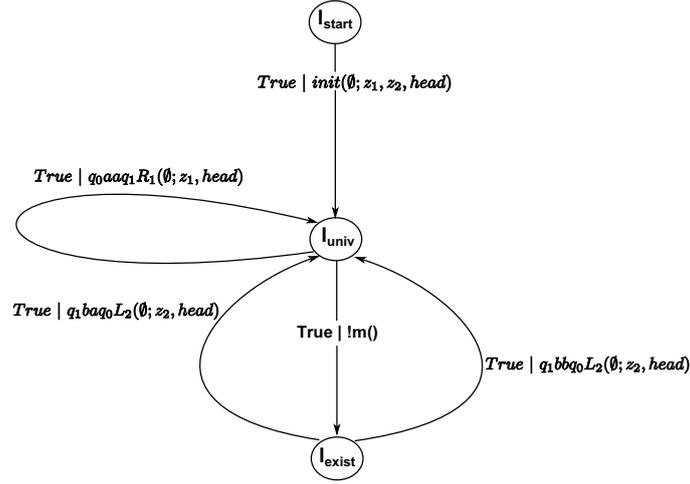


FIGURE 2.17 – A part of the service  $S_{duplicator}$ .

FIGURE 2.18 – part of  $S_{spoiler}$ .

**Lemma 8.** *Given two DB-less Colombo services  $S, S'$ , checking whether  $S \preceq S'$  is EXPTIME-hard.*

Hence, the following theorem can now be claimed from proposition 1 and lemma 8

**Theorem 5.** *Given two DB-less Colombo services  $S, S'$ , checking whether  $S \preceq S'$  is EXPTIME-complete.*

## 2.5 Decidability of simulation in $Colombo^{bound}$

We study in this section the simulation problem in the setting of a Colombo model with a *bounded* global database (i.e., the size of the instance over  $\mathcal{W}$  is at most equal to a constant  $k$ ). Given two services  $S$  and  $S'$ ,  $S$  is *k-bounded simulated* by  $S'$  means that  $S'$  is able to reproduce the behavior of  $S$  on all executions where the size of the database is at most equal to  $k$ . We will prove that the simulation is decidable in this setting by providing a reduction to a test of simulation between two DB-less  $Colombo^{DB=\emptyset}$  services. This is done by encoding the bounded database using a finite set of variables. First we start by giving the definition of *k-bounded extended state machines*, which is used to capture the notion of k-bounded simulation. Then we give the construction of the DB-less service and prove the equivalence of the two tests.

### 2.5.1 k-bounded extended state machine $E^k(S)$ and k-bounded simulation

Let  $k$  be an integer. We call a database instance  $I$  k-bounded if  $|I| \leq k$ . The k-bounded extended state machine  $E^k(S)$  of a Colombo service  $S$  is the extended state machine  $E(S)$  of  $S$  restricted to configurations having k-bounded instances.

**Definition 9.** *Let  $S$  be a Colombo service and  $E(S) = (\mathbb{Q}, \mathbb{Q}_0, \mathbb{F}, \Delta)$  the associated extended state machine, then  $E^k(S) = (\mathbb{Q}^k, \mathbb{Q}_0^k, \mathbb{F}^k, \Delta^k)$  is the k-bounded extended state machine of  $S$  where :*

$$- \mathbb{Q}^k = \{(l, \mathcal{I}, \alpha) \mid (l, \mathcal{I}, \alpha) \in \mathbb{Q} \text{ and } |\mathcal{I}| \leq k\}.$$

The k-bounded extended state machine of  $S$  is the part of  $E(S)$  where all configurations contain only k-bounded databases. Like  $E(S)$ , a run  $\sigma$  of  $E^k(S)$  is a finite sequence

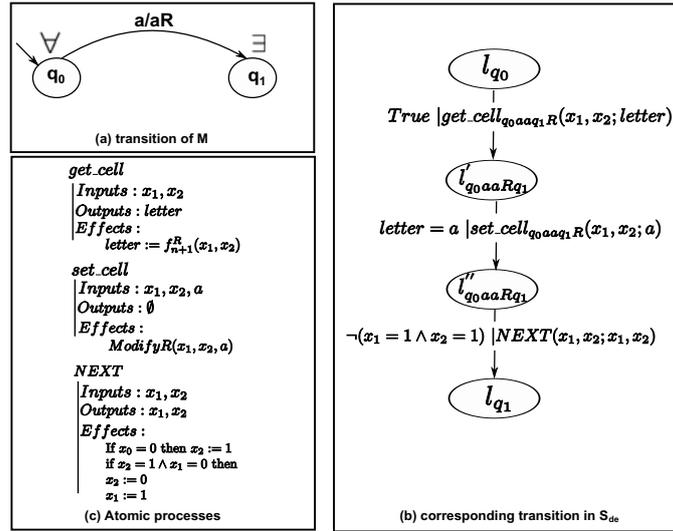


FIGURE 2.24 – transitions corresponding to  $q_0 \xrightarrow{a/aR} q_1$  in  $M$ .

- finally moves to the next tuple by executing a binary addition on  $x_1 \dots x_n$ .

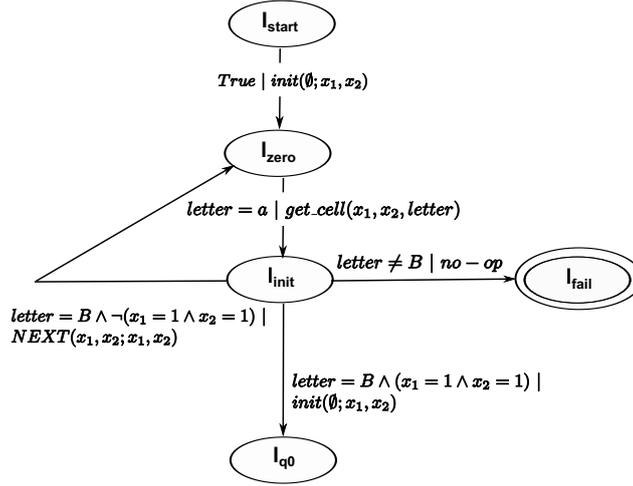
**Example 26.** Figure 2.24(a) depicts a transition of the machine  $M$  of example 19. If the actual value of the cell pointed by the head is equal to  $a$  and the machine is in the state  $q_0$ , the machine writes  $a$ , and moves to the next cell and reaches the state  $q_1$ . Suppose the input word is  $ab$ , so  $n=2$ . The part of  $S_{duplicator}$  representing this transition starts by storing the value of the attribute  $W$  corresponding to the tuple identified with the key  $x_1x_2$  in the variable  $letter$  (i.e.,  $letter := f_{n+1}^R(x_1, x_2)$ ) using the atomic process  $get\_cell$ . Then, the service tests if  $letter = a$  and writes in the current tuple the new value of  $W$  with  $set\_cell$ . After that, the service increments the binary number  $x_1x_2$  using the atomic process  $NEXT$ . As a consequence,  $x_1x_2$  points on the next tuple. The guard  $\neg(x_1 = 1 \wedge x_2 = 1)$  prevents a move to the right if the service points on the last cell. Note that, when encoding a transition of  $M$ , the service  $S_{spoiler}$  will not contain the guard  $letter = a$ , because  $S_{spoiler}$  will encode all transitions that the machine can do infinitely often.

The services  $S_{duplicator}$  and  $S_{spoiler}$  will start with an initialization part where they :

1. Check if all tuples identified with key from  $(0, \dots, 0)$  to  $(1, \dots, 1)$  contain the symbol  $B$ , which means the  $2^n$  cells are empty. In following, we will call the database instances which satisfy this condition *standard* instances and those that do not satisfy it *non-standard* instances.
2. initialize the  $n$  first tuples with the  $n$  letters of the input word  $w$ .

**Example 27.** Continuing with our example, figure 2.25 depicts the initialization part of the two services. The services start by assigning zero to  $x_1$  and  $x_2$ , then check if the value of the attribute  $W$  of the actual tuple identified with the key  $x_1x_2$  is equal to  $B$ . If  $x_1x_2$  points on an empty tuple and it is not the last tuple (key equal 11), the services increment the key and test the next tuple. If one of them does not contain  $B$ , then the database is *non-standard* and there is simulation. If all tuples ranged from 00 to 11 contain  $B$ , the services reinitialize the variables to zero.

For all executions starting with a *non-standard* database,  $S_{spoiler} \preceq S_{duplicator}$  is true, because the two services have the same initialization part. Figure 2.26 depicts examples of

FIGURE 2.25 – initialization part of  $S_{duplicator}$  and  $S_{spoiler}$ .

*standard* and *non-standard* databases. As we can see, the order of tuples is not important for standard databases (Figure 2.26(a) and figure 2.26(b)). The database depicted at figure 2.26(c) fails in the initialization part because  $f_3^R(1, 1)$  and  $f_3^R(0, 1)$  are equal to  $\omega$ , and the database depicted at figure 2.26(d) is *non-standard* because there are tuples with values different from  $B$  for the attribute  $W$ .

Relation R			Relation R		
A1	A2	W	A1	A2	W
1	0	B	0	0	B
0	0	B	0	1	B
1	1	B	1	0	B
0	1	B	1	1	B

(a) Standard database.      (b) Another standard database.

Relation R			Relation R		
A1	A2	W	A1	A2	W
1	0	B	0	0	B
0	0	B	0	1	a
			1	0	B
			1	1	b

(c) A not standard database.      (d) Another non-standard database.

FIGURE 2.26 – Standard database.

Now we will give the formal definition of atomic processes.

**Atomic processes**  $\mathcal{P}$  is the set of all atomic processes used to encode the actions of the machine  $M$  :

- for each transition  $q \xrightarrow{a/bR} q'$  in  $M$  :
  - $get\_cell_{qabq'R}(x_1, \dots, x_n; letter, CE)$  is an atomic process with one conditional effect :
    - $\theta$  : true.
    - $ev$  :  $letter := f_{n+1}^R(x_1, \dots, x_n)$ .
  - $set\_cell_{qabq'R}(x_1, \dots, x_n, b)$  is an atomic process with one conditional effect :

