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# Analysis, Structure and Organization of Complex Networks

Faraz Zaidi

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# THÈSE

présentée à

## L'UNIVERSITÉ BORDEAUX I

ÉCOLE DOCTORALE DE MATHÉMATIQUES ET INFORMATIQUE

par FARAZ ZAIDI

POUR OBTENIR LE GRADE DE

DOCTEUR

SPÉCIALITÉ : Informatique

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# Analyse, Structure et Organisation des Réseaux Complexes

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Soutenue le : 25 Novembre 2010

Après avis de :

M. Roger Guimerá    Adjunct Professeur    **Rapporteur**  
M. Neil Hurley ...    Senior Lecturer ...    **Rapporteur**

Devant la Commission d'Examen composée de :

M. Jean-Philippe Domenger    **Président**  
Professeur .....    Université de Bordeaux 1, France  
Mme. Céline Rozenblat .....    **Examinatrice**  
Professeur .....    Université de Lausanne, Suisse  
Mme. Gabriella Pasi .....    **Examinatrice**  
Associate Professeur ....    Università degli Studi di Milano Bicocca, Italia  
M. David Auber .....    **Examineur**  
Maître de Conference ...    Université de Bordeaux 1, France  
M. Roger Guimerá .....    **Rapporteur**  
Adjunct Professeur .....    Northwestern University, USA and ICREA, España  
M. Neil Hurley .....    **Rapporteur**  
Senior Lecturer .....    University College Dublin, Ireland  
M. Guy Melançon .....    **Directeur de Thèse**  
Professeur .....    Université de Bordeaux 1, France

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Analyse, Structure et Organisation des  
Réseaux Complexe

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Analysis, Structure and Organization of  
Complex Networks

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Faraz ZAIDI

# THESIS

presented to

## UNIVERSITY OF BORDEAUX I

DOCTORAL SCHOOL OF MATHEMATICS AND COMPUTER  
SCIENCE

By FARAZ ZAIDI

To Obtain the Grade of

DOCTOR OF PHILOSOPHY

SPECIALITY : COMPUTER SCIENCE

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# Analysis, Structure and Organization of Complex Networks

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Defended On : November 25, 2010

**Reviewed By :**

M. Roger Guimerá Adjunct Professor **Reviewer**  
M. Neil Hurley ... Senior Lecturer .. **Reviewer**

**Before the Jury :**

M. Jean-Philippe Domenger **President**  
Professor ..... University of Bordeaux 1, France  
Mme. Céline Rozenblat ..... **Examiner**  
Professor ..... University of Lausanne, Switzerland  
Mme. Gabriella Pasi ..... **Examiner**  
Associate Professor ..... University of Milano-Bicocca, Italy  
M. David Auber ..... **Examiner**  
Assistant Professor ..... University of Bordeaux 1, France  
M. Roger Guimerá ..... **Reviewer**  
Adjunct Professor ..... Northwestern University, USA and ICREA, Spain  
M. Neil Hurley ..... **Reviewer**  
Senior Lecturer ..... University College Dublin, Ireland  
M. Guy Melançon ..... **Director of Thesis**  
Professor ..... University of Bordeaux 1, France

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Réseaux Complexe

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Complex Networks

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Faraz ZAIDI

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I dedicate this thesis to my belief, that has blessed me to see this world, people and places. It has been the greatest teacher for me and whatever I have learned during this period, I will cherish it my entire life.



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## Analysis, Structure and Organization of Complex Networks

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Abstract :

Network science has emerged as a fundamental field of study to model many physical and real world systems around us. The discovery of small world and scale free properties of these real world networks has revolutionized the way we study, analyze, model and process these networks. In this thesis, we are interested in the study of networks having these properties often termed as complex networks. In our opinion, research conducted in this field can be grouped into four categories, Analysis, Structure, Processes-Organization and Visualization. We address problems pertaining to each of these categories throughout this thesis.

The initial chapters present an introduction and the necessary background knowledge required for readers. Chapters (3, 4, 5, 6, 7) all introduce a specific problem leading up to its solution. In Chapter 3, we present a visual analytics method to analyze complex networks. Based on this method, we also introduce a new metric to calculate the presence of densely connected vertices in networks. Chapter 4 deals with models to generate artificial networks having small world and scale free properties. We propose a new model to generate networks with these properties along with the presence of community structures. Extending from the results of our analysis in Chapter 3, we introduce a fast agglomerative clustering algorithm in Chapter 5. In Chapter 6, we address the issue of visualizing these complex networks through a system which combines simplification, clustering and dedicated layout algorithms. Finally we address the issue of evaluating the quality of clusters for complex networks that do not have densely connected vertices in Chapter 7. Each chapter is followed by a mini-conclusion and further research prospects. In the end, we summarize our results and conclude the thesis by presenting some research directions based on our findings.

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Keywords : Network Science, Graph and Network Analysis, Visual Analytics, Information Visualization, Network Metrics, Clustering Algorithms, Evaluating Cluster Quality  
Field : Computer Science

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## Analyse, Structure et Organisation des Réseaux Complexes

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Résumé :

La Science des Réseaux est apparue comme un domaine d'étude fondamental pour modéliser un grand nombre de systèmes synthétiques ou du monde réel. La découverte du graphe petit monde et du graphe sans échelle dans ces réseaux a révolutionné la façon d'étudier, d'analyser, de modéliser et de traiter ces réseaux. Dans cette thèse, nous nous intéressons à l'étude des réseaux ayant ces propriétés et souvent qualifiés de réseaux complexes. À notre avis, les recherches menées dans ce domaine peuvent être regroupées en quatre catégories: l'analyse, la structure, le processus/organisation et la visualisation. Nous abordons des problèmes relatifs à chacune de ces catégories tout au long de cette thèse.

Les premiers chapitres introduisent l'état de l'art nécessaire aux lecteurs. Les chapitres (3, 4, 5, 6, 7) abordent chacun un problème spécifique auquel nous proposons une solution. Dans le chapitre 3, nous présentons une méthode de visualisation analytique pour analyser les réseaux complexes. En s'appuyant sur cette méthode, nous introduisons une nouvelle métrique pour déterminer la présence de sommets largement connectés. Nous détaillons dans le chapitre 4 un ensemble de modèles pour générer des réseaux artificiels ayant les propriétés petit monde et sans échelle. Nous proposons un nouveau modèle générant des réseaux de ce type et qui contiennent, de plus, des structures communautaires. En extension des résultats d'analyse obtenus au chapitre 3, nous introduisons un algorithme de clustering agglomératif dans le chapitre 5. Dans le chapitre 6, nous abordons la question de la visualisation de ces réseaux complexes grâce à un système qui combine simplification et clustering avec des algorithmes de mise en page dédiée. Nous abordons enfin dans le chapitre 7 la question de l'évaluation de la qualité des clusters pour les réseaux complexes qui n'ont pas de sommets largement connectés. Nous concluons chaque chapitre par des perspectives de recherches dédiées. Enfin, nous résumons nos résultats et concluons cette thèse en proposant quelques futurs axes de recherches basés sur nos découvertes.

---

Mots-clef : Science de Réseaux, Analyse des Graphes et Réseaux, Analytique Visuel, Visualisation d'Information, Métriques des Réseaux, Algorithme de Clustering, Évaluation de qualité de Clusters

Discipline : Informatique

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# Chapter 1

## Introduction

Most real world systems can be modeled as networks where common examples include social networks, transportation systems and biological networks. A *network* is an abstract representation to model pairwise relations between objects from a certain collection. In mathematics literature, we use the term *graph* to represent the same concept. These objects are represented by circles called nodes (or vertices) and their relations are represented by lines called edges. From this simple mathematical structure, many complex systems from the real world can be represented intuitively. As an example, consider the image in Figure 1 where nodes represent people and two people are connected by an edge if they know each other. This simple diagram represents a social network of people. This network representation has gained a lot of popularity in recent times, mostly due to its simplicity, intuitive and inherent graphical representation.

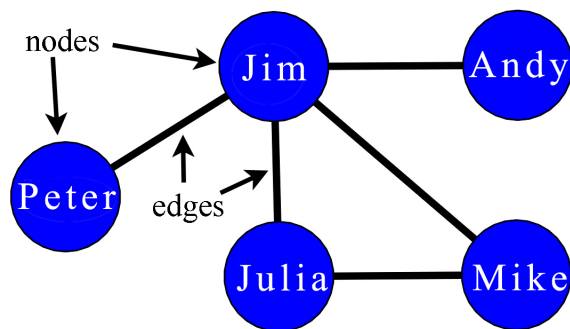


Figure 1: A network of people represented by nodes and edges.

### 1.1 Historical Background

The modeling of real world systems as networks or graphs, gave birth to an emerging field of research known as Network Science. The basis of this field dates back to the year 1735, where Leonhard Euler's solution to the famous Königsberg Bridge problem is considered to be the first theorem in the field of graph theory and network science. The problem is defined around the city of Königsberg and its seven bridges. The city is built around the River Pregel where it joins another river. An island named Kneiphof is in the middle where the two rivers join. There are seven bridges that join the different parts of the city on both sides of the rivers and the island (see Figure 2). People tried to find a way to walk all seven bridges without crossing a bridge twice, but no one could find a way to do

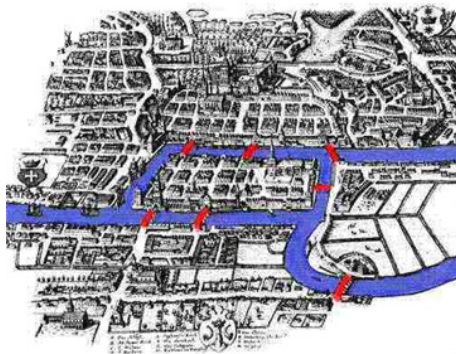


Figure 2: The city of Königsberg with the seven bridges marked in red color.

it. The problem came to the attention of a swiss mathematician named Leonhard Euler. Euler simplified the bridge problem by representing each land mass as a point and each bridge as a line. He reasoned that anyone standing on land would have to have a way to get on and off. Thus each land mass would need an even number of bridges. But in Königsberg, each land mass had an odd number of bridges. This was why all seven bridges could not be crossed without crossing one more than once.

This simple explanation laid the foundations of graph theory, which has become a fundamental pillar of discrete mathematics. Graph theory has been used independently in a number of domains like Sociology, Chemistry, Biology, Physics and Geography. Recently, efforts have been made to group together theories, principles, algorithms and measurements from these different fields under the umbrella of the new and emerging field called Network Science.

## 1.2 Network Science

The term ‘network’ has different significations for people from different walks of life. The term is used extensively to represent systems such as social networks [169, 148], electrical circuits [163], economic networks [98], chemical compounds [42], transportation systems [74, 144], epidemic spreading [137], metabolic pathways [91, 20], food web [121], Internet [36], world wide web [1] and so on. Although seemingly diverse, these fields have strong common methodological foundations and share methods to analyze, model, understand and organize these networks.

Watts defines network science as the ‘science of the real world - the world of people, friendships, rumors, disease, fads, firms and financial crises’ [171]. The National Research Council (United States), defines network science as ‘the study of network representations of physical, biological, and social phenomena leading to predictive models of these phenomena’ [38]. From a computer science perspective, Ulrik Brandes defines Network Analysis as applied graph theory [28]. We would like to extend this definition of network analysis to network science, again from a computer science perspective as ‘the study of theory, methods and algorithms applicable to graph models representing connected systems of the real world’.

Researchers in the field of network science try to establish methodologies originating from various domains to acquire knowledge and understand the behavior of these networks.

The question is, how can this knowledge be applied and where? An early example comes from the field of sociology and the development of the sociogram in 1933, where Jacob Moreno, a psychologist, used a network to represent how the interpersonal structure of a group of people looks. He used the example of a group of elementary school students where boys were friends of boys and girls were friends of girls except for one boy who said he liked a single girl. This representation of sociogram has been used in social networks ever since and has found many useful applications to understand and analyze social networks.

Moving on from social networks to a completely different domain of electric supply network, we consider the example borrowed from the article of Wang and Chen [168]. A famous cascading series of failures in power lines took place in August 1996, which led to blackouts in 11 US states and 2 Canadian provinces. This incident left about 7 million customers without power for up to 16 hours, and cost billions of dollars in total damage. An analysis of this type of network can help identify break points and put in place a protection strategy to avoid further instances of power failure of this magnitude by proposing alternate routing paths.

In the examples briefly described above, it is important to understand that these real world systems can easily be modeled as graphs. The simple mathematical model of a graph presents a robust and flexible platform to build models for complex systems with a large number of attributes and varying relationships. Recent developments in computer technology has prompted a huge scaling factor in networks. Nowadays, networks with hundreds of thousands of nodes and edges are easily constructed for various domains. This progress has played the role of a catalyst to attract researchers to study various properties, characteristics and measures for these networks, and hence has led towards the development of the research domain called network science.

Traditionally the study of networks has been considered as a sub-domain of graph theory. Before 1950s, regular graphs were studied extensively [143, 129], but since then, most large scale networks with no apparent design principle were described as random graphs introduced by two Hungarian mathematicians Paul Erdős and Alfréd Rényi [54, 55]. According to the Erdős-Rényi model, we start with  $n$  nodes and connect every pair of nodes with probability  $p$ , creating a graph with approximately  $p[n(n-1)/2]$  edges distributed randomly. This model has been the corner stone for many scientific discoveries and notable results [14]. Although random graphs occur readily in the real world, most systems exhibit non-random characteristics. As researchers tried to develop new concepts and measures for in-depth analysis and understanding of networks, three of these properties have attracted lots of attention. We discuss these properties in the following section.

## 1.3 Properties of Networks

Motivated by several observations, inherent by construction or evident due to underlying topology, three concepts have attracted lots of attention in the research of real world networks and to some extent, revolutionized the study of networks as it stands today. These concepts are the Small World Effect, Clustering Coefficient and Degree Distribution. We discuss these concepts below:

### Small World Effect or Average Path Length

In the late 1960s, an American social psychologist, Stanley Milgram conducted a set of

experiments which are referred to as, the small world experiment [118, 159]. The idea was to resolve the question of the number of degrees of separation in actual social networks. Milgram gave 300 letters to participants living in the cities of United States, Boston and Omaha, along with instructions to deliver them to one particular target person by mailing the letter to an acquaintance they considered to be closer to the target. That person then got the same set of instructions, which therefore, set up a chain. Milgram found that the average path length of these chains was about six. The research was groundbreaking in that it suggested that human society is a small world type network characterized by short path lengths. The experiments are often associated with the phrase ‘six degrees of separation’, although Milgram did not use this term himself, instead it was John Gaure in 1990 who coined this term [72]. In literature, this concept is often referred to as the average path length of a network. It gives an idea of, on average, how far apart any two nodes lie in a network.

Formally, we can define the average path length as the mean geodesic (shortest) distance between node pairs in a network. Consider this distance be represented by  $l$  for a network, mathematically we can define  $l$  by the following equation:

$$l = \frac{1}{n * (n - 1)} \sum_{i,j} d_{ij}$$

where  $d_{ij}$  is the geodesic distance from node  $i$  to node  $j$  and  $n$  is the total number of nodes in the network. We assume that the distance between two nodes is 0 if they cannot be reached by one another and the distance of a node to itself is also 0.

For large size networks, the typical geodesic distance between any two nodes scales as the logarithm of the number of nodes, suggesting that the average distance between any two nodes in the network is quite low. Erdős and Rényi have shown that the average distance in random graphs, also scales as the logarithm of the number of nodes, so to speak, random graphs have also the small world effect [143].

This information can be quite useful in different networks. For example, studying how to control and take precautions against an epidemic spread in social networks [122, 180], designing marketing strategies and targeting customers for the launch and dissemination of new products and technologies [45], and to more technical applications such as estimating the number of *hops* required for an information packet to get from one computer to another on the Internet [185].

### **Clustering Coefficient or Transitivity**

Another important characteristic of real world networks is the high average clustering coefficient of nodes [170]. This concept is sometimes referred to as Transitivity [129], or the fraction of transitive triples in a network [169]. This is done so to avoid confusion from the concept of Community Structures or Clusters [28, 68] which will be discussed extensively in the chapters to follow.

Coming back to transitivity, the concept is very well known in social networks and can be described as the friend of your friend is likely to be your friend. The roots of this idea come from the work of Georg Simmel [150] who introduced the concept of *triads* as a fundamental structure for social networks. In fact, the smallest and most elementary social unit, a *dyad* is a social group composed of two members while a *triad* is a social group composed of three members. *Groups* of larger size are also possible but since a

variety of relationships can form in them, they are less stable [150] and often less studied in sociology. Although high clustering coefficients were first observed in social networks, many other networks have shown this tendency such as the world wide web [1], transport networks [149] and metabolic networks [20, 165].

To quantify the clustering coefficient, two definitions exist in the literature. They can be classified as global clustering coefficient and local clustering coefficient. The global clustering coefficient measures the fraction of triples that have their third edge filled in, to complete the triangle [132] and is calculated by the following equation:

$$C_{global} = \frac{3 * \text{number of triangles in the network}}{\text{number of connected triples of vertices}}$$

The factor of three in the numerator accounts for the fact that each triangle contributes to three triples and ensures that the value lies in the range  $[0,1]$ . In simple terms, the global clustering coefficient is the mean probability that two vertices that are network neighbors of the same other vertex will themselves be neighbors. This value gives an overall picture about the presence of triads in a network.

The definition of local clustering coefficient was given by Watts and Strogatz [170] and is calculated for each vertex in a network. The local clustering coefficient for a node  $n$ , having  $k_n$  edges which connects it to  $k_n$  neighbors is given below:

$$C_{local}(n) = \frac{2 * e_n}{k_n * (k_n - 1)}$$

If the nearest neighbors of the original node were part of a clique, there would be  $k_n(k_n - 1)/2$  edges between them. The ratio between the number of edges  $e_n$  that actually exist between  $k_n$  nodes and the total number  $k_n(k_n - 1)/2$  gives the value of the clustering coefficient of node  $n$ . To calculate the clustering coefficient of the entire network, we take the average for all nodes in the network.

The two definitions of clustering coefficient given above result in different values when calculated for the same network. One tries to calculate the mean of ratio, and the other, the ratio of the means respectively [129]. But the important concept here is that both of them tries to capture the same notion, the presence of triads in a network. Throughout this document, we use the second definition without differentiating between global and local clustering coefficient as it is more widely accepted [73].

In a random graph, since the edges are distributed randomly, the presence of these transitive triples or triads is rare, as compared to real world networks where usually high clustering coefficients are observed. It is interesting to note that the presence of these triads is a direct implication of how real world systems behave in the real world. The probability that you are going to become friends with a person who has a common acquaintance is quite high. This can be quite helpful in predicting the evolution of networks and generation of new links between existing objects specially in social networks. An obvious example comes from the scientific collaboration network of researchers. If a researcher say  $a$ , co-authors two artifacts with researchers  $b$  and  $c$  separately, it is likely that their research domain is the same and researchers  $b$  and  $c$  might end up collaborating as well. There are a number of articles citing these collaboration networks such as [125, 127].



## Degree Distribution

The degree of a node refers to the number of connections a node has in the network. Formally, we define  $p_k$  to be the fraction of vertices in the network that have degree  $k$ . The term  $p_k$  also represents the probability that a vertex chosen uniformly at random has degree  $k$ . A plot of  $p_k$  for any given network can be formed by making a histogram of the degrees of vertices. This histogram is the degree distribution for the network (see Figure 3 as example).

Generally, it was believed that the degree distribution in most networks follows a Poisson distribution but in reality, real world networks have a highly skewed degree distribution following power-laws. Power-laws are expressions of the form  $y \propto x^\gamma$ , where  $\gamma$  is a constant,  $x$  and  $y$  are the measures of interest [152].

One of the early works in this direction was carried out in the year 1925 by George Udny Yule, a British statistician, who explained the power-law distribution of the number of species per genus of flowering plants [182]. The process is sometimes called a *Yule process* in his honor. Another notable work came years later on by Derek de Solla Price in 1965 where he studied networks of citations between scientific papers [44]. The number of citations they received had a heavy-tailed distribution following a Pareto distribution or power law. In a later paper in 1976, Price also proposed a mechanism to explain the occurrence of power laws in citation networks, which he called *cumulative advantage* [138]. Price was the first to apply the process to the growth of a network and explained how networks evolve.

Recent interest in networks with power-law degree distribution started in 1999 with the work by Barabási and colleagues at the University of Notre Dame who mapped the topology of a portion of the Web [13], finding that some nodes, which they called *hubs*, had many more connections than others and that the network as a whole had a power-law distribution of the number of links connecting to a node. They coined the term scale-free network to refer to these networks with the degree distribution following power law. Barabási and Albert also proposed a mechanism to explain the appearance of the power-law distribution, which they called *preferential attachment* [13], which is essentially the same as that proposed by Price in 1976.

Another common term used to refer to this principle is ‘the rich get richer’, first used by Robert H. Jackson, Counsel to the Internal Revenue Bureau, in a hearing of the Senate Finance Committee in 1935 [87]. He tried to explain the economic system and the inequalities in the distribution of wealth and the burden of taxation in the United States. In terms of network theory, all these concepts refer to the idea that if a node has a high degree, it has a higher probability to attract more connections and thus its connectivity grows at a faster rate than other nodes with low connectivity.

In sociology, the ‘Matthew effect’ is a term which refers to the principle of rich get richer. This term was coined by Robert K. Merton [115] to describe how, among other things, eminent scientists will often get more credit than a comparatively unknown researcher, even if their work is similar; it also means that credit will usually be given to researchers who are already famous. For example, a prize will almost always be awarded to the most senior researcher involved in a project, even if all the work was done by a graduate student.

In a random graph, each edge is present or absent with equal probability, and hence the degree distribution is, as mentioned earlier, Poisson in the limit of large graph size. Real world networks are mostly found to be very unlike the random graph in their degree

distributions. Far from having a Poisson distribution, the degrees of the vertices in most networks are highly right skewed, meaning that their distribution has a long right tail. Figure 3 shows the degree distribution of a network generated using the network generation model of [13] for scale free networks. The histogram of the degree distribution clearly shows the right skewed behavior with a long tail like structure.

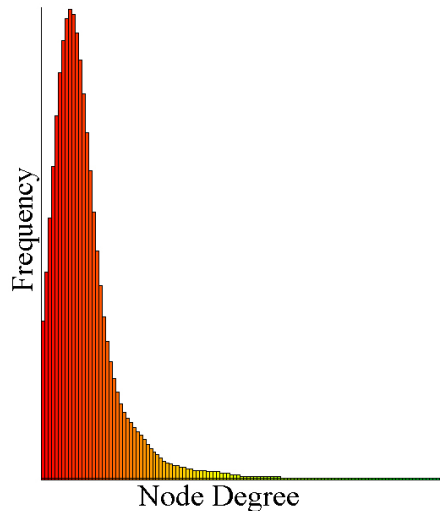


Figure 3: A typical scale free degree distribution showing highly skewed behavior and long-tail like structure. The graph was generated using the model of Barabasi and Albert [13].

In terms of a network, this scale free behavior suggests that few nodes have a very high number of connections and lots of nodes are connected to a few nodes only. This information has quite practical implications in the design and study of networks. For example, in a social network, these *hubs* (nodes with high degree) play an important role to diffuse information as they are people having many social links [23]. Many marketing and business strategies can be developed revolving around hubs, as these people have many social contacts that can be used effectively to promote products and acquire business collaborations.

## 1.4 Small World and Scale Free Networks

From these three measures, two important classes of networks emerge, Small World Networks and Scale Free Networks. A small world network as defined by Watts and Strogatz [170], is a network with high clustering coefficient and small average path length. A scale free network as defined by Barabási and Albert [13], is a network where the degree distribution follows a power law. Models were proposed by respective researchers to explain how networks with these properties appear in the real world.

Lets have a look at the small world model proposed by Watts and Strogatz. We start with a ring of  $n$  vertices in which each vertex is connected to its  $k$  nearest neighbors, for a given  $k$ . This forms a regular graph as shown in Figure 4(a). Then, each edge is rewired with a given probability  $p$  by choosing randomly a new vertex to connect. In a regular graph, since neighbors are connected to each other, the overall clustering coefficient is



to them as complex networks throughout this study.

## 1.5 Complex Networks

As described previously, the ideas of small world and scale free properties date back to 18th and 19th century, they have been made popular recently with the works of Watts and Strogatz in 1998 [170] and Barabási and Albert in 1999 [13]. A number of books, surveys, reports and research articles have addressed issues revolving around these complex networks. Although the study of complex networks has sound foundations from mathematics and graph theory, the field itself is in its infancy, as more and more researchers try to develop new theories and behaviors common to different kinds of networks, be it biological, technological or social. Most of the early research work focuses on identifying the small world and/or scale free behavior of networks from different domains.

One such study to analyze Internet networks was performed by Faloutsos *et al.* [58] where they identify three power-laws for the topology of the Internet. They also introduced a graph metric to quantify the density of a graph and proposed a rough power-law approximation of that metric. They also showed the use of power laws and the proposed metric to estimate useful parameters of the Internet, such as the average number of neighbors within  $h$  hops.

A more profound mathematical analysis of small world networks was performed by Barrat and Weigt [15] where they studied the geometrical properties of small world networks which interpolate continuously between a one-dimensional ring and a certain random graph. The long ranged links contribute to the low average path length which strongly depends on the amount of disorder in the global structure. The local structure contributes to links between two neighboring vertices and leads to a high clustering coefficient.

Mathias and Gopal [112] studied neural and transportation networks and tried to explain how the small world property arises as a consequence of a trade off between maximal connectivity and minimal wiring as proposed by Watts and Strogatz [170]. They present an alternate approach to generate small world behavior through the formation of hubs and small clusters where one vertex is connected to a large number of neighbors.

Amaral *et al.* [7] also studied the small world networks and presented a classification of these networks based on the behavior of the degree distribution of several real world networks. The three classes were identified as:

1. *scale-free networks*, characterized by a vertex connectivity distribution that decays as a power law.
2. *broad-scale networks*, characterized by a connectivity distribution that has a power law regime followed by a sharp cutoff.
3. *single-scale networks*, characterized by a connectivity distribution with a fast decaying tail.

To justify this classification, they present two concepts, *Aging of the vertices* and *Cost of adding links to the vertices or the limited capacity of a vertex*. The idea of *Aging* is that with the passage of time, some vertices stop connecting to new links, an example is that of social network of movie actors, when actors retire, the nodes representing these actors

in the network stop interacting with new nodes and thus need to be taken into account for a growing network. The *Cost of Vertex* refers to the concept of practical efficiency in networks such as network of world airports where direct flights represent links between two airports. Simply for commercial issues, it is practical to have hub airports where many routes connect, but with certain limitations such as the maximum flights an airport can host.

Barabási's book titled *Linked: The New Science of Networks* [14] studies different networks demonstrating that these networks have an underlying order. This knowledge can be used effectively in a variety of domains, from designing optimal organization of a firm to stopping a disease outbreak before it spreads catastrophically. A review from Albert and Barabási titled *Statistical mechanics of complex networks* [143] shows empirical results on the topology of several real world networks and focuses on generation models to produce artificial complex networks mimicking real world systems. Another survey in the similar direction is that from Dorogovtsev and Mendes titled *Evolution of networks* [48] where they discuss a number of issues like how networks organize into scale-free structures and the role of the mechanism of preferential attachment, the topological and structural properties of evolving networks. An interesting study of applications of the general results to particular networks in nature are discussed and connections of the network growth processes with the general problems of non-equilibrium physics, econophysics, evolutionary biology are established. Dorogovtsev and Mendes also wrote a book titled *Evolution of Networks: From Biological Nets to the Internet and WWW* [49] based on their previous survey.

A survey by Mark Newman, *Structure and Function of Complex Networks* [129] provides another good review of developments in the field of network science. He presents a loose categorization of these networks, as Social, Information, Technological and Biological networks. Newman *et al.* also edited a collection of research works in this domain called *The Structure and Dynamics of Networks* [133].

Another property of complex networks was studied by Newman [128], which is the mixing patterns of these complex networks. A network is said to show assortative mixing if the nodes in the network that have many connections tend to connect to other nodes with many connections. Newman reports that in a variety of networks, social networks are mostly assortatively mixed, but that technological and biological networks tend to be disassortative.

Duncan Watts, in his book *Six Degrees: The Science of a Connected Age* [171] tries to use plenty of examples from real life to explain the new and growing science of networks and their collective behavior. The book targets general public and presents network concepts by examining everyday life examples such as disease epidemics and the stock market. Mark Buchanan's book, *Nexus: Small Worlds and the Groundbreaking Theory of Networks* [32] demonstrates practical applications of network theories to diverse problems as well as an attempt to understand the dynamic interactions within our physical as well as social worlds.

Bornholdt and Schuster compiled a number of articles related to this subject in a book titled *Handbook of Graphs and Networks: From the Genome to the Internet* [25]. The book discusses the field of complex networks and presents the dynamics of networks and their structure as a key concept across disciplines such as Traffic Networks and Economic Networks.

An interesting article written by Judith Kleinfeld [99] takes a look at the experiments conducted by Milgram to show the ‘six degrees of separation’ principle. Many questions are raised to challenge the validity of the experiments and the claims made by Milgram. Recall from the earlier section where we described the experiment, the idea was to deliver a letter to a particular target person, a stockbroker living in Boston. The person chosen by Milgram was well known in the community, and does not represent the entire population. Also, the people selected to deliver the letter were not chosen randomly. The experiment tells that three hundred people living in Omaha, were selected to deliver the letter, but actually one hundred were in Boston. Out of the remaining two hundred people, only 96 were randomly selected from a mailing list, the others were blue-chip stock investors. Starting from these 96 randomly selected people, only eighteen reached the eventual target which is a very low percentage. Other researchers have failed to replicate the same experiment and leaves a big question mark on the results achieved. Even with these biased experiments, the results were widely and easily accepted by the population at large, Kleinfeld suggests that this is largely due to the perception that with the advancement in technology, the world is becoming smaller, and we want to believe that we live in a small world. She concludes that it is possible that we live in a small world separated by six degrees, but experimental evidence is lacking and should be reconsidered.

Another perspective to study complex networks is given by Bollobás [22] who classifies the work in this field into the following categories.

1. Direct studies of the real-world networks themselves, measuring various properties such as degree-distribution, diameter, clustering, etc.
2. Suggestions for new random graph models motivated by this study.
3. Computer simulations of the new models, measuring their properties.
4. Heuristic analysis of the new models to predict their properties.
5. Rigorous mathematical study of the new models, to prove theorems about their properties.

He focuses on the mathematical study of these networks and models including several new results, mostly demonstrating that large-scale real world networks confirm the computer generated models reviewed. He concludes that there is still a lot of work that needs to be done in terms of mathematical study of these models and networks. This work appears in the book compiled by Bornholdt and Schuster, but due to its importance, we mentioned it again.

One such mathematical study comes from Lun Li *et al.* [106], who performed an extensive study of scale free graphs in an attempt to formalize the mathematical foundations and definitions pertaining to the topic. They introduce a structural metric called *s-metric* that allows us to differentiate between all simple, connected graphs having an identical degree sequence, which is of particular interest when that sequence satisfies a power law relationship. The metric is used to falsify the claim that scale free networks are robust to random loss of nodes but fragile to targeted worst-case attacks on hubs as shown by Albert *et al.* [4]. The examples considered are of router-level Internet [107, 5] and metabolic networks [155] where the networks in question do not have hubs. The most highly connected

nodes do not necessarily represent nodes fragile to attack and that their robust, yet fragile features actually come from aspects that are only indirectly related to graph connectivity.

Another interesting book compiled by editors Brandes and Erlebach titled *Network Analysis : Methodological Foundations* [28] covers methods for specific levels of analysis such as individual elements, groups of elements and the entire network. The book contains an extensive study of concepts, metrics and algorithms and rightly claims to be the first book to do so, from a methodological perspective independent of specific application areas to analyze networks.

Summarizing the existing literature on networks, most of the early work is related to bringing networks from different real world examples under the classification of either small world networks, scale free networks or both at the same time. Several models have been proposed and studied in detail to replicate the behavior of these real world networks as a tool to understand the structure and evolution of complex systems. Researchers have realized that although the low average path length, high clustering coefficient and power law degree distribution are common features for these networks from various domains, there is a strong need to develop mathematical foundations, models and measures to understand how these systems differ from one domain to the other. An attempt to develop common theories and algorithms for these complex networks can not only lead to enhanced scientific understanding of the physical systems around us but can also help build a common ground for real world applications that can be useful to solve real world problems. All this knowledge acquired by the researchers contributes in the development of this new and emerging science called network science.

Another important yet less studied aspect that has changed our approach towards the study of these complex systems is the advent and availability of computer aids to visualize these networks. Euler used a graph to represent the Königsberg Bridge problem and so did Jacob Moreno for a sociogram, but with the explosion in the size of networks recently, drawing these graphs has prompted radical changes in how we visualize information. Specially with new and innovative rendering technologies and interactive exploration possible, the study of networks has changed and evolved during the last decades. Although, not considered as an integral part of network science, we believe that Network Visualization is an important aspect of this growing field. In our point of view, this drift certainly suggests that network science has overlapping goals with fields such as Information Visualization [19, 96], Visual Data Mining [151, 96] and Visual Analytics [157]. One way to differentiate these fields from network science is that, in all these fields, visualization is an essential concept and they cannot exist if visual aspect is taken away from these fields whereas network science does not depend solely on visualization. From this brief review of the literature, in the next section, we move towards categorizing the research in the field of network science and specially complex networks.

## 1.6 Study of Complex Networks

Reviewing the literature, the study of these networks can be grouped under four categories which are:

- > Analysis
- > Structure

> Processes and Organization

> Visualization

*Analysis* comprises of several metrics and measurements proposed to study the statistical properties of complex networks. These properties can be further categorized based on the granularity of the measure used, such as element level, group level and entire network [28]. Metrics such as the clustering coefficient and degree distribution have played a fundamental role in the origins of complex networks. Current research is heavily focused on developing more metrics that can quantify new and interesting properties of these networks.

*Structure* refers to the research carried out in modeling real world networks. There have been a number of principles identified as being the driving force to produce small world networks, scale free networks or networks having both these properties. Researchers have proposed a host of algorithms in an attempt to understand the structure and evolution of complex systems.

*Processes and Organization* is the collection of numerous processes exploiting the small world or scale free behavior of these complex networks. Common problems like searching specific nodes or paths in networks, searching and identifying frequent motifs, grouping similar vertices to organize and understand the overall behavior of networks are all examples of common processing tasks performed on complex networks. One of the most widely used methods to group similar vertices is called Clustering which has found practical applications in numerous domains. Clustering is defined as a decomposition of vertices into ‘Natural Groups’. More precisely, we can say that a cluster is a set of vertices with high interconnectivity among vertices of the same cluster and low connectivity of vertices of different clusters. In sociology, often clusters are termed as community structures or simply communities.

*Visualization* groups the techniques existing in the domain of graph drawing, information visualization and visual analytics applied to these real world networks for interactive exploration and extraction of hidden knowledge. Visualization of graphs is an inherent feature of network science as its basis lies in graph theory. Researchers have used the growing technological advancements in these fields to derive interesting results about complex networks.

Although each of these categories has clear and well defined objectives, they are not necessarily independent of each other. For example, the metrics and measurements developed for these networks are heavily used in developing models to understand the structure of these networks. Processes of grouping similar nodes are commonly used to reduce the visual complexity and present a summarized graphical view such that domain experts can interpolate and extrapolate knowledge about these complex systems. Thus, although we can identify these categories of research for network science, the research itself is carried out tightly integrating these categories such that it is difficult to separate one from the other.



## 1.7 Research Contributions

In this thesis, we address specific problems for each category and try to resolve some common issues pertaining to the study of complex networks, and contribute to the advancement of this new and exciting field of study.

In terms of Analysis, we start by presenting a visual analytics way to explore these complex networks. A method combining a new metric and visualization technique to analyze and explore these graphs is proposed where the idea is to study how the edges are distributed among nodes of varying degree. Several real world networks are analyzed using the proposed methods with interesting observations and results are presented in details in Chapter 3.

Next, we study the structure of these complex networks and review a number of different network generation models specially focusing on models that produce small world and scale free networks. Although these models generate random networks with scale free and small world properties, there is no apparent community structure present at the macro level in the networks generated by these models. We present a new model which is useful to generate small world and scale free networks with community structures. The model can be useful to help generate test data sets for experimentation of empirical studies of complex systems with known and well defined structure. This topic is discussed in detail in Chapter 4.

To study the topic of processes and organization, our research efforts are directed towards the problem of *clustering* as fundamental procedure to organizing complex networks. With the increasing storage capacity for large size networks, fast algorithms are required that are able to cluster complex networks. We propose an algorithm which is highly efficient in terms of time complexity and uses the analysis method proposed in Chapter 3 to build a clustering algorithm. Comparative results show that the algorithm gives acceptable results in terms of cluster quality. The details are presented in Chapter 5.

In terms of visualization, a common problem with these networks is that drawing these networks using existing methods produces highly entangled and cluttered drawings as several networks were drawn using force directed algorithms in Chapter 3 for visual analysis. We propose a method to address this issue, combined with another clustering algorithm specially designed to handle the visualization aspect of complex networks. We have applied the method on co-occurrence networks obtained from the web where several case studies show the efficiency of the proposed clustering and visualization system. We discuss the details of the proposed solution in Chapter 6.

Continuing with the topic of clustering, we study different metrics that are used to evaluate the quality of clusters in the absence of ground truth and bench mark clusterings for different data sets. Based on our findings from the analysis of several complex networks in Chapter 3, we identified that several networks do not have densely connected subgraphs and thus node-edge density should not be used as a primary ingredient to analyze the quality of a clustering algorithm in the absence of dense subgraphs. Furthermore, the presence of star-like structures was identified as an important pattern in some complex networks. We propose a new method based on average path lengths that is able to overcome the drawbacks of density based evaluation metrics and correctly evaluate the quality of a cluster in the presence of star-like structures. The details are presented in Chapter 7.

## 1.8 Organization of Thesis

In the next chapter, we present necessary background knowledge and present a number of real world networks that are used for experimentation and empirical studies in this thesis. The chapter ends with a tabular listing of some statistical measures of these networks.

Chapters (3, 4, 5, 6, 7) all introduce common problems related to the study of complex networks. Chapter 3 is related to visual analysis and metrics for complex networks. Chapter 4 discusses the structure of networks having both small world and scale free properties. In Chapter 5, we focus on clustering of complex networks presenting a new algorithm which is highly efficient in terms of time complexity. Chapter 6 focuses on clustering and visualization of these networks. Chapter 7 addresses the issue of evaluating the quality of clustering algorithms for networks without densely connected regions.

Chapter 8 lists the articles that we published during this period. We also listed other research work that we carried out during the thesis and are related to the study of complex networks but are not part of the thesis. Brief introduction is given followed by various publications that resulted from the research.

Finally, in Chapter 9, we present our conclusions and future research prospects.



# Chapter 2

## Preliminaries

In this chapter, first we briefly describe the mathematical terminologies used throughout this document. Next, we introduce a number of real data sets that have been used for experimentation in various chapters. We also provide a number of statistical measures for these data sets at the end of this chapter.

### 2.1 Mathematical Foundations

This section reviews some basic definitions used extensively in network science and mostly borrowed from graph theory. We use the terms network and graph interchangeably throughout this document.

**Graph:** A *graph* is an abstract representation of a set of objects connected through links. The objects are denoted by the set  $V$  of *vertices* (also called *nodes*) and their connections denoted by the set  $E$  of *edges* (also called *links*). These links join pairs of vertices, where two vertices joined are *adjacent* to each other or are called *neighbors* of each other. An edge is usually represented by a pair of nodes  $(u, v)$  where  $u \in V$  and  $v \in V$ . A *degree* of a node  $n$  is the number of connections it has with other nodes and is represented by  $deg(n)$ .

**Undirected and Directed Graph:** Graphs can be *undirected* or *directed*. In undirected graphs, the order of the vertices of an edge  $(u, v)$  is immaterial as there is no orientation associated to an edge. In a directed graph, each directed edge (arc) has an origin (*tail*) and a destination (*head*). An edge with origin  $u \in V$  and destination  $v \in V$  is represented by an ordered pair  $(u, v)$ . The *in-degree* of a node  $n$  is the number of edges where  $n$  is a *head*. Subsequently the out-degree of  $n$  is the number of edges where  $n$  is a *tail*.

**Simple and Multigraph:** In both undirected and directed graphs, we may allow the edge set  $E$  to contain the same edge several times, i.e.,  $E$  can be a multiset. The edges occurring several times in  $E$  are called *parallel* edges. An edge joining a vertex to itself, i.e., an edge whose end vertices are identical, is called a *loop*. A graph is called loop-free if it has no loops. A graph is called a *Multigraph* if it has parallel edges and/or loops as opposed to a graph where there are no parallel edges and loops, such a graph is termed as *Simple Graph*.

**Weighted and Unweighted Graphs:** A graph is a *weighted graph* if a number (weight) is assigned to each edge. An *unweighted graph* can be considered as a special case of weighted graph. Any unweighted graph is equivalent to a weighted graph with unit edge weights.

**Complete Graphs:** A complete graph is a simple graph in which every pair of distinct vertices is connected by a unique edge. Thus for an undirected graph with  $n$  nodes, the total number of edges in a complete graphs can be calculated using the following equation:

$$TotalEdges(G) = \frac{n * (n - 1)}{2}$$

**Regular Graph:** A *regular graph* is a graph without loops and multiple edges where each vertex has the same number of neighbors; i.e. every vertex has the same degree. A *regular directed graph* must also satisfy the stronger condition that the in-degree and out-degree of each vertex are equal to each other. A regular graph with vertices of degree  $k$  is called a *k-regular graph* or *regular graph of degree k*.

**Bipartite Graph:** A *bipartite graph* (or bigraph) is a graph whose vertices can be divided into two disjoint sets  $U$  and  $V$  such that every edge connects a vertex in  $U$  to one in  $V$ . Nodes of the same set are not connected to each other.

**Subgraph:** A graph  $G'$  is a *subgraph* of a graph  $G$  if the vertex set of  $G'$  is a subset of the vertex set of  $G$  and if the edge set of  $G'$  is a subset of the edge set of  $G$ . That is, if  $G' = (V', E')$  and  $G = (V, E)$ , then  $G'$  is a subgraph of  $G$  if  $V' \subset V$  and  $E \subset E'$ .

**Induced Subgraph:** An *induced subgraph* is a subgraph formed by specifying a set of vertices  $V'$  from  $V$  and then selecting all of the edges from the original graph  $G$  that connects any two vertices in  $V'$ . So in this case  $E' = \{(u, v) \in E : u, v \in V'\}$ .

**Path and Cycle:** A *path* in a graph is a sequence of vertices such that from each of its vertices there is an edge to the next vertex in the sequence. A path may be infinite, but a finite path always has a first vertex, called its *start vertex*, and a last vertex, called its *end vertex*. The other vertices in the path are *internal* vertices. A *Cycle* is a path such that the start vertex and end vertex are the same.

**Connected Component:** A *connected component* of an undirected graph is a subgraph in which any two vertices are connected to each other by paths. Subsequently, if a pair of nodes in a subgraph does not have a path connecting them, the graph is called *disconnected graph* and the subgraphs forming that are connected in this big graph are called *connected components*.

**Clique:** A *clique* in an undirected graph is a subset of its vertices such that every two vertices in the subset are connected by an edge.

**Tree:** A *tree* is a graph in which any two vertices are connected by exactly one path. In other words, any connected graph without cycles is a tree. A tree is called a *rooted tree* if one vertex has been designated the root, in which case the edges have a natural orientation, towards or away from the root. In a rooted tree, the *parent* of a vertex is the vertex connected to it on the path to the root; every vertex except the root has a unique parent. A *child* of a vertex  $v$  is a vertex of which  $v$  is the parent. A *leaf* is a vertex without children.

**Cut:** A *cut* is a partition of the vertices of a graph into two disjoint subsets. The *cut-set* of the cut is the set of edges whose end points are in different subsets of the partition. Edges are said to be *crossing the cut* if they are in its cut-set. In an unweighted undirected graph, the *cut size* or *cut weight* is the number of edges crossing the cut. In a weighted graph, the same term is defined by the sum of the weights of the edges crossing the cut.

## 2.2 Real World Networks

In this section, we present a number of real world networks studied by different researchers from various domains. We use the categorization introduced by Mark Newman [129] briefly discussed earlier in Chapter 1. Note that this categorization is not based on structural similarity between the networks, rather it regroups networks of similar domain. The idea is to provide us with a global perspective and not a structural comparison of these networks, as Newman puts it, it is a loose categorization of these networks. Thus we recommend the readers should not take these categories as the absolute and rigid classification of networks.

These networks will be used for experimentation throughout this document. We have named (bold fonts) each of these networks and use these names to refer to them throughout. Note that some of these graphs were originally directed, weighted or multigraphs but for our experiments we have transformed these graphs into simple, undirected and unweighted graphs. Moreover, we have only kept the biggest connected component for experimentation and removed the nodes that were disconnected from the biggest connected component.

### 2.2.1 Social Networks

Social networks represent connectivity patterns among humans. Nodes represent people and two nodes are linked by an edge if there is a social interaction between the two people. These social interactions can take many different types such as a friendship network [183] or a business relationship [65] and depends on the network itself.

Two well studied networks in this category are the co-authorship network in academics [125] and the IMDB network (<http://www.imdb.com/>) from the movie domain. In a co-authorship network, two people are linked to each other if they have written a common artifact and in the IMDB network, two actors are linked together if they appear in a movie together. Other networks commonly found in the real world are the network of telephone calls [3] where people call other people and email communication [51] where sending an email establishes a link between two people.

**NetScience Network** is a co-authorship network of scientists working on network theory and experiments, as compiled by M. Newman in May, 2006 [131]. The network was compiled from the bibliographies of two review articles on networks, M. Newman, SIAM Review and S. Boccaletti *et al.*, Physics Reports, with a few additional references added by hand. The biggest connected component is considered for experimentation which contains 379 nodes and 914 edges.

**Geometry Network** is another collaboration network of authors in the field of computational geometry. The network was produced from the BibTeX bibliography obtained from the Computational Geometry Database ‘geombib’, version February 2002 (see <http://www.math.utah.edu/~beebe/bibliographies.html>). Problems with different names referring to the same person are manually fixed and the data base is made available by Vladimir Batagelj and Andrej Mrvar: Pajek datasets from the website <http://vlado.fmf.uni-lj.si/pub/networks/data/>. Only the biggest connected component is considered containing 3621 nodes and 9461 edges.

**Dblp2008 Network** is another co-authorship network constructed from the DBLP database. It contains of a network of authors who have co-authored a scientific article

in the year 2008. The snapshot was taken in January 2009. The complete data set can be downloaded from the DBLP Computer Science Bibliography website <http://www.informatik.uni-trier.de/~ley/db/>. Again only the biggest connected component was considered which contains 93498 nodes and 260152 edges.

**Imdb Network** is an actor network where nodes represent actors and two actors are connected to each other if they have acted in a movie together. The data set we use here is a subset taken from the IMDB database ( <http://www.imdb.com/>) of movies. This network contains 7640 nodes and 277029 edges.

### 2.2.2 Information Networks

This category represents networks that embed knowledge about the structure of the network in the real world. A common example is the co-occurrence network of words. The co-occurrence of words in sentences or pages reflects language organization in a subtle manner that can be described in terms of a graph of word interactions where words are represented by nodes and an edge represents that these words appear together in a sentence of some text, or possibly on the same page of a book [101, 59].

One of the largest networks studied to date is the World Wide Web which belongs to this category. Web pages are objects and are linked to each other if they are connected through a hyper link [84]. Another network that falls in this category is the citation networks, which is a network of ‘articles’ as nodes and two nodes are linked to each other if one article cites the other [52].

**Jaguar Network** is a co-occurrence network of key words collected from web pages. The Exalead search engine (<http://www.exalead.com/>) was used to search the key word *jaguar*. A number of web pages were returned as search results from the wikipedia repository. An edge connects two keywords if they appear on the same web page. The word *jaguar* is a good example of words with semantic ambiguity as it represents completely different subjects like the Jaguar Cars, the animal etc. We have used this data set to test our clustering algorithm where the idea is to show that the clustering algorithm successfully groups web pages of similar semantic meanings. The top 50 web pages returned as search results were used to extract 466 keywords connected through 5154 edges. These key words were extracted automatically by the Exalead Search API by parsing the collection of web pages.

**Hepburn Network** is also a co-occurrence network of key words collected from web pages. The key word *Hepburn* was used as a search query to gather web pages using the Exalead search engine on the Wikipedia encyclopedia. We searched the word *Hepburn* because it is a famous family name in Scotland. It is also quite frequent in some other areas of Europe. Many famous people have emerged from this family such as writers, actors, businessmen and we expected to construct a social network of people belonging to this family. Again, the top 50 web pages were used to extract 524 keywords connected through 5120 edges. These key words were extracted automatically by the Exalead Search API by parsing the collection of web pages.

**Cac40 Network** is another co-occurrence network collected as an example of browsing web pages. These web pages were collected starting from the page *CAC 40* on Wikipedia ([http://en.wikipedia.org/wiki/CAC\\_40](http://en.wikipedia.org/wiki/CAC_40)). All the pages in the ‘See Also’, ‘References’ and ‘External Links’ sections were further explored and the process was repeated for links up to depth 3. A total of 50 web pages were collected this way. Key words

were extracted from the Meta tag of the web pages. The total number of words collected after the removal of stop words (like ‘for’, ‘the’ etc.) was 412 with 4125 edges. *Cac 40* is an index associated with the top 40 companies listed in the Paris Stock Exchange. These companies vary in their business activities from dealing in commodities to manufacturing products. The idea was to study the relationships between companies as we use this example to show the effectiveness of our proposed visualization of complex systems.

### 2.2.3 Technological Networks

The third category of networks is the collection of networks mostly man-made related to distribution of resources or related to infrastructure. One of the earliest networks studied in this category is the topology of Western States Power Grid in the United States [170]. Transmission lines for electrical energy, when interconnected with each other, become high voltage transmission networks and are referred to as power grids. They are used for bulk transfer of electrical energy, from generating power plants to substations located near to population centers.

One network which has attracted lots of attention in the field of network science is the Internet, where the network of physical connections between computers has been studied extensively [58]. Another type of network placed in this category is the network of software classes [161] where nodes represent software classes and two classes are linked if they interact with each other.

Other networks such as railways [104, 149], airplane routes [8, 7], and roads [94] all fall into this category where train stations, airports and cities act as nodes and a direct connection represents an edge between them.

**Opte Network** is an Internet Tomography network which is a collection of routing paths from a test host to other networks on the Internet. The database contains routing and reachability information, and is available to the public from the Opte Project website (<http://opte.org/>). The network has 35836 nodes and 42387 edges.

**AirTransport Network** is a network of air traffic between cities. The cities are represented by nodes and edges between two nodes represent that a direct flight exists from one city to the other, irrespective of the airports in the city. This network has attracted lots of researchers from the field of geography and transportation. For more details, readers can refer these articles [8, 47, 144]. The network is a simple, undirected graph which contains flight information from the year 2000. The graph has 1540 nodes and 16523 edges.

### 2.2.4 Biological Networks

The final category groups networks appearing in the field of biology. The most studied example of these networks is the metabolic pathways found in living cells. In these networks, substrates are treated as vertices, while chemical reactions connecting substrates and educts are treated as directed links [20, 91, 155]. Other notable networks in this category are, the food web [175, 121]. These are networks of ‘species’ which are defined as functional groups of taxa that share the same predators and prey. Edges connect two species if one consumes the other. Another commonly found network is a Protein Interaction network [66]. Neural networks have also been studied where the most widely used example is that of the neural network of the nematode *C. elegans* [174].



<b>Network</b>	<b>n</b>	<b>e</b>	<b>ad</b>	<b>hd</b>	<b>cc</b>	<b>apl</b>
NetScience	379	914	2.4	34	0.74	6.0
Geometry	3621	9461	2.6	102	0.53	5.31
Dblp2008	93498	260152	2.7	164	0.71	-
Imdb	7640	277029	36.26	1271	0.87	2.94
Jaguar	466	4816	10.3	293	0.90	2.42
Hepburn	524	5120	9.7	268	0.92	2.51
Cac40	412	4125	9.5	216	0.91	2.56
Opte	35836	42387	1.18	259	0.003	16.74
AirTransport	1540	16523	10.7	487	0.49	2.93
Protein	1246	3142	2.5	53	0.23	4.89

Table 1: n=nodes, e=edges, ad=average degree, hd=highest node degree, cc=clustering coefficient, apl= average path length

**Protein Network** is a Protein-Protein interactions network. The data represents a set of *S. cerevisiae* interactions identified by TAP purification of protein complexes followed by mass-spectrometric identification of individual components [66]. The data is available from <http://dip.doe-mbi.ucla.edu/dip> and contains 1246 nodes and 3142 edges.

## Chapter 3

# Analysis using Topological Decomposition

### 3.1 Introduction

From the days of Euler's solution to the famous Königsberg bridge problem and Simmel's sociogram, the development of computers has seen the ability to store, process and visualize large size networks. The world has also seen the exponential growth of several data sets of this technological age such as the Web [1] and Internet [36]. All these advancements in technology bring new challenges to the field of network science. We require new and robust methods to analyze and understand these networks. In this chapter, we propose a new method to analyze networks which is based on decomposition and visualization of networks. We present our analysis of several real world networks using the proposed method to help extract interesting knowledge.

Before we present our method, we look at a brief taxonomy of existing methods of analysis. There are a number of ways to analyze networks. One way is to develop statistical measures that return quantitative knowledge about these networks. A good example of an element level measure is the degree of a node, which refers to the number of connections a node has to other nodes in the network. An example of network level metric is the degree distribution of the network. A good summary of these metrics can be found in [28].

Another way to analyze these networks is to layout a network into a graphical representation and let humans and/or more precisely domain experts visually analyze it. Visual analysis is a useful method to discover hidden knowledge and extract interesting patterns in data [96] and has been effectively applied in a number of different fields. A number of books and surveys are available addressing the graph drawing problem in general such as [158], and more precisely drawings for visualization and extraction of information [19, 81].

Most of the real world networks in question today have hundreds of thousands of nodes and edges. An interesting approach is to decompose the entire network into sub-components and then apply either statistical measures or visualization methods on these sub-components to analyze a network. The study of large scale networks has motivated research in this direction as the size of these networks presents new challenges. Methods and Measurements that require high time complexity are no longer of use for these large size networks even with the increasing computational power, we still need faster methods, heuristics and decomposition methods that can process these networks in reasonable time frames. Moreover, with these large size networks, measures like centrality and structural organization of networks are no longer dominated by elements but rather, groups and subsets. The complex interactivity of these subsets play, an important role in the

overall behavior and evolution of networks, thus presenting researchers with a challenging problem.

Decomposition techniques have particularly important significance for visualization methods as it is practically impossible to visualize these large size networks on a computer screen even with the new and advanced visualization tools and interactive techniques for visualization [81]. A number of tools have been proposed that combine decomposition methods with visualization to analyze these networks where we cite only a few of these [10, 53, 80].

Decomposition of a network can be performed in several ways. Note that the term *decomposition* can refer to different concepts depending upon the application domain. In the current context, we refer to the idea where the nodes of the network can be divided into subsets based on some criteria such that the structural relations between nodes are preserved. We do not impose any conditions on how this division is performed. For example, nodes can belong to multiple sets at the same time, the only requirement is that we obtain a subset of nodes from the given network and if there are edges connecting nodes in the subset, they essentially occur in the whole network as well.

In this chapter, we introduce a decomposition method for networks which is based on their topology, therefore we call it, *topological decomposition*. This decomposition is motivated by two important features of real world networks. The first is that these networks have non-uniform degree distribution which is a fundamental property to identify these networks in comparison to random and regular networks. The second, visualization of these networks produce highly entangled and hard to read drawings. Our idea is to decompose the network into small subgraphs and then visualize these small parts to understand, analyze and extract information from them.

The idea to decompose and study complex networks is not new. A decomposition based on the connectivity of vertices was proposed by Batagelj and Zaversnik called the *k*-core decomposition [16]. The method consists of identifying subsets of the network called *k*-cores. These subsets are obtained by recursively removing all the vertices of degree smaller than *k*, until the degree of all remaining vertices is larger than or equal to *k*. So for example, to obtain a 2 – core of a network, we remove all the vertices with degree less than 2 in the network, which is nodes of degree 1. After this removal, certain vertices that previously had high degree might now have degree 1, the removal process is repeated again until there are no vertices of degree 1 left in the network. All the vertices left after this removal become part of 2 – core and the process can be restarted for higher values of *k*.

Cores with larger values of *k* correspond to sets of vertices with high degree and connected to high degree vertices only. This gives cores with larger values of *k*, a more central position in the network's structure [6]. This method has been used in several domains to analyze networks and the connectivity of vertices for example, in the analysis of protein interaction networks [178, 12] and networking to filter out peripheral Autonomous Systems [64]. Apart from its utilization in analysis, it has also been used to visualize large scale networks as it decomposes a network into subsets of vertices of increasing centrality. It can also help focus on certain regions of interest in a network [6, 17].

The method we propose is significantly different from *k*-cores, although both *k*-core and the proposed topological decomposition are based on degree of vertices and creating subsets. Topological decomposition focuses on studying how edges are distributed in high and low degree nodes. *k*-cores focus on recursively identifying central nodes and has clearly

different objectives. The differences will become more evident as we explain the details of our method.

## 3.2 Topological Decomposition

We introduce the idea of *Degree Induced Subgraphs* abbreviated as DIS. We define a DIS as an induced subgraph created by imposing constraints on node degrees. These constraints can be either having a certain degree for nodes, or lying between a certain interval. We define two such graphs:

**Definition 1: Max<sub>d</sub>-DIS** is an induced subgraph of  $G$  with vertex set  $V'$  such that nodes in  $V'$  have maximum degree  $d$  in  $G$ . Mathematically for a graph  $G(V, E)$  where  $V$  is a set of nodes and  $E$  is a set of edges, the Max<sub>d</sub>-DIS is defined as an induced subgraph  $G'(V', E')$  such that  $V' \subseteq V$  and  $\forall u \in V', \deg_G(u) \leq d$  where  $d$  can have values from 0 to the maximum node degree possible for the network under consideration.

**Definition 2: Min<sub>d</sub>-DIS** is an induced subgraph of  $G$  with vertex set  $V'$  such that nodes in  $V'$  have minimum degree  $d$  in  $G$ . Mathematically for a graph  $G(V, E)$  where  $V$  is a set of nodes and  $E$  is a set of edges, the Min<sub>d</sub>-DIS is defined as an induced subgraph  $G'(V', E')$  such that  $V' \subseteq V$  and  $\forall u \in V', \deg_G(u) \geq d$  where  $d$  can have values from 0 to the maximum node degree possible for the network under consideration.

Throughout this document, we use the term DIS to refer to these degree induced subgraphs. In the following sections, we take a detailed look of the proposed decomposition technique and their usefulness to analyze real world networks.

### 3.2.1 Max<sub>d</sub>-DIS: A closer look

Consider a graph shown in Figure 5 (left) and we calculate the Max<sub>4</sub>-DIS shown on the right in the figure. The nodes are labeled by their degree in the entire graph. Calculating the Max<sub>4</sub>-DIS, the nodes with degree 5 and 6 are removed and an induced subgraph from the remaining nodes is obtained as a result. In this example, it is quite clear that in Max<sub>4</sub>-DIS, the nodes break into disconnected components. Thus a simple deduction is that the entire network was connected by high degree nodes, as soon as we removed them, the network broke into smaller connected components. Another interesting observation is that we can study how edges are distributed in low degree nodes as by definition, the Max<sub>d</sub>-DIS considers only low degree nodes for low values of parameter  $d$ . Recall the definition of *hubs* from Chapter 1 given by [13], one way to look at this construction is that we want to avoid the hubs and look at how nodes connect to each other without the hubs. Comparing it with the  $k$ -cores, a hub might end up in a core with low  $k$  value depending upon the degree of nodes that connect to a hub. On the other hand, in topological decomposition proposed here, hubs are by definition, nodes with high degree and thus they can never end up in low  $d$  values of a Max<sub>d</sub>-DIS graph.

Let us take another example from a real world graph, that of the Geometry co-authorship network by drawing several Max<sub>d</sub>-DIS graphs. The graphs are drawn using Fast Multipole Multilevel Method ( $FM^3$ ) [76] which is a force directed algorithm. These algorithms put nodes densely connected to each other closer in the layout and pushes nodes that are not connected away from each other. These algorithms are ideally suited for visual detection of community structures in networks. But in case of networks having

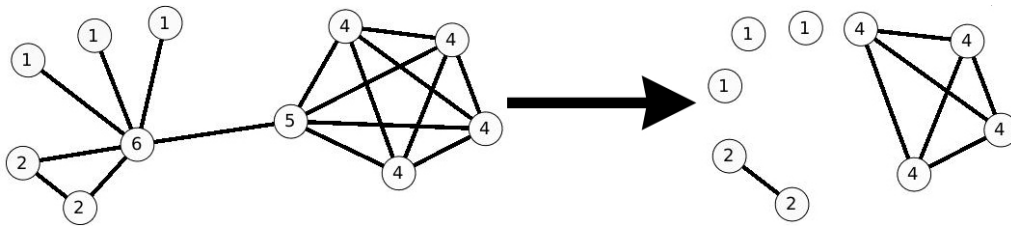


Figure 5: An example of  $\text{Max}_d\text{-DIS}$  before and after calculating  $\text{Max}_4\text{-DIS}$ .

scale free properties, where lots of nodes connect to only a few nodes, most of the time it becomes difficult to visually identify the presence of these communities. This is because nodes with very high connectivity are placed in the center of the layout and nodes of low degree are placed towards the outer periphery. As a result, these drawings are very hard to read specially in the center where all the high degree nodes are placed as shown in Figure 6(a), we can see the entire network drawn using  $FM^3$  algorithm. The network contains over 3500 nodes which makes it quite difficult to see anything interesting in the network.

Figures 6(b),(c) and (d) are  $\text{Max}_d\text{-DIS}$  graphs constructed for values  $d = \{5, 10, 15\}$  respectively. We studied subgraphs for different values of  $d$  and choose these three values based on our analysis as they seemed to have interesting observations. These values are by no means an indication of how  $d$  values should be selected for other data sets and vary from one data set to the other.

Figure 6(b) shows the  $\text{Max}_5\text{-DIS}$  and is an interesting way to look at how nodes with low degrees are connected to each other. The subgraph contains 2757 nodes which is more than 76% of the total nodes in the entire graph. This suggests that most of the nodes in the network have low degree. Most of the time, our focus is towards the high degree nodes that stand out in the analysis of these complex networks. But the majority of the nodes have low node degree and we stress that analysis methods should also focus on these nodes as they are in majority and influence the overall behavior of the network to a large extent.

There are 1481 edges in the subgraph which makes the average node degree 0.53 as compared to the overall average of 2.6 which is a huge difference in the context. The maximum node degree for the entire network is 102. This means that most of these low degree nodes tend to connect with ‘higher’ degree nodes. Note that we emphasize the relative degree and use ‘higher’ instead of ‘highest’, this is to suggest that since we are analyzing  $\text{Max}_5\text{-DIS}$  with degree limit 5, these nodes might end up connecting to nodes with degrees 6, 7, 8 and not necessarily with nodes of degree closer to the highest node degree which is 102. We will have a look at this when we analyze the  $\text{Max}_{10}\text{-DIS}$  and  $\text{Max}_{15}\text{-DIS}$  graphs.

Another interesting observation is the number of connected components, which in this case is 1537 and there are lots of nodes with degree 0 in the  $\text{Max}_5\text{-DIS}$ . Remember that the entire network is a single connected component, this high number of disconnected components suggest that as high degree nodes appear, these smaller connected components merge to form one big single connected component in the network.

Finally, an important observation is about the structure formed by these low degree nodes when connecting to each other. Recall from Chapter 1, where we discussed Simmel’s

sociology and the formation of *triads*. Figure 6(b) verifies the theory as we see a number of triads in the figure. Triads are present not only in connected components of more than three nodes, but also in connected components of exactly three nodes. Moreover, we do not only observe triads forming cliques of three nodes, but also of bigger sizes, although rare, but they are clearly present. There are a few cliques of size 4 and even one of size 5. By construction of this co-authorship network, we expect cliques to be present. Since the data set is about scientific articles in which it is common to have 3, 4 or more authors, it is quite obvious that we will find these cliques when visualizing the network. To avoid any false implications, we would like to refer to Figure 5 once again, notice the clique of 5 nodes, when a  $\text{Max}_d\text{-DIS}$  is constructed, it no longer remains a clique of same size. Thus when analyzing a subgraph such as Figure 6(b), we should not conclude that there are only cliques of size 3 or 4 in the network, rather there are definitely cliques of larger sizes in the entire network.

Figure 6(c) shows the  $\text{Max}_{10}\text{-DIS}$  of the same network. Looking at the graph, we can immediately see that lots of small connected components from Figure 6(b) are now beginning to connect. Two such connected components are quite evident and there is another one which is of considerable size. In mathematics, this phenomena is called the emergence of a giant component [90]. Physicists call it percolation and refer to this phenomena as phase transition [56]. The network changes drastically as certain links are introduced, and becomes a single connected component [14]. In this case these links are introduced in the network by higher degree nodes that are responsible for connecting all these smaller components. The number of connected components in  $\text{Max}_{10}\text{-DIS}$  are 942 as compared to 1537 in  $\text{Max}_5\text{-DIS}$ , which is a considerable decrease as we do not have very high degree nodes.

Note that we still do not have the presence of very high degree nodes. If we look at the degree distribution of Geometry network shown in Figure 7, we see that it is around degree value 10 that the long tail starts to develop. In terms of clustering, this is quite significant. Consider if we want to group similar nodes, we can use this idea that in the absence of high degree nodes, this network breaks into smaller connected components, and from the subgraph, we can say that there are two big and a smaller cluster of nodes in the entire network. As high degree nodes are introduced in this network, the network becomes one big connected component and it becomes difficult to identify clusters. We will discuss this idea in more detail in the following chapters, for the moment we continue with the analysis of networks using  $\text{Max}_d\text{-DIS}$  decomposition.

One observation from the degree distribution is that the highest frequency of nodes is for degree value 2, which is 817, followed closely by degree 1 and degree 3 nodes with frequencies 751 and 617 respectively which suggest that 60% of the total nodes have degree less than 4.

And finally we move to Figure 6(d) which shows the  $\text{Max}_{15}\text{-DIS}$ . A clear development in this graph is the formation of the big connected component which comprises of 2133 nodes and 3523 edges. Notice that this graph only contains nodes with a maximum node degree of 15 in the entire network as compared to the highest node degree, which is 102. This shows that approx. 59% of the nodes are linked in a single connected component without the presence of very high degree nodes.

An obvious question arises, how do we define ‘high’ or ‘very high’ degree nodes? Obviously there is no concrete definition, but a rather vague estimation can be made by using a number of heuristics. If we compare 15 with 102, the gap seems to be quite wide and it

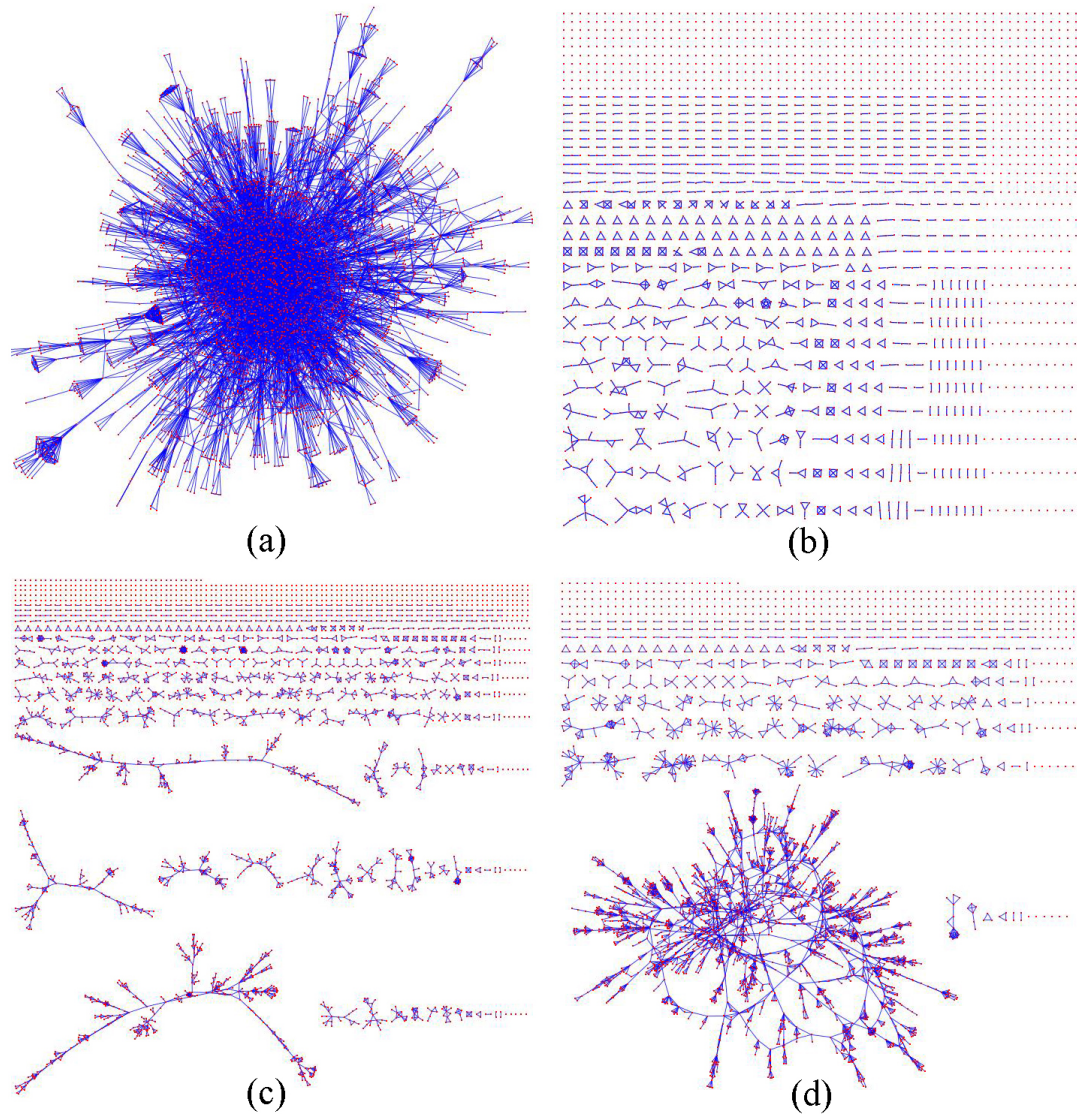


Figure 6: Visualization of  $\text{Max}_d\text{-DIS}$  graphs for the Geometry network. (a) Entire Network (b)  $\text{Max}_5\text{-DIS}$  (c)  $\text{Max}_{10}\text{-DIS}$  (d)  $\text{Max}_{15}\text{-DIS}$ .

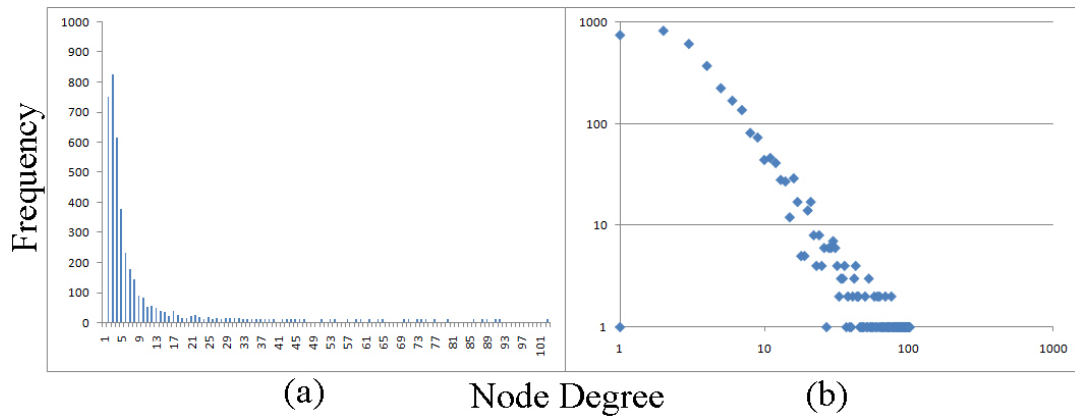


Figure 7: (a) Histograms and (b) Log-Log scatter plot of the Degree Distribution of Geometry Network.

is easy to say that the value 15 does not really represent ‘high’ values of degree. On the other hand, if we compare 15 with the average node degree which is 2.6, the value seems to be quite high and can be considered as a high value for node degree. If we look in terms of percentage, the  $\text{Max}_{15}\text{-DIS}$  contains 3413 nodes which is 208 nodes less than the total number of nodes in the network and makes a percentage of around 94%. If we consider the top 5% nodes as the high degree nodes,  $\text{Max}_{15}\text{-DIS}$  suggests that in the absence of high degree nodes, 59% of the nodes in the network are still connected.

Thus we suggest that the  $\text{Max}_d\text{-DIS}$  can be used as a method to study an important feature of scale free networks studied by Albert *et al.* [4] i.e. scale free networks are robust to random loss of nodes but fragile to targeted worst-case attacks on hubs (introduced earlier in Chapter 1).

Looking at the average degree in this subgraph, for the 94% of the nodes in the entire network is 1.33 as compared to overall value of 2.6 for the entire network. Thus the high degree nodes heavily influence the total number of edges (or the average degree of nodes) in the entire network. Obviously this is a direct implication of the long tail in the degree distribution. The longer the tail, the bigger would be the difference in the average node degree of low degree nodes and the overall network.

About the connectivity of the nodes and the three bigger connected components in Figure 6(c), there is an interesting observation about the average path lengths of these bigger connected components. The three components have values of 12.9, 12.4 and 9.7 which when compared to the overall value of 5.31 are quite high. Even the biggest connected component in Figure 6(d) has a very high average path length of 12.1. As the high degree nodes appear in the network the average path length drops considerably in the final network. If we look closely at Figure 6(a,b,c), we understand an important connectivity principle of these networks. When nodes connect to each other through ‘higher’ degree nodes, the average path length is a bit higher as the connections form long paths, and when nodes connect through ‘very high’ degree nodes, the average path length is lower.

We further explain this using an example of co-authorship network. Consider the four cliques in Figure 8(a) representing four different articles, where the number of authors for the four cliques are 5,3,4,3 and each node is labeled with letters from A to O.

The four cliques can be connected to each other by two principles. The first one is



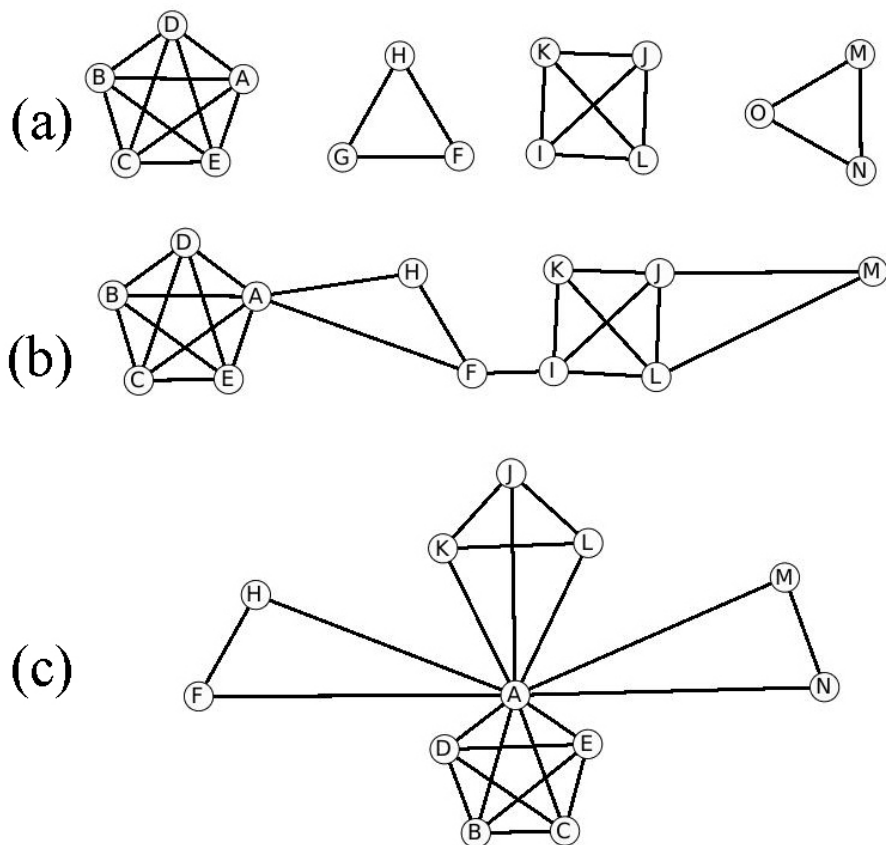


Figure 8: (a) Four cliques representing different articles in an co-authorship network (b) The cliques are combined to form high average path length with certain nodes having higher degree (c) The cliques are combined to form low average path length with Node A standing out as a very high degree node.

shown in Figure 8(b), which is in the absence of very high degree nodes. Consider a person authoring two articles with two different sets of people, in that case, that person will sit in between two cliques. From the example in the figure we consider person A and G are the same person and thus they connect the two cliques as shown in Figure 8(b). Another way to connect two cliques is to add edges between people writing different articles. In this example we add an edge between F and I, suggesting that earlier these people worked with a different set of people, but now they have collaborated to write together. Similarly, person J is the same as O, and L is the same person as N. This connectivity pattern introduced is due to the absence of very high degree nodes as, in this connected network, no node has a very high degree. The result is that we get a long string of cliques connected to each other just as we saw in Figure 6(c,d).

On the other hand, another way to connect these cliques is shown in Figure 8(c) where a single person co-authors many articles with other people, in this case, that person is shown as node A, which collaborates with all other authors. This gives a certain node a very high node degree and reduces the overall average path length to a large extent. Both these connectivity patterns are present in the Geometry network as we see long paths in Figure 6(c,d) and short paths in Figure 6(a).

Let us summarize what we have explained in this section. We have tried to analyze the Geometry network using the  $\text{Max}_d\text{-DIS}$  decomposition. We identified several interesting observations such as:

1. Studying how edges are distributed in low degree nodes.
2. Observe the structure of networks such as the formation of *triads* and *cliques* of bigger sizes.
3. Analyze the connectivity of nodes in the absence of *hubs* and see if a network is fragile to targeted attacks.
4. Break up of nodes in several disconnected components in the absence of high degree nodes, which motivates the idea of possibly grouping the connected components as clusters.
5. For higher values of  $d$ , the smaller components begin to merge into a single connected component even if very high degree nodes are not present.
6. The average path length of biggest connected components in the DIS subgraphs is considerably higher in the absence of very high degree nodes indicating that very high degree nodes are also responsible for reducing the overall average path length.
7. Two connectivity patterns are observed, one in the presence of higher degree nodes, and the other, when very high degree nodes appear. These patterns effect the average path length of a connected component.

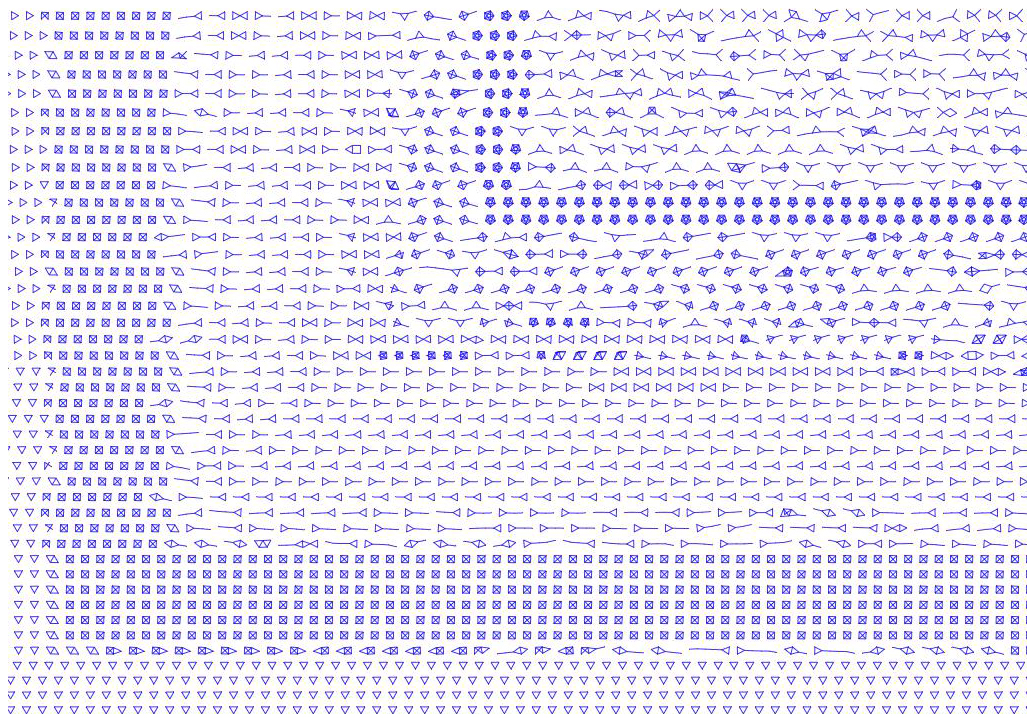
From this detailed analysis of the Geometry network, we will have a look at some other real world networks and try to see if we observe similar findings in other networks.

Next, we consider another co-authorship network, the Dblp2008 network. In Figure 9(a) and (b), we show the  $\text{Max}_5\text{-DIS}$  and  $\text{Max}_{10}\text{-DIS}$  of the network. In both these figures, we show only part of the entire subgraphs as  $\text{Max}_5\text{-DIS}$  contains over 60000 nodes and  $\text{Max}_{10}\text{-DIS}$  over 80000 nodes. The analysis is quite similar to that of the Geometry network as in the  $\text{Max}_5\text{-DIS}$  we see lots of smaller connected components forming cliques of sizes between 1 and 5.

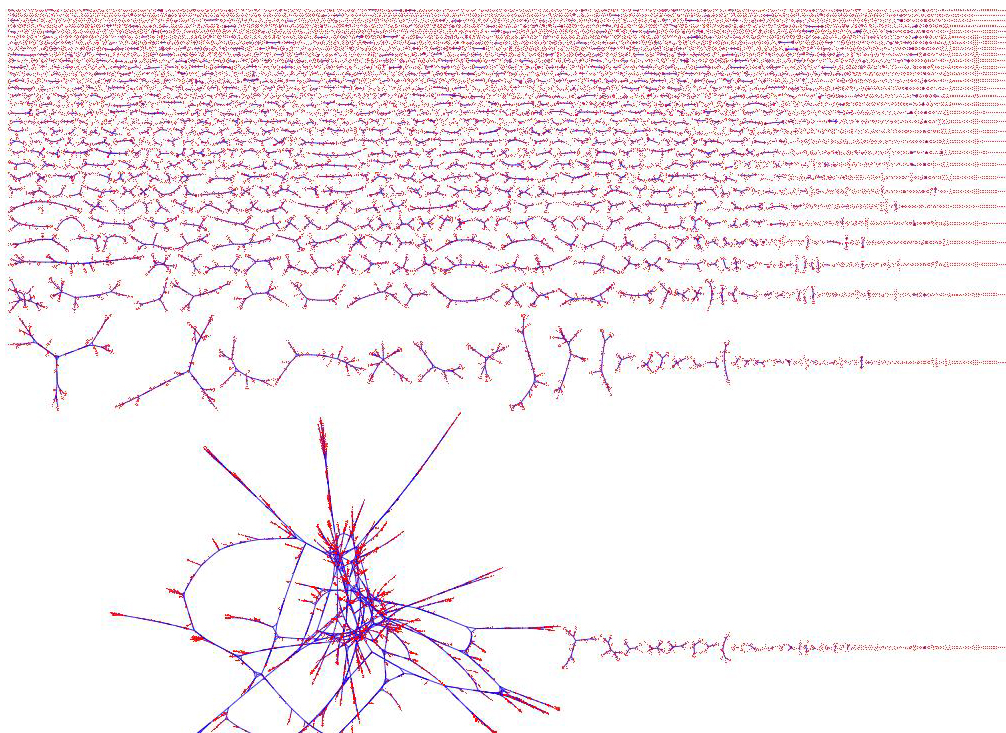
In Figure 9(b) we clearly see that the smaller components start to combine into one big connected component just as the case of geometry network shown in Figure 6(c) and Figure 6(d). This suggests that even at degree value 10, smaller connected components begin to merge into one single connected component.

Figure 10(a) and (b) show the  $\text{Max}_5\text{-DIS}$  and  $\text{Max}_{15}\text{-DIS}$  of the Opte network. There are 33418 nodes and 21067 edges in  $\text{Max}_5\text{-DIS}$  which is 93% of the nodes have a degree less than or equal to 5. If we look at the average degree of the entire network which is 1.18, this information comes as no surprise as it is expected to have many nodes of low degree.

There is an absence of cliques when we observe the  $\text{Max}_5\text{-DIS}$  of Opte network as compared to the previous two examples. The absence of cliques can be deduced from the low clustering coefficient of the network. The most important observation is the presence a motif which stands out as recurrent, is the presence of *star-like* structure where one node is connected to many other nodes of degree exactly equal to 1. This structure has an



(a)



(b)

Figure 9: Visualization of Max<sub>d</sub>-DIS graphs for the Db1p2008 network. (a) Part of Max<sub>5</sub>-DIS (b) Part of Max<sub>10</sub>-DIS.

implicit justification, it is highly efficient in terms of cost of transmission channels. Cliques are not required as data can be transmitted only if a single path exists from one computer to the other. Mostly the computers in such a network are organized in this star topology where the computer with all the connections serves as a communication channel for the other computers and the rest of the network. In network terminology, we can say that there are no densely connected components as compared to the previous two networks, but rather the star topology dominates this type of network.

Just as the previous networks, there are lots of disconnected components in this network. One common behavior with the two previous examples is that as we increase the  $d$  value, smaller connected components begin to merge into a single connected component as shown in Figure 10(b). As compared to the highest node degree in this network, which is 259, if we look at the Max<sub>15</sub>-DIS, the biggest connected components has 24414 nodes, which shows that 68% of the nodes make a single connected component with maximum node degree of 15 only. Another interesting observation about this biggest connected component is the average path length of the nodes. In the absence of very high degree nodes, the average path length of these 68% nodes is 21.6 as compared to the overall value of 16.7. This suggests that the high degree nodes are again the reason of reduced average path length in these real networks.

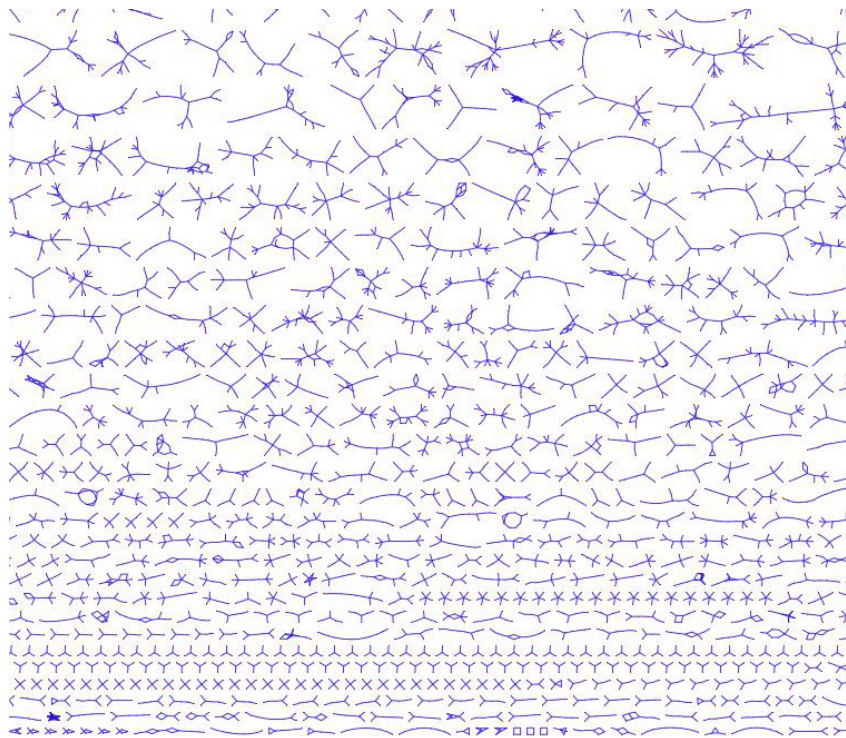
We move to another example of technological network, the AirTransport network. Figure 11(a) shows the Max<sub>25</sub>-DIS and Figure 11(b) shows part of the Part of Max<sub>50</sub>-DIS. We choose relatively higher values of  $d$  in this example because there were not sufficient nodes or edges to observe anything interesting for lower values of  $d$ . From Figure 11(a), we can see that there are many disconnected components in the network as the previous examples. Recall, this signifies that these networks require higher degree nodes to connect to each other.

The structure of the network is quite an interesting one. We see that there are triads as well as stars in Figure 11(a). If we look at the overall clustering coefficient, the presence of triads is confirmed from the relatively high value of 0.49 as compared to that of the Opte network which is 0.003. If we look closely at the biggest connected component in Figure 11(b) with the Max<sub>50</sub>-DIS, we see that there are many star like structures, i.e. many nodes with degree 1 connect to a single node. This makes a very interesting example to study as we see that this network is a good mix of the two fundamental structures that we have identified, the cliques and the stars. Moreover, the average node degree in Max<sub>50</sub>-DIS is 1.49, which is very low as compared to the overall average degree of 10.7. Along with the highest node degree of 487, these values suggest that the high degree nodes are very well connected to other nodes in the network and thus push the average node degree to a high value of 10.7.

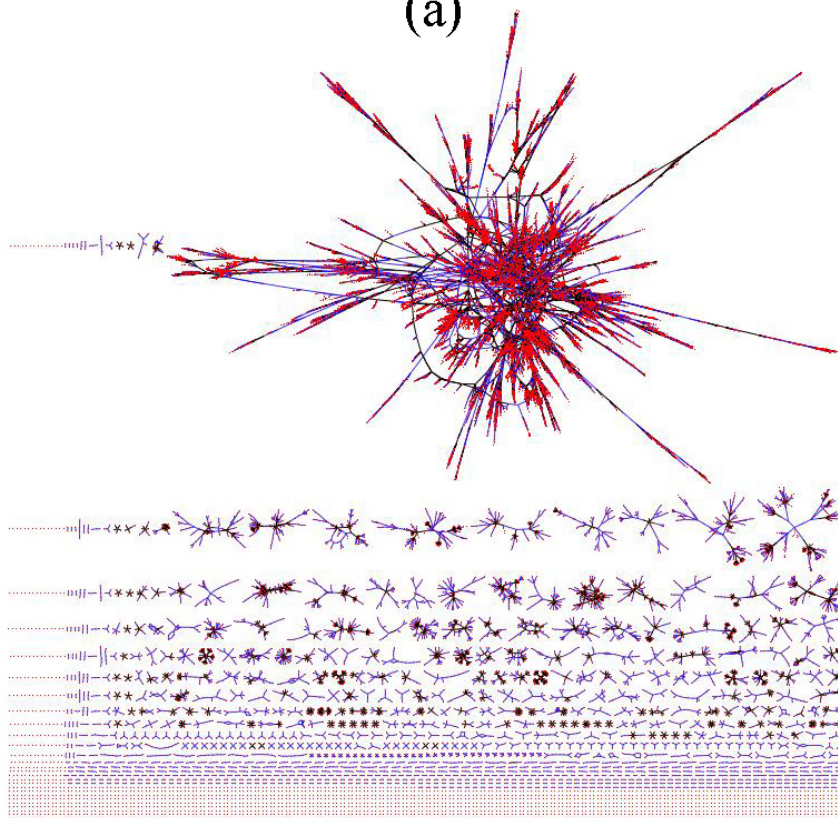
Note that as with the previous examples, the smaller connected components start to merge as we move to higher values of  $d$  while constructing the Max <sub>$d$</sub> -DIS graphs.

The biggest connected component in Figure 11(b) has 832 nodes and 1941 edges which is 54% of the total nodes. The average path length of the nodes in this component is 5.9 as compared to the overall value of 2.9 and the considerable difference again suggests that very high degree nodes are responsible for the decrease in the overall average path length of the entire network.

Finally, we consider an example from the Biological data. The Protein network again presents a proof of the presence of star like connectivity among nodes. There are a few triads as well but no bigger size cliques as can be seen from Figure 12(a) and (b) showing



(a)



(b)

Figure 10: Visualization of  $\text{Max}_d$ -DIS graphs for the Opte network. (a) Part of  $\text{Max}_5$ -DIS (b) Part of  $\text{Max}_{15}$ -DIS.

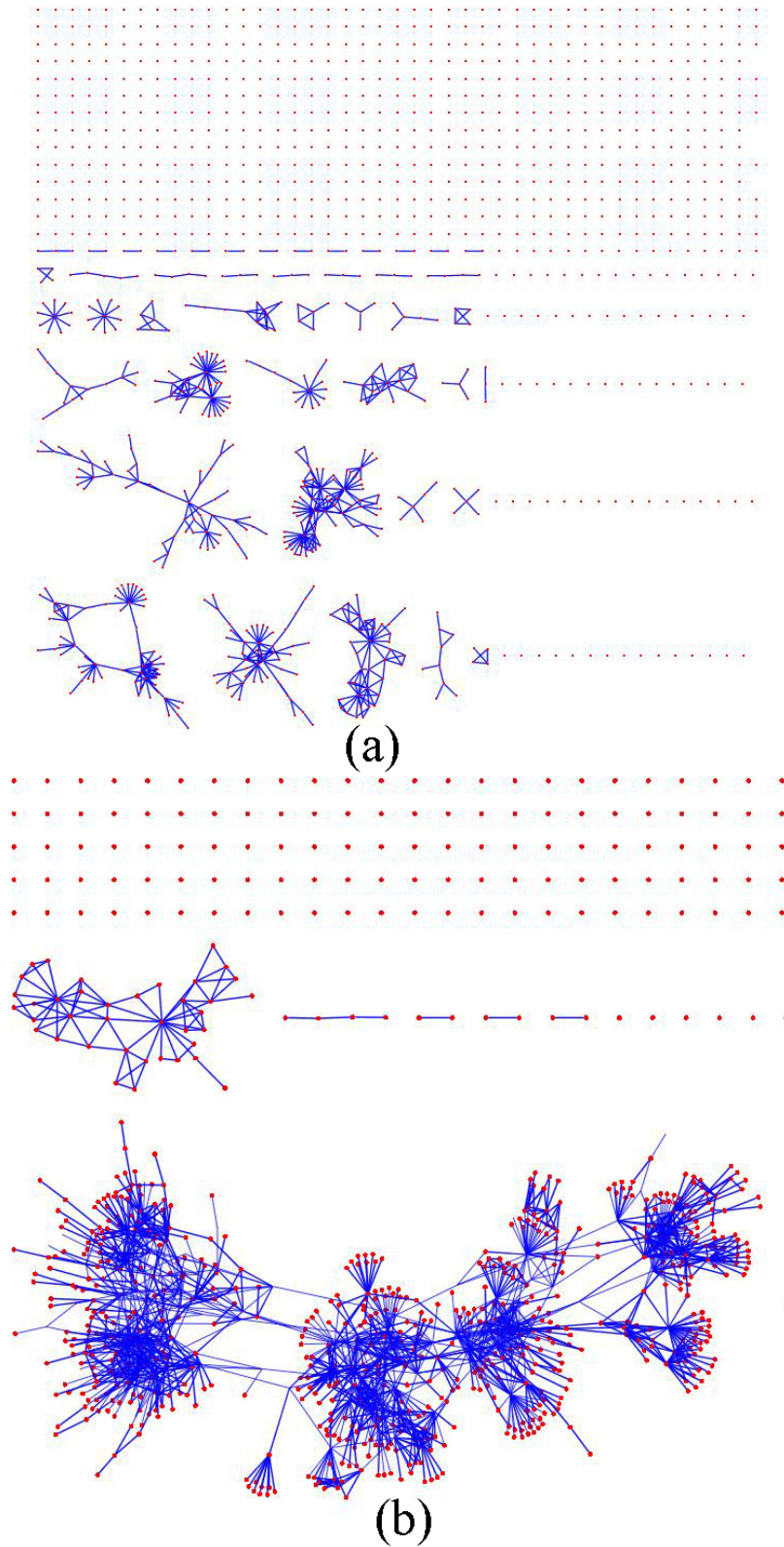


Figure 11: Visualization of  $\text{Max}_d\text{-DIS}$  graphs for the AirTransport network. (a)  $\text{Max}_{25}\text{-DIS}$  (b) Part of  $\text{Max}_{50}\text{-DIS}$ .

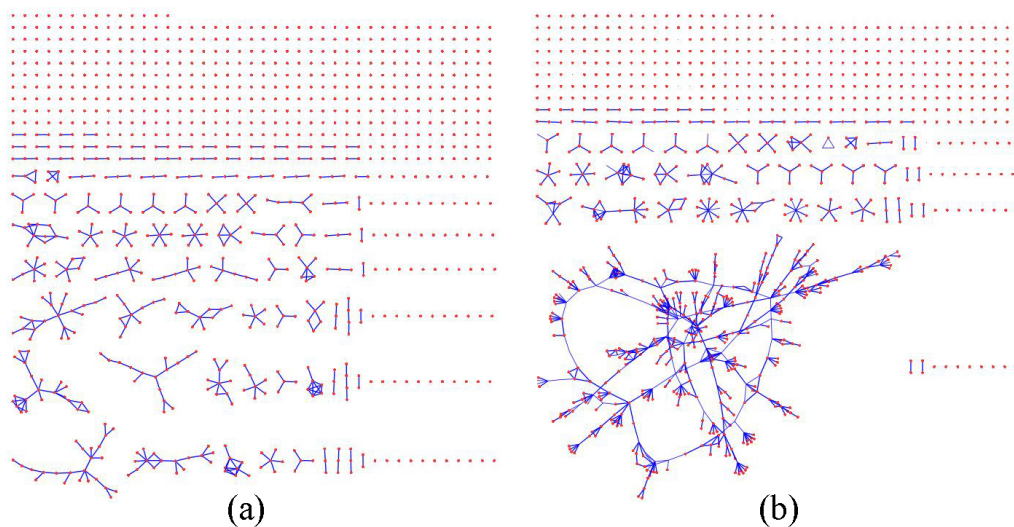


Figure 12: Visualization of  $Max_d$ -DIS graphs for the Protein network (a)  $Max_7$ -DIS (b) Part of  $Max_{10}$ -DIS.

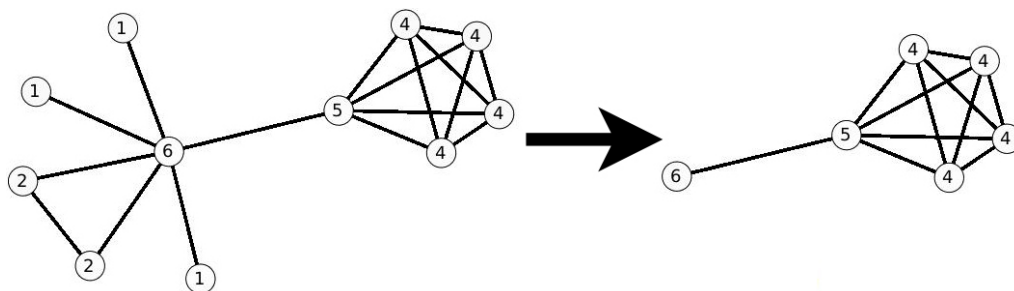


Figure 13: An example of  $Min_d$ -DIS before and after calculating  $Min_4$ -DIS.

the  $Max_7$ -DIS and part of  $Max_{10}$ -DIS respectively. One big connected component starts to appear in Figure 12(b) but we can identify the presence of the star like structures clearly even in the bigger component. The low clustering coefficient of 0.23 of the overall network also indicates the absence of many triads.

The biggest connected component in Figure 12(b) contains 436 nodes and 553 edges and has an average path length of 9.8 where the overall average path length of the network is 4.8. These values again reinforce our idea that high degree nodes cause the overall decrease in the average path length of the nodes in a network.

### 3.2.2 $Min_d$ -DIS: A closer look

In this section, we present the details of  $Min_d$ -DIS decomposition and how it can help us to analyze networks. Lets take a simple example to see how it works. Consider the graph in Figure 13 on the left and its  $Min_4$ -DIS on the right. Only the nodes with degree at least 4 are left in the subgraph and the low degree nodes are removed. This method by definition considers only high degree nodes and thus helps us to have a look at how edges are distributed in high degree nodes of a network.

In terms of analysis of real world networks, let's consider the AirTransport network for a detailed analysis using  $\text{Min}_d\text{-DIS}$ . Figure 14(a) shows the entire network containing 1540 nodes and 16523 edges.

Figure 14(b) shows the  $\text{Min}_{250}\text{-DIS}$  of the network showing the top 10 highest degree nodes of the network connected through 44 edges, which is just one less to make it a clique. This suggests that the world's most widely connected airports have all a direct flight to each other with the exception of one case. Recall from the construction of this network explained in Chapter 2, two cities are connected to each other if there is a direct flight from one to the other. The high degree of a city refers to its many different connections to other cities and not heavy traffic nor does it refer to the world's busiest airports.

Figure 14(c) shows the top 20 widely connected airports in the world drawn using a circular layout [158]. We have also labeled the nodes with the city names. The nodes are connected through 189 edges, missing the only edge for it to be a clique. This high connectivity of high degree nodes essentially comes from the design strategy of airlines and connectivity of any two cities of the world. Since the idea is to minimize the number of hops required to go from one place to the other, all the hubs in the network are connected directly to each other.

Figure 14(d) shows the top 5% high degree nodes of the network. There are 77 nodes connected through 1822 edges which is an average degree of 23. In this subgraph, this high connectivity can be measured in terms of average path length, which is 1.3 as compared to the average path length value for the entire graph which is 2.93. This is a huge difference in the context. Note that there is not a single node disconnected in this network, thus high connectivity of hubs has been used as a method to increase the efficiency of transportation where the criteria is obviously minimizing the hops as described earlier.

This example shows an application of how  $\text{Min}_d\text{-DIS}$  can help analyze the connectivity of hubs in real world networks. From the above example, we learned that the hubs are very tightly connected to each other. We used the average path length to quantify how close the nodes are to each other. Using  $\text{Min}_d\text{-DIS}$ , we can also study the phenomena of assortative mixing [128] introduced in chapter 1 where nodes with many connections have a tendency to connect to other nodes with many connections. And finally we noticed that all the nodes were connected in a single component and there were no disconnected components. We summarize the type of analysis that can be performed using  $\text{Min}_d\text{-DIS}$  below:

- > Study how edges are distributed in high degree nodes or *hubs*.
- > Observe the connectivity pattern of high degree nodes forming one big connected component. We use Average path length to quantify how closely the hubs are connected to each other.
- > Analyze networks for assortative mixing.

Next, we consider the other four networks that were analyzed using  $\text{Max}_d\text{-DIS}$ , the Geometry, Dblp2008, Opte and Protein networks. For each of these networks, we took the  $\text{Min}_d\text{-DIS}$  with approximately 5% highest degree nodes. The results are shown in Figures {15,16,17,18} respectively for the above mentioned networks.

The Geometry network contains 179 nodes and 1384 edges. There are only two nodes that are not connected to the biggest connected component as shown in Figure 15. The



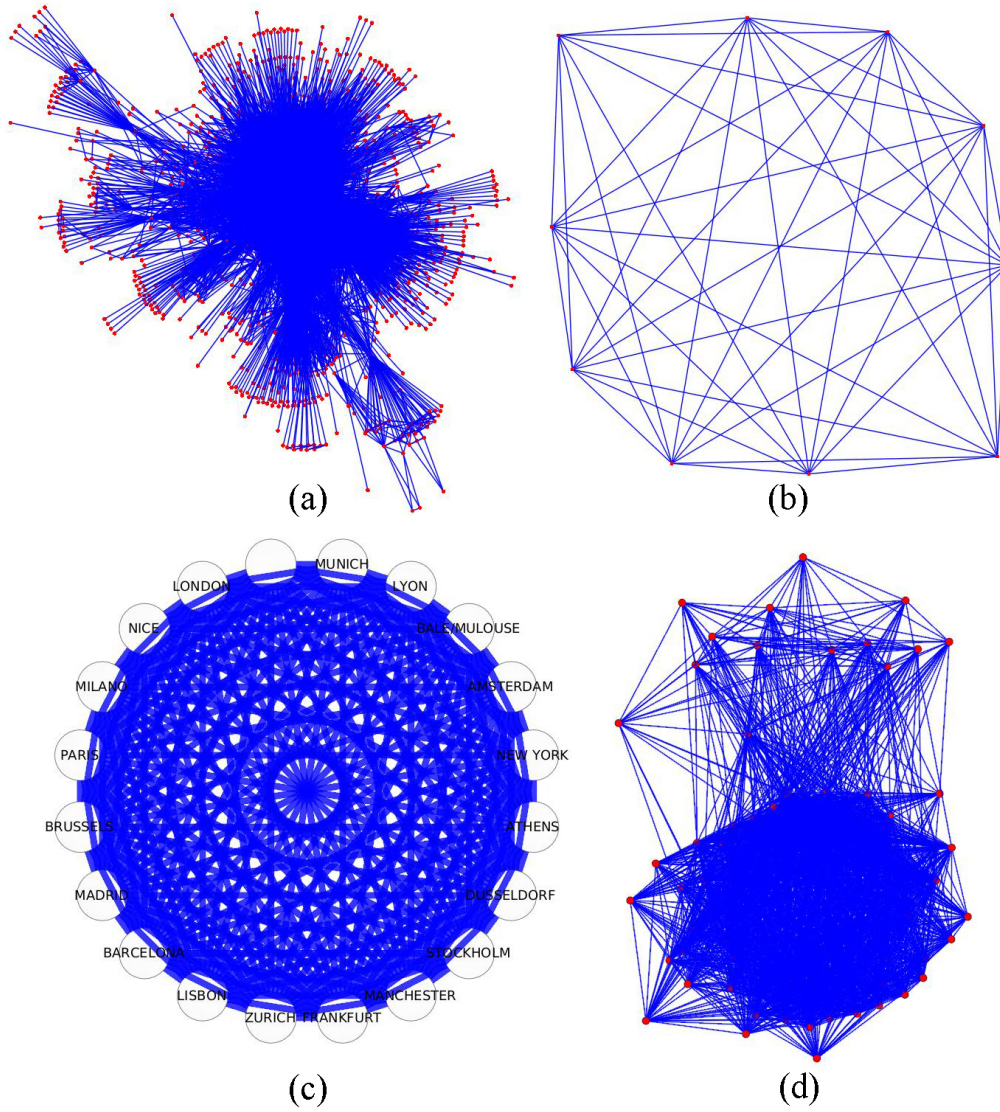


Figure 14: Visualization of  $\text{Min}_d$ -DIS graphs for the AirTransport network (a) Entire Network (b)  $\text{Min}_{250}$ -DIS with the 10 highest degree nodes (c)  $\text{Min}_{185}$ -DIS with the 20 highest degree nodes (d)  $\text{Min}_{94}$ -DIS with the top 5% highest degree nodes.

average degree of this sub graph is 7.7 as compared to 2.6 of the overall, which shows that these high degree nodes tend to connect to each other. The average path length of the big connected component is 2.4 as compared to 5.3 of the entire network, which again is a considerable difference. In this network, obviously the number of hops is not a criteria for efficiency, as it was for the AirTransport network. Here, the social contacts of a research community play an important role to keep the network well integrated where researchers collaborate to many different people, which results in a low average path length for this subgraph. We go back to the description of this network and the way this network is built. The data set was obtained from Computational Geometry Database which contains citation record of people working in this domain. The high connectivity of nodes within and the low average path length of these high degree nodes can be justified by the fact that people working in the same scientific domain have a higher probability of interacting with each other. We can compare the behavior of high average degree and low average path length of this network with that of AirTransport network as being similar, although the semantics and the reasoning behind this development are quite different.

The other co-authorship network is the Dblp2008 which is significantly different from the Geometry network. Figure 16 shows the  $\text{Min}_{17}\text{-DIS}$  of the network with 3872 nodes and 20828 edges. There are 326 connected components which is quite different from the previous two networks analyzed using  $\text{Min}_d\text{-DIS}$ . Although there is one big connected component, but there are many disconnected components that are themselves well connected to other nodes forming cliques. We call this behavior, local peaks as these are people working in different scientific domains and publishing many articles. Their domains do not cross necessarily and thus they are high publishing authors interacting with their restricted research community but not with people of other domains.

A similar phenomena is observed in the Opte network where the  $\text{Min}_7\text{-DIS}$  is shown in Figure 17. There are 1697 nodes and 1869 edges in this graph and a very high number of connected components, 718. There is one connected component of larger size but most nodes have degree 0. This suggest that these high degree nodes pass through lower degree nodes for connectivity. Remember we noticed the contrary when using  $\text{Max}_d\text{-DIS}$  to analyze networks, when low degree nodes had to pass through relatively higher degree nodes for connectivity.

Finally we look at the Protein network in Figure 18 showing the  $\text{Min}_{17}\text{-DIS}$ . The graph contains 67 nodes and 147 edges. There is only one node which is disconnected from the bigger connected component. The average path length of this connected components is 3.7 as compared to the overall value of 4.8.

From the  $\text{Min}_d\text{-DIS}$  analysis of several graphs, we found two interesting phenomena about the way high degree nodes can connect to each other.

- > Nodes with high degree are well connected to each other forming one big connected component with low average path lengths (comparing with respective average path lengths of their entire networks) *OR*
- > Nodes with high degree break into several connected components and have a path to each other through lower degree nodes

The  $\text{Min}_d\text{-DIS}$  reveals this interesting structural behavior of real world networks. This information can be quite useful when analyzing the efficiency of these networks or it might even help design and improve the efficiency of systems with this type of analysis.

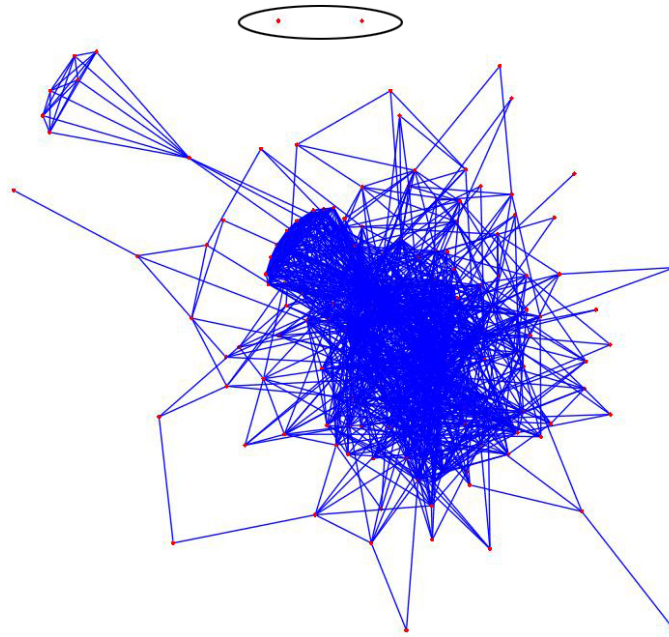


Figure 15:  $\text{Min}_{17}$ -DIS of Geometry network with 5% highest degree nodes.

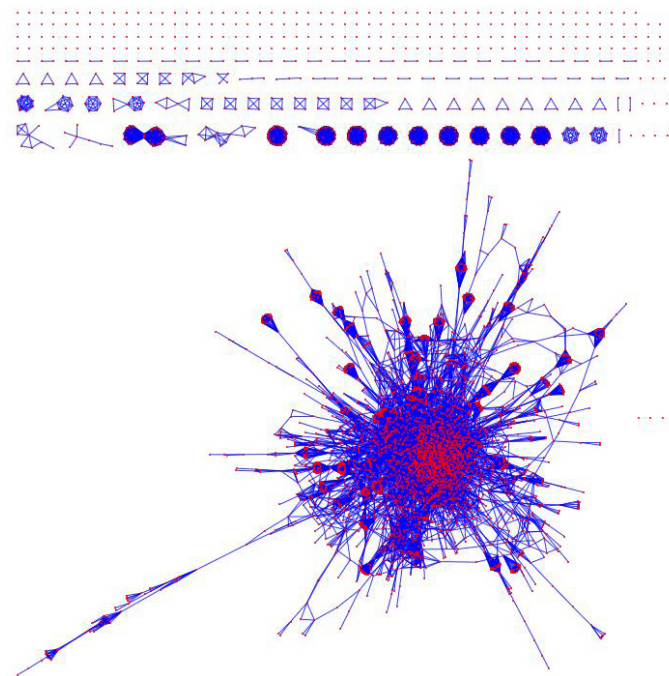


Figure 16:  $\text{Min}_{17}$ -DIS of Dblp2008 network with 5% highest degree nodes.

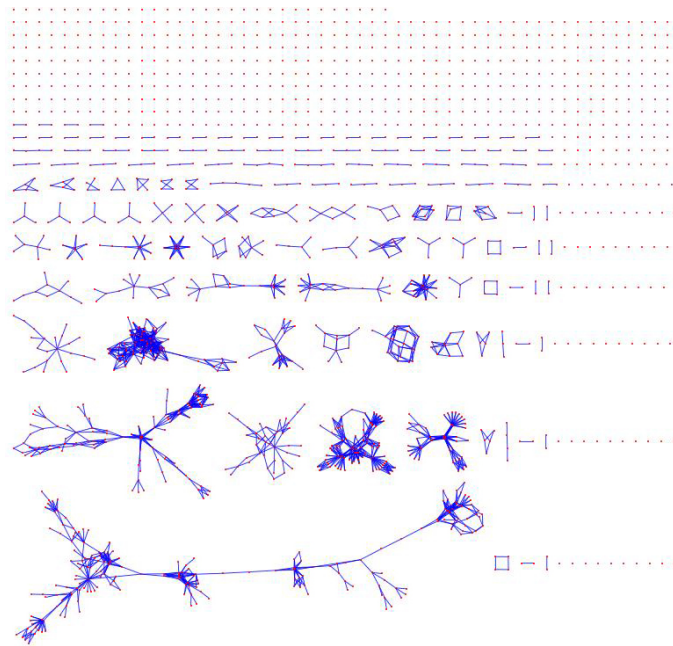


Figure 17:  $\text{Min}_7\text{-DIS}$  of Opte network with 5% highest degree nodes.

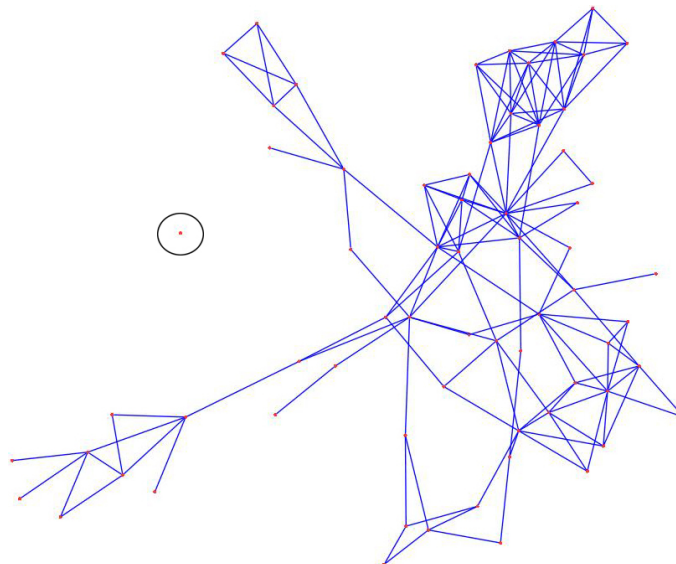


Figure 18:  $\text{Min}_d\text{-DIS}$  of Protein network with 5% highest degree nodes.

The proposed DIS method seems to be effective in a number of different ways when combined with visual analysis. In the above section we analyzed some real world networks and showed how this method can be useful. Apart from the visual analysis of the decomposed networks, the method can serve as the basis for the development of other methods, metrics and algorithms for various applications. We present one such application in section 3.4 that can be useful in different domains.

### 3.3 Comparing DIS and $K$ -cores

Comparing the  $k$ -core decomposition with the Topological decomposition based on DIS, first we consider the  $\text{Max}_d$ -DIS. Consider the example of Geometry network described earlier and shown in Figure 6. The highest  $k$  value possible is 21 for the  $k$ -core decomposition whereas the highest node degree is 102 for the DIS decomposition. Thus, the subgraphs constructed for the two methods are 21 and 102 respectively. Subgraphs with more nodes are produced using  $k$ -core for different values of  $k$  as shown in Figure 19. One clear observation from the visualization of graphs in Figure 19(b) and (c) is that  $k$ -core decomposition cannot be used to study how low degree nodes connect to each other as compared to  $\text{Max}_d$ -DIS. By definition, as it progressively contains nodes with high connectivity, for low values of  $k$ , we have quite large graphs cluttered in one big connected component. This makes any visual analysis impossible. Thus  $\text{Max}_d$ -DIS has a clear advantage over  $k$ -core decomposition as it provides a way to study the connectivity of low degree nodes as discussed earlier in the previous section.

Looking at the  $\text{Min}_{17}$ -DIS of the geometry network, in Figure 15, the network contains 179 nodes with 1384 edges. Comparing it with Figure 19(c) showing 10 – core of the same network with 133 nodes and 1296 edges, we can clearly see that  $\text{Min}_{17}$ -DIS contains many nodes with low connectivity in the subgraph. This is because the way the two subgraphs are built by definition.  $k$ -core focuses on nodes that only connected to other high connectivity nodes and thus in the subgraph, each node has at least degree 10. On the other hand,  $\text{Min}_{17}$ -DIS contains nodes that are highly connected to other nodes and thus in the induced subgraph, it is quite possible to find nodes with low degree. The node edge density of the two subgraphs is a good indication of different set of nodes being selected for the two graphs with some similarities.

Finally we compare the top 22 nodes obtained by the two methods shown here in Figure 20. The author names are displayed and except for an author ‘Pankaj K. Agarwal’, no other author is common to the two subgraphs. This clearly shows that by definition, the two decomposition methods target a different set of nodes.

Summarizing the above findings, the  $k$ -core decomposition is more closer to the  $\text{Min}_d$ -DIS as both these methods try to focus on nodes of higher degree. To differentiate between these two, assume that for some network, we are looking for ‘important’ nodes in some respect. Using the definition of  $\text{Min}_d$ -DIS, the ‘important’ nodes would be the ones that have high degree, irrespective of who they are connected to. On the other hand, using the definition of  $k$ -cores, ‘important’ nodes would be the ones that have high degree and are connected only to other ‘important’ nodes.

A word on the time complexity of these two methods.  $k$ -core can be calculated in  $O(n + e)$  [6] where  $n$  is the number of nodes and  $e$  is the number of edges.  $\text{Min}_d$ -DIS can be calculated in  $O(n)$  time which is much faster than the  $k$ -core decomposition. The

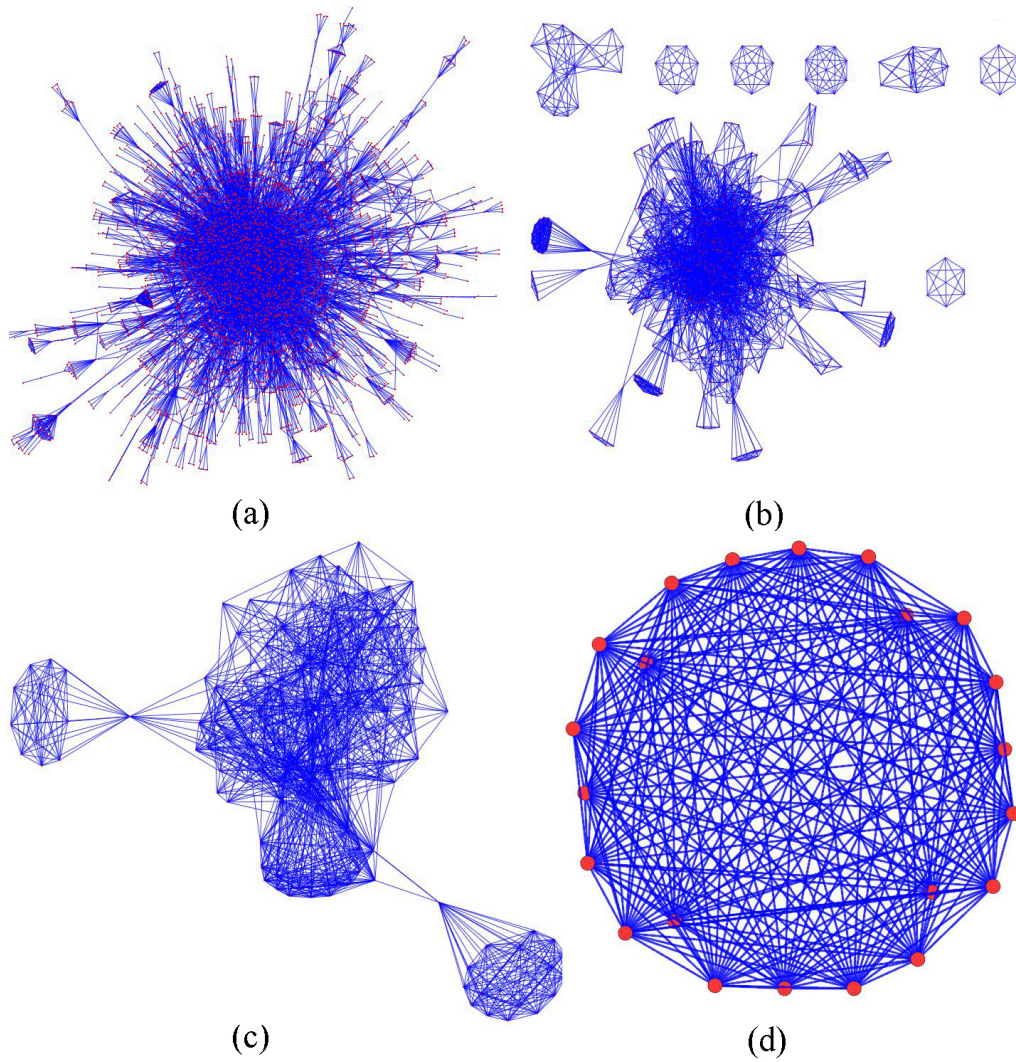


Figure 19:  $k$ -core decomposition of the geometry network for different values of  $k$  (a)  $k = 1$  contains the entire network (b)  $k = 5$  contains 610 nodes and 3594 edges (c)  $k = 10$  contains 133 nodes and 1296 edges (d)  $k = 21$  is the highest possible value of  $k$  for the network and contains 22 nodes and 231 edges making it a clique.

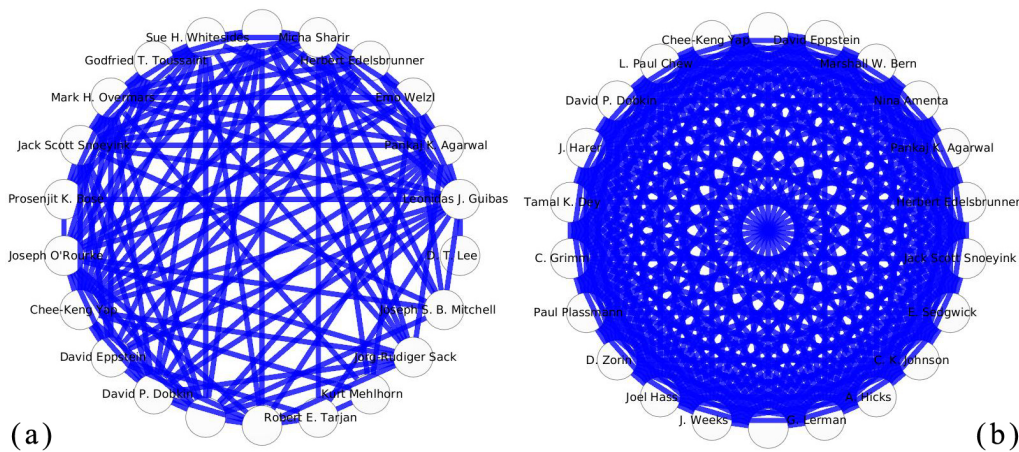


Figure 20: (a)Max<sub>59</sub>-DIS of Geometry network containing top 22 nodes with highest degree (b)  $k$ -core of Geometry network containing top 22 nodes with  $k = 21$ . Only one node is common to the two subgraphs.

difference becomes more important when real world networks with hundreds of thousands of nodes and edges are treated using these methods.

### 3.4 Applications: Detection of Densely Connected Nodes

Figure 6(a,b,c) and Figure 9(a) clearly show that it is easy to identify cliques and densely connected set of nodes visually, or on the contrary, identify the absence of them as shown in Figure 10(a). This motivated us to quantify and measure the presence of densely connected nodes in real world networks. In this section, we propose a metric which we call *Component Densities* which is based on the topological decomposition presented in the previous section. The idea is quite intuitive, as the networks break into smaller connected components, we want to measure if these connected components have high node-edge density.

A common application of detecting the presence of densely connected components is in the detection of *Community Structures*. Roughly speaking, we like to define a community as a decomposition of nodes into ‘Natural Groups’. More precisely, we can say that a community is a set of nodes with high interconnectivity among themselves and low connectivity to nodes outside the community. Detection of communities has a wide range of applications in various fields. For example, in social networks, community detection could lead us towards a better understanding of how people collaborate with each other. Other applications of locating dense subgraphs can be found in biological data such as in genome networks where mining coherent dense subgraphs helps in functional discovery [83], or on the world wide web, where dense subgraphs might be communities of pages on topics of similar interest or even link spam where web pages extensively refer to one another to increase their popularity [67].

The issue of detecting densely connected nodes using metrics has been addressed by other researchers. Few metrics are widely used to discover the presence of densely connected nodes. We argue that these metrics do not truly reflect the presence of communities

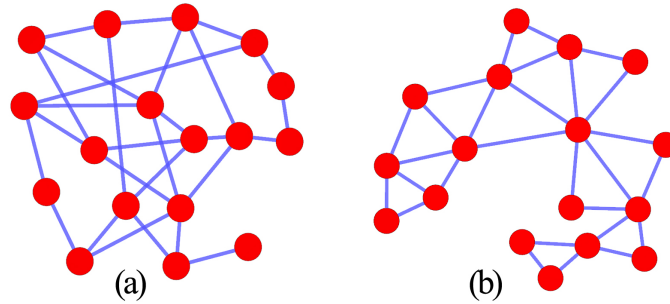


Figure 21: Consider two graphs with same number of nodes and edges and thus having the same density in terms of number of nodes and number of edges. (a) Nodes well connected to each other forming quads, (b) Nodes sharing neighbors to form triads. Clustering Coefficient for graph (a) is 0.0 and (b) is 0.69 representing the absence of triads in graph (a). This example shows that nodes can be densely connected even if the clustering coefficient is low.

by presenting counter examples. This is because these metrics concentrate on local cohesiveness among nodes and the goal is to judge whether two nodes belong to the same community or not. Thus losing the overall perspective of the presence of communities in the entire network.

One of the most widely used metric in network analysis is the clustering coefficient described earlier. This metric can be often misleading due to its name as this metric does not guarantee the presence of clusters in a network. Consider the example shown in Figure 21 with two graphs. Both contain the same number of nodes and edges. Thus the density (ratio of number of edges to number of nodes) of the two graphs is exactly the same. Graph (a) is constructed using Quads instead of triads where a quad is a set of four nodes connected through four edges in a ring. We then compare this graph with another graph constructed with triads. Both these graphs are shown in Figure 21(a) and (b). The clustering coefficient of graph (a) is 0.0 representing the absence of triads as compared to graph (b) with a value of 0.69. This is no surprise as clustering coefficient, by definition, measures the quantity of triads in a graph. This simple example suggests that a graph can be densely connected even in the absence of triads. The presence of triads has been identified in social networks, but not necessarily in networks from other domains, thus a metric is required that can identify the presence of densely connected nodes in the absence of triads.

Let us consider another example, shown in Figure 22. Figure 22(a) clearly has four densely connected components highly connected within and disconnected to each other. Figure 22(b) has several nodes sharing common neighbors in the form of triads but visually, no distinct groups. Both these graphs have the same number of nodes and edges where the clustering coefficient for graph (a) is 0.70 and of (b) is 0.69. No information about the presence of four densely connected components can be deduced from the clustering coefficient of graph (a).

Another popular metric is the *Jaccard Index* introduced by [86] also known as *Jaccard similarity coefficient*. This metric is used to measure the similarity of two elements based on common neighborhood. More precisely the index looks at the number of common neighbors of the two elements and compares it with the size of all the neighbors of the two elements. An edge is assigned high similarity value if they share lots of neighbors. Coming



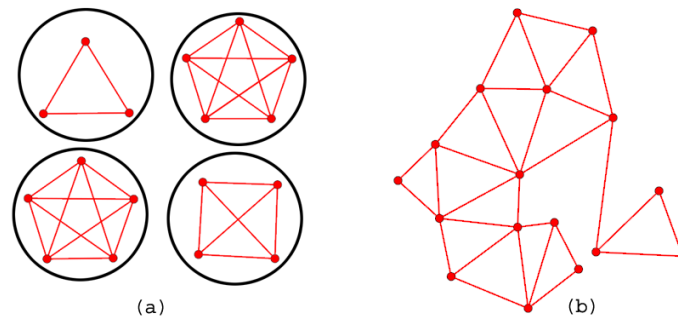


Figure 22: Two graphs with the same number of nodes and edges (a) Four Groups of Nodes well connected within and disconnected with other groups.(b) Nodes sharing neighbors in the form of triads. Clustering Coefficient for graph (a) is 0.70 and (b) is 0.69. High values for clustering coefficient does not necessarily imply the presence of distinct community structures in a network as shown in graph (b).

back to our example in Fig.22(a), if we consider the edges connecting the clique with three nodes only, all its edges are assigned a value 0.33 as compared to the edges of the clique with five nodes that are assigned a value 0.6. A low edge value might suggest that the an edge is not part of a densely connected set of nodes which in this case, is contradictory as the edge with 0.33 value is in fact part of a densely connected component.

Several other metrics have been proposed in the literature where [114] provides a good comparative study of various metrics for the community detection problem. Metrics such as *edge strength* [9] are an effort to identify edges acting as bridges between communities. Their metric combines two terms, the first term being the Jaccard metric of an edge  $e$ . The second term computes the relative number of cycles of size 4 containing the edge  $e$ . Raddichi *et al.*[139] have designed a similar generalization computing the cycles of size  $m$ . The Jaccard index clearly stands out as the archetype metric for finding communities in networks based on the notion of triads. Readers are referred to [114] for further details.

Our goal is clearly different from existing metrics as we do not focus only on triads. Our intention is to exploit the fact that when DIS are created, nodes break into smaller connected components. The density of these components can easily be measured and thus subgraphs of high density can be found in the entire network. We would like to mention that we do not address the well known maximal clique problem using this metric which is known to be NP-Complete [40], although there are fast algorithms that address this problem [31]. Note that we do not guarantee completeness in the sense that we do not expect to find all the dense subgraphs present in the entire network. Using this method, checking whether a connected component is a clique, is no more than a counting problem where we can identify the presence of a clique by simply counting the number of nodes and the number of edges in a connected component. But we do not try to find cliques of a fixed size  $k$  which is shown to be solvable in  $O(n^k)$  by [123] as our the method does not guarantee that we will find cliques of some fixed size  $k$ . The proposed method is capable of identifying connected components as cliques irrespective of the size of the clique given the condition that when the network is decomposed, the network breaks into several connected components. From the examples presented earlier in this chapter, this heuristic seems to work well as all the networks presented in the study, had this property for low or high values of  $d$ .

The first step to calculate Component Densities is to calculate the connected components in the DIS graphs generated. The method is independent of the type of DIS generated and holds for any type of decomposition.

#### Calculation of Connected Components:

A breadth first search algorithm [41] can be used to calculate the connected components in a DIS graph. All the connected components of a graph can thus be calculated in  $O(n+e)$  time where  $n$  is the number of nodes and  $e$  is the number of edges in the DIS. The process is repeated for each DIS,  $2 * max_d$  times where  $max_d$  represents the maximum degree of a node in the network and the factor 2 as the procedure is repeated twice, one for  $Max_d$ -DIS and the other for  $Min_d$ -DIS. This gives an overall time complexity of  $O(2 * max_d * (n + e))$ .

Note that, as we move from different values of  $d$ , recalculation of each connected component can be avoided by reusing values from the previous step. Thus an improved implementation can speedup this calculation process and the overall time complexity can be bounded by  $O(c * (n + e))$  where  $c$  is some constant.

#### Measuring Component Densities:

Now that we have identified connected components in the decomposed graphs, we calculate a metric to quantify the presence of densely connected components if there are any. We assign a component density to each individual connected component using the following equation:

$$CD_q = (e_q * 2) / (n_q * (n_q - 1)) \quad (1)$$

Where  $CD_q$  represents the Component Density (CD) of connected component  $q$ ,  $e_q$  represents the number of edges in  $q$  and  $n_q$  represents the number of nodes in  $q$ . The equation represents the ratio of the number of edges in component  $q$  to the maximum number of edges possible in the component. A value of 1 suggests that the component is a clique and since the component is connected the minimum CD value possible is  $2/n_q$ . The time complexity to calculate is the same as that of calculating the connected components of a subgraph. The calculation can be done at the same time and thus the time complexity remains the same after the calculation of the component densities.

Figure 23(a) shows the  $Max_5$ -DIS calculated for the Geometry network. We calculated the component densities on this subgraph and colored the nodes using a gradient from blue for high values to red for low values of CD. This coloring helps to easily identify the presence of densely connected nodes. Figure 23(b) shows the entire network where the CD values of  $Max_5$ -DIS are projected on the nodes of the entire network. This visualization helps to identify the presence of the densely connected components found and provides the user an idea of how these dense subgraphs are spread in the network.

Additional processes and measures can also be interesting such as searching for certain size of densely connected components or counting the number of connected components which are cliques. We can also study the presence of star-like structures in these subgraphs which can help us quantify the structure of these complex networks as comprising of cliques, stars or a mixture of both of these.

This metric is group level metric which is applicable on individual DIS graphs. We extend the study of CD values from individual graphs to all the DIS graphs generated for

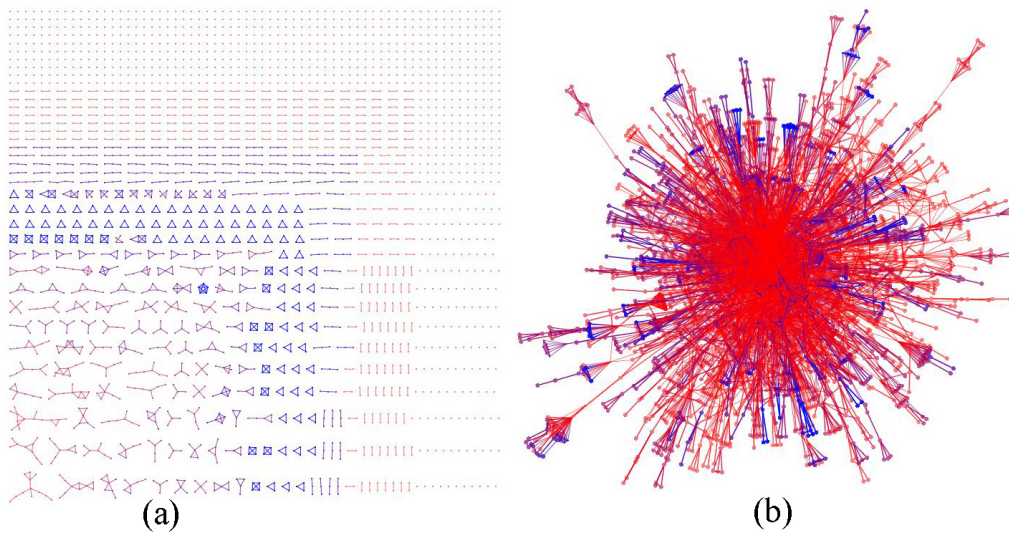


Figure 23: Component Density (CD) calculated for the Max<sub>5</sub>-DIS of Geometry network. (a) shows color encoding on the nodes from high (blue) to low (ref) values. Nodes in blue color can be easily identified as densely connected components. (b) shows the projection of CD values of Max<sub>5</sub>-DIS on the entire network with the same color encoding. This gives an idea of how the densely connected components are spread out in the entire network.

different values of  $d$ . The idea is to study how CD values behave over different values of  $d$ , we call these Component Densities for Graphs (CDG).

### Component Densities for Graphs:

We calculate the weighted component density for all the connected components in a DIS to ensure that large and dense components are assigned high values as compared small and less dense components. Remember that the number of nodes in each connected component changes as the  $d$  value changes and some connected components have many nodes specially as the giant component appears in a DIS. We represent this value by  $CDG_d$  for  $d$  degree induced subgraph and is calculated by the equation:

$$CDG_d = \sum_{q=0}^{q_{max}} \frac{n_q * CD_q}{n_d} \quad (2)$$

Where  $CDG_d$  represents the weighted Component Density of  $d$ -DIS. While calculating the  $CDG_d$ , we exclude the weight of components having only 1 or 2 nodes as it biases the  $CDG_d$ . We do count them in the total number of nodes ( $n_d$ ) present in the induced subgraph though. This is because if a graph has lots of 0 degree nodes, its overall component density will be lower than a graph with well connected higher degree nodes. The weight is associated to ensure that components having more nodes are weighted more as compared to components having fewer nodes. The  $CDG_d$  can be calculated for different values of  $d$  where the  $d$  can take values from 0 to the maximum degree of a node in  $G$ . The calculations in Eq. 1 and Eq. 2 are totally independent of how the subgraph was constructed and thus can be used to calculate the component densities of either Max <sub>$d$</sub> -DIS or Min <sub>$d$</sub> -DIS.

The CDG values of Max<sub>*d*</sub>-DIS (given by  $CDG_{Max_d}$ ) and Min<sub>*d*</sub>-DIS (given by  $CDG_{Min_d}$ ) represents the presence or absence of dense components in the subgraphs generated by these decompositions. High values of CDG suggest that there are densely connected nodes in the induced subgraph which can eventually represent communities in the entire graph as well. Another supplementary information that can be extracted from CDG values is that by identifying the peak value of  $CDG_{Max_d}$  and  $CDG_{Min_d}$ , we can point out the induced subgraphs in which these dense subgraphs are present, instead of analyzing each and every subgraph as we explained earlier in section 3.2.1 and section 3.2.2. Looking at CDG values, we can not only identify the subgraph of interest, but quantify the presence of dense subgraphs for comparative study over different data sets.

We plot graphs for the respective  $CDG_{Max_d}$  and  $CDG_{Min_d}$  values for different data sets that have been studied in this chapter. The graphs are shown in Figure 24. The values on the x-axis represent the maximum degree of each network, which in turn represents the number of subgraphs generated for each network. The values on the y-axis are the CDG values which are between 0 and 1 where 1 represents the presence of cliques. For each real world data set, we have also generated small world [170] and random networks [54] of equivalent number of nodes and edges so that we can compare the behavior of the metric with the corresponding artificially generated network. These networks are drawn using Dotted-Line for Small world networks and Dashed-Line for Random networks.

The evaluation of  $CDG_{Max_d}$  and  $CDG_{Min_d}$  for Random networks and Small world networks for graphs of different sizes can be generalized easily. For random networks, we do not expect to find any community structure and thus these networks have low CDG values for all the test cases as shown in Figure 24. On the other hand, we have the small world networks which by definition contain communities and this is well reflected by the high CDG values for all the artificially generated networks. One exception is the case where the generated small world graph is equivalent to the size of the Opte network. This is due to the low overall density of the graph itself as the edges scale linearly with the number of nodes. Thus we do not expect to find densely connected set of nodes and this is reflected by the metric and is clearly observable in the graph.

We first look at the CDG values of the two co-authorship networks, the Geometry and the Dblp2008 network shown in Figure 24(a,b,c,d). The high values of Max<sub>*d*</sub>-DIS for both these graphs are observed as compared to the respective small world and random graphs. Remember that the overall node-edge densities of these networks vary a lot, thus they cannot be compared with each other. This is the reason why we generated artificial networks to have a sort of a benchmark value for these networks. The graphs follow almost the same behavior as high values are observed for *d* value of around 6 and 8 and the values fall off consistently as *d* values increases. This result has logical semantics to it, as we are considering a collaboration network of researchers and they are connected to each other if they publish an article. Mostly less than 8 people appear as authors in an article forming cliques in the collaboration network of sizes smaller than 8. This information is well represented by the  $CDG_{Max_d}$  values.

The most interesting observation is the Min<sub>*d*</sub>-DIS graph where we observe high values for the Geometry network and low values for the Dblp2008 network. This difference was highlighted earlier in section 3.2.2 where we justified that since Geometry network comprises of people working in the same scientific domain, they are more likely to collaborate with each other where as the Dblp2008 network is a mixture of people from various domains, not every one collaborates with the other and the phenomena of local peaks is

evident as shown in Figure 16.

The CDG values for Opte network are shown in Figure 24(e) and (f). The network is not classified as a small world as it has very low clustering coefficient of 0.003. Using our metric, we do not find any presence of densely connected nodes neither in the  $CDG_{Max_d}$  nor in the  $CDG_{Min_d}$  graphs thus reflecting the correctness of the metric. As we analyzed the structure of this network using  $Max_d$ -DIS, we realized that this network has star like structures that are not at all dense, and thus the low values of CDG are observed for this network.

The AirTransport network is an interesting example (Figure 24(g) and (h)). Using our metric, we are able to find densely connected nodes as we get high values for  $Min_d$ -DIS. The densely connected subgraphs found for high values of  $Min_d$ -DIS are shown in Figure 14(b) and (c) where all the worlds widely connected airports are linked to each other through a direct flight. This makes sense as the world's most important airports like New York, Paris, London all have direct flights to each other. On the contrary, we did not find any dense components in the  $Max_d$ -DIS as this is because regional airports that do not have many connections rarely have alternate paths to connect to each other, they usually pass through a central hub to connect to other less widely connected airports. So if you live in a small city, you probably have to go to a local hub, to take a connecting flight to far off cities.

Finally the Protein network where the CDG values are shown in Figure 24(i) and (j). The high values in  $CDG_{Min_d}$  suggest that the high degree nodes are well connected to each other as compared to the low degree nodes. This reveals the network's similarity with the Geometry and AirTransport network where both these networks have densely connected nodes for high degree nodes.

### Inferences and Observations:

Apart from identifying the presence of densely connected nodes in different networks, interesting properties of real world networks can be observed using the  $CDG_{Max_d}$  and  $CDG_{Min_d}$  graphs. We list these below:

- > Networks are usually classified as either Random, Small World, Scale Free or both Small World-Scale free at the same time. Using the proposed metric, we can have further insight in these networks by understanding how the edges are distributed in low or high degree nodes of these networks. Dense subgraphs can exist in nodes that have a low degree in the graph (as is the case with the two Co-authorship networks), or they can exist only in high degree nodes (Geometry, AirTransport and Protein network).
- > The absence of communities can have two consequences, either the network is Random or it consists of star like structures where many nodes connect to a single node. Typical example is the routing information of servers in case of the Opte network. From the proposed metric, we are able to find the same behavior in the low degree nodes of AirTransport network.

Although this preliminary analysis reveals some interesting facts about the different data sets, a more detailed study by the domain experts might reveal more information. A huge advantage of this metric is that it is highly efficient in terms of time complexity.

As discussed previously, the overall time complexity for the calculation of  $CDG_{Max_d}$  and  $CDG_{Min_d}$  takes  $O(2 * max_d * (n + e))$  time. The factor  $max_d$  representing the maximum node degree in the network is quite low in reality and varies from one network to the other. Furthermore, for varying values of  $d$ , the subgraphs contain much less nodes and edges than  $n$  or  $e$ , which makes the algorithm run quite fast in reality and scales almost linearly with the factor  $(n + e)$ .

### 3.5 Findings and Future Research Prospects

In this chapter we have introduced a method to analyze networks based on the topological decomposition and visualization of networks. The most important contribution of this method is that it enables us to visualize networks and see how nodes and edges connect to each other in different networks. Combining metrics and visualization technique to analyze complex large size networks proves to be quite useful as the method allows us to understand different connectivity behaviors as networks change from one domain to the other.

As one of the applications of the proposed method, we introduced a metric for group level analysis called component density which can be extended to the decomposed subgraphs. The metric helps us to identify the presence of densely connected components in real world complex networks. Calculation of this metric takes almost linear time in terms of number of edges and proves to be very fast when applied to large size data sets. We have tested the metric with different data sets and show the effectiveness of the metric by comparing the results with small world and random network models. We found some interesting behavior in the way the edges are distributed in high and low degree nodes. Based on this distribution, we can actually see that as a function of degree, dense subgraphs can be present in high degree nodes, low degree nodes, both or no where in the network. Some interesting results about the structure of networks were discovered, or re-discovered such as the presence of triads, cliques of higher degree, star-like structures, showing the effectiveness of the proposed method.

The topological decomposition proposed opens new dimensions in the field of visual data mining as complex networks can be simplified using the proposed method. Connectivity of nodes can be studied as a function of varying node degree of a network and helps us to discover how edges are distributed in a network. We see this method as a step forward towards the better understanding of complex networks and an important tool to analyze networks for various applications such as searching in networks, finding interesting patterns, studying the connectivity behavior of nodes in networks and develop local as well as group level metrics.

Throughout this thesis, we use the DIS decomposition in a number of different ways. In Chapter 4, we analyze the structure of a number of models to generate artificial graphs. As another application of the presented DIS decomposition, in Chapter 5, we present a hierarchical clustering algorithm with a low asymptotic complexity.

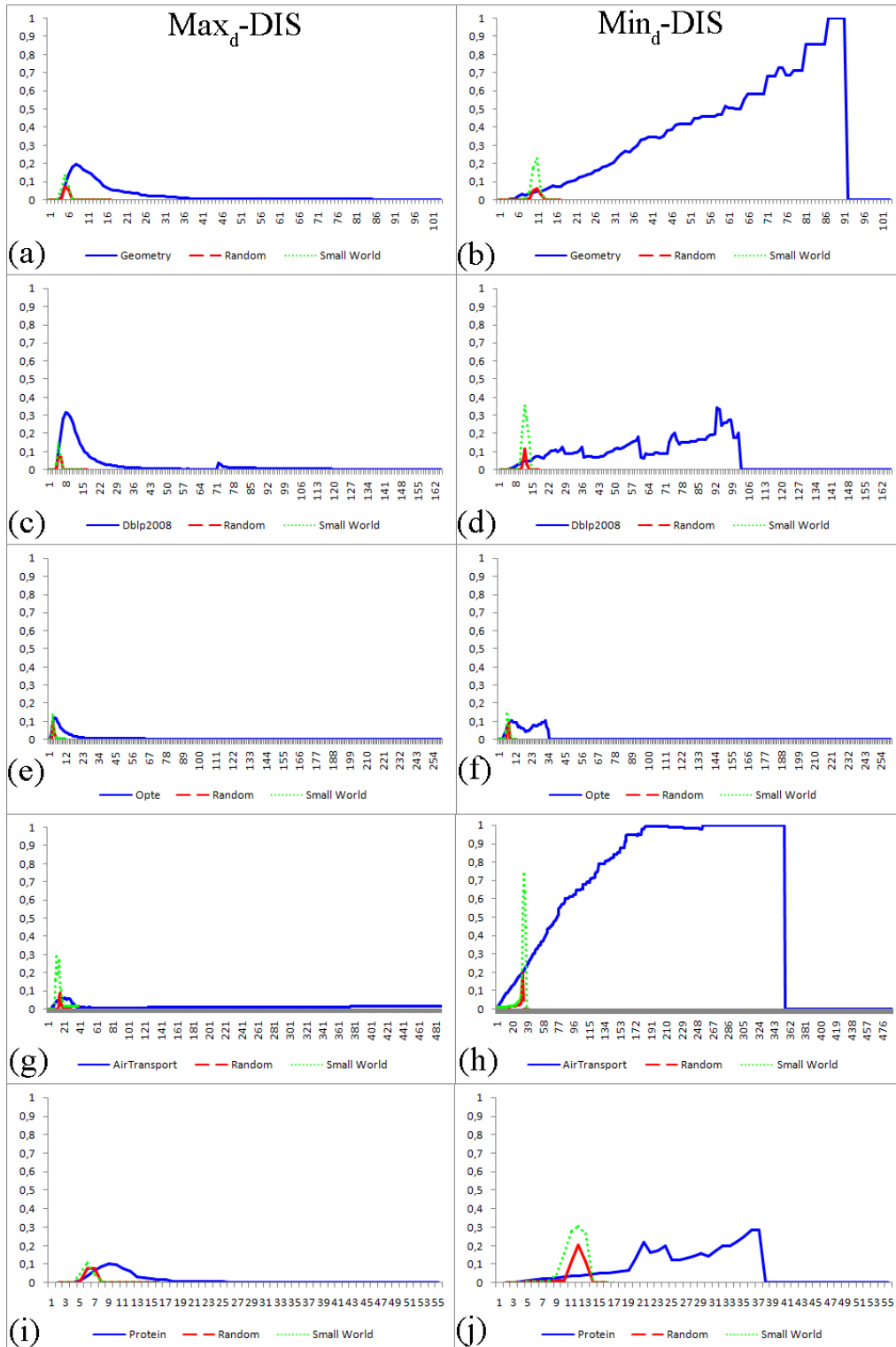


Figure 24: Component Densities of Graphs for the 5 data sets.  $\{(a)(c)(e)(g)(i)\}$  represent the  $CDG_{Max_d}$  and  $\{(b)(d)(f)(h)(j)\}$  represents the  $CDG_{Min_d}$  values.

# Chapter 4

## Structure of Networks

### 4.1 Introduction

An important aspect in the study of complex networks is how they are structured in the real world. Watts and Strogatz presented a model [170] to explain how the two properties of small world networks, high clustering coefficient and low average path length appear in networks. Barabási and Albert gave a model [13] to explain how networks with power-law degree distribution arise in networks. From these two ground breaking results, many researchers have introduced different models to explain the appearance of networks with small world and scale free properties in the real world. In this chapter, we study a number of these models in the light of several concepts borrowed from the field of sociology to understand the structure of real world social networks. We analyze the artificial networks produced by these network models using the Topological decomposition presented in Chapter 3. The differences and similarities of these models are highlighted and their shortcomings are identified. Further more, we present a new model which produces networks with both small world and scale free properties to overcome the identified shortcomings.

As a general classification, these different models can be grouped into two categories; *Evolving* models and *Static* models. Evolving models are the models that explain the evolution of complex networks as a function of time where the idea is to model the growth behavior of these networks. A good example is the Barabási and Albert model for scale free networks. Nodes are introduced continuously in the network and following the principle of preferential attachment, power-law degree distribution appears. Static models are the models that are concerned with how networks are structured so that certain properties of complex networks are present. Here, the term *structure* means the arrangement of nodes and edges, how they connect to each other. The Watts and Strogatz model is such an example, as they start with a certain number of nodes and edges, that do not increase with the passage of time but explain how high clustering coefficient and low average path lengths appear in a network.

Both evolving and static models are of interest as they serve different purposes. Evolving models try to identify the principles that govern the evolution of the physical systems around us. Static models propose methods to understand the structure and formation of networks. Both these types of models can be used to construct artificial networks with properties similar to real world networks to facilitate experimental and empirical studies. Since the introduction of small world and scale free properties, many researchers have developed network models that generate artificial small-world and scale free networks. We review a number of these models in section 4.3.



In this chapter we focus particularly on social networks. Described in Chapter 2, a social network can be defined as a set of people, or groups of people interacting with each other [148, 169]. Social network modeling and analysis allows us to understand the different types of relationships that can either facilitate or impede knowledge creation and transfer in a society on the whole, in an organization in particular, and in individuals, providing an insight on the underlying patterns and the social structures present in these networks [173, 43].

An important aspect of social network study is their substructure in terms of clusters. Sociologists use the term *community structures* or *communities* [37] as compared to the statistical and data mining domain where people use the term *clusters* [160] to refer to the same concept. We earlier defined a community as a decomposition of vertices into ‘Natural Groups’. There is no universally accepted definition of clusters [57], most researchers describe a cluster by considering the internal homogeneity and the external separation as the fundamental criteria for defining a cluster [69, 79, 88].

The properties of clusters in a network can tell us a lot about the likely behavior of the network as a whole. How fast will some information propagate across the network? How to identify representative nodes of a network? How do the clusters and social structures communicate and overlap one another? All of these aspects about structure of a network can be very relevant to predicting the behavior of the network as a whole [89, 173].

We explicitly target social networks and argue that using concepts from social network study, we can generate artificial networks replicating real world social networks. These concepts are discussed in section 4.2. For the sake of discussion and explanatory purposes, throughout this chapter, we are going to discuss four social networks, two of which are well studied and well structured and two of them although hypothetical, are yet common in our every day life and easy to comprehend. One of the two well structured social networks is the collaboration network of authors where nodes represent people and two people are connected to each other if they have written an artifact together [125]. The other is the actor collaboration network from the movies where two actors are connected to each other if they have appeared in a movie together [13] introduced earlier in Chapter 2. We refer to it as the Actor or Imdb network. We also consider two cases from everyday life. Consider a person joining a new organization as an employee and a person joining a sports club as a leisure activity. We will refer them as Actor, Author, Employee and Club networks respectively throughout this chapter.

In this chapter, we study a number of network generation models that produce small world-scale free networks. We show that there are considerable structural differences in these artificial networks and real world networks. We propose a new static model to generate artificial networks with small world-scale free properties.

The rest of the chapter is organized as follows: In section 4.2, we discuss a number of different social characteristics and argue that with a little modification to these concepts, we can understand how networks have community structures in the real world. Next, we compare the existing network models in the light of these concepts and show their inability to produce networks which are close to the real networks studied in detail in Chapter 3. We then present a network generation model in section 4.4. In 4.5, we evaluate the proposed model as compared to other models. Next, in section 4.6, we present comparative results with real world networks to demonstrate the correctness of the proposed model. Finally we conclude by giving possible future directions of our research in section 4.7.

## 4.2 Structure of Social Networks

In this section, we discuss a number of concepts from the domain of sociology in an attempt to better understand how social networks in the real world are structured.

### Social Ties

People in the real world are linked to each other through social ties. A wide range of ties exist in the society and their study has attracted lots of research activity [169]. As discussed in Chapter 1, the simplest form of a tie is *Dyad* [150] where two people are linked to each other. This is considered as the unit of studying relationships in a social network. *Triads* are relationships between three people and have been the focus of many social network studies [169]. *Groups* of larger size are also possible but since a variety of relationships can form in them, they are less stable [150] and often less studied in sociology. They are often identified by their dense connectivity and clear bounds forming a cluster. Common questions studied in the analysis of these groups are how large they are in a network? How does their sizes vary in a society? how sparsely connected they are to one another? To what extent people belonging to multiple groups connect different groups?

Due to dense interconnectivity, these ties are termed as *strong ties* [102] where nodes that are loosely connected to each other are said to have *weak ties* [70]. A significant work to highlight the importance of these weak ties is by Granovetter [70] where he concludes that effective social coordination does not arise from dense interlocking but from the presence of occasional weak ties. Each of us in the society has these weak ties along with strong relationships. These weak ties or acquaintances are important for developing new relationships and possibly joining new social communities. There is a fine mix of both these weak and strong ties that exist in our society and both should be considered to develop a model to generate artificial social networks.

### Homophily

An important human characteristic is *homophily*, tendency of actors or entities to associate with other actors or entities of similar type [141, 142]. Homophily helps to explain why you know the people that you do, because you all have something in common, but one might also wonder how people you know at present determine the people you will know in the future. This also introduces the idea of dynamics in triadic closures. Two people who have a mutual friend will tend to become acquainted in time [141]. A model based on these ideas was proposed by Rapoport who called it *Random Biased Nets*. The idea was to modify the traditional random model of networks such that it incorporates social behaviors. Rapoport also concluded that we occasionally do things that are derived entirely from our intrinsic preferences and characteristics, and these actions may lead us to meet new people who have no connections to our previous friends at all. Although these actions might appear to be random, but can be justified as having strong social background with logical explanations. We limit our study to address this characteristic and refer it as random connectivity pattern. In the light of homophily and social dynamics, we can conclude that new connections between people are formed based on two properties, random connectivity and homophily.

### Extraversion-Introversion

It is interesting to note that in our society, we come across people that are well known

and famous, and then there are people who have very few friends and contacts. These ideas are the direct implication of the human trait of extraversion-introversion [93]. Extroverts, who are open to meeting new people and developing new relationships are expected to have high degree of connectivity in a social network as compared to Introverts, who tend to be more reserved, less outgoing, and less sociable.

An important use of this human characteristic is to explain the scale free degree behavior of social networks. A famous person is likely to become more famous as compared to a person who is not well known in the social community. Termed as the principle of *Preferential Attachment* [13], it explains the growth behavior of networks with power law degree distribution. The idea is that in real world networks, nodes having high degree, have a high probability of attracting more connections as compared to nodes with low connectivity. Thus new social connections have to take this property into consideration as well.

In our society, we do not form individual relations with people, but with groups of people. These relations are defined by particular circumstances, interests or some context like our school, work place, family [142, 70] and can be explained by homophily. Since these groups are densely connected to each other, often forming cliques, their social ties are considered as strong ties. Since our society is built using these cliques, we call them 'Building Blocks' of our society.

Each of these 'building block' or 'group' is like a small cluster joined to each other by people belonging to more than one group [171]. When these small clusters have many connections to each other, they form bigger size clusters. The size of clusters in a network, vary to a large extent, and so does the number of clusters. Both these parameters depend largely on how the individuals and their ties evolve in a society, how new connections are formed and older ones maintained or destroyed.

Let us consider the example of the actor network. When an actor acts in a movie, the social interactions will take place within the entire cast of the movie and form new ties between actors if they do not exist previously. These interactions will be represented with a clique where all the nodes representing the actors will be connected to each other. The authorship network is no different as people co-authoring an artifact will form a clique. Similarly in the real world, usually groups of larger size are formed. Continuing with the two examples, a new employee will most likely interact with different colleagues in the same organization who work together on the same project or with whom a person shares an office for example. For a person joining a sports club, he will interact with people sharing similar activities instead of just one or two others. This is to highlight the idea that a person not necessarily interacts with only one or two other people, but more than two people and this is the reason why we obtain cliques of larger sizes as shown in Chapter 3.

Addressing the principle of Preferential Attachment, we argue that for every node in a group (or Clique), few nodes have higher connectivity with other nodes. For example, in a group representing the actors playing in the same movie, the famous actors will have many connections with others as they would have played a role in many movies, and the actors who are starting their career, or are not so well known will have only a few connections. Similarly, in the authorship network, an experienced researcher would have published an artifact with many other researchers and thus would have a high number of connections.

Finally, we look at the society on the whole where we consider the average path length of the networks. We earlier discussed in Section 3.2.1 that the low average path lengths

are due to high degree nodes as they are responsible of connecting many disconnected components that we see as a result of  $\text{Max}_d\text{-DIS}$ . Another way to have low average path lengths in a network is by random connectivity of nodes, where Watts and Strogatz [170] used this method to have low average path lengths in small world networks.

The model we are about to present exploit both these ideas. Combining all these principles, we can conclude that the important elements to capture in the structure of a social network are:

1. Social networks consists of many small groups that are densely connected within themselves forming cliques.
2. These groups overlap due to individuals having multiple affiliations.
3. Some groups have many overlaps which creates large size communities or clusters.
4. A certain degree of randomness exists where we occasionally do things that are derived entirely from our intrinsic preferences and characteristics. These actions lead us to meet new people who have no connections to our previous friends at all.
5. The random connectivity pattern and the presences of high degree nodes are both responsible for the low distances between any two people on average.
6. Every group of people has a few Extroverts and many Introverts, where extroverts are responsible for interconnecting people from different domains and the society at large.

Let us reconsider the Geometry network using the  $\text{Max}_{15}\text{-DIS}$  decomposition where we consider six connected components only. The idea is to show that some components are loosely connected as shown in Figure 25(a)(b), some components are relatively well connected as in Figure 25(c)(d) and some are densely connected as in Figure 25(e)(f). The calculation of Component Densities in section 3.4 and Figure 23 also reinforces the idea that in a network, there are regions of vertices that are better connected within as opposed to some regions with loosely connected vertices. This variable behavior in the node-edge density suggests that certain nodes favorably connect with each other giving us these dense regions, and thus creates clusters in a network. So we can say that when the building blocks of a network are densely connected to each other, we get sets of nodes with high interconnectivity representing clusters in a network. On the other hand, these dense regions are loosely connected to other nodes in the network.

### 4.3 Existing Network Generation Models

In this section, we review a number of network generation models proposed in the literature having small world and scale free properties. A comparative summary of these models is presented in Table 2.

Holme and Kim [82] modified the well known Barabasi and Albert model [13] to obtain graphs that are small world as well as scale free. The idea is pretty simple and effective. A Triad formation step is added after the preferential attachment step where every node introduced in the network, connects not only to node  $w$ , but also to a randomly chosen neighbor of  $w$  thus resulting in a triad formation. This results in the formation of lots

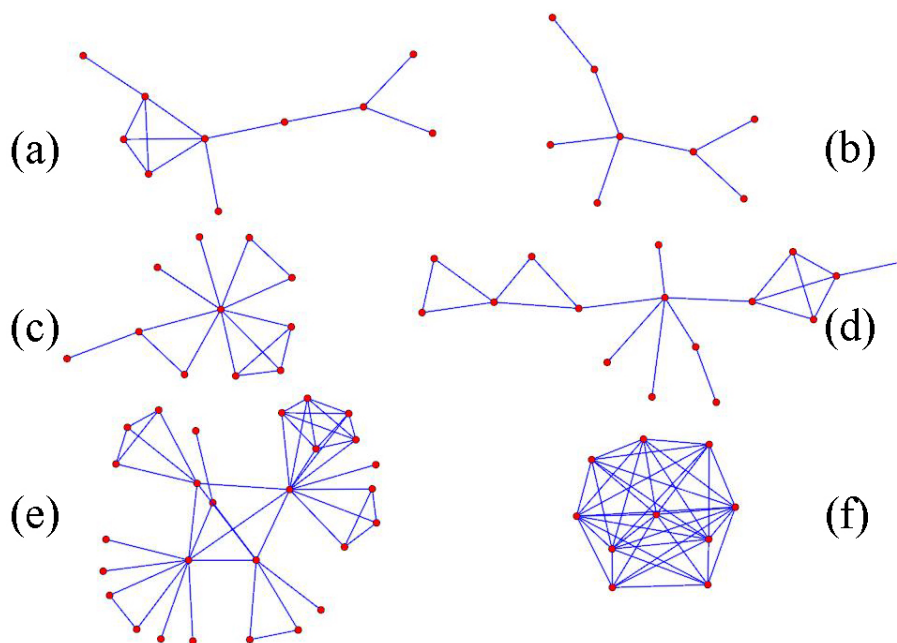


Figure 25: Six connected components from  $\text{Max}_{15}\text{-DIS}$  of Geometry network. (a) and (b) are loosely connected, (c) and (d) are well connected and (e) and (f) are densely connected. The variation in node-edge density suggests the presence of community structures in the network.

of triads in the network increasing the overall clustering coefficient. A parameter  $m_0$  is used to decide the initial number of vertices with no edges. Another parameter  $m$  is used to decide the number of edges a newly added node will have in the network. This parameter can be used to control the node-edge density of the network. The newly added vertex connects to  $m$  different nodes based on the probability which is proportional to their degree. As a result, every new node introduced in the network will form a triad with the highest degree node, which results in lots of triads around high degree nodes. But since the  $m$  vertices are chosen solely on the basis of their degree, no clear community structure appears. Another drawback of this model is that it does not generate cliques of larger size as it only forces the presence of triads. We show  $\text{Max}_{15}\text{-DIS}$  of the network generated using this model in Figure 26. The parameters are set to generate a network of approximately the same size as that of NetScience network.

The idea of Holme and Kim is similar to another model separately proposed by Dorogovtsev *et al.* [48] in the same year where every new node added to the network is connected to both ends of a randomly chosen link where one of the nodes of this link is selected through preferential attachment. Similar behavior is obtained in terms of connectivity as lots of triads are created and the absence of large size cliques remains a drawback. Moreover no other criteria is used to enforce the presence of community structures in the network.

These models inspired Jian-Guo *et al.* to introduce another similar model [109]. The network starts with a triangle and at each time step, a new node is added to the network with two edges. The first edge would choose a node to connect preferentially, and the second edge will choose a node connected to the first node, again based on preferential attachment. This is different from the previous two models where the second node is

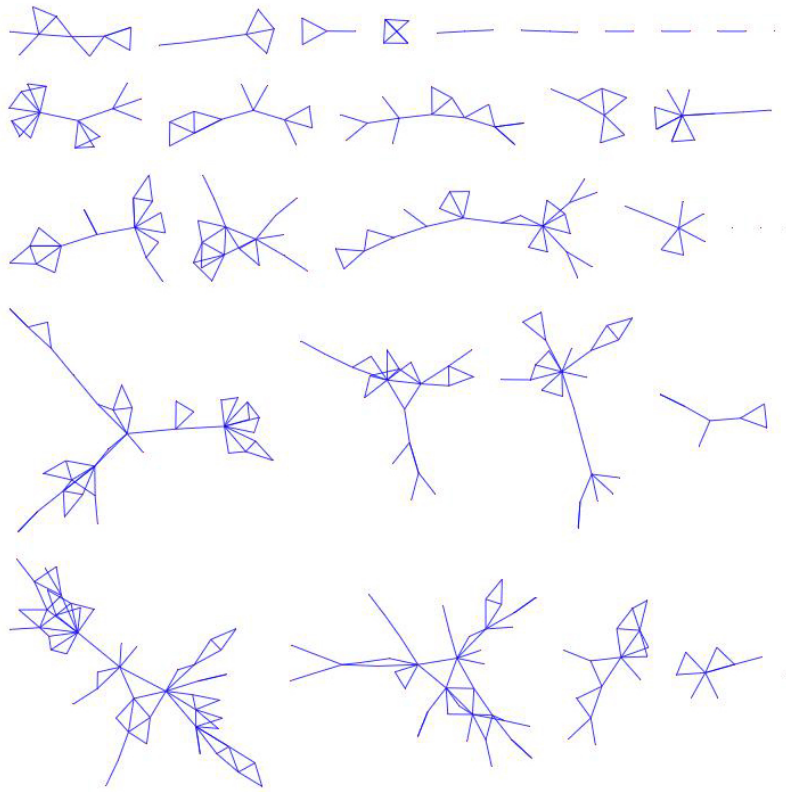


Figure 26:  $\text{Max}_{15}\text{-DIS}$  of a network generated using Holme-Kim model with  $m_0 = 5$  and  $m = 1$ , which gives a network of size approximately equal to the NetScience network. Higher degree cliques are clearly missing. The subgraph contains 373 nodes and 584 edges as compared to the entire network with 379 nodes and 757 edges.

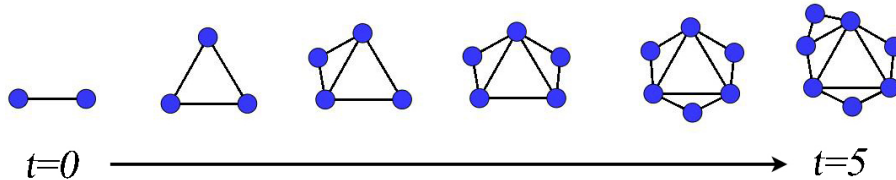


Figure 27: Network generated using Wang *et al.* model for random pseudofractal networks. We see how the network evolves from  $t = 0$  to  $t = 5$ .

randomly chosen. No structural changes occur with this modification in terms of cluster formation, the clustering coefficient is increased by the presence of triads but bigger size cliques are still missing and nodes do not attach to each other based on their domain or surroundings but only on their degree.

Wang *et al.* [167] proposed a model to generate random pseudofractal networks with small world-scale free properties. The model starts with two nodes connected through an edge. At each time step, a new node is added with two edges. The new node is connected to the two ends of an edge and the process is repeated for every existing edge in the network. There is obviously no community structure present in the network. We show the evolution of the network in Figure 27.

Fu and Liao [63] proposed another extension to the Barabasi and Albert model which they called the Relatively Preferential Attachment method. At each time step, the newly

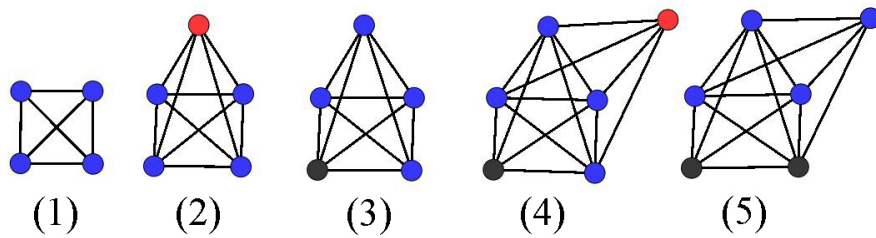


Figure 28: Network generated using Klemm and Eguiluz model. (1) Network starts with  $m = 4$  (2) A new node (red) is added connecting to all existing nodes (3) A node is disactivated (black) based on probability proportional to its degree (4) Another node is added (red) (5) Another nodes is disactivated.

introduced node in the network connects to a node  $w$  with preferential attachment, the nodes in the immediate neighborhood of  $w$  have higher probability of connecting to this new node as compared to other nodes. The only difference in this model with the already proposed models is that the new node can have  $m$  edges instead of two edges where the value of  $m$  is chosen as an initial parameter which remains constant throughout the execution of the algorithm. As a result, cliques of variable sizes do not appear in the network. For values of  $m$  greater than 2, triads appear in the network increasing the overall clustering coefficient.

Klemm and Eguiluz [100] also proposed a model, where each node of the network is assigned a state variable. A newly generated node is in the *active* state and keeps attaching links until eventually deactivated. At each time step, a new node is added to the network by attaching a link to each of the  $z$  active nodes. The new node is set as *active*. One of the existing nodes is deactivated where the probability of a node being deactivated is inversely proportional to its degree i.e lower the degree, higher the probability of deactivation. To reduce the average path length of the entire graph, at every step, for each link of the newly added node, it is decided randomly whether the link connects to the active node or it connects to a random node. Figure 28 shows the evolution of network and the way new nodes are connected to existing nodes. Again the model does not impose any other constraint so as to form community structures. Figure 29(a) and (b) show the  $\text{Max}_5\text{-DIS}$  and  $\text{Max}_{10}\text{-DIS}$  of the network generated using this model where the size is approximately equal to that of the NetScience network. We can easily observe that cliques are absent from these subgraphs and the higher clustering coefficient is due to the presence of triads in the network.

Catanzaro *et al.* [35] present a model taking into consideration the assortativity of social networks. At every step, a new node is added to the network based on preferential attachment and a new edge is added between two existing nodes. These existing nodes are chosen on the basis of their degree thus forcing links between similar degree nodes. The model is innovative as it allows addition of new links between old nodes. Since the addition of new nodes is only based on node degrees, nodes of similar degree connect to each other randomly and no clear community structure appears.

Newman *et al.* [132] study models of the structure of social networks with arbitrary degree distributions. The proposed model can also be used to generate networks with scale free degree distribution. The authors introduce the idea to generate affiliation networks similar to co-authorship networks using random bipartite graphs. This idea is used by Guillaume and Latapy [73] as they identify bipartite graph structure as a fundamental

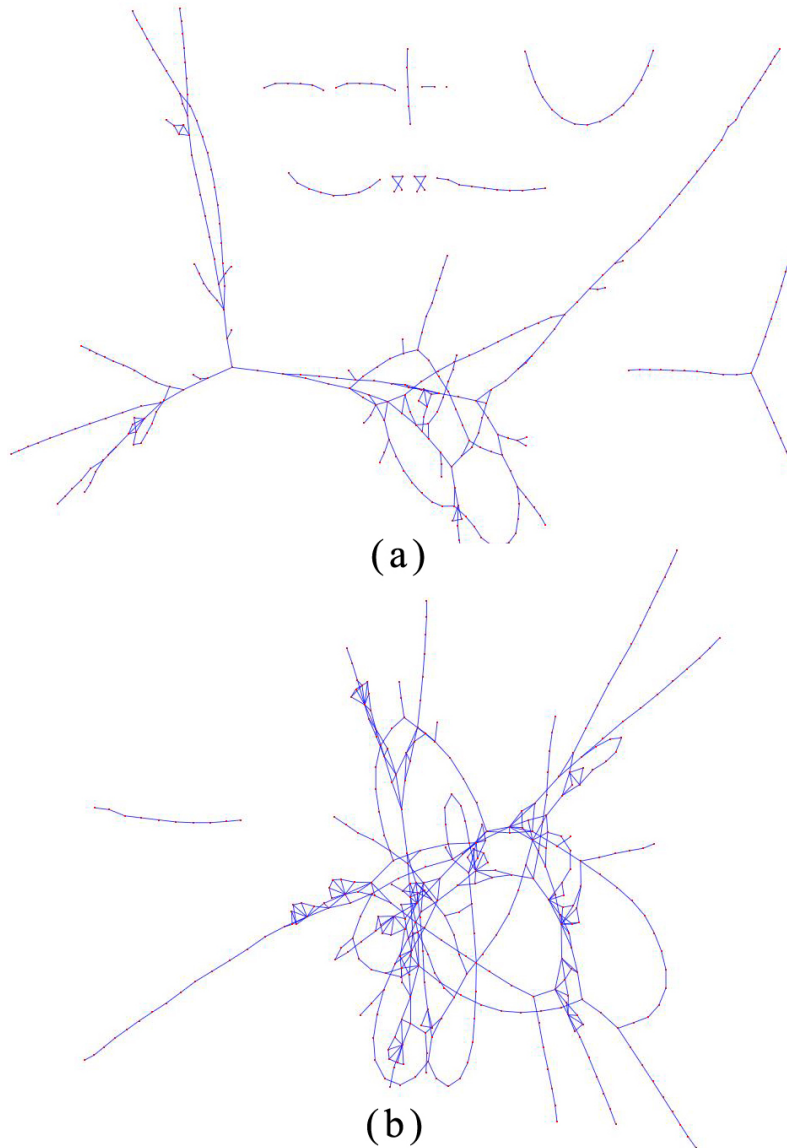


Figure 29: Network generated using Klemm and Eguiluz Model where size is approx. equal to the NetScience network. Figure (a) and (b) show the Max<sub>5</sub>-DIS and Max<sub>10</sub>-DIS respectively. The absence of cliques and the presence of giant component are clearly observable.



model of complex networks by giving real world examples. The two disjoint sets of a bipartite graph are called *bottom* and *top*. At each step, a new *top* node is added and its degree  $d$  is sampled from a prescribed distribution. For each of the  $d$  edges of the new vertex, either a new *bottom* vertex is added or one is picked among the pre-existing ones using preferential attachment.

A more generalized model based on similar principles was proposed by Bu *et al.* where instead of using the bipartite structure, a network can contain  $t$  disjoint sets (instead of just two sets, as is the case of the bipartite graph). In the paper, they discuss the example of sexual web [108] which is based on the bipartite structure. A sexual web is a network where nodes represent men and women having relationships to opposite sex, and similar nodes do not interact with each other. At each time step, a new node and  $m$  new edges are added to the network with the sum of the probabilities equal to 1. The preferential attachment rule is followed as the new node links with the existing nodes with a probability proportional to the degree of the nodes.

Wang and Rong [166] proposed a slightly different model, which is still a modified form of the preferential attachment model. Instead of adding one node at a time, the model proposes to add  $n$  nodes at each time step which are connected in a ring formation. Any two nodes in the  $n$  new nodes are connected to the existing network where these connections are determined through preferential attachment. The network breaks into cliques of different sizes but since there is no biased connectivity among the nodes, the cliques are spread uniformly over the network and we cannot find any densely connected set of nodes. Figure 30(a) and (b) show the the Max<sub>5</sub>-DIS and Max<sub>6</sub>-DIS respectively. Again the network is generated to be equivalent to the size of the NetScience network. Figure 30(a) shows the presence of cliques of different sizes and Figure 30(b) shows the uniform distribution of these cliques in the network without any clear community structure and cliques are connected to each other through edges. As compared to our society, where people belonging to multiple groups connect these small groups forming our connected society at large.

Guo and Kraines [75] proposed a model to study how the clustering coefficient affects the formation of a giant component. The model generates a random social network with finely tunable clustering coefficient. The generator is composed of three steps: first, a degree sequence is generated following a power law. Next, the generator constructs a random network using the algorithm of Molloy and Reed [120]. Finally, the network connections are modified to achieve the desired clustering coefficient. The model is a static one, as it adds all the nodes initially to the network following a prescribed degree distribution. Next, the network is modified to introduce triangles which increases the overall clustering coefficient.

Generation models for clustered graphs exist in the literature such as the work of Condon and Karp [39] and Virtanen[164] where the idea is to generate graphs that are already clustered as opposed to random graph models of Rapoport [141] and Erdos and Renyi [55]. But these generation models do not produce graphs with small world and scale free properties which are fundamental to most real world networks. Thus the study and comparison of these other models remain out of the scope of the paper.

Comparing the different network generation models (See Table 2), the first five models are quite similar to each other, as they try to force the triad formation step, one way or the other. Another common aspect in the first five models is that in every step, only one node and two edges are added to the network. The only other taxonomical grouping

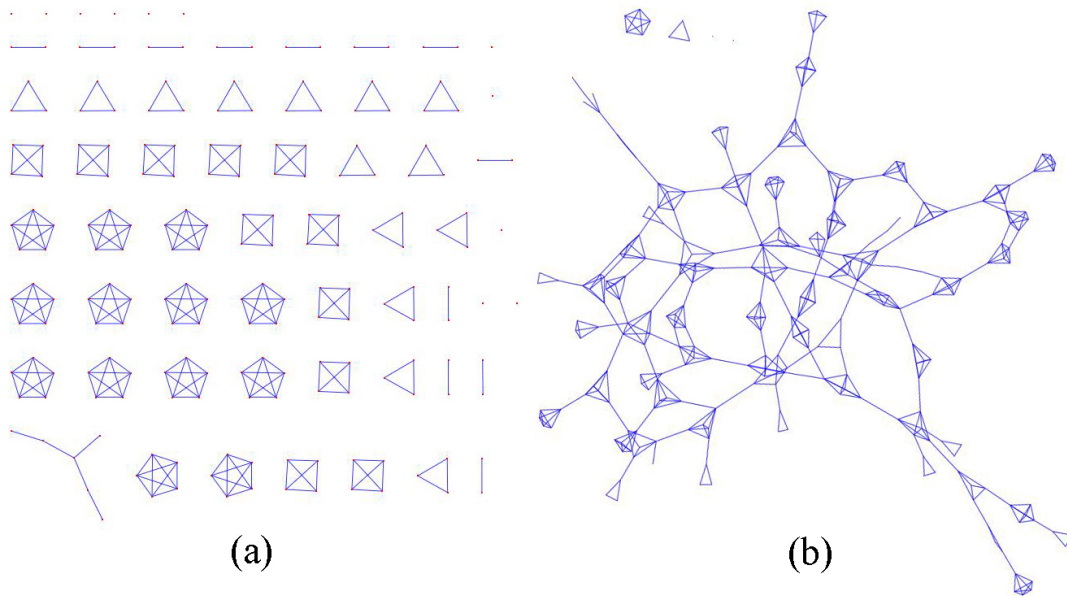


Figure 30: Network generated using Wang and Rong Model where size is approx. equal to the NetScience network. (a)  $\text{Max}_5\text{-DIS}$ : shows the presence of cliques of different sizes (b)  $\text{Max}_{10}\text{-DIS}$ : shows the uniform distribution of these cliques in the network and cliques rarely overlap. Cliques are connected to each other by edges as compared to real social networks where these small social communities overlap to form our society.

possible is the three models where the bipartite and n-partite structures are used as the fundamental property of real world networks. The model of Wang and Rong is slightly different as it allows the addition of  $m$  new nodes at every time step. The idea of Klemm and Eguiluz, Catanzaro *et al.* are quite original and provide another way to look at the evolution and structure of complex networks.

#### 4.4 Proposed Network Generation Model with Communities

As described earlier, the proposed model generates a static network. There are three basic steps in the model which are discussed below.

In the first step, we introduce what we call building blocks in the network. As described in the previous sections, our society is composed of many small groups. So, instead of adding one node at a time, we add cliques of various sizes representing these small groups of the real world. This results in the network having high clustering coefficient. In comparison to various models described earlier, where one node at a time is added to the network. These cliques represent the building blocks of our society as described earlier in Section 4.1.

The next step is to join these cliques to form a connected society. These cliques are connected to each other because people belong to multiple groups. From the property of Extraversion-Introversion, we know that there are people with many social contacts as well as people with only a few contacts. These ideas lead us to define for every entity, the number of groups, it belongs to. For a node belonging to two different groups, we simply

Comparative Summary of Existing Network Generation Models			
Model, Year	n	m	Innovation
Holme and Kim, 2002	1	m	Triad formation step, forcing a new node to connect to the neighbors of the first node it links to, in order to have triangles and increase the clustering coefficient.
Dorogovtsev <i>et al.</i> , 2002	1	2	Randomly chose an edge and attach both ends of this edge with the new node where the probability of choosing an edge is based on the degree of the nodes at its ends.
Jian-Guo <i>et al.</i> , 2005	1	2	Each new node attaches to existing node with preferential attachment and chooses one of its neighbors again based on preferential attachment (and not randomly as compared to Holme and Kim).
Wang <i>et al.</i> , 2006	1	2	For each edge, a new node with two edges is added, which is attached to both end nodes of the edge. Produces Fractals rather than a random graph.
Fu and Liao, 2006	1	m	Once a new node attaches to a node, its neighborhood has a higher probability of connecting to the new node.
Klemm and Eguiluz, 2002	1	m	Activate and deactivate nodes based on node degree where nodes having low degree have a high probability of getting deactivated.
Catanzaro <i>et al.</i> , 2004	1	m	Assortativity & Allows growth in old nodes by allowing new edges.
Newman <i>et al.</i> , 2002	1	m	Random network following a prescribed degree distribution is generated. Bipartite graphs are used to generate affiliation networks and obtain high clustering coefficient.
Guillaume and Latapy, 2004	1	m	Bipartite Structure identified as a fundamental characteristic for real world graphs (similar to Newman <i>et al.</i> , 2002).
Bu <i>et al.</i> , 2007	1	m	n-partite Structure, where nodes do not connect to similar node types.
Wang and Rong, 2008	n	m	Add m new nodes and any two nodes in the m new nodes link together from each other and they link to existing nodes based on preferential attachment.
Guo and Kraines, 2009	-	-	Static model that generates a random network with scale free degree distribution for n nodes. Next, the connections are modified to achieve the desired clustering coefficient.

Table 2: Comparing and Summarizing different Artificial Network Generation Models existing in the literature. n=nodes, m=edges

merge two nodes from different groups, as a result, two cliques are combined with a single node being part of the two cliques as shown in Figure 32.

To achieve this, we associate a possible connectivity attribute drawn from a degree distribution following power law. Few nodes when being part of many groups, will end up having many social contacts and represent the extroverts in the society.

For each node, this connectivity attribute, called Open connections (OC) determines the number of merges for each node. Note that the number of merges are directly proportional to the final node degree. If a few nodes are merged with many nodes, these nodes will end up with many connections and thus the scale free degree distribution will appear in the network. This attribute is an integer between  $[1, P]$  where  $P$  is some constant value and represents the maximum node degree a node can have in the network.

Finally, based on these number of merges which represent open connections of nodes (OC), we merge two nodes to build a connected network. We do this by randomly selecting two nodes from the network with open connections (OC). These nodes are merged together. In case, where two nodes of different building blocks are selected and that are already connected to each other by some other node, multiple overlaps appear. This results in small groups connected by more than one node. This represents the phenomena of the real world networks where two small groups are connected to each other by more than two people.

As the network is built from cliques and the connections are directed by scale free degree distribution, we get a network with high clustering coefficient and degree distribution following power law. The average path length of the overall network remains low due to two connectivity patterns, the random connections and the scale free degree distribution. The random connectivity of nodes has been shown to be one of the reasons for low average path lengths by [54, 55]. As for the scale free degree distribution, from the analysis of AirTransport network in Chapter 3, we saw that airline companies use the hub strategy to minimize the number of hops (or in other words, the path lengths) to improve the efficiency of the network.

We explain the details of the proposed algorithm below. The following mathematical notations are used throughout the explanation:  $G(V, E)$  represents an undirected multi-graph where  $V$  is a set of  $n$  nodes and  $E$  is a set of  $e$  edges. The graph  $G$  is initially empty and the nodes and edges are added as the algorithm progresses.  $\mathcal{C}$  represents a set of cliques such that  $\mathcal{C} = \{C_1, C_2, \dots, C_k\}$  are different cliques each comprising of several nodes.

### Step 1: Building Blocks

In contrast to existing network generation models, instead of adding one node or triad at a time, to generate the network, we start by adding cliques of variable sizes to  $G$ . Recall from Chapter 3, we identified cliques as one of the fundamental patterns present in networks and the Author and Actor network considered as examples here have cliques by construction.

The algorithm takes as parameter, the number of cliques to be generated ( $k$ ), the minimum (minSize) and the maximum size (maxSize) of the cliques to be generated. A random number is generated between these two limits and for each random number, a clique  $C_i$  is added to the graph  $G$  such that nodes and edges of the clique become members of  $V$  and  $E$  respectively. As a result,  $G$  contains nodes that are well connected to each

other as a clique, and nodes from different cliques are not connected to each other.  $G$  becomes a graph comprising of  $\mathcal{C} = \{C_1, C_2, \dots, C_k\}$  as shown in Figure 31.

If we use a random number generator, for large values of  $k$ , the distribution will be equally spread and we will have the same number of cliques for all possible size values. In real networks, this might not be the case as often, cliques of large sizes are rare compared to cliques of small sizes. To take the correct decision, it is important to understand what type of network we are trying to generate. If the network to be generated is expected to have cliques of varying sizes equally distributed, the random generation will serve well our purpose. On the other hand, if we expect that all the cliques will have the exact same size, the `minSize` and `maxSize` parameters can be set to that exact value to have all the cliques of the exact same size. And in the case where we expect a non-uniform distribution of different sizes, we can draw the different sizes of cliques using the type of distribution we require our final network to follow. The parameters `minSize` and `maxSize` can also be used to control the node edge density. If the values of these parameters are set as 1 and 5 respectively, the cliques generated will have nodes of degree 0 and 1, which in turn, will reduce the overall node edge density. On the other hand, if we want to increase the node/edge density, we can set high values of `minSize` and `maxSize` which will generate dense group of nodes and increase the overall node/edge density.

The real networks that we are using for analysis do not have a uniform distribution of cliques. Since these real networks contain many nodes with degree between 1 and 4. While generating networks of equivalent size, we take this information into account and ensure the increased presence of these small degree cliques. For every iteration, whenever a random number is generated having a low degree, another one is added of the same size. Thus for every random number generated between 1 and 4, we add two cliques instead of one. Experimental results show that this method is effective as we get networks similar to real world networks.

We consider the example of co-authorship network and explain how these values effect the algorithm. We use  $k = 10$ , `minSize`=1 and `maxSize`=5 and a random generation for the size of the cliques. After the execution of this step, we get a network as shown in Figure 31. The idea of introducing cliques, comes from the work of [132, 73] where affiliation networks and the bipartite structure was identified as an important structural property of the way, the Author and the Actor networks are constructed in the real world. People interact to co-author an artifact, as a result we get cliques representing an artifact. The idea is equally applicable to the Actor network, where the cast of every movie forms a clique. This phenomena was explained in detail in section 4.2 earlier and equally holds for the Employee and Club network.

Note that the size of the cliques can be forced to be exactly 3, in which case we would have forced the presence of only triads just as the other network generation models presented in section 4.3. Due to the presence of cliques (or triads), the average clustering coefficient of the entire graph increases as compared to a random graph which is a fundamental property to identify a small world network.

## Step 2: Determine Number of Merges

Since our goal is to control the frequencies of the node degrees, we want to enforce a certain degree distribution. In order to have the degree distribution of  $G$  follow a scale free behavior, we generate a scale free degree distribution using a power law function. We associate this distribution on the nodes of graph  $G$  as an attribute and call this as open

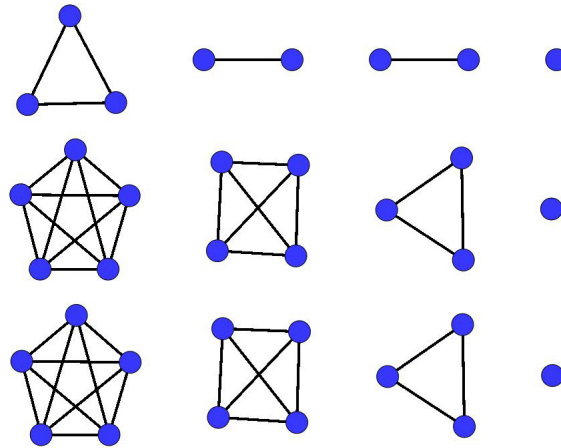


Figure 31: Step 1: Network after execution of step 1 with  $\text{minSize}=1$ ,  $\text{maxSize}=5$  and  $k=10$ .

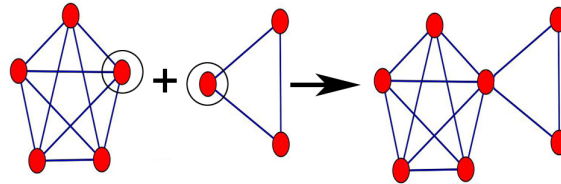


Figure 32: Merging two nodes from two different cliques so that a node becomes part of two cliques.

connections  $OC$ . This attribute is used to determine how the nodes are interconnected to each other in the next step.

An important variation to this step can be the assignment of an equal value to all nodes. As a result, the network produced will have only small world properties, i.e. high clustering coefficient and small average path length. The equal value assignment will ensure that the degree of all the nodes is approximately equal and thus the final degree distribution will not follow a power law, rather a Poisson distribution.

### Step 3: Merge Nodes

Recall from Chapter 3, section 3.2.1 where we identified two connectivity patterns, one in the presence of higher degree nodes, and the other, when very high degree nodes appear. Two cliques can be combined by considering that one or more than one common authors are part of the two cliques, and these nodes play the role of combining these cliques (see Figure 32). This is true for other real world networks as discussed earlier in section 4.2.

Merging two nodes creates connections between previously disconnected cliques. Moreover, the merged node plays the role of a bridge between the two small clusters. In terms of the degree, the node gets many new connections. The more the node is merged with other nodes, the more it gets connections and higher would be its node degree. This is the reason why we draw the number of merges from a power law function, as a result, the final degree distribution follows a power law.

An important decision while merging two nodes say  $n_1$  and  $n_2$  with  $OC$  values  $oc_1$  and  $oc_2$ , how to decide the  $oc_n$  for the new node  $n_n$ . We experimented with the following

different methods:

- > Max: Assign the new node the maximum of the two  $OC$  values  $oc_n = Max(oc_1, oc_2)$
- > Min: Assign the new node the minimum of the two  $OC$  values  $oc_n = Min(oc_1, oc_2)$
- > Avg: Assign the new node the average of the two  $OC$  values  $oc_n = Avg(oc_1, oc_2)$
- > Rand: Assign the new node one of the two  $OC$  values randomly  $oc_n = Rand(oc_1, oc_2)$

Assigning maximum value forces the degree distribution of the network to take a more linear decay as most of the low degree nodes disappear quickly from the network and lots of high degree nodes are left for connectivity. On the other hand, assigning minimum value removes the few nodes with very high degree and the characteristic long tail in the degree distribution disappears from the network. As similar behavior is observed with the average assignment as the long tail disappears and the average node degree increases with this assignment. The best results are obtained by a random assignment as nodes with high and low degree are equally removed and thus the overall degree distribution follows scale free behavior. We show the experimental results using the random method in section 4.6.

## 4.5 Evaluating Generated Networks

The proposed model is very close to the model proposed by Guillaume and Latapy [73] or that of Newman [132]. Although our approach is slightly different from these two models. We differentiate between the connectivity within group and connectivity in the society. The connectivity within group depends on the building blocks, which in this case are cliques. Connectivity in the society depends on the human trait of Extraversion and Introversion. The connectivity within group is responsible for the high clustering coefficient, as opposed to many other models where forcing triads raises the overall clustering coefficient. The connectivity with the society is responsible for the overall degree distribution following power-law. These steps can be modified in the model to obtain networks with different properties. For example, if we modify the number of merges drawn from the scale free behavior to follow a Poisson distribution, the model will produce networks which are only small world and not scale free. On the other hand, if we modify the building blocks by replacing the cliques by a star-like structure, where one node is connected to many nodes, we will get networks with only scale free properties with low clustering coefficient. These networks will be similar to the Opte network discussed in Chapter 3.

Thus, as compared to the model of Guillaume-Latapy [73] and Newman [132], from the proposed model, we are able to capture the principles of the bi-partite structure of many real world networks by introducing a different approach. Moreover the same model can be used to generate scale free networks with a simple modification to the network. We leave the proof of this variation as part of future work.

Next, we evaluate the networks generated by the proposed model using the  $Max_d$ -DIS decomposition. Figure 33(a) shows the entire network generated where the network has size similar to NetScience network. Figure 33(b) shows the  $Max_5$ -DIS of the network where the network breaks into small connected components just as the co-author networks studied in Chapter 3, the Geometry network in Figure 6(b) and the Dblp network in Figure 9(a).

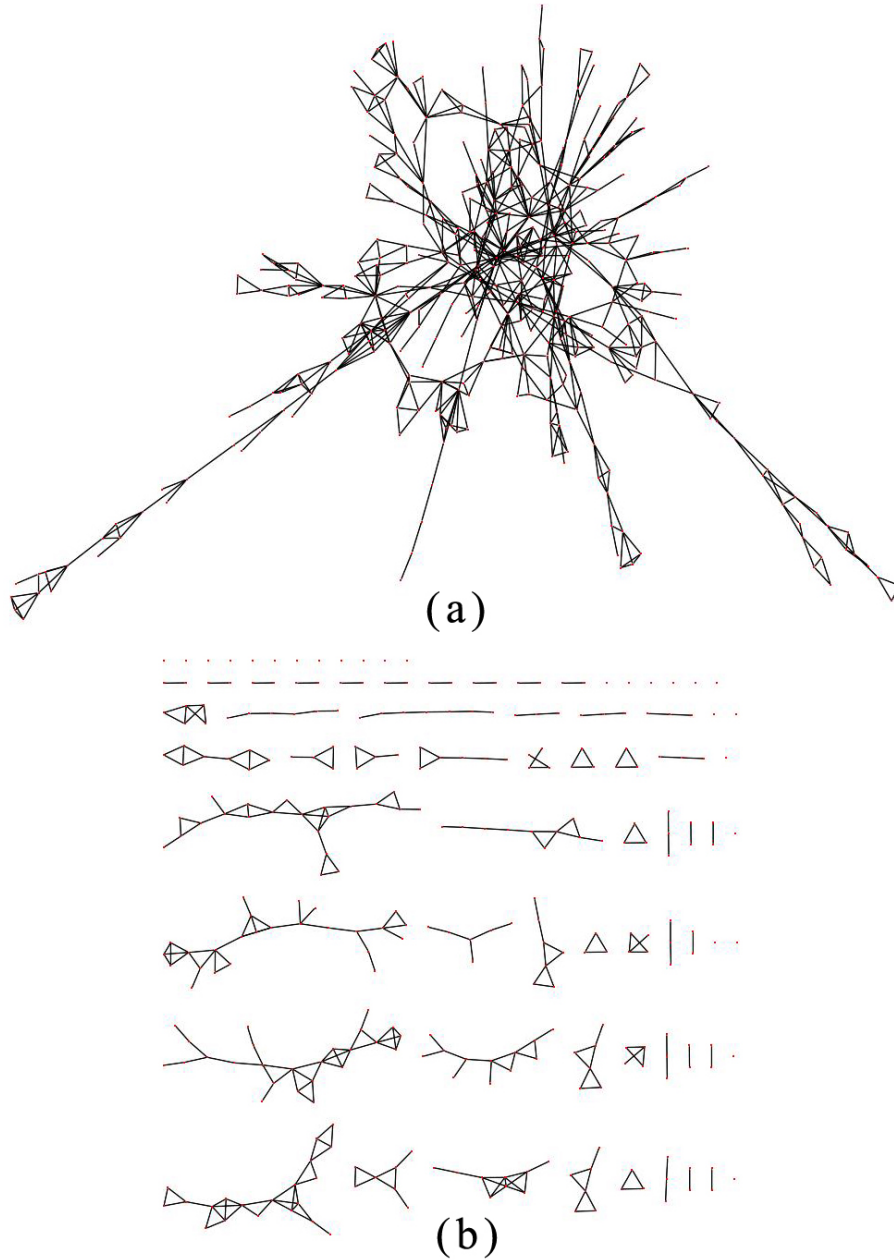


Figure 33: Network generated using proposed network model where the size is approx. equal to NetScience network. cliques=200 minSize=1, maxSize=7 (a) Entire network (b) Max<sub>5</sub>-DIS.



Similar observations can be made about the network generated equivalent in size to the Geometry network. Figure 34(a) shows the  $\text{Max}_5\text{-DIS}$  of the network where the network breaks into small connected components just as the co-author networks studied in Chapter 3. Figure 34(b) shows the  $\text{Max}_{10}\text{-DIS}$  of the network with the appearance of the giant component. Since the model is based on cliques as the building blocks to construct the entire network, it is obvious that using the topological decomposition, we find the presence of these small densely connected group of nodes. As the node degree is increased, in the case of Figure 34(b) where  $\text{Max}_{10}\text{-DIS}$  contains nodes of at most degree equal to 10, we find a similar behavior in the connectivity of nodes just as we analyzed in the previous chapter, a phase shift take place and a single giant connected component appears.

Figure 35 shows the degree distribution of the networks generated using the proposed model. We have generated networks of size equivalent to three social networks, the NetScience, Geometry and Imdb network. The degree distribution clearly shows that the networks generated follow the power law.

## 4.6 Results and Discussion

We have used the NetScience, Geometry and Imdb data sets for a comparative study. These are well studied examples of social networks and have been used by several researchers for empirical and experimental studies.

We calculate a number of statistics using various Network generation models and compare them with the real world networks of equal sizes. The results are shown in Table 3, Table 4 and Table 5. We have included the statistics for a random network for the three data sets. In some cases, the models are not parameterized and thus the node-edge density could not be controlled. We tried to generate models of similar size in terms of number of nodes, and where possible, similar number of edges. An important observation about these networks is that since all of them use the preferential attachment to produce the scale free property, the degree distribution for all the models follow a power law. To the best of our knowledge, there is no metric which tries to identify the presence of communities in a network by analyzing the graph on the whole in a global perspective, thus the presence of community structure in the proposed model is only justified by construction.

Looking at some individual results for the various models in comparison to the real world networks. For example, graphs generated using the model of Guillaume and Latapy, the node-edge density in every case is very high and could not be controlled. The model of Fu and Liao, in all the three examples, have a very low clustering coefficient as compared to the respective real world network and thus could not really be classified as generating similar networks to the real world networks used as examples in our study. Looking at the clustering coefficient of the model by Wang and Rong in Table 4, it is quite clear that the model fails to generate a high clustering coefficient for a similar size network. An observation about the model of Holme and Kim, In Table 5, where the node-edge density of the network is comparatively high to other two networks but the the network has a large size, the clustering coefficient drops considerably. The model of Klemm and Eguiluz scales well in terms of clustering coefficient, and the average path length can controlled through a parameter (see Table 3) which gives a good approximate result. Also, from Table 5, the average path length in case of a number of models is 1.99, which is a direct implication

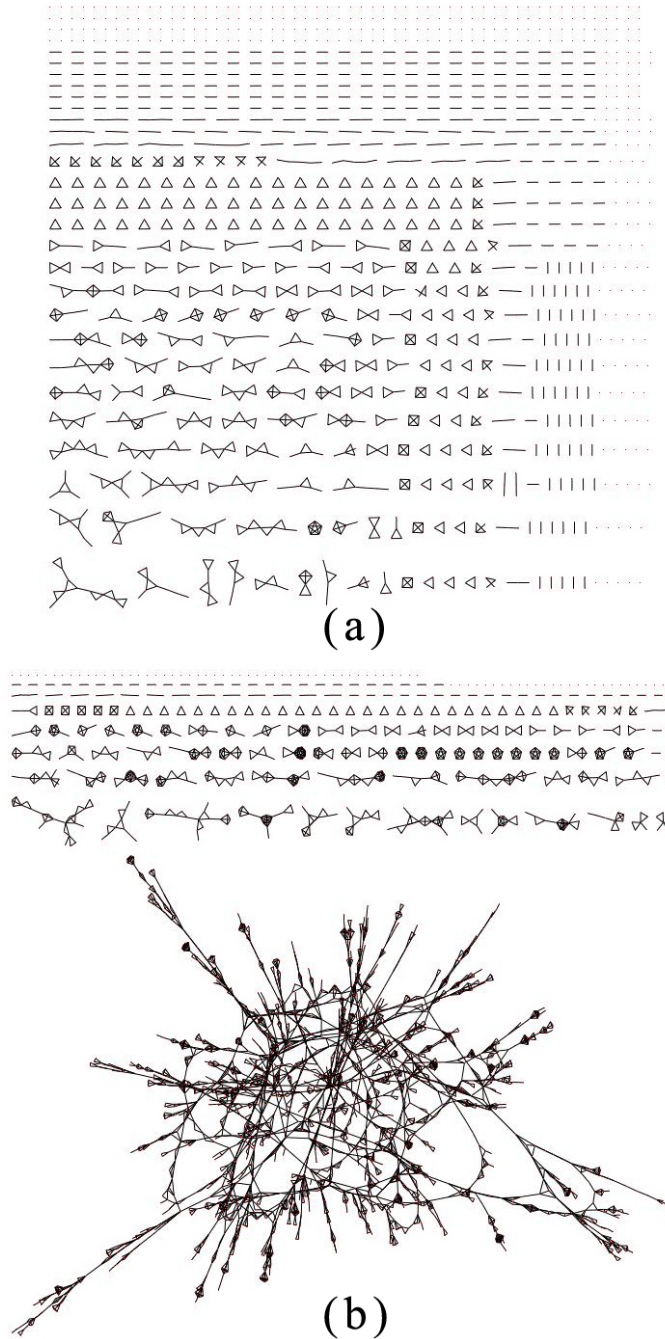


Figure 34: Network generated using proposed network model where the size is approx. equal to Geometry network. cliques=3000 minSize=1, maxSize=9 (a) Max<sub>5</sub>-DIS (b) Max<sub>10</sub>-DIS.

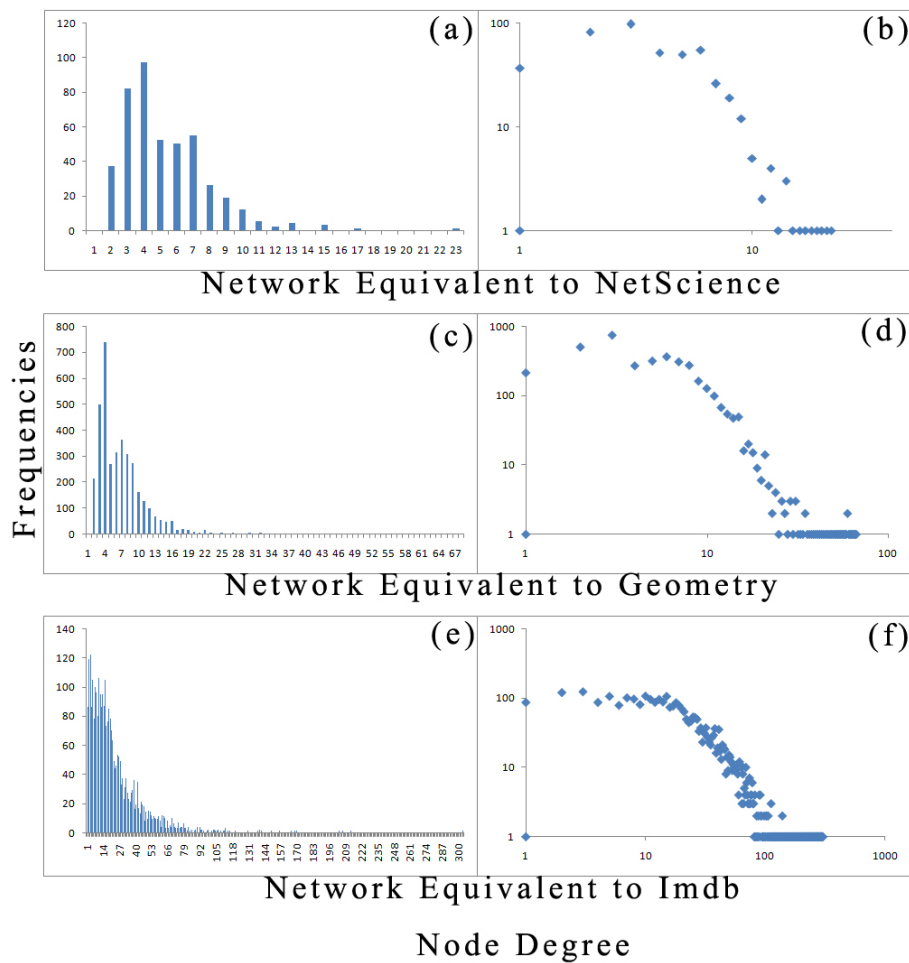


Figure 35: Degree Distribution of equivalent size networks generated using the proposed Model. (a,c,e) Represent the bar charts and (b,d,f) represent the Log-Log plot of the Frequency-Degree distribution.

Comparison between NetScience and Other Network Models					
Model	Nodes	Edges	APL	CC	HD
NetScience	379	914	6.04	0.74	34
Random Graph	379	914	3.94	0.01	11
Zaidi <i>et al.</i>	364	935	4.7	0.65	22
Holme and Kim	379	757	4.86	0.77	42
Fu and Liao	379	744	4.03	0.75	31
Klemm and Eguiluz	379	755	6.40	0.5	24
Catanzaro <i>et al.</i>	379	898	2.42	0.58	197
Guillaume & Latapy	379	5315	2.30	0.54	109
Bu <i>et al.</i>	379	755	3.05	0.37	80
Wang and Rong	379	943	4.32	0.37	14

Table 3: Comparing different models with the Collaboration Network of Scientists from the NetScience data. APL=Average Path length, CC=Clustering Coefficient, HD=Highest Node Degree

<b>Comparison between Geometry and Other Network Models</b>					
Model	Nodes	Edges	APL	CC	HD
Geometry	3621	9461	5.31	0.53	102
Random Graph	3621	9461	5.15	0.001	15
Zaidi <i>et al.</i>	3682	10928	5.71	0.65	67
Holme and Kim	3621	7241	7.3	0.79	90
Fu and Liao	3621	10662	4.22	0.72	101
Klemm and Eguiluz	3621	10857	2.27	0.72	197
Catanzaro <i>et al.</i>	3621	8896	2.47	0.48	1720
Guillaume & Latapy	3621	528499	*	*	1275
Bu <i>et al.</i>	3621	10856	3.13	0.24	607
Wang and Rong	3621	10828	4.6	0.10	30

Table 4: Comparing different models with the Collaboration Network of Scientists from the Computational Geometry data. APL=Average Path length, CC=Clustering Coefficient, HD=Highest Node Degree

<b>Comparison between Actor and Other Network Models</b>					
Model	Nodes	Edges	APL	CC	HD
Imdb	7640	277029	2.94	0.87	1271
Random Graph	7640	277029	2.48	0.009	102
Zaidi <i>et al.</i>	7413	244905	3.1	0.98	352
Holme and Kim	7640	274865	2.35	0.09	2303
Fu and Liao	7640	29972	4.00	0.76	163
Klemm and Eguiluz	7640	274374	1.99	0.97	7627
Catanzaro <i>et al.</i>	7640	28127	1.99	0.78	7639
Guillaume & Latapy	7640	2378281	*	*	2614
Bu <i>et al.</i>	7640	274935	1.99	0.83	12151
Wang and Rong	7640	273355	3.28	0.94	83

Table 5: Comparing different models with the Imdb network from the IMDB dataset. APL=Average Path length, CC=Clustering Coefficient, HD=Highest Node Degree

of a node having a very high degree. As a result, most of the nodes are connected to this high degree node and thus have a low average path length of the entire network.

From the above examples, one obvious problem that can be inferred is that these models have problems with scalability, as the node edge density is varied for a network, the models are not able to reproduce comparative values with real world networks for various statistics. On the other hand, the proposed model in this paper has the ability to control the size of cliques as the starting point, which helps us to control the density and at the same time, generate small world and scale free networks. The values are quite close to the ones expected and thus the proposed model is quite flexible.

## 4.7 Findings and Further Research Prospects

In this chapter, we have studied the concepts of homophily, triads and preferential attachment as the important properties for the structure of social networks. We use these

concepts to present a model to generate artificial social networks. We evaluated a number of network generation models that successfully generated small world and scale free networks but fail to capture another important characteristic of real world network i.e. the presence of Community Structures. We compared the existing and the proposed network model with real world social networks using a number of statistics. Results show that the proposed model indeed generates networks that have community structures and are topologically similar to real world networks as compared to the other existing models that generate small world and scale free networks. Moreover, we identified another problem for the existing models, the scalability in terms of node-edge density, where it is difficult to maintain the high clustering coefficient and low average path length as networks of varying sizes are produced.

We intend to extend our study to other types of networks such as biological and technology networks to propose network generation models for these types of networks as well. Although experimentation is required, but we believe that by replacing the building blocks in this model, we can generate different types of networks as such as the Internet Router networks and AirTransport network.

## Chapter 5

# Organization of Complex Networks through Clustering

### 5.1 Introduction

Organization is an important process to arrange and group vertices in a network. Organization of a network presents users and analysts a macro level view of the network i.e. instead of focusing on individual elements in a network, nodes are grouped together based on some criteria and the behavior of the entire network is studied by analyzing the behavior of these subgroups. There are a number of ways to group similar nodes where methods from the domain of Data Mining and more precisely, Graph Mining have been used frequently to summarize networks. Three broad methods are Clustering, Classification and Association [111]. The most widely used method is Clustering which we defined earlier as a method to decompose vertices into ‘Natural Groups’.

We like the definition of a cluster given by Wasserman and Faust [169], a cluster can be defined as a group of elements having the following properties:

- > **Density:** Group members have many contacts to each other. In terms of graph theory, it is considered to be the ratio of the number of edges present in a group of nodes to the total number of edges possible in that group.
- > **Separation:** Group members have more contacts inside the group than outside.
- > **Mutuality:** Group members choose neighbors to be included in the group. In a graph-theoretical sense, this means that they are adjacent.
- > **Compactness:** Group members are ‘well reachable’ from each other, though not necessarily adjacent. Graph-theoretically, elements of the same cluster have short distances.

The topic of clustering has been studied extensively in pattern recognition and machine learning [88, 179]. The goal of clustering is to divide a data set into subgroups such that the items in a group are similar in some predefined sense and, dissimilar to items of other groups. Clustering is viewed as an unsupervised form of discovering patterns as no prior information is required about the group labels that are expected to be found. In network or graph terminology, clustering is the task of grouping similar vertices together in a cluster. Often this similarity is defined in terms of edges such that similar vertices are well connected to each other by edges, not necessarily through direct edges but by a short

path. For further details, Schaeffer [147] provides a good summary of the literature on graph clustering.

Detection of clusters has a wide range of applications in various fields. For example, in social networks, clustering could lead us towards a better comprehension of the interactions taking place between people, or for biological networks, a useful application of clustering is in the identification of biomarkers in a protein-protein interaction network. Other applications of clustering include a wide range of domains such as marketing to find groups of customers with similar behavior, earthquake studies to cluster observed earthquake epicenters to identify dangerous zones, libraries and electronic documents to group and organize similar information resources together.

Different clustering techniques have been proposed to suit a variety of application domains and user requirements. Clusters produced by an algorithm can be organized in a *hierarchy*, or, on a single level called *flat* or *partitional* clustering. Hierarchical clustering algorithms can be further classified as *agglomerative* or *divisive*. An agglomerative algorithm starts with each vertex in a single cluster, these clusters are repeatedly merged into larger groups until all clusters are merged into a single cluster or some stopping criteria is reached such as number of clusters or depth of the hierarchy. A divisive algorithm starts with all the vertices in a single cluster which are repeatedly divided into subgroups. The process continues until clusters have only single nodes or some predefined criteria is reached. If the result of a clustering algorithm associates vertices to a single cluster, they are called *Hard* clustering algorithms, whereas, if vertices are allowed to belong to multiple clustering algorithms, they are called *Fuzzy* or *Soft* clustering algorithms.

An important issue that needs to be addressed while developing clustering algorithms for real world networks is their Time Complexity. Due to large size real networks, it becomes almost impractical to use slow clustering algorithms. Algorithms exist in the literature addressing the clustering problem for large size complex networks but a trade off exists between Clustering Accuracy and the Time Complexity. We discuss a number of these algorithms in section 5.2. Thus it is evident that faster algorithms are required to achieve high speed clustering as well as high accuracy to handle large size networks.

The motivation of this work comes from the fact that in the absence of high degree nodes, a network breaks into smaller connected components as discussed in detail in Chapter 3 where we presented the DIS-topological decomposition. The process of detecting whether these components are densely connected using Component Densities proves to be very efficient and runs in  $O(max_d * (n + e))$ . A simple intuition is to group these densely connected nodes as clusters, repeated application of this process for varying values of  $d$  can give us an algorithm which will be quite fast in terms of time complexity.

Along with this basic idea, we also noticed a high presence of low degree nodes as most of the real world networks had nodes of degree below 5. From this observation, we introduce some high speed heuristics that help to reduce the size of a network in linear time in terms of the number of edges. This further helps in increasing the time efficiency of the algorithm maintaining high cluster quality. Note that since the algorithm is based on DIS, it works only for networks with non-uniform degree distribution. As most real world networks exhibit this property, the algorithm is quite useful for real world networks.

The rest of the chapter is organized as follows: Section 5.2 discusses a number of clustering algorithms present in the literature where the idea is to focus on their asymptotic time complexity. Based on the topological decomposition presented in Chapter 3, we introduce our proposed algorithm in section 5.3. In section 5.4, we present the experimental

setup, the data sets, other clustering algorithms and metrics to evaluate cluster quality. We compare the results of the proposed algorithm with existing algorithms in section 5.5, finally concluding in section 5.6.

## 5.2 Review of Clustering Algorithms

Many different approaches have been proposed to discover clusters in complex networks. For example, Girvan and Newman [68] used edge betweenness to produce a divisive hierarchical clustering algorithm. The basic idea is to identify intra cluster edges as compared to inter cluster edges. Edges lying between clusters will have a higher betweenness centrality as compared to edges within a cluster. The clustering algorithm removes edges with high betweenness centrality to identify clusters and recalculates the betweenness centrality. The algorithm performs well in the detection of clusters but suffers from high time complexity. The worst case time complexity is given by  $O(e^2n)$ .

Wu *et al.*[176] introduce a multilevel mesh structure to cluster large networks. The clustering algorithm uses Betweenness centrality and node degree to identify a set of representative nodes. All the other nodes are assigned the nearest representative nodes to obtain clusters. The agglomerative process is repeated to obtain a hierarchical clustering which they call multilevel mesh. At each level, the user chooses a branching factor which determines the number of clusters for that level. This number might not represent the actual number of clusters in the dataset as they are determined by the user without the use of any heuristic or statistical measure. The overall complexity of the algorithm is given by  $O(e^2n)$ .

Boccaletti *et al.* propose a clustering method based on the cluster de-synchronization properties of phase oscillators [21]. Starting from a fully synchronized state of the network, a dynamical change in the weights of the interactions that retain information on the original betweenness distribution, yields a progressive hierarchical clustering that fully detects the dense communities. Since the initial calculation of betweenness takes  $O(n^2)$ , the algorithm scales quadratically as the number of nodes increase.

Newman [130] presents a faster agglomerative hierarchical clustering algorithm which is based on a quality function called modularity  $Q$ . The algorithm repeatedly joins communities together in pairs, choosing at each step, the join that results in the greatest increase in  $Q$ . The time complexity for the algorithm is given by  $O((e+n)n)$  which scales quadratically in terms of number of nodes in the graph.

Boutin *et al.* [27] used a focus based filtering and clustering technique for complex networks. This technique extracts a tree-like graph so that the resulting structure can be drawn using any force directed algorithm leaving the final drawing easily readable. One of the drawbacks of this system is that the user has to choose an initial entry point to filter the graph. Moreover since edges are removed to simplify the structure of the network, important information can be lost.

Efficient algorithms to cluster networks with only small world properties have been proposed like [9] [162]. These systems perform well if the topology of the network follows small world properties but fail to perform in the presence of scale free properties. This is due to the fact that in a scale free network, a few nodes dominate the entire networks connections and makes it difficult to identify the clusters. Similarly methods to cluster



networks with only scale free properties have been proposed. For example [136] proposed a method based on Minimum Spanning tree(MST). While constructing the MST, they take into account the nodes having high degrees and increase the importance of the edges connected to these high degree nodes which are called hubs. This makes the edges connected to the hubs more important and thus are retained during the construction of the spanning tree. This approach works well if the underlying network structure has only scale free properties but in the presence of small world phenomena, it does not perform well. This is because the approach assumes that the high degree nodes are the probable cluster centers. While in reality, it is possible to have a clique in the network in which two nodes connect to two different hubs, the MST method will force the clique structure to break and assign the nodes to two different clusters resulting in loss of information.

An important class of clustering algorithms called Spectral Clustering algorithms have attracted considerable interest [153]. They are based on computing the eigenvectors of the adjacency matrix, or some other matrix representing the graph structure. The biggest advantage of these algorithms is that they are able to detect clusters without a specific form as compared to classical algorithms such as k-means. They are well suited for large size networks as well. But these algorithms are suited only for data sets where the similarity graphs are sparse [110]. Furthermore, choosing a good similarity graph is not trivial, and spectral clustering can be quite unstable under different choices of the parameters for the neighborhood graphs.

In this section, we presented a number of clustering algorithms designed for complex networks. This review is not an extensive one as the clustering problem has been addressed in many different research domains and it is quite difficult to perform an extended study of all these algorithms. For more details, readers can refer to surveys on the topic of clustering such as [88, 179, 147].

### 5.3 Proposed Clustering Method: TDHC

Consider the example of the Geometry network which we analyzed in detail in Chapter 3 and shown here in Figure 36. The entire network is shown in Figure 36(a), where as Figure 36(b) shows a small portion being focused where the encircled nodes represent densely connected nodes or more precisely cliques. Figure 36(c) and (d) show portions of the Max<sub>5</sub>-DIS and Max<sub>10</sub>-DIS. In these two figures, it is quite easy to visually detect the cliques or the densely connected nodes.

The inspiration of our clustering algorithm comes from this visualization. We explained that calculation of component densities can be performed in  $O(max_d * (n + e))$  time for all the Max<sub>*d*</sub>-DIS graphs. The idea is to group the densely connected components for increasing values of *d* as we iterate over Max<sub>*d*</sub>-DIS. As a result, we end up agglomerating vertices into clusters producing a hierarchical clustering algorithm. Thus we call the algorithm, Topological Decomposition for Hierarchical Clustering abbreviated as TDHC.

As introduced in earlier chapters, from the topological decomposition, we can identify densely connected sets of nodes that can be grouped to form clusters. The notion of how to define density is addressed in section 5.3.3. As the process is repeated for different values of *d*, the number of iterations do not depend on nodes *n* or edges *e* of *G* but on the factor *d* which is the maximum degree a node can have in *G*. Along with the detection of densely connected nodes through Max<sub>*d*</sub>-DIS, we also introduce several heuristics that

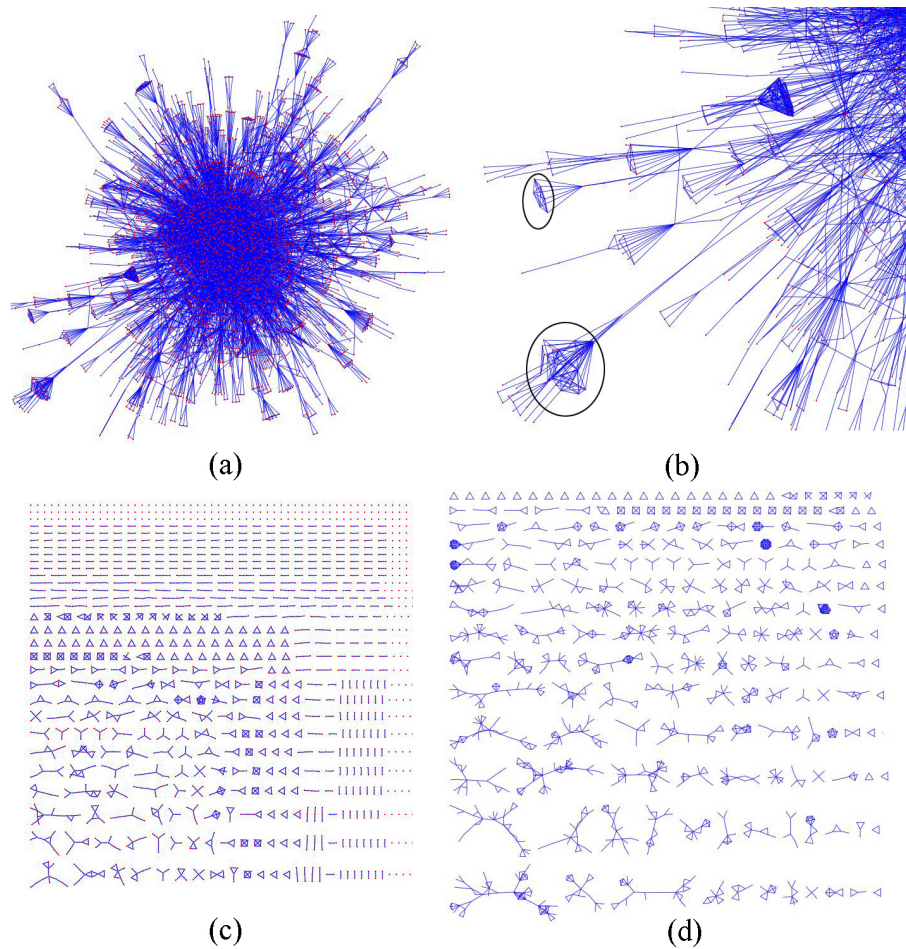


Figure 36: Geometry Network (a) Entire Network (b) Focus on a Small Portion (c) Part of Max<sub>5</sub>-DIS (d) Part of Max<sub>10</sub>-DIS

optimize the performance of the clustering algorithm. All these steps are highly efficient in terms of time complexity as we discuss the details in the following sections. First we introduce all the major processing steps used in the algorithm before listing the algorithm itself.

### Node Sink using K-Sink Operation

We define the K-Sink operation as follows: The nodes having degree 1 in a network are connected to a single node. We merge the 1-degree nodes into their neighbors creating a new node for each such merger. The 1-degree nodes merged into the neighbors are called the Sinkers. The nodes in which the 1-degree nodes get merged are called the Sinkholes. This operation is justified because a 1-degree node cannot be clustered with any other node as it is simply connected to only one node. We call this operation, a 1-Sink operation and it is illustrated in Figure 37(a) where node 2 is the sinker and node 1 is the sinkhole. If two nodes have degree 1 and are connected to each other, this means that they are disconnected from the rest of the network and in this case either of the node can be chosen to be the sinker and the other as the sinkhole.

Similarly we define a 2-Sink operation, consider two nodes, say node 2 and node 3 both

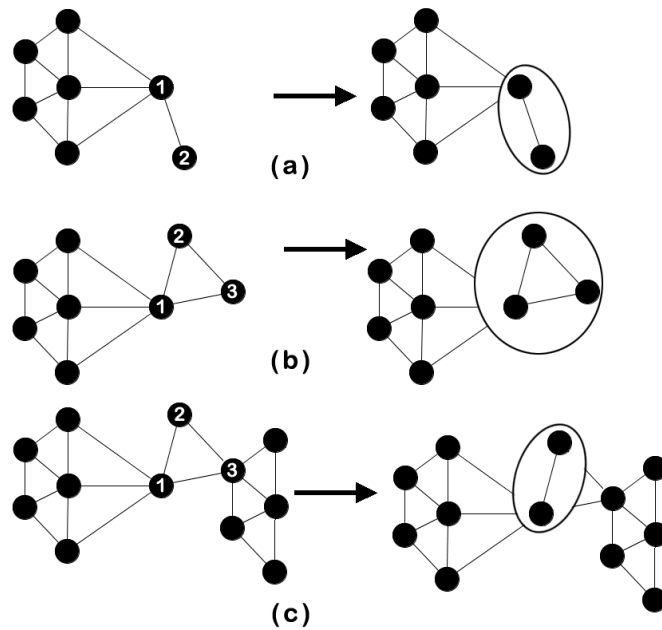


Figure 37: K-Sink operation illustrated (a) 1-Sink (b) 2-Sink Type A (c) 2-Sink Type B.

having a degree 2 (Figure 37(b)). If they are connected to each other, and to a common neighbor, say node 1, with a higher degree, nodes 2 and 3 can be sinked into node 1 as they are only connected to either each other or node 1. This operation is illustrated in Figure 37(b) and we call this 2-Sink operation as Type A. Just as in the case of 1-Sink, if we find a set of nodes each having degree exactly equal to 2 and connected to each other, this means that they are not connected to the rest of the graph, in this case any node can be chosen to be the sinkhole and the other two nodes to be the sinker.

Another type of 2-Sink operation, Type B, is when a node of degree 2, is connected to two other nodes of degree more than 2. Irrespective of whether these two high degree nodes are connected to each other or not, the two degree node can only be clustered with either one of these two nodes as shown in Figure 37(c). What we do is simply put the two degree node with the neighbor having a higher degree and create an edge between this cluster and the other neighbor. If its two neighbors have equal node degree, one neighbor is chosen randomly.

For the implementation of the algorithm, we only use 1-Sink and 2-Sink operations although the idea can be generalized to sink nodes up to some constant  $K$ . Both 1-Sink and 2-Sink operations can be performed in time  $O(n)$ . But a generalized implementation to incorporate  $K$ -Sink operation will no longer remain linear and since our goal is to keep the time complexity bounded by a linear function or as close as possible to a linear function we avoid using a generalized  $K$ -Sink operation.

The order in which these  $K$ -Sink Operations are performed, produces slightly different hierarchies. We illustrate this difference in Figure 38 where the order of execution of sink operation is different for (a) and (b) and thus the hierarchy produced is different. The grouping is consistent as nodes end up in the same cluster at the end, it is just the order in the hierarchy that changes. For our implementation, first, we perform a 1-Sink operation, followed by a Type A and Type B 2-Sink Operations. Then the Type A 2-Sink operation

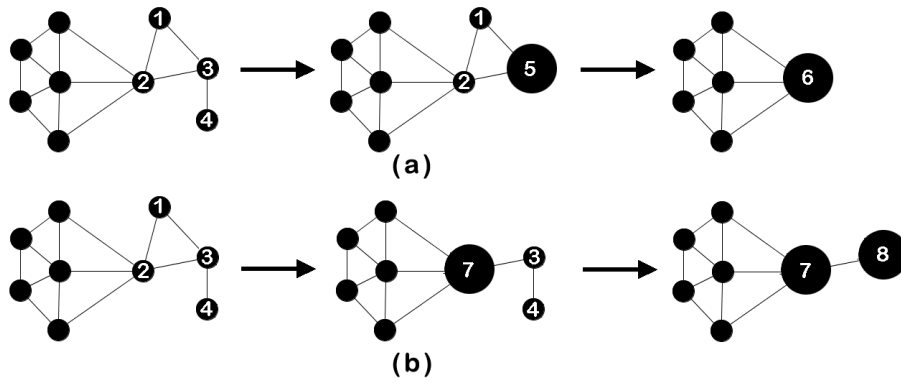


Figure 38: Changing the order of K-Sink operation changes the hierarchy slightly but it remains consistent as the nodes find themselves grouped together in the same cluster (a) 1-Sink Operation first, sinking node 4 into node 3 followed by a 2-Sink operation of Type A where nodes 1,2 and 5 are grouped together. (b) 2-Sink operation of Type A first, where nodes 1 and 2 are grouped together as node 7, followed by a 1-Sink operation where node 4 gets sinked into node 3.

is repeated finally followed by a 1-Sink Operation. The choice to perform the operations in this order is based on experiments where the convergence is faster and higher accuracy is achieved for the clusters produced.

Another aspect is that both the 1-Sink and 2-Sink operations can be iterated several times. Choosing a fixed number of iterations for these two operations will not effect the overall time complexity but if they are executed until no more nodes can sink in other nodes, the overall time complexity will no longer remain linear, and thus we avoid iterating over these operations. Moreover our experimental evaluation suggests that we do not increase the quality of clustering to a large extent by repeating these operations many times.

### Maximum Degree Induced Subgraph

The next step in the algorithm is to create a  $\text{Max}_d\text{-DIS}$  with a small value of  $d$ . Due to this small value, the network might break into several components disconnected to each other as shown in Figure 36(c) and (d). In the clustering algorithm, the value iterates from a start value of 2 and goes on till the maximum degree value possible.

### Tightening: Disconnect Loosely Connected Nodes

After obtaining the  $\text{Max}_d\text{-DIS}$ , we perform an operation that we call Tightening. We look at the nodes having degree 1 in this subgraph and we simply remove the edges connecting degree 1 nodes from the induced subgraph. This process helps us to make the connected components found in the subgraph more dense as shown in Figure 39 where nodes 1 and 2 are disconnected by removal of edges. This step delays the decision of putting node 1 and 2 with the other nodes in a cluster. Recall that this operation is performed on the induced subgraph, nodes 1 and 2 can thus be densely connected with other nodes in the entire graph. Due to this reason, the step delays aggregating degree 1 nodes with densely connected nodes. The step can be performed in time  $O(n)$  where  $n$  has small values as compared to the entire graph  $G$ .

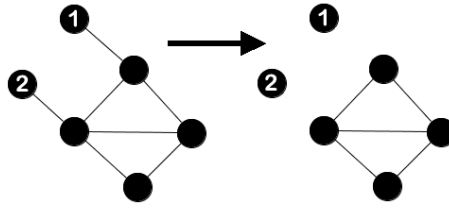


Figure 39: Tightening Operation where Nodes 1 and 2 get disconnected leaving the other nodes densely connected.

### Calculation of Connected Components

Once we have the  $\text{Max}_d\text{-DIS}$ , another important step is to calculate all the connected components in the subgraph. We use a breadth first search algorithm (BFS) starting from a node and iterating through its neighbors to find the connected component it belongs to. Once we have identified nodes connected to the start node, we restart the BFS from a node that has not yet been visited. All the connected components of a graph can thus be calculated in  $O(n + e)$  time.

### Grouping Densely Connected Components

The final step is to group the connected components that are densely connected to each other. We need to evaluate if the component is dense enough to be clustered in graph  $G$  or not. The density function we use is explained in section 5.3.3. Once we have found the densely connected components in the subgraph, we cluster these nodes in graph  $G$ . We replace this cluster of nodes with a single node in  $G$ . Multiple edges connecting this new cluster node to other nodes are removed to make sure that the graph remains simple. Note that we only consider components of size greater than 2 nodes to be clustered together. Small size components containing nodes with node degree 1 and 2 are clustered using K-sink operation and thus we do not require them to be clustered in the induced subgraphs.

#### 5.3.1 Clustering Algorithm

Now that we have explained all the necessary steps, the Topological Decomposition for Hierarchical Clustering (TDHC) is presented as algorithm 1. The algorithm starts by calculating a  $\text{Max}_2\text{-DIS}$  in order to search for triangles representing three nodes and connected to each other. For nodes having degree 1, they get sinked in the 1-Sink step and thus we do not need to run the algorithm for  $\text{Max}_1\text{-DIS}$ . Note that in the algorithm, when a step is performed on  $G$ , the size of  $G$  in terms of number of nodes is decreased as nodes within  $G$  are grouped together to form clusters.

There are two stopping criteria for the algorithm, either the nodes converge to a single cluster node, or the algorithm is executed for every possible value of node degree. The convergence of nodes to a single cluster node depends on the density function used, i.e. if only highly dense nodes are grouped together, there is a strong possibility that at the end of execution, the network will not converge to a single cluster node. On the other hand, if the density function allows grouping of only connected components, the network will surely converge to a single cluster node.

**Algorithm 1:** TDHC Algorithm

---

```

Input  $G(V, E)$ 
 $i \leftarrow 2$ 
 $increment \leftarrow 1$ 
 $MaxDeg = \text{Find\_Maximum\_Degree}(G)$ 
while ( $\text{Number\_of\_Nodes}(G) > 1$  or  $i < MaxDeg$ ) do
     $G = \text{K-Sink}(G)$ 
     $G' = \text{Create\_Max}_i\text{-DIS}(G)$ 
     $G' = \text{Tightening}(G')$ 
     $\text{Calculate\_Connected\_Component}(G')$ 
     $G = \text{Group\_Densely\_Connected\_Component}(G')$ 
     $d \leftarrow i + increment$ 
end while

```

---

All the processing steps have a linear time complexity as shown in previous sections. The number of iterations required to converge towards a solution no longer depends on the number of nodes nor the edges but on the maximum degree a node can have. Moreover, as in the given algorithm, we have chosen an increment of 1 at every iteration. There are two stopping criteria, in this case, the algorithm executes at most  $d$  times. The choice of the value for the variable *increment* depends on the user, which can be increased depending on how the results vary as a function of this value. A high increment value means less number of iterations, but risks in less dense components found.

The average case time complexity of the entire algorithm can be expressed as  $O(max_d * (e + n))$  where  $d$  is the maximum degree of a node in graph  $G$ . Remember that in the worst case scenario, the maximum number of nodes a graph can have is  $(n * (n - 1) / 2)$  and the maximum degree a node can have, is equal to the maximum number of edges  $e$  in the entire network for a simple graph but this upper limit is not possible for real world sparse networks.

An important observation about the clustering algorithm is that it uses both the Divisive as well as Agglomerative approaches to cluster graphs. The divisive part comes from the fact that we build degree induced subgraphs and the agglomerative part is represented when we cluster nodes during K-Sink operation and grouping densely connected components. Thus in effect, we have combined both the agglomerative and divisive approach to cluster graphs, which to the best of our knowledge, has never been tried before.

### 5.3.2 Flattening the Clusters

The hierarchical clustering thus produced can have many clusters with 2 or 3 nodes due to the K-Sink operation explained earlier. We simply parse recursively through different clusters to remove these small size clusters and merge them into bigger size clusters. To produce a partitional (flat) clustering, using the same algorithm, all we need to do is replace the condition in the algorithm where we want to converge to a single node by the number of clusters we want to obtain in the network. As this algorithm repeatedly groups nodes into clusters, a stopping condition can be used to stop the iterations based on the number of nodes left in the graph. Once we get to this number, each node represents a cluster and all the nodes grouped under this nodes hierarchy can be flattened to obtain

a partitional clustering. We have used this same approach to compare the results of the TDHC algorithm with the other clustering algorithms.

### 5.3.3 Density Function

There are several definitions of how to calculate the density of a graph, the readers are recommended [113] for details. For simplicity we use the node to edge ratio ( $m/n$ ) to refer to the density of the graph. [113] argues that the density of a graph varies as a function of application domain giving real world examples. For the proposed clustering algorithm, we use a density function to determine how well a set of nodes is connected to each other. Based on the arguments and examples provided in [113], we argue that we cannot have a generic density value set as a threshold to decide whether a set of nodes is connected well enough or not. Moreover, the question of whether a set of nodes are connected well enough to be clustered, depends not only on the density of the entire graph but on the underlying structure of the network. This issue was discussed previously in Chapter 3 for networks such as Opte and AirTransport network where Max<sub>d</sub>-DIS did not contain densely connected nodes.

To resolve this problem, we propose a floating density function i.e. we propose a set of functions starting from high density values to progressively less dense functions. The idea is to try to find highly dense communities first, for all possible values of the Max<sub>d</sub>-DIS, and then replace the density function with a less dense function. We start by looking for the maximum number of edges possible for a set of nodes and eventually end up looking for the minimum number of edges possible for a set of nodes to be connected. We cluster a set of nodes if the number of edges  $m$  is:

$$e = n(n - 1)/2$$

$$e \geq n(n - 1) * 0.8/2$$

$$e \geq n(n - 1) * 0.6/2$$

$$e \geq n(n - 1) * 0.4/2$$

$$e \geq (2 * n)$$

$$e \geq n - 1$$

The set of equations represent a gradual decrease in the node-edge density required for a group of nodes to be considered as dense enough to be clustered together. The first equation represents the maximum number of edges possible for a set of nodes, the second, third and fourth equation represents 80%, 60%, 40% of the possible edges. The fourth equation requires twice as many edges as nodes for a set of nodes to be considered as dense and finally the last equation represents the minimum number of edges required by a set of nodes to remain connected. Note that this final case can occur in real data sets such as the Opte network or the AirTransport network discussed earlier in Chapter 3 as these networks do not contain highly dense components.

Although using the floating equation idea can effect the number of iterations required to cluster the entire data set, it assures that the clusters found are as dense as possible. This is the only control parameter that is required by the proposed algorithm and varies from one dataset to the other depending upon the average density of a data set as discussed previously in Chapter 3. The overall complexity of the algorithm remains the same as the number of equations ranges from a constant value of 2 to 6. So in effect the final algorithm

is run once for each of these equations in the given order until all the nodes converge to a single node. As mentioned earlier, the choice of these equations depends upon the data set being used and the inherent network structure but as our experiments show, this order and set of equations seems to give good results for the different data sets that we have used for experimentation. Apart from the density function, the other control parameter for the algorithm is the number of clusters required to generate, which by default, agglomerates the entire data into a single cluster.

An important exception in the algorithm is when it is executed for the last equation, *Tightening* step is not executed as we do not need to add an extra hierarchical level since we are no longer looking for dense components.

## 5.4 Experimentation

We use different data sets to compare the performance of the proposed TDHC algorithm with other clustering algorithms using a number of evaluation metrics to judge the cluster quality.

### Data Sets

We have used the NetScience, Opte and Protein network. Since the Divisive Clustering algorithm has a high time complexity, we only consider a subset of the Opte data set constructed by considering a hub and the nodes connected at distance 5 from it. The subset consists of 1049 nodes and 1319 edges.

The choice of these data sets is based on the criteria that all these networks belong to different classification of networks as described in the literature [129]. The *author* network represents a *social network* of collaboration, the *internet* network represents a *technological network* and the *protein* network represents a *biological network*. We have used networks that contain a few thousand nodes and edges only, since we want to compare the results of the proposed algorithm with slow but highly efficient algorithms in terms of cluster quality.

### Clustering Algorithms

To cluster these data sets, we use two known clustering algorithms, the Bisecting K-Means algorithm [154] and the Divisive Clustering algorithm based on Edge Centrality [68]. The choice of these algorithms is based on the criteria that these algorithms do not try to optimize or influence the clustering algorithm based on the density or some other cluster quality metric as compared to other algorithms present in the literature such as [130]. Moreover they are known to perform well for a number of real world data sets [181, 68]. We also use the Strength Clustering algorithm proposed by [9] which was initially introduced to cluster social networks. The algorithm has been shown to perform well for the identification of densely connected components as clusters.

The Bisecting K-Means algorithm and the Divisive Clustering algorithm based on Edge Centrality are both divisive algorithms, i.e. they start by considering the entire graph as a single cluster and repeatedly divide the cluster into two clusters. Both these algorithms can be used to create a hierarchy where the divisive process stops when each cluster has exactly one node left. Instead of generating the entire hierarchy, we stop the process as soon as the number of clusters reaches around 20. Moreover since we do not propose



Data Set	Algorithm	Cluster Quality Metric		
		MQ	Q	RD
NetScience	Div. Clus.	0.53	0.77	0.63
	Bis. K-Means	0.42	0.77	0.63
	Strength	0.83	0.26	0.23
	TDHC	0.55	0.82	0.42
Opte	Div. Clus.	0.32	0.79	0.69
	Bis. K-Means	0.41	0.59	0.58
	Strength	0.50	0.35	0.55
	TDHC	0.42	0.85	0.49
Protein	Div. Clus.	0.31	0.63	0.49
	Bis. K-Means	0.41	0.33	0.31
	Strength	0.52	0.16	0.29
	TDHC	0.38	0.44	0.23

Table 6: Comparing the results of Divisive Clustering based on Edge Distribution (Div. Clus.), Bisecting K-Means (Bis. K-Means) and Strength Clustering (Strength) algorithms with the TDHC algorithm.

a method to evaluate the quality of a hierarchical clustering algorithm, we consider the leaves as a single partitional clustering. Note that the clustering algorithm might create singletons but we do not consider these smaller clusters while generating 20 clusters and these clusters are also neglected while evaluating the quality of clusters.

### Cluster Evaluation Metrics

We used three different metrics to evaluate the quality of clusters produced by the algorithms. Modularity(Q) [126] ( $Q$  metric) is a metric that measures the fraction of the edges in the network that connect within-community edges minus the expected value of the same quantity in a network with the same community divisions but random connections between the vertices. If the number of within-community edges is no better than random, we will get  $Q = 0$ . Values approaching  $Q = 1$ , which is the maximum, indicate strong community structure. The second metric used by Auber *et al.* [9] is called the  $MQ$  metric. It comprises of two factors where the first term contributes to the positive weight represented by the mean value of edge density inside each cluster. The second term contributes as a negative weight and represents the mean value of edge density between the clusters. Finally the Relative Density (RD) [116] of a cluster calculates the ratio of the edge density inside a cluster to the sum of the edge densities inside and outside that cluster. The final RD is the averaged sum of the these individual relative densities for all clusters. Note that all these metrics are normalized between  $[0,1]$  where 1 signifies perfect clustering. More details on evaluating the quality of clusters can be found in Chapter 7.

## 5.5 Results and Discussion

We discussed the algorithm's average case time complexity is  $O(max_d * (n + e))$ . In reality, the algorithm runs much faster than its average case. This is because as the algorithm progresses, the nodes are aggregated into clusters and the size of the network becomes smaller.

Data Set	Algorithm	Execution Time (sec)
NetScience	Div. Clus.	13
	Bis. K-Means	7
	Strength	2
	TDHC	2
Opte	Div. Clus.	163
	Bis. K-Means	21
	Strength	4
	TDHC	3
Protein	Div. Clus.	527
	Bis. K-Means	39
	Strength	4
	TDHC	3

Table 7: Comparing the execution times of Divisive Clustering based on Edge Distribution (Div. Clus.), Bisecting K-Means (Bis. K-Means) and Strength Clustering (Strength) algorithms with the TDHC algorithm.

We compare the results of the TDHC clustering algorithm with [68, 130, 9] in Table 6. From the different values, it is quite clear that the TDHC algorithm performs well if the performance is evaluated using Q and MQ metric as compared to the other clustering algorithms. On the other hand, using the RD metric, its performance is not as good as the other clustering algorithms. These differences highlight the behavior of various cluster evaluation metrics present in the literature. Nevertheless, considering the time complexity of TDHC compared to the other algorithms, empirical results of TDHC show that the algorithm performs well on different data sets. We do not claim that our algorithm produces better quality results for different types of networks and cluster evaluation techniques but we show that our algorithm performs as well as other algorithms. The major contribution of the algorithm is the low asymptotic time complexity which enables us to run the algorithm for large size networks. Moreover, the algorithm tries to exploit the benefits of divisive and agglomerative clustering techniques. Mathematically we can justify that the use of density function helps to group dense components in the graph and thus justifies the clustering procedure.

To the best of our knowledge, there is no formal method to compare two hierarchical clustering algorithms. Comparing two hierarchies can be trivial specially the way [68, 130] work, as compared to TDHC. [68, 130] are divisive algorithms, which in every iteration, breaks a cluster into two sub clusters. Thus any cluster can have only two sub-clusters which is the not the case with the TDHC algorithm. Moreover the algorithm repeats the divisive step until there is only a single node left in the cluster. This is not the case with the TDHC algorithm as it groups nodes together as soon as a dense component is found. This certainly changes the depths of the hierarchies produced by the two clustering algorithms. So, we have only compared the algorithms using the partitional clustering produced by each of the given algorithms. The version of strength clustering used here produces a partitional clustering so a flattening process was not required.

Table 7 contains the execution times of the various algorithms for the different data sets used for experimentation. The TDHC algorithm clearly stands out as the fastest algorithm in terms of number of seconds. Table 8 shows the execution time of TDHC algorithm for graphs of increasing size in terms of number of nodes. The graphs were

Size (nodes)	Execution Time (sec)
100	1
1000	10
10000	95

Table 8: Execution time of TDHC for graphs of increasing size.

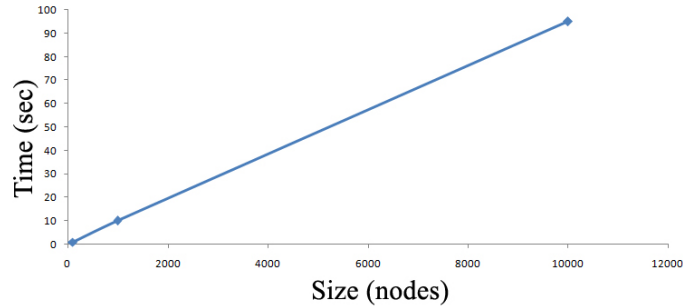


Figure 40: Graph showing linear behavior of running time in seconds of the TDHC algorithm with increasing graph size.

generated using artificial network generation model from Chapter 4. Figure 40 shows the plot of these execution times clearly showing the linear behavior of the running time of the algorithm for the generated data set.

Analyzing the algorithm, we try to exploit two important characteristics of networks, the degree distribution and the clustering coefficient. The Topological decomposition uses the fact that real world networks do not have a uniform degree distribution, thus the decomposition helps to break the network into several components. On the other hand, the networks having high clustering coefficient represent the presence of densely connected nodes in the network, which can be grouped together to form clusters. The idea of a floating density function works well for networks that do not have high clustering coefficient (for example Opte Network) as we try to group nodes which are less densely connected. The results show that the algorithm performs well for different types of networks.

## 5.6 Findings and Future Research Prospects

In this chapter, we have used heuristics and a technique based on the Topological Decomposition of the network to develop a high speed clustering algorithm. The low asymptotic time complexity of the algorithm opens new horizons to the domain of network analysis and clustering. As shown by the results, the proposed algorithm performs as well as other existing algorithms in terms of accuracy but largely outperforms them in terms of asymptotic time complexity.

From this study, there are many questions that need to be further explored in detail and presents new and challenging research opportunities. For example the K-Sink operation as an important utility to reduce the complexity of scale free networks and clustering them based on this operation only. The  $\text{Max}_d$ -DIS as an important decomposition of small world networks for clustering. We intend to perform extensive study using the presented topological decomposition and expect to find new and interesting results. Moreover the

choice of density function at the moment is trivial and can vary from one data set to the other. Another approach to cluster networks which requires more exploration is the fact that the density function can be replaced by some other criteria, depending upon the inherent network topology, the motifs found in those networks. We also intend to perform a user evaluation by domain experts to further validate the performance and quality of the proposed clustering algorithm.



# Chapter 6

## Co-Occurrence Networks from the Web: Clustering and Visualization

### 6.1 Introduction

Another domain where the presence of complex networks is commonly observed is the web [1]. Web pages are a common resource of information containing textual information. Usually a set of key words can be extracted from these web pages to represent the contents of the web page. Using these key words, a co-occurrence network can be built where nodes represent key words and edges represent a link between two key words if they appear together on the same page. Other examples of co-occurrence networks can be when one considers a novel and construct a co-occurrence network of characters if they appear in the same paragraph or on the same page [59]. Looking at the properties of these co-occurrence networks, they follow small world and scale free behavior and present an interesting example of complex networks for study and experimentation as web pages are widely used to collect information.

Recall from Chapter 1, visualization is an important method to analyze these networks. In Chapter 3 we used layout algorithms to show a number of real world complex networks but the drawings produced were highly entangled and cluttered. One important result that we have extracted from the analysis using DIS is that in the absence of high degree nodes, these networks break into smaller connected components making it easier to visualize. We used this motivation to simplify co-occurrence networks produced using key words from web pages to produce readable drawings so that we can easily understand and analyze web documents. The research domain concerned with the extraction of knowledge from documents is called Information Analysis (IA) or more precisely Content Analysis (CA). This is an active area of research where the goal is to explore and analyze contents of a set of documents in order to discover patterns and hidden knowledge [156]. Weare provides a good overview of the challenges presented to the CA research community by the World Wide Web [172]. Document Content Visualization Systems can be used as a tool for CA where the goal is to represent textual contents of a set of documents in a visual form so as to facilitate the process of mining and discovering patterns in a collection of documents [124, 71]. We use the co-occurrence networks of key words extracted from web pages to perform CA. The exponential increase in the information available on the web requires efficient organization and visualization systems to facilitate faster access to

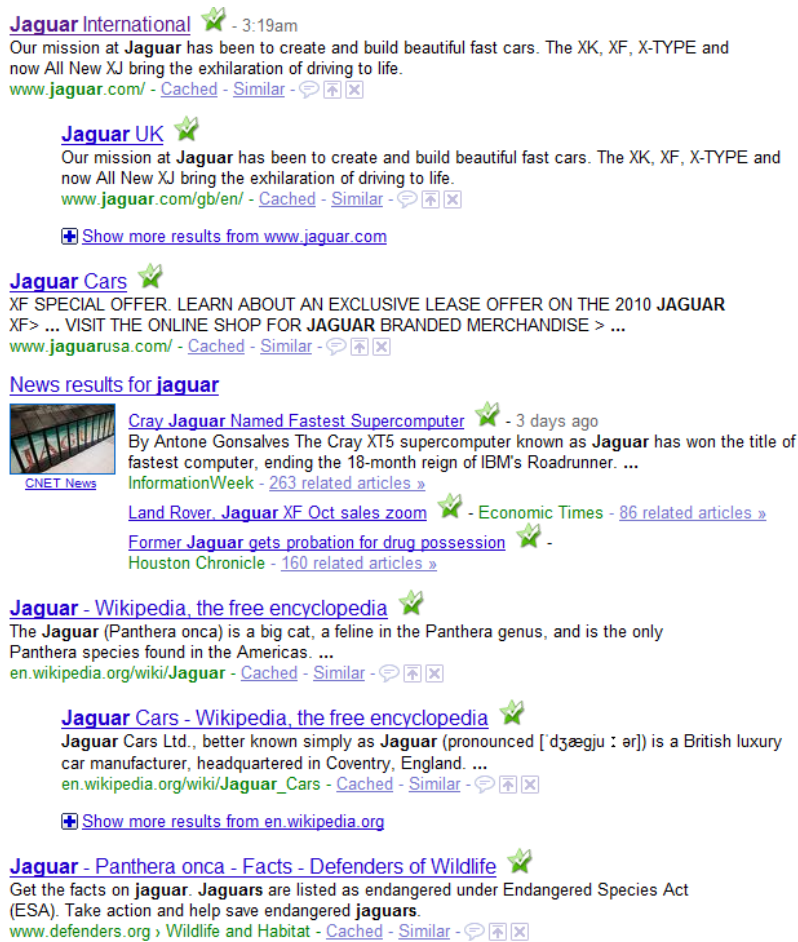


Figure 41: Screen shot of the top seven Search Results returned by Google for the searched term *Jaguar*.

information [96] and thus presents us an opportunity to test our methods of simplification of complex networks.

Two typical applications of CA in the domain of web are web searching and web browsing. Web searching refers to the task where a user inputs key words in a search engine to find related web pages. Web browsing, in the current context, refers to the task where given a web page, a user needs to explore links present in the web page to gather more information.

In terms of web search, Search engines such as Google, Yahoo and Msn tend to return long lists of search results with titles, small images and short paragraphs. Users have to open each and every web page to assess its utility and relevance to the searched topic which can become tedious and unproductive [105]. In terms of browsing a web page having external links, sometimes it is imperative for the users to browse each and every external link if further information is required. This task is not only time consuming but makes it difficult for users to relate contents of web pages to each other. Moreover apart from going over a single web page, most of the time, users tend to collect a set of web pages rather than a single web page to obtain information [177].

Let us consider an example of searching for the word *Jaguar* using Google Search

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article | discussion | edit this page | history

Try Beta | Log in / create account

## CAC 40

From Wikipedia, the free encyclopedia  
(Redirected from CAC40)

The **CAC 40** (French: *CAC quarante* [kaˈk kaʁɑ̃t]) is a benchmark French stock market index. The index represents a capitalization-weighted measure of the 40 most significant values among the 100 highest market caps on the Paris Bourse (now Euronext Paris). It is one of the main national indices of the pan-European stock exchange group Euronext alongside Brussels' BEL20, Lisbon's PSI-20 and Amsterdam's AEX.

<b>CAC 40</b>
Foundation 1987
Operator Euronext
Exchanges Euronext Paris
Constituents 40

**Contents** [hide]

1 History

2 Rules

**See also** [edit]

- List of French companies
- CAC Next 20
- CAC Mid 100

Major France stock market indices [hide]

CAC 40 • CAC Next 20 • CAC Mid 100 • CAC Small 90 • SBF 250 • SBF 120

**References** [edit]

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**External links** [edit]

- Official Euronext page for CAC 40 Index
- FCHI: Summary for CAC 40 INDEX - Yahoo! Finance
- Thomson Reuters page for FCHI
- Bloomberg page for CAC.IND

Figure 42: Wikipedia web page for CAC 40 showing a number of links to web pages in sections ‘See Also’, ‘References’ and ‘External Links’.

Engine. Looking at the top seven results returned by Google (see Figure 41), these results are distributed heterogeneously in the list, i.e. pages 1, 2, 3 and 6 are about the car manufacturing company called Jaguar, Pages 5 and 7 are about the animal also called *Jaguar* and Page 4 is about a super computer called *Jaguar*. If we look further in the list, we will find pages related to a software solution provider, a musical group, a guitar manufacturing company all having the name *Jaguar*.

As an example of browsing, let us consider browsing the web page ‘CAC 40’ on the Wikipedia encyclopedia. CAC 40 is a benchmark for French stock market index which represents a capitalization-weighted measure of the 40 most significant values among the 100 highest market caps on Euronext Paris<sup>1</sup>. There are many links on this page in sections ‘See Also’, ‘References’ and ‘External Links’ as shown in Figure 42. Users searching for more details would use these links to jump to other web pages and look for further information. Usually they will go through these web pages one at a time to find more information which is not only time consuming but makes it difficult to relate what they have already found as information and what else they require.

Ideally we would like to group the collection of pages together based on their content so that users can immediately realize the multiple themes related to the searched topic as shown in Fig. 43. This would also give the user an idea about the sub-topics that revolve around the major topic. To represent the contents of these groups, displaying noun phrases and keywords would allow users to glance contents of search results without reading or scanning individual web pages and thus reduce their effort in locating relevant information [177]. Once they have located the pages of interest, a more detailed analysis can be conducted by visiting the relevant pages.

Moreover the users would like to see how these sub-topics are related to each other. Words appearing in more than one document can play a role of bridges between these groups thus creating a link between words from two different groups. Nodes organized into circles in Figure 43 correspond to keywords extracted from a set of documents. Clearly, the figure shows that documents roughly organize into several sub topics such as Jaguar Cars and Jaguar Animal. In other words, Figure 43 shows the *clusters* of web pages [68, 9]. Additionally, a few nodes have been isolated and bridge these subgroups, further indicating topics that link the different subgroups. The bridging nodes thus sit out of the

<sup>1</sup> [http://en.wikipedia.org/wiki/CAC\\_40](http://en.wikipedia.org/wiki/CAC_40)



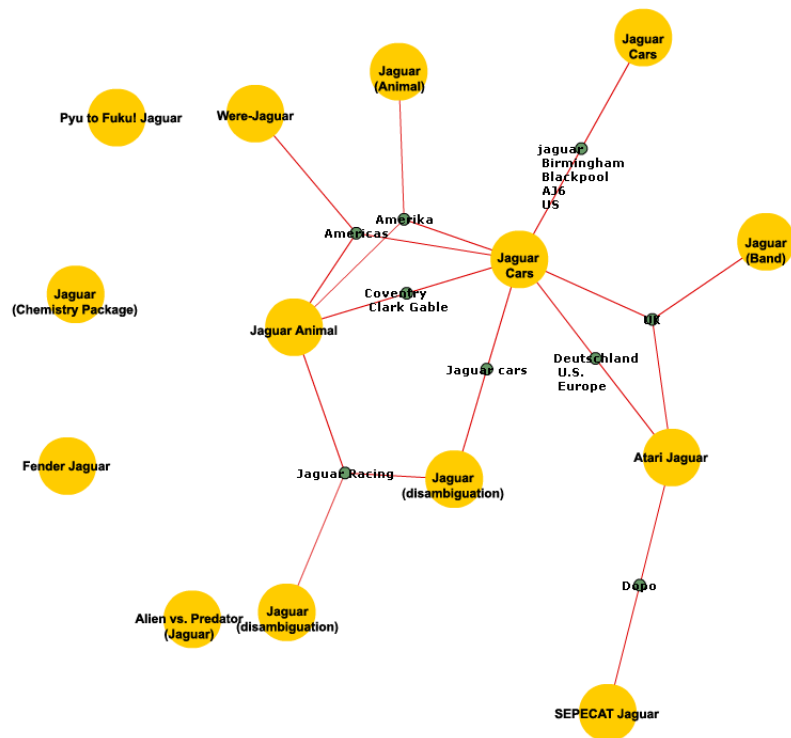


Figure 43: Visualizing Clusters and Bridges of the entire *jaguar* network. Distinct clusters (yellow nodes) clearly separate according to the different meanings of this keyword across web pages.

community structure (the structural hole as Burt names it [33]) and act as broker between communities. This provides an additional benefit to understand the underlying topics and their relationships of the entire collection of web pages, either returned as search results or collected as a result of browsing links existing on a single web page.

The automated text processing systems that help in the process of analysis suffer from two major drawbacks. One, the textual data is highly redundant due to synonymy (different words having same meaning e.g. singer, vocalist) and two: the data is highly ambiguous due to homonymy (same word having different meaning e.g. bank can be a financial institution or a river bank) [71]. Thus a visual representation of the contents can quicken the process of focusing the search process, help in analysis and discovery of knowledge and develop a better understanding of the set of documents.

Our starting point is thus the network of links between words extracted from web pages. As we shall see in the coming sections (see Figure 45), the co-occurrence network we obtain after the extraction process is actually quite complex and its structure forbids to use it as a graphical representation as such. The problem we address in this chapter is to reveal hidden community structures in complex networks. We use the term ‘hidden’ because the visual layout of the co-occurrence networks conceals the presence of these communities as shown in Figure 45. Our approach also allows us to identify the bridges within these networks. The uncovered structure can then be used to build a graphical representation of the whole network to help visualize and interactively explore these relationships.

We know that due to the presence of high degree nodes in co-occurrence networks (see Figure 44 and Figure 45), it is difficult to visualize networks and the communities present within the network structure [6]. As we saw from the analysis of various complex networks in Chapter 3, this is largely due to the fact that a few nodes have a very high degree and they create links between the underlying community structure hiding the communities. Figure 45 shows the co-occurrence network obtained by browsing pages on the Wikipedia encyclopedia starting from the CAC 40 page. Again, we have used a force

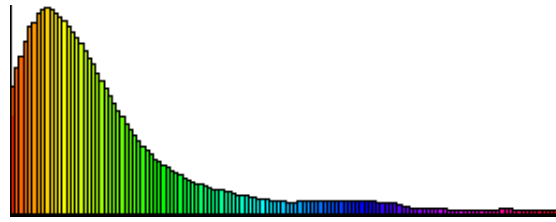


Figure 44: Plot of the node degree distribution : degrees appears on the x-axis and the frequencies associated on the y-axis.

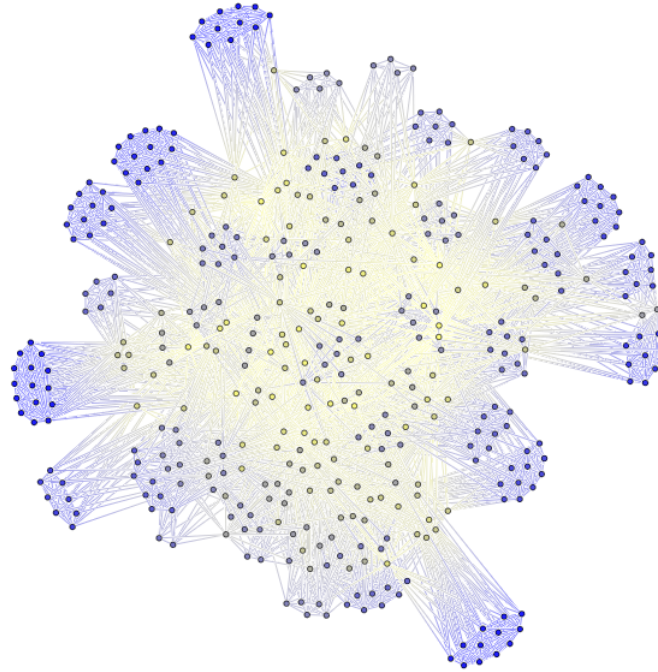


Figure 45: Co-occurrence Network of Words: Pages Browsed from CAC 40 Wikipedia web page

directed algorithm  $FM^3$  which puts the nodes that are densely connected to each other closer hence making it easier to locate the community structures. But from Figure 45, it is quite evident that in the presence of very high degree nodes it is very hard to identify different communities visually.

The proposed system addresses two main problems in the analysis of complex networks. First is revealing the community structures hidden in the network through simplification of the graph and clustering. As an example we consider the co-occurrence graph of words extracted from a set of web pages. The second is the identification of words that are interesting from a user perspective to study the relationship between clusters but have a low frequency in the entire document corpus. These words can help us to uncover hidden information and discover relationships that are not apparent in the original network or difficult to locate. For example a company linking web pages from CAC Next 20 and CAC 40 might be a good candidate of a company that has interests in equities from CAC Next 20 and CAC 40. This company name should be represented separately as a bridge that the user can find easily without having to go through the two web pages and deduce this relationship. We use an extension to the Micro/Macro graph layout algorithms [18] and propose a dedicated layout to visualize the final network which helps us to develop an overall picture of the distribution of the contents of the collected set of web pages.

The rest of the chapter is organized as follows: In Section 6.2 we present the related

work. We describe the data set used as an example in section 6.3 and the proposed system in section 6.4. Section 6.5 discusses the results that we obtained by the application of our framework on the sample data sets. Section 6.6 contains the conclusion and future research prospects, advancements and improvements possible to the current system.

## 6.2 Related Work

Document Content Visualization has been studied in details by various researchers and different visualization systems have been proposed such as [71] [61]. Most of these systems are useful to identify the key words in a document collection. They use the classical techniques to calculate the relationships between documents like the tf-idf score [146] which makes it difficult to focus on low frequency words that appear in only a few documents.

Different visualization systems for web search results can broadly be grouped into two categories: List Based Systems and Graphical Visualization systems. The list based systems keep the traditional ordered list visualization adding visual aids such as bolding words in the paragraphs [97] or clustering web pages and presenting a tree view [184, 177] along with the list. Graphical systems represent search results in a graphical environment where the visualization can either be 2D [135] or 3D [24]. The effectiveness of both list based systems and graphical systems has been investigated by different comparative studies but no formal proof exists and thus remains an open area of research [11]. In this paper we propose a Graphical Visualization System and give a brief account of the research done in visualizing search results as Graphical Visualization Systems.

WebSearchViz [135] is a graphical system that uses the metaphor of the solar system where the user query is placed at the center and the relevant pages placed around it as a function of the similarity to the user query. It uses a vector-based similarity measure to compute the degree of relevance but does not take into account the small world-scale free behavior of the keywords.

Kartoo <sup>2</sup> is a cartographic, visual meta-search engine. Kartoo labels the links between nodes in an attempt to give an idea about the kind of relationship between two connected nodes (sites), but these labels are frequently confusing and incorrect [26]. WebBrain <sup>3</sup> is another such utility on the web that helps users search and explore the web visually through a graphical representation. The search engine uses an egocentric [60] approach again placing the searched keyword at the center of the display area and the related web pages as a list on one side. The web pages are displayed at the bottom screen as we navigate through different searched keywords. The elements are not clustered which makes it difficult for the user to have an idea about the topics revolving around the key words searched.

LightHouse [105] is an information retrieval system that integrated both the list based and graphical based visualization to represent the clusters. The visualization uses spheres to represent web pages and two spheres overlap if they are semantically very close to each other. Although this is useful in case of a few web pages, but if many overlaps occur, it becomes difficult to visualize the web pages. And also, the user cannot see how the web pages are related to each other whereas this is possible through our system. The readers are recommended to read [135] which provides a good overview of the different visualization systems for web search results.

Most of the research has been directed towards two objectives. One is, towards showing the connection between the user query and the resulting web pages [135] and two, effective organization and clustering of search results [24]. None of these systems perform content analysis as their primary task is to help users find web pages relevant to their query. Some of these systems perform clustering based on the content of the web pages [184] but none of these focus on showing the bridges that create links between these documents.

Clustering and Visualization of a network or graph having small world and scale free properties at the same time, to the best of our knowledge, has not attracted much

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<sup>2</sup> <http://www.kartoo.com/>

<sup>3</sup> <http://www.webbrain.com>

attention in the clustering domain. We referred to the work of Boutin et al. [27] in the previous chapter where they proposed a clustering algorithm for small world and scale free networks. They also present a method to visualize these networks based on user-focus. This technique extracts a tree-like graph so that the resulting structure can be drawn using any force directed algorithm leaving the final drawing easily readable. One of the drawbacks of this system is that the user has to choose an initial entry point to filter the graph. The system we propose requires no such information. Moreover since edges are removed to simplify the structure of the network, important information can be lost. We preserve the original network without removing any edges or nodes thus no information loss occurs and the user is free to navigate in the entire network all the time.

Methods have been proposed to cluster and visualize scale free networks based on filtering [136, 92] of nodes or edges but some loss of information occurs at the same time. For example, we earlier discussed in Chapter 3, the  $k$ -core decomposition which is a recursive pruning method to simplify large scale free networks for visualization. It progressively allows the detection of central nodes in the network but the grouping is solely based on the topology and does not reflect the similarity of the nodes. [136] proposed a method based on the Minimum Spanning tree(MST) , where the goal is to construct a MST of the network thus reducing the network from a graph to a tree. This essentially requires deletion of edges and loss of information does occur.

Two other algorithms that were also referred in the previous chapter to cluster and visualize small world networks are [9, 162]. These systems perform well if the topology of the network follows small world properties but fail to perform in the presence of scale free properties. This is due to the fact that in a scale free network, a few nodes dominate the entire networks connections and makes it difficult to visually identify the communities.

## 6.3 Collection and Preprocessing of Data

We consider two examples from the searching use case and one for the browsing use case. We use the Jaguar, Hepburn and CAC 40 data sets described in Chapter 2. In all the data sets, we choose the top 50 pages. This choice is influenced by the study [85] which shows that users will try a new search after browsing at most 30 web pages in case of searching a web page on the Internet. Thus we did not require an extensive collection of web pages. The data sets can be represented by 3 tuples. First for the Words (words), second for the documents (document title, hyper link) and the third representing relationship between the documents and the words, Relationship(Document title, words).

From a single data set, two different graphs can be constructed. A graph of Web page-Word and a Word-Word graph. In a Web page-Word graph, the nodes represent the web pages and the words where an edge between a web page and a word represents that the word appears in that web page. This graph by construction forms a bi-partite graph where there are no edges between words and similarly there are no edges between the web pages. We use this graph to find the words that appear in many web pages as the degree of a word represents the number of web pages it appears in.

The other graph is the word-word graph which we eventually use for visual analysis of the network. The nodes represent the words and an edge between two words represent that they appear together in at least one web page. An important observation about this graph is that the words that appear in a single web page would be connected to each other thus forming a clique. Looking carefully at Fig. 45, the set of nodes that form a group and are densely connected to each other most probably belong to the same web page.

## 6.4 Framework of Proposed System

The inspiration to the proposed system comes from the analysis performed in Chapter 3 where we discovered that in the absence of high degree nodes, it is easier to visualize these networks. In other words, if we can somehow remove the long tail like structure and reduce

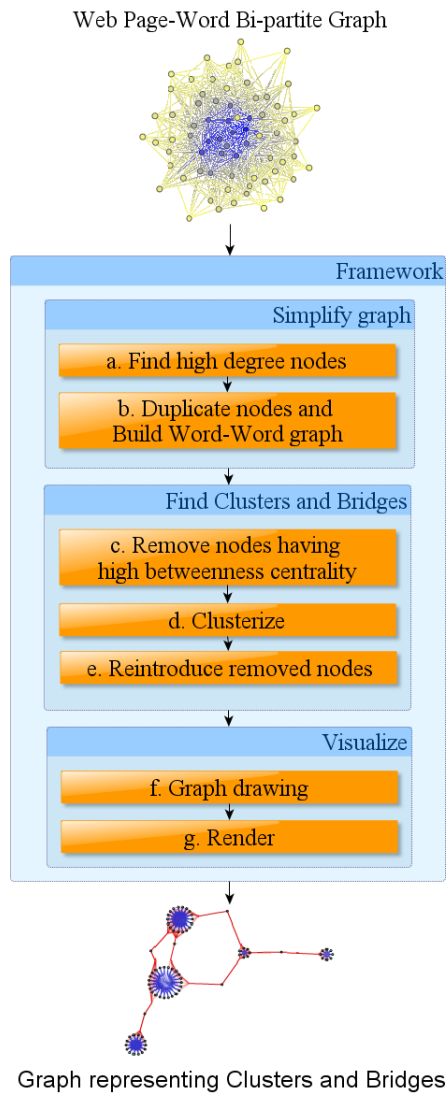


Figure 46: Framework of the proposed system. There are three basic steps, simplification of the network through node duplication, removal of bridges and identification of clusters, visualization of clusters and bridges.

a complex network to a small world graph without scale free property, we can successfully visualize the network. To remove these high degree nodes, we duplicate nodes with a very high degree thus leaving us with only a small world network. In our case, duplicating the high degree nodes means that the words that are present in a majority of documents are duplicated such that they are assigned a new identity in each and every document they appear. Thus they are treated as words that appear only in a single document. This is done in order to reduce the inter-cluster edges which in turn, results in a more readable visualization of the network. Thus revealing the community structure visually.

Another way to handle the high degree nodes would have been to simply delete these nodes from the network, but duplication is a better option as it preserves knowledge and no filtering takes place. Other works in handling Scale Free graphs have proposed different filtering methods but one of the important characteristics of the proposed framework is that we preserve all knowledge in the original network.

Next, we use the Betweenness Centrality introduced in [62] (see also [30] for implementation) to identify the nodes that lie between communities of words representing the small world structure. These are the words that are present in a few documents only and play the role of bridges between web pages, i.e. they link different web pages. After identifying these words, we remove them temporarily further simplifying the entire network to reveal disconnected components in the network. These connected components are grouped together as clusters.

Once the clusters are found, the words that were initially duplicated might find themselves in the same cluster. We remove the duplicated nodes within clusters so as to keep a single copy of the duplicated nodes. Then we reintroduce the nodes having high betweenness centrality that were removed temporarily and we identify them as Bridges.

Finally the network of clusters and Bridges is drawn using a graph drawing algorithm. We associate a different color to identify the nodes that are duplicated in the network so as to show users the nodes that are present in other clusters as well. Thus we have nodes that have two different colors (see Fig. 53(a)), representing nodes that appear only once or are duplicated. A simple interaction by clicking a duplicated node is introduced to trace the presence of a duplicated node in the entire network by associating a third color (see Fig. 53(b)). The following sections discuss our framework in detail.

#### 6.4.1 Using Scale Free structure to find cut off point and duplicate nodes

In order to find the words to be duplicated, we use the bi-partite graph of words and documents as described in section 6.3. Once we have the Web page-Word graph, we need to identify the words that are present in many documents. The degree of the nodes in this graph represents the number of documents a word appears in. Fig. 48 shows the frequency distribution of the words and the web pages. The x-axis represents the number of documents and the y-axis represents the frequency of words. For example the point ‘a’ in the Figure 48 means that there are just a little over 30 words that appear in exactly three web pages.

Since the idea is to duplicate words that appear in many documents, we need to find the proper definition of what ‘many documents’ mean for this network. Looking at Figure 48 we calculate the slope of every two consecutive points. At point b the slope becomes equal to zero. This gives us a heuristic which suggests that as the slope becomes zero or close to zero (values of -1 or -2) this point can be considered as the cutoff point. In the given example, it turns out to be 6, meaning that all the words that appear in 6 or more documents must be duplicated. Although this heuristic provides a good starting point for the system, the user is free to manually choose a value for the degree a part from which the nodes would be duplicated. Lower the value chosen, higher would be the number of words being duplicated and the eventual word-word graph would become more disconnected.

An example of a word that might be duplicated is the word ‘France’ since CAC 40 is an index for French stock exchange, it is quite obvious to find this word in many documents.

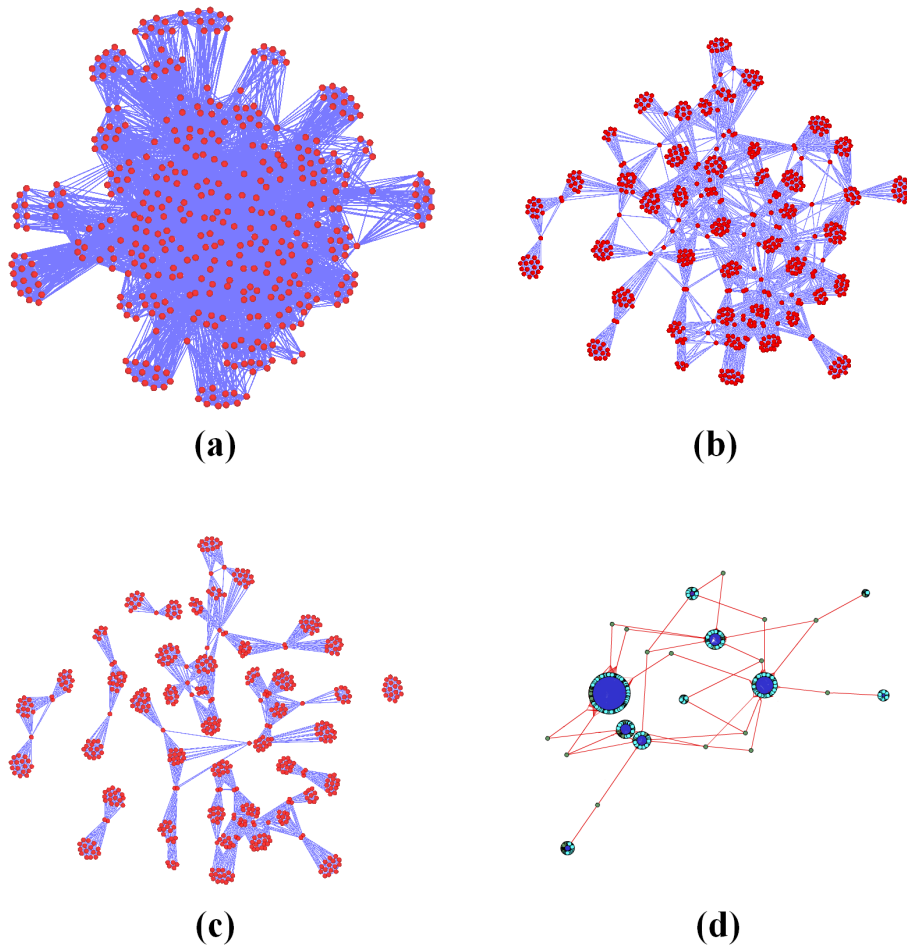


Figure 47: (a) Word-Word Graph constructed from browsing CAC 40 and related web pages (b) Graph after node duplication (c) Graph after removing bridges (d) Graph with Clusters and Bridges using proposed visualization.

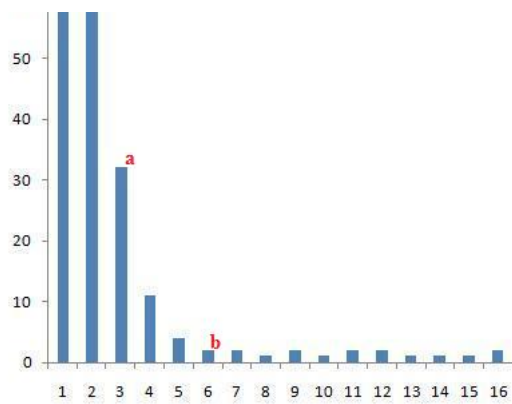


Figure 48: Histogram of degree distribution for the Cac40 data set.

This step introduces new nodes in the word-word graph but keeps the number of edges exactly the same. Thus the graph gets simplified as shown in Figure 47(a) and 47(b).

Note that in graph drawing terminology, this duplication is called node splitting as several copies of a node are created, but these copies do not carry all the edges with them, instead, a small subset of edges is connected to each copy of the node.

#### 6.4.2 Iterative removal of Nodes with high Betweenness Centrality

Once we have the word-word graph with duplicate nodes, we calculate the betweenness centrality of the nodes which is a metric proposed by Freeman [62]. It calculates the relative importance of nodes within a network by calculating the shortest paths between pairs of nodes. Nodes that occur on many shortest paths between other nodes have higher betweenness than those that do not. This metric is a good representation of the nodes that play the role of connecting different communities and thus helps us in identifying the bridges.

Girvan et al. [68] have used a clustering algorithm which is based on a modified form of this metric. They calculate edge betweenness based on the same principal where they find edges that are central to a network. Then they iteratively remove the most central edge in the network and recalculate the edge betweenness until no more edges are left.

Since our goal is to find the bridges we apply the same method on nodes to identify the bridges. We calculate the betweenness centrality of the nodes, remove the node with the highest betweenness centrality and repeat this process a certain number of times. Girvan repeated the process until there were no edges left as the goal was to produce a hierarchical clustering. We use a heuristic to determine the number of iterations which is based on the total number of documents used for extraction of words and the number of bridges we want to see between groups of documents. For the given example we choose 15 as it would give us a bridge for nearly every three documents.

$$\text{Number of Iterations} = \lceil \text{Number of Documents} / 3 \rceil \quad (3)$$

Where  $\lceil \rceil$  represents the ceiling function.

The user is free to choose any value depending on the requirements, higher the number of iterations and higher would be the number of bridges and smaller would be the size of clusters. Figure 47(c) represents the graph after the removal of nodes with high betweenness centrality.

#### 6.4.3 Finding Communities through Clustering

Removal of high betweenness nodes results in disconnected components in the graph as shown in Figure 47(c). We then group the connected components as clusters. Once the clusters are found, each and every cluster is scanned for nodes that were duplicated and found themselves in the same cluster. We remove this node duplication within a cluster and keep a single instance of a duplicated node within a cluster.

#### 6.4.4 Reintroducing Nodes with High Betweenness Centrality and Identification of Bridges

The next step after clustering of the nodes is to re-introduce the nodes that were earlier removed due to high betweenness centrality. These nodes are considered to be the Bridges as they are responsible for connecting different clusters. Keeping in view that the words that were present in many web pages were duplicated and thus their degree was reduced, the nodes having high centrality in this final network are words that appear in a few web pages only. These words are important from the user perspective as they link web pages and might play an important role to understand the relationship between web pages.



As a result of the proposed method, We obtain a bipartite graph  $G(B, C, E)$  where  $B$  is a set of bridges,  $C$  is a set of clusters and  $E$  is a set of edges connecting nodes from set  $B$  and  $C$ . An edge exists between  $b \in B$  and  $c \in C$  if  $b$  appears on the same web page as at least one of the nodes (keywords) present in the cluster  $c$ .

### 6.4.5 Visualization of Clusters and Bridges

Now that we have a set of nodes representing clusters and bridges as separate entities, a visualization system is required such that it helps the user analyze, understand and navigate through this graph. It is important to have a clear picture with clusters laid out such that bridges between clusters are well placed to give the user an idea of how the clusters are related to each other. Node and edge overlapping also needs to be taken into account as it is one of the fundamental criteria to produce readable drawings.

Foremost, we try to position the nodes of graph  $G(B, C, E)$  in such a way that similar nodes are placed closely to each other, while dissimilar nodes are more separated geometrically. By using the lengths of shortest paths in the network, the proximity in a graph-theoretical sense serves as a proxy for topical similarity. In the graph drawing literature, this approach is often called ‘organic’; positions are computed by a simulation of physical forces or numerically minimizing an objective function [29].

In some preliminary experiments with different types of layout approaches and libraries, we found that existing implementations and traditional general-purpose layout algorithms are only of limited usefulness when particular aspects of the data are to be emphasized. Therefore, we adapt existing layout algorithms to produce a more dedicated layout method which explicitly takes into account the particular structure of our networks. It is more specific to the analytic perspective in our context and thus facilitates interpretation of *clusters* and *bridges*. Recall that the size of *clusters* is much bigger than that of *bridges* which needs to be accounted for when existing layout algorithms are used. The details of the dedicated layout algorithm can be found in [145] and remains out of scope of this document.

#### 6.4.5.1 Navigation and Interaction

Visualization of clusters and bridges help users to build an overall picture of the search results. For a profound understanding and exploration of the returned results we propose different interactions to the user. Mouse rollover effect over a cluster displays the list of all the keywords present in the cluster as the tool tip (see Figure 49). This helps the user to instantly identify what the cluster is about and take a decision about further exploring it. Moreover, the labels of the bridges are displayed which are useful to understand the relationships between clusters. Clicking on a cluster expands a cluster showing all the keywords in the cluster as nodes and clicking on it again, collapses the cluster (see Figure 53). A Right click on a cluster displays the links to the web pages that are grouped in the cluster where the user can open any particular web page as shown in Figure 50.

Apart from these interactions with the cluster, we can also interact with individual nodes within a cluster. Moving the mouse on a node, the label is displayed as the tool tip. We have used two colors to distinguish the split nodes (keywords) shown in Light Green Color as compared to the other shown in Dark Green. Upon clicking a split concept, all the instances of this keyword change their color to pink (see Figure53) and increase the size so as to locate the high degree nodes that were split in the node splitting step described earlier.

Two different colors are associated to the nodes within a cluster. (Light green and dark green as shown in Figure 53.) The light green color represents the nodes that are duplicated throughout the network. An important interaction is clicking on a duplicated node, which highlights all of its instances in the entire network as shown in Figure 53(b) using a different color (Pink) and size. This is to help locate the duplicated instances of a node in the network.

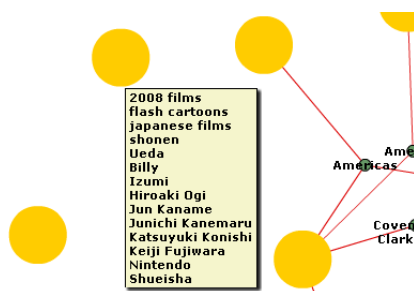


Figure 49: A tool tip allows to easily browse keywords of a cluster and figure out its intrinsic semantics.

## 6.5 Case Studies

### 6.5.1 Searching Example: *Jaguar*

As a first example, we searched the word *jaguar*. As discussed in the section 6.1, this word represents completely different subjects like the Jaguar Cars, the animal etc.

Typically, semantic ambiguity of a word leads a search engine to return pages that are not semantically related, listing them in no particular order with respect to the possible meanings of the word *jaguar*. This is also present in the co-occurrence network, where keywords found in pages about Jaguar cars will be connected to keywords present in pages about the animal. The node splitting step identifies *jaguar* as a high degree node and disconnects keywords belonging to web pages related to cars or to the animal. This justifies our approach as nodes that have a high degree of connections need not to be grouped together in a single cluster as they are usually generic terms appearing with high frequency but not necessarily useful in terms of grouping related information together.

As a consequence, the visualization clearly positions different groups (cars, animals, video games, etc.) as distinct visual entities as shown in Figure 43. Distinct clusters are placed apart and already indicate that the search results organize into groups of pages addressing different topics. Using the tool tip and browsing keywords contained in a cluster, users can quickly identify the underlying trends of the associated web pages. Figure 49 illustrates this, showing keywords associated with pages dealing with a Japanese gag Manga named *Pyu to Fuku! Jaguar*.

Right-clicking on a cluster shows the URL of web pages associated with keywords. As Figure 50 shows, in some cases the URLs already provide information about the underlying topic of a cluster. In our example, all pages obviously relate to different models of Jaguar cars.

### 6.5.2 Searching Example: *Hepburn*

The second example represents a type of social network. We searched the word *Hepburn* which is a famous family name in Scotland. It is also quite frequent in some other areas of Europe, and we expect to find a social network of people belonging to that family.

Figure 51 show the entire network obtained after applying the proposed visualization. This example again shows the effectiveness of the proposed method as the node splitting and bridge removal does not disconnect the clusters that are semantically related to each other. As shown in Figure 52, we focus on four clusters that are connected to each other. These clusters are pages related to two actresses Audrey Hepburn and Katherine Hepburn. They are not completely disconnected from the other clusters since they have the cinema as a common field. Let's take the example of the bridge *Tiffany's* which is extracted from a web page about a film called *Breakfast at Tiffany's* (1961) thus linking Audrey and Katherine with the cinema industry. Similarly, Audrey Hepburn appeared in a T.V

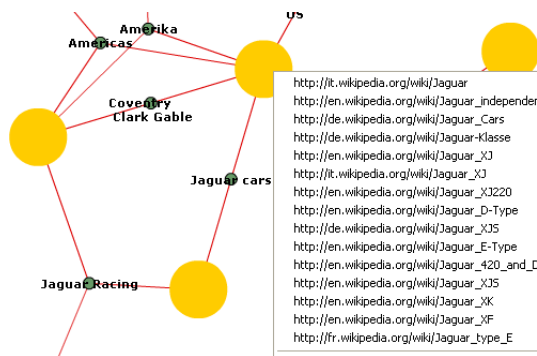


Figure 50: Right-clicking on a cluster reveals URL's of all web pages associated with keywords. In the example, URLs already indicate that the cluster gathers pages about Jaguar Cars.

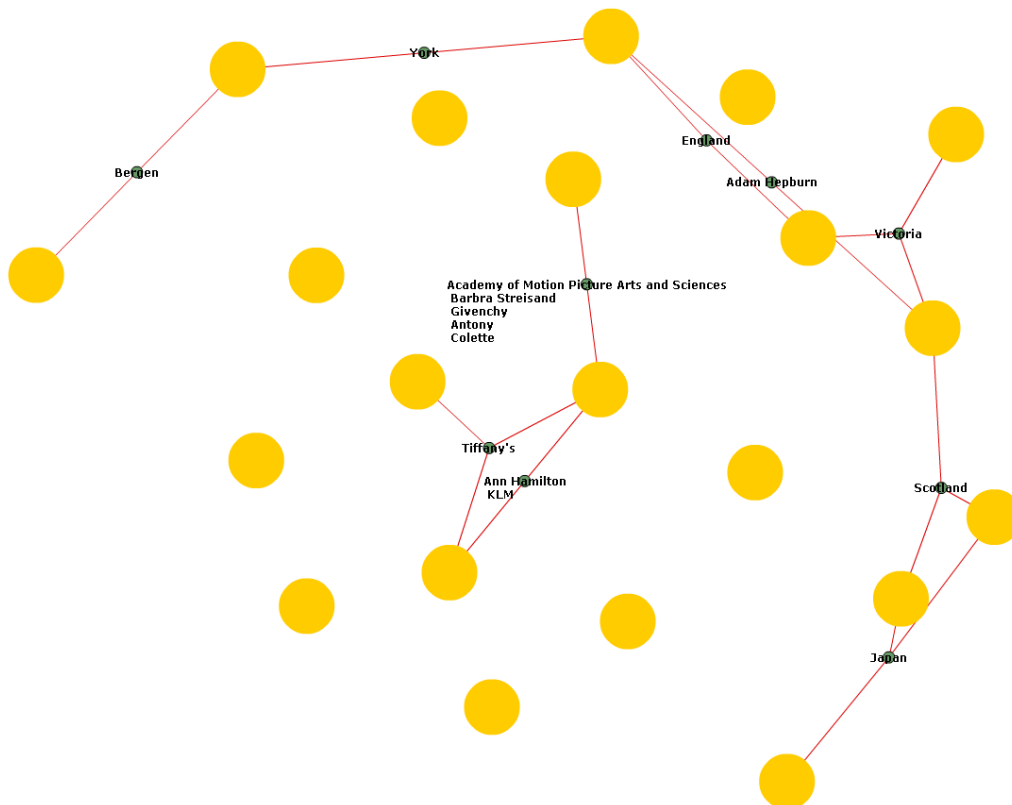


Figure 51: Visual Layout of Clusters and Bridges for the keyword *Hepburn* where a set of clusters are disconnected to other clusters.

commercial for the *KLM* airlines and Katherine played the role of *Ann Hamilton* in a film called *Undercurrent*. Thus the four clusters connected to each other are semantically related to each other. The clusters that appear apart from these clusters are pages related to politicians, writers belonging to the Hepburn family.

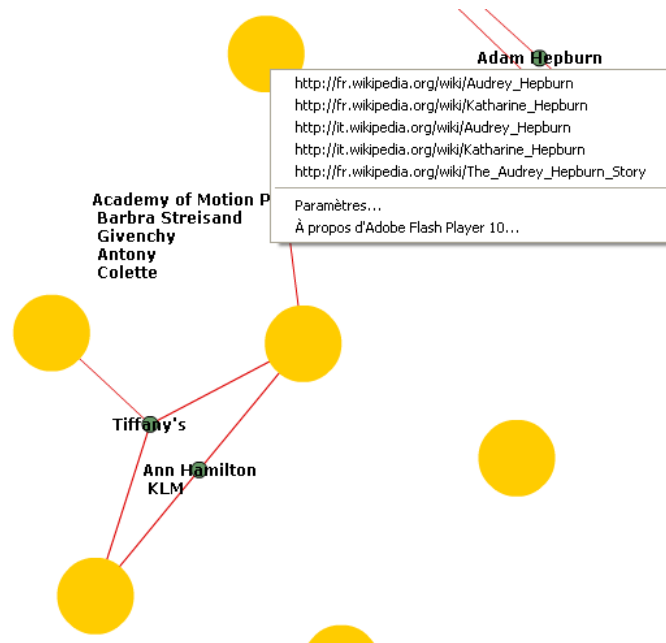


Figure 52: Focus on a connected set of clusters for the search keyword *Hepburn*.

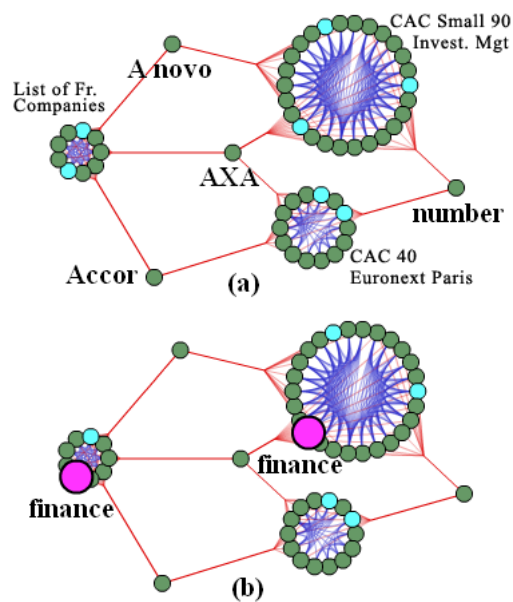


Figure 53: (a) A small part isolated from Figure 47(d) showing Titles of Web pages clustered together and Bridges (b) Duplicated nodes highlighted after selection

### 6.5.3 Browsing Example: *Cac40*

Figure 53 shows a small part isolated from Figure 47(d). Figure 53(a) shows the three clusters represented by circular structures having many small nodes and the bridges which are labeled ‘A novo’, ‘AXA’, ‘Accor’ and ‘number’. The first three represent French companies and the bridge ‘number’ is a noise. the clusters are associated with titles of the web pages, which are CAC Small 90, Investment Management in a cluster, CAC 40 and Euronext Paris in another cluster and the third cluster containing only the document List of French Companies. In Figure 53(b), the word Finance was selected in a cluster, which is a duplicated node and is present in two clusters.

CAC 40 and Euronext Paris represents the stock exchange of Paris, where CAC 40 is an index based on the 40 biggest equities of France. The web page CAC Small 90 is an index representing the 90 biggest equities after CAC 40, CAC Next 20 and the CAC Mid 100. The page Investment Management is about the companies that are interested in investment. The page List of French Companies contains a list of all the French companies.

Looking at the clustering, the first cluster, which contains the CAC 40 and the Euronext Paris, it is obvious that these two pages find themselves in the same cluster as they both represent the Paris Stock Exchange. ‘AXA’ and ‘A Novo’ are two companies where AXA is listed in the CAC 40 and A Novo is listed in CAC Small 90. Finding CAC Small 90 with Investment Management makes sense as AXA is a French company interested in investments and targets companies in the CAC Small 90 as a possible investment opportunity where A Novo is an example of a possible future investment. Similarly the relation between List of French companies and CAC 40 through Accor suggests that Accor is a French company listed in CAC 40.

All this analysis is a direct result of the visual representation of the network. Clusters group things that have similarity based on the content and Bridges are responsible for creating relationships between these clusters giving an overall understanding of the data set.

## 6.6 Findings and Further Research Prospects

In this chapter we have presented a system to visualize and explore complex networks revealing clusters and detecting bridges in a set of web pages. The system was tested with several examples and the in-house informal tests with different users indicate that the system was found to be very useful to develop and overall understanding of the collection of web pages. The identification of the subtopics revolving around the primary search topic was a direct result of the clustering. The identification of the words that play the role of bridges between these different subtopics was also found to be very useful.

The system was tested with small data sets as the web browsing on a single topic does not require to evaluate hundreds of web pages at the same time. Similarly the size of documents was not very huge as web pages usually have a very limited size as compared to books, newspapers etc. As part of the future work, we would like to test the system to visualize web search results as compared to browsing. We would also like to ameliorate the system to incorporate the exploration of complex networks of large sizes such as the co-authorship networks discussed earlier. We also plan to introduce more interactions to facilitate the user navigation like deleting nodes, dragging nodes from one cluster to the other etc.

## Chapter 7

# Evaluating the Quality of Clustering Algorithms

### 7.1 Introduction

Clustering plays a pivotal role in the organization of complex networks. An important aspect of clustering algorithms is to evaluate their performance, also known as cluster fitness measures. From the intuitive definition of clustering as, the best possible decomposition into Natural Groups, we would like to mathematically express the quality of clustering algorithms by structural indices. We focus our attention to the numerous real world networks discussed particularly in Chapter 3 where we studied the varying behavior of connectivity of nodes in certain regions. The idea is to study how the quality of clusters produced for these networks can be evaluated through concrete measures.

Generally, the quality indices give a quantitative evaluation of how good the clustering is and serves the purpose of choosing between alternative cluster algorithms and compare their performances for various data sets [147]. Another useful contribution of these metrics can be eventual identification of clusters [130].

There are different approaches to evaluate cluster quality and can be classified as *external*, *relative* or *internal*. The term *external* validity criteria is used when the results of the clustering algorithm can be compared with some pre-specified clustering structures [77] or in the presence of ground truth [140]. *Relative* validity criteria measure the quality of clustering results by comparing them with the results of other clustering algorithms [111]. *Internal* validity criteria involve the development of functions that compute the cohesiveness of a clustering by using density, cut size, distances of entities within each cluster, or the distance between the clusters themselves etc [119, 134, 78]. We will discuss these measures in detail, shortly.

For most real world networks, an external validity criteria is simply not available. In the case of relative validity criteria, as Jain[88] argues, there is no clustering technique that is universally applicable in uncovering the variety of structures present in multidimensional data sets. Thus we do not have an algorithm that can generate a bench mark clustering for data sets with varying properties. For these reasons we focus our attention only on internal quality metrics. Further more, we restrict the discussion to quality metrics for partitional or flat clustering algorithms that are non-overlapping.

We look again at the definition of a cluster given by Wasserman and Faust [169] earlier introduced in Chapter 5. This time, our perspective is to associate measures to evaluate the quality of clustering.

A cluster can be defined as a group of elements having the following properties:

- > Density: Group members have many contacts to each other. In terms of graph theory, it is considered to be the ratio of the number of edges present in a group of nodes to the total number of edges possible in that group.
- > Separation: Group members have more contacts inside the group than outside.

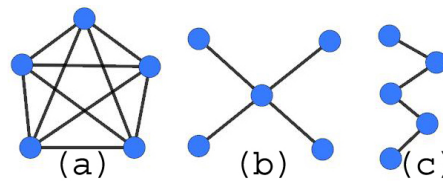


Figure 54: (a) Represents a *clique* (b) presents a *star-like* structure and (c) is a set of nodes connected to each other in a *chain-like* structure.

- > **Mutuality:** Group members choose neighbors to be included in the group. In a graph-theoretical sense, this means that they are adjacent.
- > **Compactness:** Group members are ‘well reachable’ from each other, though not necessarily adjacent. Graph-theoretically, elements of the same cluster have short distances.

The Density of a cluster can be measured by the equation  $d = e_{actual}/e_{total}$  where  $e_{actual}$  represents the actual number of edges present in the cluster and  $e_{total}$  represents the total number of possible edges in the cluster. Density values lie between  $[0,1]$  where a value of 1 suggests that every node is connected to every other node forming a clique.

The Separation can be calculated by the number of edges incident to a cluster, i.e the number of edges external to the clusters. This is often referred to as the cut size and can be normalized by the total number of incident edges possible to the cluster. Low values represent that the cluster is well separated from other clusters where high values suggest that the cluster is well connected to other clusters.

Mutuality and Compactness of a cluster can easily be evaluated using a single quantitative measure: the average path length described in Chapter 1. The path length refers to the minimum number of edges connecting node A to node B. The average path length represents how far apart any two nodes lie to each other and is calculated by taking the average for all pairs of nodes. This value can be calculated for a cluster giving us the average path length of a particular cluster. Low values indicate that the nodes of a cluster lie in close proximity and high values indicate that the cluster is sparse and its nodes lie distant to each other.

A common definition of clustering for networks is given as decomposition of nodes with high intra-cluster density and inter-cluster sparsity. Most of the evaluation metrics consider *density* as a fundamental ingredient to calculate the quality of a cluster and capture the notion of intra-cluster density. Obviously cut size can be used to measure the inter-cluster sparsity.

From the definition of cluster given above, density is an important factor and a number of metrics have been proposed to evaluate cluster quality based on the notion of density. We believe that density should not be the only factor considered while evaluating the quality of clustering. Having a densely connected set of nodes might be a good reflection of nodes being adjacent to each other or lying at short distances but the inverse conjecture might not necessarily be true as illustrated in Figure 54. Consider the set of five nodes in Figure 54(a,b,c) being identified as clusters by some clustering algorithm. The density of graph in Figure 54(a) is 1 and that of (b) and (c) is 0.4. Intuitively (b) is more cohesive than (c). Moreover the average path length of (b) is lower than that of (c) suggesting that the elements of cluster (b) are closer to each other. From this example, we can deduce that, if we consider density as the only criteria, then for such an evaluation metric, (b) and (c) will be assigned a similar value which is not consistent with Mutuality and Compactness.

Another important class of evaluation metric uses connectivity of clusters to capture the notion of Separation. The simplest way to measure this is the *cut size* which is defined as the minimum number of edges required to be removed so as to isolate a cluster. Consider the graphs in Figure 55(a,b,c) with enclosed nodes representing clusters. Calculating the cut size for all these clusters will give the same cut size, which is 1 in these examples, as

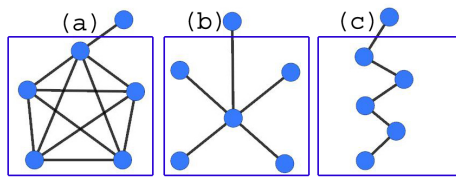


Figure 55: Represents three graphs with enclosed nodes being the clusters. All the clusters have the same *cut size* which is equal to 1. Based on the *cut size* alone the quality of the clustering cannot be judged.

each cluster is connected to the rest of the graph through exactly one edge. The example suggests that cut-size alone is not a good representation of the quality of clustering as all the clusters in Figure 55 have the same cut-size.

More sophisticated measures combining *density* and *cut size* have been investigated with the most important example being *relative density* [116]. Even combining these two metrics, the clusters in Figure 55(b) and (c) will be assigned an equal score, failing to incorporate Mutuality and Compactness of a cluster. Calculating the density and the cut size of these two clusters will result in the exact same value. We present other cluster evaluation techniques in Section 7.2.

If we consider Density, Mutuality and Compactness together to evaluate the quality of clusters present in Figure 55, the highest measure should be associated to cluster (a) as it is the cluster with the highest Density, Mutuality and Compactness. Then cluster (b) where it has high Mutuality and Compactness but low density and finally cluster (c) which is the least Dense, Mutual and Compact cluster of the three clusters present in Figure 55. We show that the existing cluster evaluation metrics do not evaluate the quality of clusters in this order. We discuss the details in Section 7.4.

Until now, we have argued that ignoring Mutuality and Compactness of a cluster to evaluate its quality can give inconsistent results. A simple question can be raised about the importance of these two criterion especially for real world data sets. To answer this question, we turn our focus towards some real world data sets. Consider the **Air-Transport Network** which was discussed in detail using the DIS in Chapter 3. In this particular case, we took the city of Hong Kong as an example by taking some airports directly connected to it as shown in Figure 56. On one side, we can see some of the world's biggest cities having direct flights to Hong Kong where on the other hand, we have lots of regional airports also directly connected to Hong Kong. If we consider a cluster by putting Hong Kong with the regional airports, the resulting cluster will have very low density and high cut size which are undesirable features for a cluster. In the other case, where we consider Hong Kong as part of the cluster with the biggest cities in the world, the cluster with Hong Kong will have a high cut size. Moreover, the regional airports could not be clustered together as they will no longer remain connected to each other. We will end up with lots of singleton clusters which again will reduce the overall quality of any clustering algorithm.

Another example of these star-like structures comes from **Opte network**. Considering two hubs from this data set and taking all the nodes lying at distance five from these hubs, we obtain a structure as shown in Figure 57. The two hubs dominate the number of connections in these networks presenting the *star-like* behavior in real world data sets.

In Chapter 3, we identified these *star-like* structures as a common structure present in most real world data sets along with *triads* and *cliques*. Social networks are good examples of networks having cliques. Recall that we considered two co-authorship networks (Geometry and Dblp2008 networks) as examples where cliques are present.

Metrics based on density and cut size prove to be adequate for networks having densely connected nodes or cliques. Results have shown that different clustering algorithms perform well for these networks [68, 126, 9]. On the other hand, in case where lots of star-like structures exist (see Figure 56 and 57), an evaluation based on density and cut size fails to perform well as shown in the examples discussed previously. To resolve this problem, we



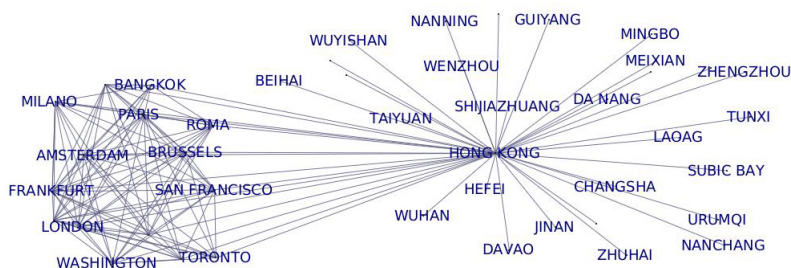


Figure 56: AirTransport network drawn using Hong Kong at the center and some airports directly connected to Hong Kong. We can see the worlds most important cities having a direct flight to Hong Kong whereas there are lots of regional airports connected to Hong Kong representing a *star-like* structure as discussed previously in Figure 54(b) and 55(b).

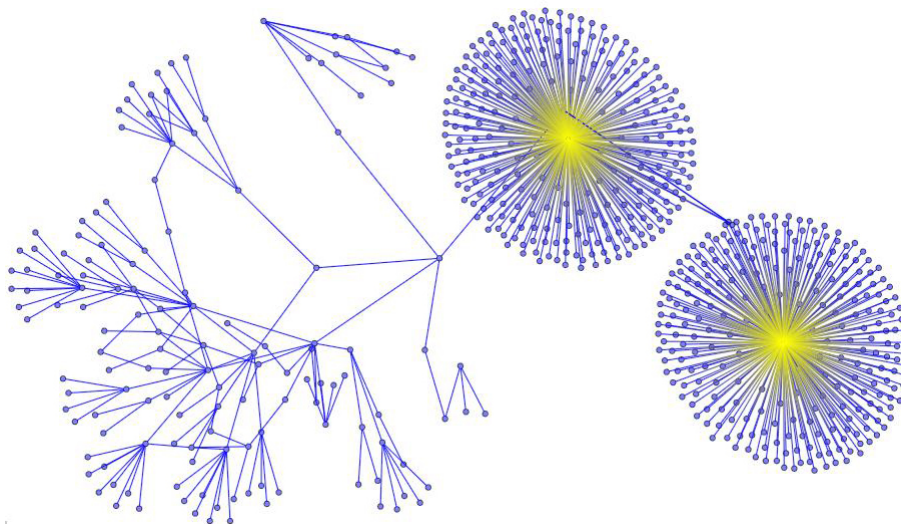


Figure 57: Internet Tomography Network representing routing paths from a test host to other networks. Two nodes clearly dominate the number of connections as they play the role of hubs to connect several clients. Another example of *star-like* structures in the real world.

propose a new cluster evaluation metric which takes into account the underlying network structure by considering the average path lengths to evaluate the cluster quality.

Apart from these cliques and star-like structures, other interesting topologies exist in different data sets but are highly dependent on the application domain. Examples include motifs in Chemical Compounds [42] or Metabolic Networks [103] where the goal is to search motifs in graphs and not to cluster them based on some similarity. We focus our attention only to generic data sets as opposed to evaluating clustering algorithms for specific data sets and particular patterns.

The design principle for the proposed metric is very simple and intuitive. Instead of considering *density* as the fundamental component to evaluate the quality of a clustering algorithm, we use the average path length to determine the closeness of the elements of a cluster. It is obvious that in case of a clique, the path length between the nodes is 1 which is the minimum possible value for two connected nodes. But the important aspect here is that a star-like structure will have a higher average path length as compared to a chain like structure thus providing a way to evaluate how close the nodes are of a cluster,

irrespective of the density of edges. We discuss the details of the proposed metric further in Section 7.3.

The rest of the chapter is organized as follows. In the following section, we provide a brief overview of some widely used metrics to evaluate cluster quality. In Section 7.3 we present the proposed metric and we discuss our findings by performing a comparative study of the different evaluation metrics in Section 7.4. Finally in Section 7.5 we present our conclusions and future research directions in light of the newly proposed metric.

## 7.2 Cluster Quality Metrics

In this section, we review a number of cluster quality metrics designed for networks and used commonly by researchers.

Coverage [28] measures the weight of intra-cluster edges, compared to the weight of all edges. With respect to the definition we are using, coverage measures only the density within the clusters and therefore does not take into account if an individual cluster is sparse or the number of inter-cluster edges is large.

Conductance [95] measures the degree of connectivity between two clusters as opposed to Coverage and focuses on inter-cluster density. Ideally, two clusters should not be connected at all or have a minimum cut size. The standard minimum cut is not well suited as it neglects the size of each cluster, Conductance tries to overcome this problem by ensuring that clusters under consideration have roughly the same size and at the same time, minimum number of edges are required to isolate them from each other. The drawback of this metric is quite obvious, it does not take into account how dense a cluster is, and thus fails to differentiate between dense and sparsely connected clusters.

Performance [28] is a metric that combines the two metrics, Coverage and Conductance. The idea is to count the number of edges within all clusters to measure the intra-cluster density and count the number of non-existent edges between clusters to measure the inter-cluster density.

Another metric based on similar principles is the MQ used by Auber *et al.* [9] to effectively evaluate the quality of clustering for small world graphs. The metric was initially proposed by Mitchell *et al.* [117] as a partition cost function in the field of software reverse engineering. It comprises of two factors where the first term contributes to the positive weight represented by the mean value of edge density inside each cluster. The second term contributes as a negative weight and represents the mean value of edge density between the clusters. Mathematically, given a clustering  $\mathcal{C} = \{\mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_p\}$ , MQ is defined as:

$$MQ = \frac{1}{p} \sum_{i=1}^p (\mathcal{C}_i, \mathcal{C}_i) - \frac{1}{p(p-1)/2} \sum_{i=1, j=1}^p (\mathcal{C}_i, \mathcal{C}_j) : (i \neq j) \quad (4)$$

The main draw back of Performance and MQ metric is the handling of very sparse graphs. Clusterings with good Performance and MQ evaluation tend to have many small clusters. This is largely due to the fact that most real world networks have low node-edge density [113] and these metrics try to evaluate the quality as compared to cliques or highly dense connected components. So if a clustering algorithm groups triads as clusters, the evaluation quality of such a clustering algorithm would be very high, irrespective of the size of clusters. If a set of 100 nodes is grouped together as a cluster, for real world networks it is highly unlikely that this set will be a clique, as a result, these two metrics will assign a quality based on its density. If there are many triads in this set of nodes, separating them would result in better quality of the clustering algorithm and thus would result in many small size clusters.

An alternative to these approaches is the metric Modularity(Q) [126]. The metric Q measures the fraction of the edges in the network that connect within-community edges

minus the expected value of the same quantity in a network with the same community divisions but random connections between the vertices. If the number of within-community edges is no better than random, we will get  $Q = 0$ . Values approaching  $Q = 1$ , which is the maximum, indicate strong community structure.

Mathematically, for a specific division of a network into  $\mathcal{C}$  clusters, a symmetric matrix  $c$  can be defined as  $\mathcal{C} \times \mathcal{C}$  whose element  $c_{ij}$  is the fraction of all edges in the network that link nodes in community  $i$  to nodes in community  $j$ . For this clustering, the Modularity( $Q$ ) can be defined as:

$$Q = Tr\ c - \|c^2\| \quad (5)$$

The term  $Tr\ c$  refers to the trace of the matrix  $c$  which gives the fraction of edges in the network that connect vertices in the same community. The term  $\|c^2\|$  is the squared sum of the elements of matrix  $c$ .

The major drawback of  $Q$  metric is its inability to distinguish between the star-like structures and chain-like structures as both clusters with these different structures are assigned the same evaluation.

Another metric that tries to evaluate cluster quality based on density of the network is the Relative Density [116] (RD). It calculates the ratio of the edge density inside a cluster to the sum of the edge densities inside and outside that cluster. The final Relative Density is the averaged sum of the these individual relative densities for all clusters.

Mathematically, the Relative Density (RD) can be calculated by:

$$RD = \frac{deg_{int}(C_i)}{deg_{int}(C_i) + deg_{ext}(C_i)} \quad (6)$$

The term  $deg_{int}(C_i)$  refers to the internal degree of cluster  $C_i$  defined as the number of edges connecting nodes in cluster  $C_i$  and the term  $deg_{ext}(C_i)$  refers to the external degree of cluster  $C_i$  defined as the number of edges connecting nodes from cluster  $C_i$  to nodes of other clusters.

For our experimentation and comparison, we use the MQ,  $Q$  and RD metrics only. The other metrics like coverage [28], conductance [95], performance [28] are based on similar principles to evaluate the quality of clusterings so we do not include them in this study.

### 7.3 Proposed Metric For Cluster Evaluation: Cluster Path Lengths

As we discussed earlier, the design principle which makes our metric novel, is the fact that we consider the path length of elements of a cluster. Our inspiration comes from the MQ metric [9] and thus is quite similar in the formulation. Just as the MQ metric, the proposed metric is composed of two components, the positive component ( $M^+(G)$ ) which assigns a positive score to a cluster and a negative component ( $M^-(G)$ ) which attributes a negative score to edges between clusters. The positive score is assigned on the basis of the density, compactness and mutuality of the cluster whereas the negative score is assigned on the basis of the separation of the cluster from other clusters. The final quality of a cluster is simply the sum of the two components given by the equation:

$$M(G) = M^+(G) - M^-(G) \quad (7)$$

In the above equation, the two components are weighted equally. An option can be to assign different weights to the two components, for example a higher weight to the

positive component, for the sake of simplicity, we have not experimented with different weights. We discuss the details of how the positive and the negative components are calculated below.

### 7.3.1 Positive Component:

The goal is to assign a quantitative value to a cluster based on its density, compactness and mutuality. Looking at the different clusters in Figure 55, if we calculate the average path length of the nodes within the cluster, the least value would be assigned to cluster (a), then cluster (b) and finally (c). This is quite intuitive as we reduce the average distance between nodes of a cluster, the density tends to increase. Lets call the average path length of each cluster Cluster Path Length. The best possible average path length for any cluster can be 1 in the case when every node is connected to every other node forming a clique.

The upper bound for the average path can be calculated with respect to number of nodes in the cluster and grows linearly as the number of nodes grow in the cluster. In case of disconnected set of nodes in the same cluster, there are two possibilities. If the application does not require the nodes within a cluster to be connected, in this case, the average path length of each connected component can be calculated separately and average afterwards. In case where disconnected nodes within clusters are undesirable, a very large value such as the maximum number of nodes in the network can be assigned as the average path length. This gives a very poor evaluation to this cluster as we expect nodes of a cluster to have at least a path to each other. Another option is to assign the  $CPL_i$  of this cluster as 0 directly without calculating the average path length.

We calculate the average cluster path length and take its inverse given by the following equation:

$$CPL_i = \frac{1}{AvgPathLen_i} \quad (8)$$

Where  $CPL_i$  represents the cluster path length of cluster  $i$  and  $AvgPathLen_i$  represents the average path length of the nodes in cluster  $i$ . Higher this value is for a cluster, better is the quality of the cluster where the values lie in the range of  $[0,1]$ . The overall cluster path lengths for the entire network are then averaged for all clusters where  $k$  is the total number of clusters, giving us the value for the positive component to evaluate the quality of the clustering:

$$M^+(G) = CPL_{1...k} = \frac{1}{k} \sum_{i=1}^k CPL_i \quad (9)$$

### 7.3.2 Negative Component:

The next step is to assign a negative score to penalize the inter-cluster edges. The value of  $M^-$  evaluates the separation of the two clusters. This score is calculated for each pair of clusters and is based on the number of edges that link two clusters  $i$  and  $j$  compared to the total number of edges possible between these two clusters. Let  $n_i$  and  $n_j$  be the number of nodes contained in clusters  $i$  and  $j$  respectively. Therefore, the edge penalty for the edges present between these two clusters would be given by the equation:

$$EdgePenalty_{(i,j)} = \frac{e_{ij}}{n_i * n_j} \quad (10)$$

Where  $e_{ij}$  is the number of edges present between clusters  $i$  and  $j$ . The overall Edge Penalty ( $M^-(G)$ ) is the average calculated for all pair of clusters given by the equation:

$$M^-(G) = \frac{2}{k * (k - 1)} \sum_{i=1, j=1}^k EdgePenalty_{(i,j)} \quad where(i \neq j) \quad (11)$$

The negative score sums all edge penalties over all pairs of clusters and then normalizes the value by  $k(k - 1)/2$  to produce an overall penalty in the range  $[0,1]$ . This value is linearly proportional to the number of edges present between clusters where low values correspond to few broken edges and a better clustering quality. Note that the negative component is exactly equal to the one introduced in the MQ metric.

To summarize the proposed metric, we use the cluster path lengths to assign a positive score to evaluate the quality of clustering subtracted by a negative score which is based on the inter-cluster density. The values lie in the range of  $[-1,1]$  and are normalized between  $[0,1]$ . Low values indicate poor clustering and high values indicate better clustering. We refer to the metric as *CPL* for Cluster Path Lengths (although we subtract the Edge penalties from the CPLs calculated).

The time complexity to calculate the metric is dominated by the calculation of positive component. The calculation of average path length can be achieved by Dijkstra’s algorithm[46] which calculates the shortest path from a node to all other nodes in  $O(n^2)$  for a graph with  $n$  nodes. The repeated application can be used to calculate the shortest path between all pair of nodes giving an overall time complexity of  $O(n^3)$ . Since the metric is applied on clusters, the number of nodes in each cluster is a portion of the total nodes. As a result, the calculation of the metric runs faster than its worst case time complexity. One drawback of using this metric is that it cannot be used as a criteria to obtain clusters for large size graphs due to its high time complexity.

of calculating the average path length o

## 7.4 Experimentation

For evaluating different cluster quality metrics, we use two different experiments. The first, where we generate artificial data sets and the second where we use real world data sets.

### 7.4.1 Artificial and Clustered Data Set

For the artificial data set, we directly generate clusters to avoid biasing the experiment using any particular clustering algorithm. We generate three clustered graphs of size  $n$ . We generate a random number  $k$  between 1 and *Max* to determine the size of a cluster. For the first graph, we add  $k$  nodes such that each node is connected to the other forming a *clique* as shown in Figure 58(a). For the second graph,  $k$  nodes are added such that a *star-like* structure is formed and finally  $k$  nodes are added to the third graph forming a *chain-like* structure as shown in Figure 58(b) and Figure 58(c) respectively. The process is repeated until the maximum number of nodes in the graphs reach  $n$ . The clusters in each of these graphs are connected by randomly adding *RandE* edges. This number decides the number of inter-cluster edges that will be produced for each graph. The choice of selecting the variables  $n$ , *Max* and *RandE* are independent of the experiment and do not change the final evaluation. For our experiment, we used  $n = 200$ , *Max* = 20 and *RandE* = 40.

Two important inferences can be drawn from the experiment described above. The first, where we compare how the different evaluation metrics perform for evaluating the quality of clusters where each cluster is a clique with some inter-cluster edges. Looking at the high values for the all the evaluation metrics, we can justify that all the metrics are consistent in evaluating the quality of clusters including the newly proposed metric. As discussed previously, density based metrics perform well when the clusters are densely connected, and so does the proposed metric.

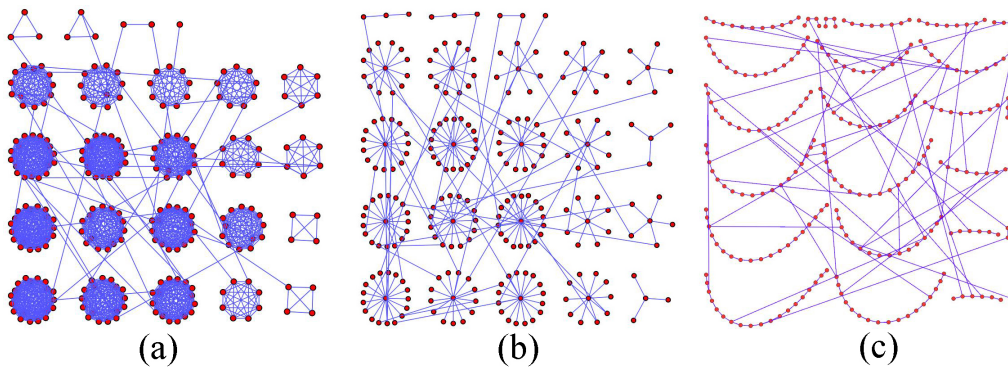


Figure 58: Artificial and Clustered Networks with predefined intra-cluster edges and random inter-cluster edges. (a) Clusters with cliques (b) Clusters with Star-like Structures (c) Clusters with Chain-like Structures.

Cluster Quality Metric	Cliques	Star-like	Chain-like
Cluster Path Length	0.998	0.611	0.374
MQ metric	0.975	0.281	0.281
Q metric	0.998	0.844	0.844
Relative Density	0.862	0.711	0.711

Table 9: Evaluating the quality of clustering using three topologically different and artificially generated clustered data sets.

The other important result can be derived by comparing the values assigned to the *star-like* clusters and *chain-like* clusters by different evaluation metrics. Clearly the other metrics fail to differentiate between how the edges are distributed among the clusters ignoring the Mutuality and Compactness of a cluster whereas CPL does well by assigning higher values to *star-like* clusters as compared to *chain-like* clusters. This justifies the use of cluster path length as a metric to evaluate the quality of clusters specially where dense clusters are not expected.

Note that for the three data sets, the number of inter-cluster edges is the same, and not proportional to the intra-cluster edges. All the metrics when penalizing the clusters due to inter-cluster edges, assign a low penalty in case of cliques as compared to star-like and chain-like structures. As a result, the evaluation for cliques results in very good scores.

### 7.4.2 Real World Data Sets and Clustering Algorithms

The second experiment uses real world data sets. We use four different data sets, the Opte Network, AirTransport, NetScience and Protein network. For the Opte Network, the entire data set contains 35836 nodes and 42387 edges. Since the Divisive Clustering algorithm has a high time complexity, we only consider a subset of the actual data set constructed by considering a hub and the nodes connected at distance 5 from it. The subset consists of 1049 nodes and 1319 edges only.

The AirTransport network is an interesting example for this study as it has some highly dense components as well as star-like structures. This is quite understandable because the worlds busiest airports like Paris, New York, Hong Kong, London etc have flights to many other destinations and small cities or regional airports have very restricted traffic as shown in Figure 56. The choice of Air Traffic, the Internet Tomography and the Protein network is purely based on the fact that these networks do not have necessarily

Data Set	Clustering Algorithm	Cluster Quality Metric			
		CPL	MQ	Q	Relative Density
NetScience	Divisive Clustering	0.672	0.531	0.772	0.630
	Bisecting K-Means	0.589	0.425	0.775	0.636
	Strength Clustering	0.846	0.832	0.264	0.232
AirTransport	Divisive Clustering	0.614	0.399	0.093	0.105
	Bisecting K-Means	0.499	0.238	0.012	0.122
	Strength Clustering	0.676	0.528	0.024	0.078
Opte	Divisive Clustering	0.498	0.324	0.790	0.697
	Bisecting K-Means	0.581	0.415	0.592	0.582
	Strength Clustering	0.666	0.503	0.356	0.554
Protein	Divisive Clustering	0.527	0.315	0.638	0.498
	Bisecting K-Means	0.595	0.410	0.336	0.316
	Strength Clustering	0.683	0.529	0.165	0.291

Table 10: Evaluating the quality of clustering real world data sets using the existing and the proposed cluster evaluation technique.

have dense connected components. Rather there are components that have chain-like structures and star-like structures. On the other hand we use the co-authorship network to show the efficiency of the clustering algorithms used as they perform well in detecting densely connected communities present in the network.

To cluster these data sets, we use two known clustering algorithms, the Bisecting K-Means algorithm [154] and the Divisive Clustering algorithm based on Edge Centrality [68]. The choice of these algorithms is based on the criteria that these algorithms do not try to optimize or influence the clustering algorithm based on the density or some other cluster quality metric as compared to other algorithms present in the literature such as [130]. We also use the Strength Clustering algorithm proposed by [9] which was initially introduced to cluster social networks. The algorithm has been shown to perform well for the identification of densely connected components as clusters.

The Bisecting K-Means algorithm and the Divisive Clustering algorithm based on Edge Centrality are both divisive algorithms, i.e. they start by considering the entire graph as a single cluster and repeatedly divide the cluster into two clusters. Both these algorithms can be used to create a hierarchy where the divisive process stops when each cluster has exactly one node left. Instead of generating the entire hierarchy, we stop the process as soon as the minimum number of nodes in the cluster reaches around 20 nodes. Moreover since we do not propose a method to evaluate the quality of a hierarchical clustering algorithm, we consider the leaves as a single partitional clustering. Note that the clustering algorithm might create singletons but while evaluating the quality of clusters we do not consider clusters having a single element. The results for evaluating the clusters obtained for the two data sets are given in Table 10.

The Strength clustering algorithm uses the strength metric for clustering. This metric quantifies the neighborhood’s cohesion of a given edge and thus identifies if an edge is an intra-community or an inter-community edge. Based on these strength values, nodes are judged to be part of the same cluster (see [9] for more details). The reason for using this clustering algorithm is to demonstrate that irrespective of the clustering algorithm, the CPL metric evaluates the quality of a clustering. Since the other two algorithms do not force the detection of strongly connected components, we use Strength clustering as a representative of clustering algorithms that try to detect densely connected nodes.

Analyzing the results presented in Table 10, first we look at the Co-authorship network. The high values of the Divisive algorithm for all the evaluation metric suggest that the algorithm does well to find the good clusters. Bisecting K-Means seem to perform quite well also for this data set although values for the CPL and MQ metric are comparatively lower than the divisive algorithm. Looking at the results of Strength Clustering using

CPL and MQ, the values are quite high indicating that the algorithm found high quality clusters but the low Q metric and Relative Density values create some doubt about the performance of the algorithm. This variation is due to the large number of clusters generated by Strength clustering (122) as compared to Divisive (23) and Bisecting K-Means (38) algorithm. While evaluating the quality using Q metric and Relative Density, this high number of clusters reduces its quality as it results in high number of inter-cluster edges.

In case of the Air Traffic network, the clusterings generated by the Bisecting K-Means and Divisive algorithms are relatively poorly judged as compared to the CPL and MQ metric. This is a clear indication that when considering the star-like structures as clusters which are present in abundance in the Air-Traffic network, the evaluation metrics judge the performance of the clustering algorithms to be poor. This is because there are not many densely connected airports in the network. High values of CPL indicate that even though, the clusters are not densely connected, they lie in close proximity and thus are judged to be good clusters. The overall node-edge density plays an important role as well since the entire network has a high node-edge density, Q metric and Relative Density expect highly dense clusters to be found and their absence results in low values for these metrics. As mentioned in the introduction, there are a few nodes that have a very high number of connections, airports such as Paris, London and New York, which increases the overall density of the network, but most of the airports have a very low number of connections. Thus many clusters found are representatives of regional or with-in country airports connecting all its cities, as shown in Fig 56. These results are a good justification of why the CPL is a good cluster evaluation metric as it does not rate the quality of such clusters poorly as compared to the other metrics.

Next, we look at the Internet Network. Almost all the evaluation metrics rate the quality of clustering highly for the three clustering algorithms except for the Strength clustering-Q metric value. Again, we refer to the overall node-edge density of this graph which is quite low. Due to this, Q metric and Relative Density do not expect highly dense clusters and thus even though there are lots of star-like clusters found in this network, their quality is rated as good.

Finally the analysis of the Protein network is quite close to that of the Airport network. The overall density is not that high, but still the node-edge ratio is 1:3. The network is a good mix of some highly dense clusters and some star-like and/or chain-like clusters. The strength algorithm again generates a very high number of clusters (169) as compared to Divisive (91) and Bisecting K-Means (117). The divisive algorithm has the lowest number of clusters and thus has relatively high Q metric and Relative Density values.

For all the different data sets and algorithms, the CPL metric assigns high values consistently. This is an indication that by definition and from previous experimental results on a wide variety of data sets, these algorithms perform well in grouping similar items together. The Q metric and the Relative density are heavily dependent on the overall node-edge density for the evaluation of a clustering. In case of high node-edge density, these metrics expect highly dense clusters and in case of low node-edge density, less dense clusters can be rated as high quality irrespective of the underlying cluster topology, where we have argued that Mutuality and Compactness should be taken into consideration. The CPL metric is consistent with algorithms and dense data sets where tightly connected clusters are expected as is the case with the co-authorship network and to some extent, the protein network.

From the above discussion, comparing different results of clustering algorithms with different data sets, we can clearly see that CPL has a clear advantage over the other metrics. As it does not rely on node-edge density, the quality is evaluated irrespective of density and based on the closeness of the elements of a cluster. CPL successfully reproduces high values for clusters that have cliques, which is consistent with the other metrics. It is also able to differentiate between star-like structures where nodes are closer to each other as compared to chain-like structures that are rated the worst using the CPL metric. This is not possible using the other metrics. It also performs well for data sets which have varying local density such as the AirTransport network, overcoming the drawbacks of Q and RD metric.



We would like to mention that the experimentation and the results described in this chapter compare different cluster evaluation techniques and should not be generalized to compare the different clustering algorithms used. This is because the number of clusters and their sizes vary from one clustering algorithm to the other. Specially, Bisecting K-Means and Divisive Clustering based on Edge Centrality can not be compared with the Strength clustering algorithm in terms of performance and quality of clusters generated as strength clustering generates many small size clusters as compared to the other two clustering algorithms.

## 7.5 Findings and Future Research Prospects

In this chapter we introduced a new metric called the CPL metric to evaluate the quality of clusters produced by clustering algorithms. We argued that Density and Cut Size based metrics play an important role in the evaluation of dense graphs but Mutuality and Compactness are also important for the evaluation of clusters in graphs that are not densely connected. The proposed metric takes into account the underlying network structure and considers the average path length as an important factor in evaluating the quality of a cluster. We evaluated the performance of some existing cluster evaluation techniques showing that the new metric actually performs better than the metrics used largely by the research community.

As part of future work, we intend to extend the metric to evaluate the quality of hierarchical clustering algorithms based on the principles introduced in this paper. A more extended study is needed to compare different clustering algorithms for data sets having varying network topologies to comprehend the behavior of different clustering algorithms which in turn can lead us towards a better understanding of how to judge these algorithms.

Another important result that can be derived from this study is about the importance of quality metrics. The most common problem when trying to cluster a data set is considered the choice of a ‘good clustering algorithm’, but equally important is how to decide what ‘good’ is? The choice of selecting a good quality metrics goes hand in hand with the selection of a clustering algorithms and we suggest that both these problems should be addressed together when looking for a clustering solution to a problem.

## Chapter 8

# Publications and Other Research Activities

Here is a list of articles that we were able to publish during the course of this these.

1. Zaidi, F.; Sallaberry, A.; Melançon, G. Revealing Hidden Community Structures and Identifying Bridges in Complex Networks: An Application to Analyzing Contents of Web Pages for Browsing WI-IAT '09. Proceedings of the 2009 IEEE/WIC/ACM International Conference on Web Intelligence and Intelligent Agent Technology, 2009, 198-205.
2. Sallaberry, A.; Zaidi, F.; Pich, C.; Melançon, G. Interactive Visualization and Navigation of Web Search Results Revealing Community Structures and Bridges. Proceedings of Graphics Interface, 2010, 105-112.
3. Zaidi, F.; Melançon, G. Identifying the Presence of Communities in Complex Networks Through Topological Decomposition and Component Densities EGC 2010, Extraction et Gestion de Connaissance, 163-174, 2010, E-19, RNTI.
4. Zaidi, F.; Archambault, D.; Melançon, G. Evaluating the Quality of Clustering Algorithms Using Cluster Path Lengths. Advances in Data Mining: Applications and Theoretical Aspects, 10th Industrial Conference, ICDM, 2010, 42-56.

Apart from the research presented in this thesis, I had the opportunity to work on some other problems related to complex networks. I have not included them in this thesis, but I would like to mention them briefly with short abstracts.

### **Interactive Searching and Visualization of Patterns in Attributed Graphs:**

An important process on complex networks is searching for patterns and visualizing the search results. This is an active area of research with numerous application, notably in biological and technological networks. Traditionally, these networks are stored in relational databases where querying these databases often results in multiple solutions. Text-based systems present search results as a list, and going over all solutions can be tedious. This research tries to present an interactive visualization system that helps users find patterns in graphs and visualize them. The interactive system allows the user to draw a source pattern and label it with attributes. Based on these attributes and connectivity constraints, simplified subgraphs are generated, containing all the possible solutions. The system is quite generic and capable of searching patterns and approximate solutions in a variety of networks. For more details, readers can refer to the following publications:

1. Simonetto P.; Koenig, P.-Y.; Zaidi, F.; Archambault D.; Gilbert F.; Quang T. T. P.; Mathiaut M.; Lambert A.; Dubois J.; Sicre R.; Brulin M.; Vieux R.; Melançon G. Solving the Traffic and Flitter Challenges with Tulip, in: IEEE Symposium on Visual Analytics Science and Technology (VAST 2009), 2009, 247-248.
2. Koenig, P.; Zaidi, F.; Archambault, D. Interactive Searching and Visualization of Patterns in Attributed Graphs. Proceedings of Graphics Interface, 2010, 113-120.

### **Communities and Hierarchical Structures in Dynamic Social Networks:**

Another interesting aspect of these complex networks is their dynamic behavior. Social networks also exhibit dynamic nature and detection and visualization of communities changing over time is a challenging problem. Often these communities change as a function of events taking place in the society and the role people play in it. We addressed all these issues in this research and proposed a system to study the dynamic behavior of communities in the networks. The system is based on dynamic graph discretization and clustering and allows the detection of major structural changes taking places in social communities over time. It also reveals hierarchies by identifying influential people in a social network. For more details, readers can refer to the following publications:

1. Bourqui, R.; Zaidi, F.; Gilbert, F.; Sharan, U.; Simonetto, P. VAST 2008 Challenge: Social network dynamics using cell phone call patterns, IEEE Symposium on Visual Analytics Science and Technology (VAST 2008), 2008.
2. Bourqui, R.; Gilbert, F.; Simonetto, P.; Zaidi, F.; Sharan, U.; Jourdan, F. Detecting Structural Changes and Command Hierarchies in Dynamic Social Networks, International Conference on Advances in Social Network Analysis and Mining, IEEE Computer Society, 2009, 83-88.
3. Gilbert, F.; Simonetto, P.; Zaidi, F.; Jourdan, F.; Bourqui, R.; Communities and Hierarchical Structures in Dynamic Social Networks: Analysis and Visualization. To appear in Journal of Social Network Analysis and Mining, 2010.

### **Analysis of Ports in Multi-level Maritime Networks:**

We also studied the network of ports and the shipping movements taking place in the Atlantic ocean. Maritime transport handles about 90% of world trade volumes, but it has not attracted as much attention as other transport systems from a network perspective. As a result, the relative situation and the evolution of maritime within networks seaports are not well understood. This research studies the hub-and-spoke strategies of ports and ocean carriers which has modified the structure of a maritime networks over the past decade. We apply network metrics and methods of clustering on liner movements on data sets made available to us from the year 1996 and 2006. The methodology underlines the ports which are increasing their carrier's position by circulation patterns on various scales. More details can be found in the publication listed below:

1. Ducruet, C.; Rozenblat, C.; Zaidi, F. Ports in multi-level maritime networks: evidence from the Atlantic, Journal of Transport Geography, 2010, 18, 508-518.

### **Organization of Information using Hierarchical Fuzzy Clustering:**

Usually information on the web is organized using hierarchical and fuzzy clustering as a single web page can belong to multiple categories. Common algorithms used for this purpose require some parameters such as the number of clusters and initial centroids. Values are not easy to choose for these parameters as some insight or information is required to give an initial estimation, or different values need to be tried before finding the correct parameter values. Using the  $\text{Min}_d$ -DIS decomposition on co-occurrence networks of keywords, we try to solve this problem by proposing an algorithm that identifies the topics present in a document collection. We compare the results of the proposed algorithm with existing algorithms in the literature requiring parameters and our results show that the algorithm performs as well in terms of cluster quality, without requiring any input to estimate the initial parameters. More details can be found in the following article:

1. Zaidi, F. and Melançon, G. Organization of Information for the Web using Hierarchical Fuzzy Clustering Algorithm based on Co-Occurrence Networks, WI-IAT '10: Proceedings of the 2010 IEEE/WIC/ACM International Conference on Web Intelligence and Intelligent Agent Technology, 2010, 421-424.

# Chapter 9

## Conclusions and Perspectives

We performed a detailed study of complex networks in this thesis. In our point of view, the research activities in this field can be grouped into four different categories, Analysis, Structure, Processes-Organization and Visualization. We addressed several issues throughout the thesis in all these categories. We can summarize our contributions as follows:

In Chapter 3, we introduced a visual analytics method to analyze complex networks. The method uses a decomposition of networks based on node degree. The decomposed graph is then visualized to find interesting observations and results. We also extend the method to develop a metric that can be used to measure the presence of densely connected vertices in networks. The low time complexity to calculate the metric makes it practical for most real world large size networks.

As part of future work, we discussed the prospects of developing more local as well as group level metrics based on the proposed decomposition. Examples include quantifying the presence of star-like structures or the presence of cliques. The method can also be used to find interesting connectivity patterns in networks, search for paths between nodes and

In Chapter 4, we study several models proposed to generate small world and scale free networks. These models generate random networks without clear community structures. We present a new model which generates networks with these two properties along with the presence of community structures. The model aims to provide artificial data sets that are more closer to real world networks.

The model focuses on social networks, but it can easily be extended to produce other types of networks such as technological and biological networks. This remains an open area of research and further testing and implementation is required to verify the ability of the model to produce networks for other domains.

Chapter 5 presents a new algorithm for clustering complex networks motivated by the ideas introduced in Chapter 3. The algorithm is highly efficient in terms of time complexity making it applicable on large size complex networks. Results show that the quality of clustering produced is comparable to other clustering algorithms with high time complexity. We have tested the quality of clustering using external quality metrics and the results show that the algorithm performs as good as other clustering algorithms.

A more detailed and in-depth analysis is required by domain experts to verify the results of the proposed clustering algorithm. We would also like to study the behavior of the algorithm for weighted and directed graphs and test its sustainability to this change.

In Chapter 6, we propose yet another clustering algorithm, this time our focus is towards the visualization of complex networks. Inspired by the visualizations produced in Chapter 3, we introduce a method to reduce the visual complexity of these networks. Further processing and clustering allows us to produce visual layouts that are much more readable and easier to analyze these complex networks.

We verified the method by using co-occurrence networks from the web but we would like to extend the study to networks from other domains. We would also like to test the robustness of the method with larger size networks and see how the execution time

varies as large size networks are processed and laid out using the proposed method. An important aspect of the algorithm is the use of betweenness centrality to identify bridges, which is asymptotically quite slow. We would like to test other centrality metrics that are efficient in terms of time complexity to improve the overall time efficiency of the algorithm.

Finally, in Chapter 7, we study the problem of evaluating the quality of clustering algorithms. We argue that the existing methods are heavily biased by the node-edge density to evaluate the quality of clusters. For networks having low density, these metrics are inappropriate and incorrect. We propose a new metric which overcomes the drawbacks of existing methods to evaluate the quality of clustering algorithms. We introduced the idea of closeness between the elements of a cluster and used it to evaluate the quality of a cluster.

As part of future work, instead of using average path length to calculate the closeness of vertices in a cluster which is slow in terms of time complexity, we would like to experiment with other faster metrics that try to capture the same notion. We would also like to extend the work to use the same idea and build an evaluation metric for hierarchical clustering algorithms. Another addition to the existing work is to incorporate the evaluation of weighted networks and their clustering.

In our opinion, the methods and algorithms presented in these chapters are an attempt to contribute towards the growth of this fast emerging science of networks. Since physical systems from various domains can be modeled as networks, there is a huge opportunity to apply these results in a number of different fields. We hope to extend these studies and collaborate with research colleagues from other domains to further ascertain the results we obtained.

# Chapter 9

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