

# Affine cluster algebras

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- **Introduction** : S. Fomin et A. Zelevinsky (*Cluster Algebras I : Foundations*, J. Amer. Math. Soc. 2001)
- **Motivation** : Framework for a *combinatorial* study of
  - total positivity in algebraic groups,
  - canonical bases in quantum groups.
- **Connections** :
  - Combinatorics,
  - Lie Theory,
  - Poisson Geometry,
  - Representation theory...

- **Problem** : *Find and compute* bases in cluster algebras.
- **Canonical Bases**:
  - Sherman-Zelevinsky ( $\mathbb{A}_2, \tilde{\mathbb{A}}_{1,1}$ ),
  - Cerulli Irelli ( $\tilde{\mathbb{A}}_{2,1}$ ).
- **Bases** :
  - Caldero-Keller (finite type),
  - Geiss-Leclerc-Schröer (general, abstract).
- **Strategy**: Give an unified and explicit method to compute bases in cluster algebras using representation theory.

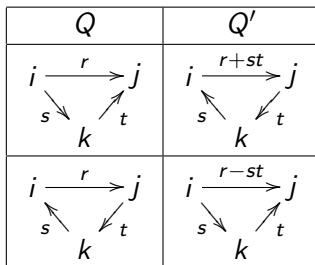
- 1 Cluster algebras and cluster categories
- 2 Affine cluster algebras
- 3 Generalized Chebyshev polynomials
- 4 Generic variables
- 5 Further directions

A *seed* is a pair  $(Q, \mathbf{x})$  such that:

- $Q = (Q_0, Q_1)$  is a quiver without loops and 2-cycles;
- $\mathbf{x} = (x_i : i \in Q_0)$  is a  $Q_0$ -tuple of indeterminates over  $\mathbb{Z}$ , called *cluster of the seed*  $(Q, \mathbf{x})$ .

# Mutation of seeds

For every  $k \in Q_0$ ,  $\mu_k(Q, \mathbf{x}) = (Q', \mathbf{x}')$  is the new seed given by:



and

$$\mathbf{x}' = \mathbf{x} \setminus \{x_k\} \sqcup \{x'_k\}$$

where

$$x_k x'_k = \prod_{i \rightarrow k \in Q_1} x_i + \prod_{k \rightarrow i \in Q_1} x_i.$$

We denote by  $(Q, \mathbf{x}) \sim_{\text{mut}} (R, \mathbf{y})$  the generated equivalence relation.

Let  $(Q, \mathbf{u})$  be a seed with  $Q$  acyclic.

## Definition

The cluster algebra  $\mathcal{A}(Q)$  with initial seed  $(Q, \mathbf{u})$  is

$$\mathcal{A}(Q) = \mathbb{Z}[x \mid x \in \mathbf{c} \text{ s.t. } (R, \mathbf{c}) \sim_{\text{mut}} (Q, \mathbf{u})] \subset \mathbb{Q}(\mathbf{u})$$

The  $\mathbf{c}$  occurring are called *the clusters of  $\mathcal{A}(Q)$* ,

The  $x \in \mathbf{c}$  are called *the cluster variables of  $\mathcal{A}(Q)$* .

$$\text{Cl}(Q) = \{\text{cluster variables}\}.$$

## Theorem, Fomin-Zelevinsky, 2001

$$\mathcal{A}(Q) \subset \mathbb{Z}[\mathbf{u}^{\pm 1}].$$

If  $x \in \mathbb{Z}[\mathbf{u}^{\pm 1}]$ , the *denominator vector*  $\text{den}(x) \in \mathbb{Z}^{Q_0}$  of  $x$  is given by

$$x = \frac{P(\mathbf{u})}{\mathbf{u}^{\text{den}(x)}}$$

in its irreducible form.



## Definition

A *cluster monomial* is a monomial in cluster variables belonging to a same cluster.

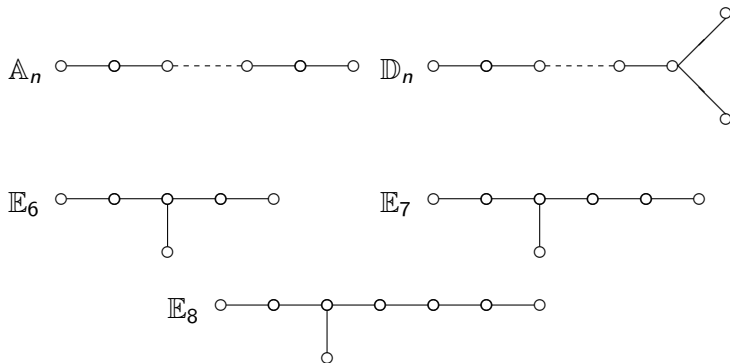
We set

$$\mathcal{M}(Q) = \{\text{cluster monomials in } \mathcal{A}(Q)\}.$$

## Definition

A cluster algebra  $\mathcal{A}(Q)$  is said to be of *finite type* if  $|\text{Cl}(Q)| < \infty$ .

# Simply-laced Dynkin diagrams



## Theorem, F.Z., 2002

$\mathcal{A}(Q)$  is of finite type if and only if  $Q$  is a Dynkin quiver.  
In this case,  $\text{den}$  induces a 1-1 correspondence

$$\text{den} : \text{Cl}(Q) \longrightarrow \Phi_{>0}(Q) \sqcup (-\Pi(Q)).$$

# A $\mathbb{Z}$ -basis in finite type

## Theorem, Caldero-Keller, 2005

If  $\mathcal{A}(Q)$  is of finite type, then  $\mathcal{M}(Q)$  is a  $\mathbb{Z}$ -basis in  $\mathcal{A}(Q)$ .

## Fact, Sherman-Zelevinsky

In general,  $\mathcal{M}(Q)$  does not span  $\mathcal{A}(Q)$ .

## Conjecture, Zelevinsky

In general,  $\mathcal{M}(Q)$  is linearly independent over  $\mathbb{Z}$ .

# The cluster category

Let  $k = \mathbb{C}$ ,  $Q$  be an acyclic quiver

$$kQ\text{-mod} \simeq \text{rep}(Q).$$

## Definition, BMRRT, 2004

The *cluster category* of  $Q$  is the orbit category of the auto-functor  $F = \tau^{-1}[1]$  in the bounded derived category  $D^b(kQ)$  of  $kQ\text{-mod}$ .

$$\mathcal{C}_Q = D^b(kQ)/F.$$

## Theorem, K, BMRRT, 2004

- $\mathcal{C}_Q$  is a triangulated category;
- $\text{Ext}_{\mathcal{C}_Q}^1(X, Y) \simeq D\text{Ext}_{\mathcal{C}_Q}^1(Y, X)$  (2-Calabi-Yau);
- $\text{ind}(\mathcal{C}_Q) = \text{ind}(kQ\text{-mod}) \sqcup \{P_i[1] : i \in Q_0\}$ .

# The quiver grassmannian

- Let  $M$  be a  $kQ$ -module and  $\mathbf{e} \in \mathbb{Z}^{Q_0}$ . We write

$$\mathrm{Gr}_{\mathbf{e}}(M) = \{N \subset M : \mathbf{dim} N = \mathbf{e}\}$$

*the quiver grassmannian.*

- We denote by  $\chi$  the Euler-Poincaré characteristic.

# The Caldero-Chapoton map

## Definition, Caldero-Chapoton

The *Caldero-Chapoton* map is the map  $X_{\cdot} : \text{Ob}(\mathcal{C}_Q) \rightarrow \mathbb{Z}[\mathbf{u}^{\pm 1}]$ :

- If  $M, N$  are in  $\text{Ob}(\mathcal{C}_Q)$ , then  $X_{M \oplus N} = X_M X_N$ ;
- If  $M \simeq P_i[1]$ , then  $X_{P_i[1]} = u_i$ ;
- If  $M$  is an indecomposable module, then

$$X_M = \sum_{\mathbf{e}} \chi(\text{Gr}_{\mathbf{e}}(M)) \prod_{i \in Q_0} u_i^{-\langle \mathbf{e}, \dim S_i \rangle - \langle \dim S_i, \dim M - \mathbf{e} \rangle}. \quad (1)$$

Equality (1) holds for any  $kQ$ -module  $M$ .



## Theorem, Caldero-Keller

$X_?$  induces a 1-1 correspondence

$$\{\text{indecomposable rigid objects in } \mathcal{C}_Q\} \xrightarrow{\sim} \text{Cl}(Q).$$

Moreover, the map

$$\begin{cases} \{\text{maximal rigid objects in } \mathcal{C}_Q\} & \xrightarrow{\sim} \{\text{clusters in } \mathcal{A}(Q)\} \\ T = \bigoplus_{i \in Q_0} T_i & \mapsto \{X_{T_i} : i \in Q_0\} \end{cases}$$

is a 1-1 correspondence.

## Corollary

$X_?$  induces a 1-1 correspondence

$$\{\text{rigid objects in } \mathcal{C}_Q\} \xrightarrow{\sim} \mathcal{M}(Q).$$

## Corollary

den induces a 1-1 correspondence

$$\text{Cl}(Q) \xrightarrow{\sim} \Phi^{\text{re,Sc}}(Q) \sqcup (-\Pi(Q))$$

# The one-dimensional multiplication formula

## Theorem, CK

Let  $M, N$  be indecomposable objects in  $\mathcal{C}_Q$  such that  $\dim \text{Ext}_{\mathcal{C}_Q}^1(M, N) = 1$ . Then

$$X_M X_N = X_B + X_{B'}$$

where  $B$  and  $B'$  are the unique objects such that there exists non-split triangles

$$M \longrightarrow B \longrightarrow N \longrightarrow M[1],$$

$$N \longrightarrow B' \longrightarrow M \longrightarrow N[1]$$

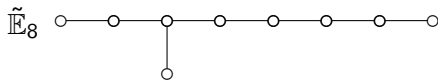
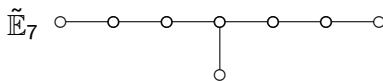
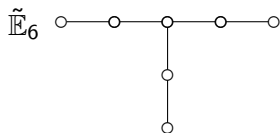
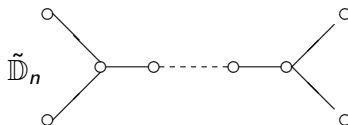
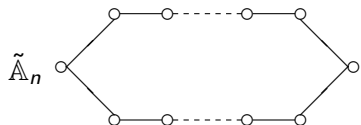
in  $\mathcal{C}_Q$ .

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- Finite-tame-wild classification theorem
- Affine quivers are minimal among representation-infinite quivers
- Representation theory of affine quivers is well-known

# Simply laced affine diagrams



## Definition

A quiver  $Q$  is called *affine* if it is acyclic and if its underlying diagram is an affine diagram.

## Definition

A cluster algebra  $\mathcal{A}(Q)$  is called *affine* if  $Q$  is an affine quiver.

$$\Phi_{>0}(Q) = \Phi_{>0}^{\text{re}}(Q) \sqcup \mathbb{N}^* \delta$$

$$\Phi^{\text{Sc}}(Q) = \Phi^{\text{re,Sc}}(Q) \sqcup \{\delta\}$$

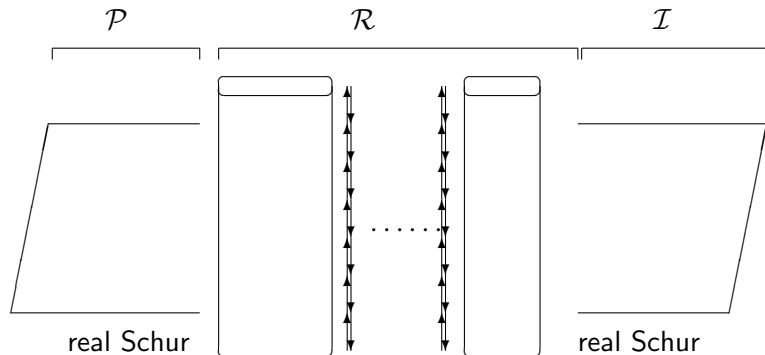
## Kac's theorem

Let  $\mathbf{d} \in \mathbb{N}^{Q_0}$ . Then

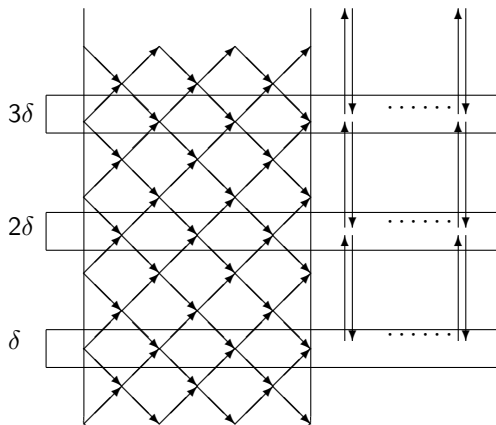
- $\exists M$  indecomposable in  $\text{rep}(Q, \mathbf{d})$  iff  $\mathbf{d} \in \Phi_{>0}(Q)$ ;
- $\exists ! M$  indecomposable in  $\text{rep}(Q, \mathbf{d})$  iff  $\mathbf{d} \in \Phi_{>0}^{\text{re}}(Q)$ ;
- There exists a 1-parameter family of pairwise non-isomorphic indecomposable representations in  $\text{rep}(Q, n\delta)$  for every  $n \geq 1$ .



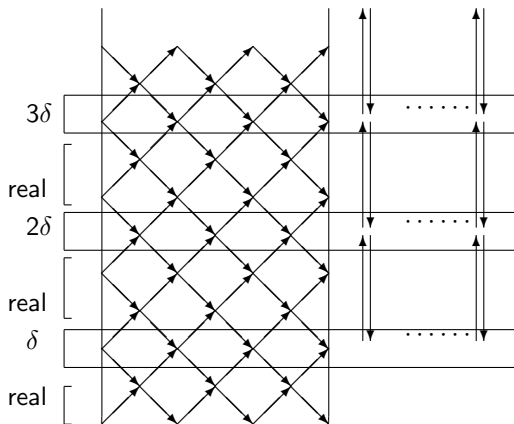
# The Auslander-Reiten quiver of $kQ\text{-mod}$



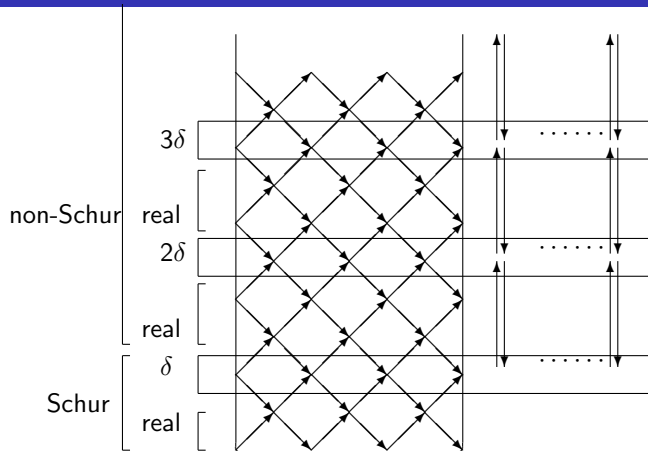
# Tubes in $\Gamma(kQ)$



# Tubes in $\Gamma(kQ)$



# Tubes in $\Gamma(kQ)$



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- **Problem:** Understand  $X_?$  on regular components.
- **Strategy:** Use the combinatorial description of regular components in order to have a *combinatorial* description of the behaviour of  $X_?$ .

# Generalized Chebyshev polynomials

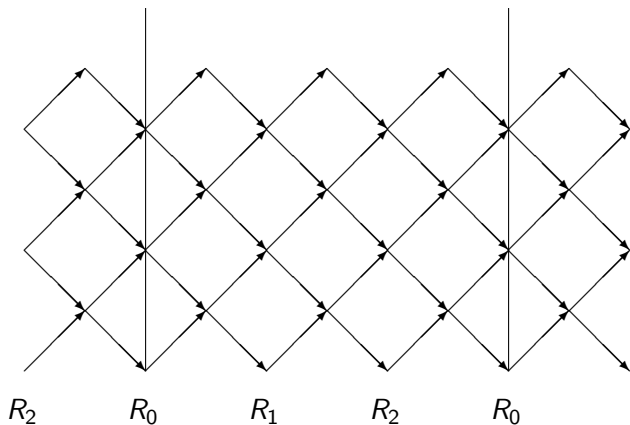
Let  $x_i, i \geq 1$  be indeterminates over  $\mathbb{Z}$ .

## Definition

The  $n$ -th generalized Chebyshev polynomial  $P_n$  is given by

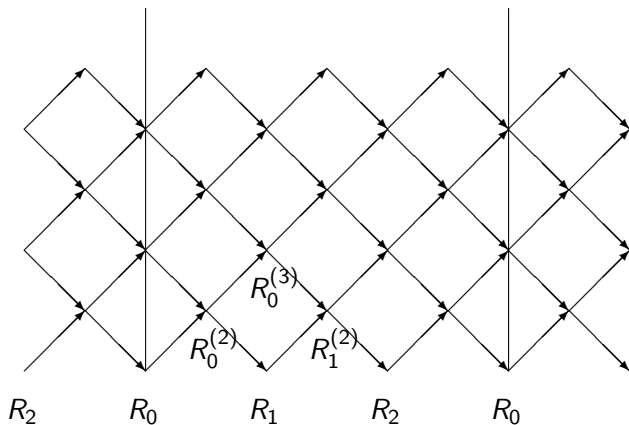
$$P_n(x_1, \dots, x_n) = \det \begin{bmatrix} x_n & 1 & & (0) \\ 1 & \ddots & \ddots & \\ & \ddots & \ddots & 1 \\ (0) & & 1 & x_1 \end{bmatrix} \in \mathbb{Z}[x_1, \dots, x_n]$$

# A tube

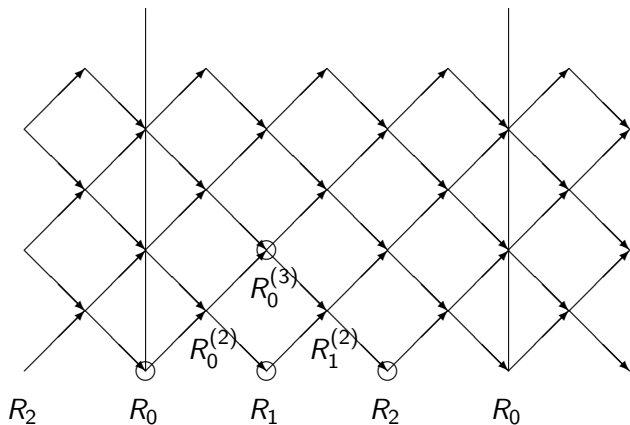




# A tube

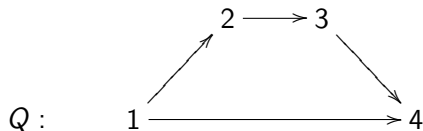


# A tube



# Example in type $\tilde{A}_{3,1}$

Let  $Q$  be an affine quiver of type  $\tilde{A}_{3,1}$ .



$\Gamma(kQ)$  contains an unique exceptional tube  $\mathcal{T}_0$  and  $\text{rg}(\mathcal{T}_0) = 3$ .

We denote by  $E_0, E_1, E_2$  the quasi-simple modules in  $\mathcal{T}_0$ .

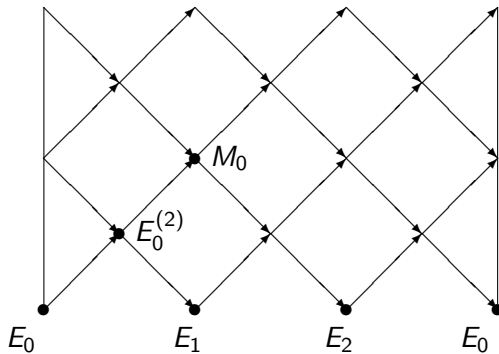
# Example: Quasi-simples in the exceptional tube of $\tilde{\mathbb{A}}_{3,1}$

$$E_0 : \begin{array}{ccccc} & & 0 & \longrightarrow & k \\ & \nearrow & & & \searrow 0 \\ 0 & & & \longrightarrow & 0 \end{array}$$

$$E_1 : \begin{array}{ccccc} & & k & \xrightarrow{0} & 0 \\ & \nearrow & & & \searrow \\ 0 & & & \longrightarrow & 0 \end{array}$$

$$E_2 : \begin{array}{ccccc} & & 0 & \longrightarrow & 0 \\ & \nearrow 0 & & & \searrow \\ k & & & \xrightarrow{1} & k \end{array}$$

# The exceptional tube of $\tilde{\mathbb{A}}_{3,1}$



# Variables in the exceptional tube of $\tilde{\mathbb{A}}_{3,1}$

$$x_0 = X_{E_0} = \frac{u_2 + u_4}{u_3}, \quad x_1 = X_{E_1} = \frac{u_1 + u_3}{u_2},$$
$$x_2 = X_{E_2} = \frac{1 + u_1 u_3 + u_2 u_4}{u_1 u_4}.$$

# Variables in the exceptional tube of $\tilde{\mathbb{A}}_{3,1}$

$$x_0 = X_{E_0} = \frac{u_2 + u_4}{u_3}, \quad x_1 = X_{E_1} = \frac{u_1 + u_3}{u_2},$$

$$x_2 = X_{E_2} = \frac{1 + u_1 u_3 + u_2 u_4}{u_1 u_4}.$$

$$X_{E_0^{(2)}} = \frac{u_1 u_2 + u_1 u_4 + u_3 u_4}{u_2 u_3}$$

# Variables in the exceptional tube of $\tilde{\mathbb{A}}_{3,1}$

$$x_0 = X_{E_0} = \frac{u_2 + u_4}{u_3}, \quad x_1 = X_{E_1} = \frac{u_1 + u_3}{u_2},$$

$$x_2 = X_{E_2} = \frac{1 + u_1 u_3 + u_2 u_4}{u_1 u_4}.$$

$$X_{E_0^{(2)}} = \frac{u_1 u_2 + u_1 u_4 + u_3 u_4}{u_2 u_3}$$

$$X_{M_0} = \frac{u_1^2 u_3 u_4 + u_1^2 u_2 u_3 + u_1 u_3^2 u_4 + u_1 u_4 + u_1 u_2 + u_3 u_4 + u_2 u_3 u_4^2}{u_1 u_2 u_3 u_4}$$



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- “**Generalizing**” cluster monomials,
- **Analogue** of the dual semicanonical basis.

## Lemma, D. 2008

Let  $Q$  be an acyclic quiver and  $\mathbf{d} \in \mathbb{N}^{Q_0}$ . Then, there exists an open dense subset  $U_{\mathbf{d}} \subset \text{rep}(Q, \mathbf{d})$  such that  $X_{\gamma}$  is constant over  $U_{\mathbf{d}}$ . We denote by  $X_{\mathbf{d}}$  the value of  $X_{\gamma}$  on this open subset.

# Definition of generic variables

## Definition

Let  $\mathbf{d} \in \mathbb{Z}^{Q_0}$ . We set

$$X_{\mathbf{d}} = X_{[\mathbf{d}]_+} \prod_{d_i < 0} u_i^{-d_i}$$

the *generic variable of dimension  $\mathbf{d}$* .

$$\mathcal{B}'(Q) = \left\{ X_{\mathbf{d}} : \mathbf{d} \in \mathbb{Z}^{Q_0} \right\}$$

## Proposition, D. 2008

Let  $Q$  be an acyclic quiver. Then

$$\mathcal{M}(Q) \subset \mathcal{B}'(Q).$$

Moreover, if  $Q$  is Dynkin, then

$$\mathcal{M}(Q) = \mathcal{B}'(Q).$$

## Proposition, D. 2008

Let  $Q$  be an acyclic quiver,  $\mathbf{d} \in \mathbb{N}^{Q_0}$  and  $\mathbf{d} = \mathbf{d}_1 \oplus \cdots \oplus \mathbf{d}_n$  its canonical decomposition. Then,

$$X_{\mathbf{d}} = \prod_{i=1}^n X_{\mathbf{d}_i}.$$

It thus suffices to compute  $X_{\mathbf{d}}$  for  $\mathbf{d} \in \Phi^{\text{Sc}}$ .

## Proposition, D. 2008

Let  $Q$  be an affine quiver and  $\mathbf{d} \in \Phi^{\text{Sc}}(Q)$ .

- If  $\mathbf{d} \in \Phi^{\text{Sc, re}}(Q)$ , then  $X_{\mathbf{d}} \in \mathcal{M}(Q)$ ;
- Otherwise,  $\mathbf{d} = \delta$  and  $X_{\delta} = X_{M_{\lambda}}$  for any  $\lambda \in \mathbb{P}_0^1$ .

## Corollary, D. 2008

Let  $Q$  be an affine quiver. Then,

$$\mathcal{B}'(Q) = \mathcal{M}(Q) \sqcup \{X_{\delta}^n X_E : n \geq 1, E \in \mathcal{E}_R\}$$

# The difference property

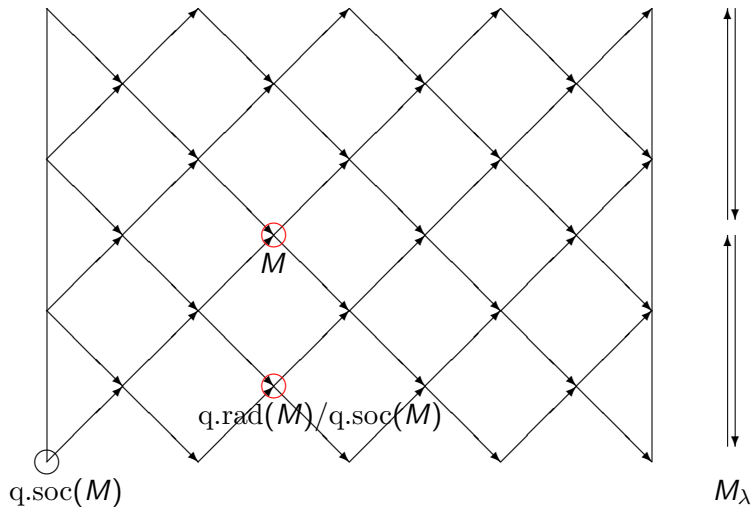
## Definition

Let  $Q$  be an affine quiver. We say that  $Q$  *satisfies the difference property* if for every indecomposable  $kQ$ -modules  $M, M_\lambda$  in  $\text{rep}(Q, \delta)$  belonging respectively to an exceptional and an homogeneous tube, we have:

$$X_{M_\lambda} = X_M - X_{\text{q.rad}M/\text{q.soc}M}.$$



# The difference property



# The difference property for type $\tilde{A}$

## Theorem, D. 2008

Let  $Q$  be an affine quiver of type  $\tilde{A}$ . Then  $Q$  satisfies the difference property.

## Conjecture

Every affine quiver satisfies the difference property.

## Lemma, D. 2008

Let  $Q$  be an affine quiver satisfying the difference property. Then,

$$\mathbb{Z}[X_M : M \in \text{Ob}(\mathcal{C}_Q)] = \mathcal{A}(Q).$$

## Corollary, D. 2008

Let  $Q$  be an affine quiver satisfying the difference property. Then,

$$\mathcal{B}'(Q) \subset \mathcal{A}(Q).$$

# The semicanonical basis

## Theorem, D. 2008

Let  $Q$  be an affine quiver such that every quiver reflection-equivalent to  $Q$  satisfies the difference property. Then,  $\mathcal{B}'(Q)$  is a  $\mathbb{Z}$ -basis in  $\mathcal{A}(Q)$ .

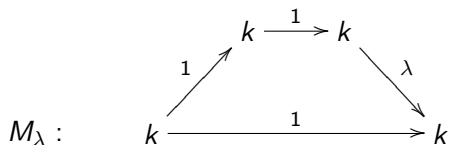
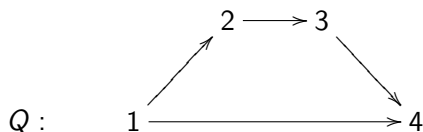
## Corollary, D. 2008

Let  $Q$  be an affine quiver of type  $\tilde{\mathbb{A}}$ . Then,  $\mathcal{B}'(Q)$  is a  $\mathbb{Z}$ -basis in  $\mathcal{A}(Q)$ .

## Conjecture

Let  $Q$  be an affine quiver. Then,  $\mathcal{B}'(Q)$  is a  $\mathbb{Z}$ -basis in  $\mathcal{A}(Q)$ .

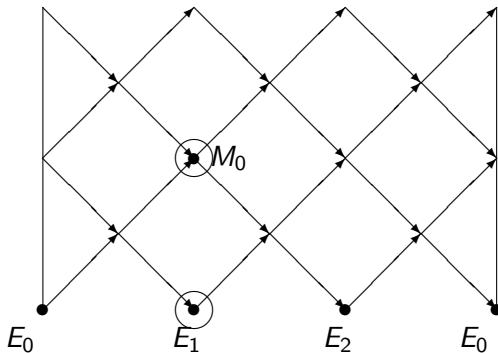
# Representations in $\text{rep}(Q, \delta)$ for $\tilde{\mathbb{A}}_{3,1}$



If  $\lambda \neq 0$ ,  $M_\lambda$  is a quasi-simple in an homogeneous tube.  
 $M_0$  is in  $\mathcal{T}_0$  and

$$\text{q.soc} M_0 \simeq E_0, \quad \text{q.rad} M_0 \simeq E_0^{(2)}.$$

# The exceptional tube of $\tilde{A}_{3,1}$



# Example of difference property for type $\tilde{A}_{3,1}$

<b>e</b>	0	[0001]	[0010]	[0110]	[0011]	[0111]	[1111]
$\text{Gr}_{\mathbf{e}}(M_0)$	0	$S_4$	$E_0$	$E_0^{(2)}$	$E_0 \oplus S_4$	$E_0^{(2)} \oplus S_4$	$M_0$
$\chi(\text{Gr}_{\mathbf{e}}(M_0))$	1	1	1	1	1	1	1
$\text{Gr}_{\mathbf{e}}(M_\lambda)$	0	$S_4$	$\emptyset$	$\emptyset$	$P_3$	$P_2$	$M_\lambda$
$\chi(\text{Gr}_{\mathbf{e}}(M_\lambda))$	1	1	0	0	1	1	1

# Example of difference property for type $\tilde{A}_{3,1}$

<b>e</b>	0	[0001]	[0010]	[0110]	[0011]	[0111]	[1111]
$\text{Gr}_e(M_0)$	0	$S_4$	$E_0$	$E_0^{(2)}$	$E_0 \oplus S_4$	$E_0^{(2)} \oplus S_4$	$M_0$
$\chi(\text{Gr}_e(M_0))$	1	1	1	1	1	1	1
$\text{Gr}_e(M_\lambda)$	0	$S_4$	$\emptyset$	$\emptyset$	$P_3$	$P_2$	$M_\lambda$
$\chi(\text{Gr}_e(M_\lambda))$	1	1	0	0	1	1	1

$$X_{M_0} = \frac{u_1^2 u_3 u_4 + u_1^2 u_2 u_3 + u_1 u_3^2 u_4 + u_1 u_4 + u_1 u_2 + u_3 u_4 + u_2 u_3 u_4^2}{u_1 u_2 u_3 u_4}$$

$$X_{M_\lambda} = \frac{u_1^2 u_2 u_3 + u_1 u_2 + u_1 u_4 + u_3 u_4 + u_2 u_3 u_4^2}{u_1 u_2 u_3 u_4}$$

$$X_{M_0} = X_{M_\lambda} + \frac{u_2 + u_4}{u_3} = X_{M_\lambda} + X_{E_0}$$



# The semicanonical basis of $\mathcal{A}(\tilde{\mathbb{A}}_{3,1})$

$$\begin{aligned}x_0 &= X_{E_0}, x_1 = X_{E_1}, x_2 = X_{E_2}, \\y_0 &= X_{E_0^{(2)}}, \quad y_1 = X_{E_1^{(2)}}, \quad y_2 = X_{E_2^{(2)}}, \\z &= X_{M_\lambda}\end{aligned}$$

Alors,

$$\mathcal{B}'(Q) = \mathcal{M}(Q) \sqcup \{z^n x_i^r y_i^s : n > 0, r, s \geq 0, i = 0, 1, 2\}$$

est une  $\mathbb{Z}$ -base de  $\mathcal{A}(Q)$ .

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# Further directions

- Canonical bases for affine quivers,
- Cluster algebras with coefficients,
- Semicanonical bases for wild quivers,
- Connections with the dual semicanonical basis.