# The graph rewriting calculus: confluence and expressiveness

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Soutenance de thèse

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## Term rewriting systems

A tool for reasoning about computation

- $\blacktriangleright$  composed by a set of terms  ${\mathcal T}$  and a set of rules  ${\mathcal R}$
- use matching and substitutions for evaluation

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Modelling addition by means of rewrite rules:

$$\mathcal{R} = \begin{cases} R_0: 0+x \rightarrow x \\ R_1: s(x)+y \rightarrow s(x+y) \end{cases}$$

Term reduction:

$$1+2 = s(0)+s(s(0)) \rightarrow_{R_1} s(0+s(s(0))) \rightarrow_{R_0} s(s(s(0))) = 3$$

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# $\lambda$ -calculus

#### A calculus for modeling functionality

- functions are first-class citizens
- explicit application operator

$$(\lambda x.s x) (0+s s 0) \longrightarrow_{\beta} s (0+s s 0)$$

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Encoding of addition:  $\lambda np.(\lambda fx.p f(n f x))$ 

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### Limits

#### Rewriting is nice, but

- the rewrite relation is difficult to control
- non-reducibility cannot be expressed syntactically

#### Lambda-calculus is great, but

- lacks of discrimination capabilities
- non trivial encoding of data

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# Higher-order rewriting

#### Combination of *TRS* and $\lambda$ -calculus

- Algebraic extensions of λ-calculus [Breazu-Tannen, Gallier88] [Okada89]
- Term rewrite systems with abstraction [Klop80,Nipkow90,Wolfram93]

# Higher-order rewriting

#### Combination of *TRS* and $\lambda$ -calculus

- Algebraic extensions of λ-calculus [Breazu-Tannen, Gallier88] [Okada89]
- Term rewrite systems with abstraction [Klop80,Nipkow90,Wolfram93]
- The Combinatory Reduction Systems (CRS) [Klop80]

# The rewriting calculus [Cirstea,Kirchner00]

#### A higher-order calculus with more explicit features

- rules are first class objects
- application is explicit
- decision of redex reduction is explicit
- results are defined at the object level

# The rewriting calculus [Cirstea,Kirchner00]

A higher-order calculus with more explicit features

- rules are first class objects
- application is explicit
- decision of redex reduction is explicit
- results are defined at the object level
- expressiveness: λ-calculus, TRS[CLW03], objet calculi [CKL01], CRS [BCK03], ...
- extension with explicit substitutions: the  $\rho_x$ -calculus [CFK04]

### From terms to term-graphs

improve efficiency

- $\Rightarrow$  save space (sharing terms)
- $\Rightarrow$  save time (reduce only once)



#### From terms to term-graphs



- $\Rightarrow$  save space (sharing terms)
- $\Rightarrow$  save time (reduce only once)

improve expressiveness

 $\Rightarrow$  infinite regular data

structures





# Term graph rewriting: different approaches

- implementation oriented approach (pointers, redirections) [Barendregt et al.87],[Plump98],[Kennaway94],...
- categorical approach (push-out diagrams) [CorradiniDrewes97],[Montanari,Corradini,Gadducci95], ...
- equational representation (set of recursive equations) [Ariola,Klop96], . . .
  - Cyclic  $\lambda$ -calculus ( $\lambda_{Cyc}$ ) [Ariola,Klop97]



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- ⊃ Aim: define a generalised calculus to deal with
  - terms with sharing and cycles and pattern matching



- ⊃ Aim: define a generalised calculus to deal with
  - terms with sharing and cycles and pattern matching
- How: by means of
  - recursion equations and explicit matching constraints

# Outline

#### $\rho$ -calculus

#### ρ<sub>g</sub>-calculus Syntax Semantics Properties Expressiveness

#### Conclusions

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# The $\rho$ -calculus syntax

**Terms** 
$$T$$
 ::=

 $= \mathcal{X} \qquad (Variables) \\ | \mathcal{K} \qquad (Constants) \\ | \mathcal{T} \to \mathcal{T} \qquad (Abstraction) \\ | \mathcal{T} \mathcal{T} \qquad (Application) \\ | \mathcal{T} \wr \mathcal{T} \qquad (Structure) \\ | \mathcal{T}[\mathcal{T} \ll \mathcal{T}] \qquad (Delayed matching constraint) \\ \end{cases}$ 

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## The $\rho$ -calculus syntax

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$$T ::=$$

 $\begin{array}{ll} & \mathcal{X} & (Variables) \\ | & \mathcal{K} & (Constants) \\ | & \mathcal{T} \to \mathcal{T} & (Abstraction) \\ | & \mathcal{T} \mathcal{T} & (Application) \\ | & \mathcal{T} \wr \mathcal{T} & (Structure) \\ | & \mathcal{T}[\mathcal{T} \ll \mathcal{T}] & (Delayed matching constraint) \end{array}$ 

 $f(x) \rightarrow x$ a standard rewrite rule $(f(x) \rightarrow x) f(a)$ application of the rule  $f(x) \rightarrow x$  to the term f(a) $x[f(x) \ll f(a)]$ the term x constrained by a matching problem

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# The Reduction Semantics

- $(\rho) \quad (\mathcal{T}_1 woheadrightarrow \mathcal{T}_2)\mathcal{T}_3 \qquad \mapsto_{\rho} \qquad \mathcal{T}_2[\mathcal{T}_1 \ll \mathcal{T}_3]$
- $(\sigma) \quad \mathcal{T}_2[\mathcal{T}_1 \ll \mathcal{T}_3] \quad \mapsto_{\sigma} \quad \sigma_{(\mathcal{T}_1 \prec_{\leqslant} \mathcal{T}_3)}(\mathcal{T}_2)$
- $(\delta) \quad (\mathcal{T}_1 \wr \mathcal{T}_2) \, \mathcal{T}_3 \qquad \mapsto_{\delta} \qquad \mathcal{T}_1 \, \mathcal{T}_3 \wr \mathcal{T}_2 \, \mathcal{T}_3$
- (ρ) applying T<sub>1</sub> → T<sub>2</sub> to T<sub>3</sub> reduces to the delayed matching constraint T<sub>2</sub>[T<sub>1</sub> ≪ T<sub>3</sub>]
- $(\sigma)$  computes  $\mathcal{T}_1 \prec_{\emptyset} \mathcal{T}_3$  and applies the result  $\sigma$  to the the term  $\mathcal{T}_2$
- (δ) deals with the distributivity of the application on the structures built with the "¿" constructor

# Example of $\rho$ -reduction

# • $(x \rightarrow f(x)) a \mapsto_{\rho} f(x)[x \ll a] \mapsto_{\sigma} f(a)$

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# Example of $\rho$ -reduction

► 
$$(x \rightarrow f(x)) a \mapsto_{\rho} f(x)[x \ll a] \mapsto_{\sigma} f(a)$$

$$(f(x,y) \rightarrow g(x,y)) f(a,b) \mapsto_{\rho} g(x,y)[f(x,y) \ll f(a,b)]$$
$$\mapsto_{\sigma} \{a/x, b/y\}g(x,y) = g(a,b)$$

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► 
$$(f(a) \rightarrow a \wr f(a) \rightarrow b) f(a)$$
  
 $\mapsto_{\delta} (f(a) \rightarrow a) f(a) \wr (f(a) \rightarrow b) f(a) \mapsto_{\rho\sigma} a \wr b$ 

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# The $\rho$ -calculus syntax

Terms	T :	:=	$\mathcal{X}$	(Variables)
			$\mathcal{K}$	(Constants)
			$\mathcal{T} \twoheadrightarrow \mathcal{T}$	(Abstraction)
			T T	(Application)
			$\mathcal{T}\wr\mathcal{T}$	(Structure)
			$T[T \ll T]$	(Matching constraint)

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# The $\rho_{g}$ -calculus syntax [BBCK04]

Terms	${\mathcal G}$ ::= $\lambda$	م	(Variables)	
	<i>K</i>		(Constants)	
	9	$\mathcal{G} \twoheadrightarrow \mathcal{G}$	(Abstraction)	
	9	$\mathcal{G}$	(Application)	
	9	$\wr \mathcal{G}$	(Structure)	
	9	[C]	(Constraint application)	

where "," is ACI with neutral element  $\epsilon$ .

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# Some $\rho_{g}$ -terms



$$f(x,y) [x = g(y), y = g(x)]$$



$$x [x = (1:x)]$$

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## Some $\rho_{g}$ -terms







x [x = (1:x)]

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# Some $\rho_{g}$ -terms





$$f(x, y) [x = g(y), y = g(x)] \qquad x [x = (1:x)]$$
  
~  $f(x, y) [y = g(x), x = g(y)]$ 

$$\sim f(x, y) [y = \frac{g(x)}{g(x)}, x = \frac{g(y)}{\epsilon}]$$

#### Remark:

we work on equivalence classes of terms.

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# Some $\rho_{g}$ -terms: patterns

$$() \\ s(x) \\ s($$

$$(y+y) [y = s(x)] \rightarrow s(x)$$

Some  $\rho_{g}$ -terms: patterns

$$(y+y) [y = s(x)] \rightarrow s(x)$$

#### Remark:

patterns are algebraic acyclic terms.

 $\mathcal{A} ::= \mathcal{X} \mid \mathcal{K} \mid (((f \mathcal{A}) \mathcal{A}) \dots) \mathcal{A} \mid \mathcal{A} [\mathcal{X} = \mathcal{A}, \dots, \mathcal{X} = \mathcal{A}]$ 

### Some $\rho_{g}$ -terms: patterns



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terms without constraints: trees

- terms without constraints: trees
- terms with recursion equations

$$\begin{array}{c} \begin{pmatrix} f \\ g \end{pmatrix} \\ x : & g \\ y : & i \\ \end{array}$$

$$f(x,x) [x = g(y), y = i(y)]$$

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- terms without constraints: trees
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$$f(x,x) [x = g(y), y = i(y)]$$

#### terms with match equations ?

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$$f(x,y) [x = h(x), y \ll g(a)]$$

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# The main rules of the $\rho_{\rm g}$ -calculus semantics Basic rules:

$$\begin{array}{ll} (\rho) & (G_1 \rightarrow G_2) \ G_3 & \rightarrow_{\rho} & G_2 \ [G_1 \ll G_3] \\ (\delta) & (G_1 \wr G_2) \ G_3 & \rightarrow_{\delta} & G_1 \ G_3 \wr G_2 \ G_3 \end{array}$$

Example:



 $(twice(x) \rightarrow x+x) twice(z) [z = i(z)]$ 

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Example:



$$(twice(x) \rightarrow x+x) \quad twice(z) \quad [z = i(z)]$$
  
$$\mapsto_{\rho} \quad x+x \quad [twice(x) \ll twice(z) \quad [z = i(z)]]$$

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# The main rules of the $\rho_{\rm g}$ -calculus semantics BASIC RULES +

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MATCHING RULES:

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#### The main rules of the $\rho_{\rm g}$ -calculus semantics (2/3) BASIC RULES +

MATCHING RULES:

propagate	$G_1 \ll (G_2 \ [E_2])$	$\rightarrow_{p}$	$G_1 \ll G_2, E_2$	if $G_1 \not\in \mathcal{X}$
decomp	$K(G_1,\ldots,G_n) \ll K(G'_1,\ldots,G'_n)$	→dk	$G_1 \ll G_1', \ldots$	$, G_n \ll G'_n$
eliminate	$K \ll K, E$	$\rightarrow_{e}$	Ε	
solved	$x \ll G, E$	$\rightarrow_{s}$	x = G, E if	$f x \notin \mathcal{DV}(E)$

Example (continue):

$$\begin{array}{rcl} (\textit{twice}(x) & \rightarrow & x+x) & \textit{twice}(z) & [z=i(z)] \\ \mapsto_{\rho} & x+x & [\textit{twice}(x) & \ll & \textit{twice}(z) & [z=i(z)]] \end{array}$$

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Example (continue):

$$\begin{array}{rcl} (twice(x) & \rightarrow & x+x) & twice(z) & [z=i(z)] \\ \mapsto_{\rho} & x+x & [twice(x) \ll & twice(z) & [z=i(z)]] \\ \mapsto_{\rho} & x+x & [twice(x) \ll & twice(z), & z=i(z)] \\ \mapsto_{dk} & x+x & [x \ll z, & z=i(z)] \\ \mapsto_{s} & x+x & [x=z, & z=i(z)] \end{array}$$

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# The main rules of the $\rho_{g}$ -calculus semantics

Basic rules + Matching rules +

GRAPH RULES:

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# The main rules of the $\rho_{g}$ -calculus semantics

Basic rules + Matching rules +

GRAPH RULES:

 $\begin{array}{lll} \mbox{external sub} & \mbox{Ctx}[y] \; [y = G, E] & \longrightarrow_{es} & \mbox{Ctx}[G] \; [y = G, E] \\ \mbox{acyclic sub} & G \; [G_0 \lll \mbox{Ctx}[y], y = G_1, E] & \rightarrow_{ac} & G \; [G_0 \lll \mbox{Ctx}[G_1], y = G_1, E] \\ \mbox{where} & \ensuremath{\ll} \in \{=, \ll\} \\ \mbox{garbage} & G \; [E, x = G'] & \rightarrow_{gc} & G \; [E] \\ & \mbox{if } x \notin \mathcal{FV}(E) \cup \mathcal{FV}(G) \end{array}$ 

Example:

$$(twice(x) \implies x+x) \ twice(z) \ [z=i(z)]$$
$$\mapsto_{\mathcal{F}} x+x \ [x = z, \ z=i(z)]$$

# The main rules of the $\rho_{g}$ -calculus semantics

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GRAPH RULES:

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Example:

$$(twice(x) \implies x+x) \ twice(z) \ [z=i(z)]$$

$$\mapsto_{gc} x+x \ [x = z, \ z=i(z)]$$

$$\mapsto_{gc} (z+z) \ [z=i(z)]$$

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# Sharing reduction strategy

Perform a step of reduction using (external sub) or (acyclic sub) if:

 it instantiates a variable in active position by an abstraction or a structure,

$$x a [x = f(x) \rightarrow x]$$

or it instantiates a variable in a stuck match equation,

$$a [a \ll y, y = a]$$

or it instantiates a variable by a variable.

$$z + z \ [\mathbf{z} = \mathbf{x}, x = 1]$$

## Multiplication example: the $\rho$ -reduction



$$(x * s(y) \implies x * y + x) \quad 1 * s(1)$$

$$\mapsto_{p} \quad [x * s(y) \ll 1 * s(1)] \quad (x * y + x)$$

$$\mapsto_{\sigma} \quad \{1/x, 1/y\}(x * y + x)$$

$$= \quad 1 * 1 + 1$$



# Multiplication in the $\rho_{\rm g}$ -calculus



$$(x * s(y) \rightarrow x * y + x) z * s(z) [z = 1]$$

# Multiplication in the $\rho_{\rm g}$ -calculus



$$(x * s(y) \rightarrow x * y + x) z * s(z) [z = 1]$$

$$\mapsto_{\rho} x * y + x [x * s(y) \ll z * s(z) [z = 1]]$$

$$\mapsto_{\rho} x * y + x [x * s(y) \ll z * s(z), z = 1]$$

$$\mapsto_{dk} x * y + x [x \ll z, y \ll z, z = 1]$$

$$\mapsto_{s} x * y + x [x = z, y = z, z = 1]$$

$$\mapsto_{es} (z * z + z) [x = z, y = z, z = 1]$$

$$\mapsto_{gc} (z * z + z) [z = 1]$$



# Matching example - Non-linearity

Success:

$$f(y,y) \ll f(a,a)$$

 $\mapsto_{s} y = a$ 

Failure:

$$f(x,x) \ll f(a,b)$$
  
 $\rightarrow_{dk} \quad x \ll a, x \ll b$ 

The reduction is stuck: the condition  $x \notin DV(E)$  is not satisfied.

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# Confluence of the linear $\rho_{g}$ -calculus [Ber05]

Any reductions starting from two joinable terms converge to two equivalent terms.



- Linearity: we restrict to a  $\rho_{g}$ -calculus with linear patterns.
- The congruence  $\sim$  is induced by *AC*1, avoiding *I*.

# Non triviality of the proof

- non termination of the system.
- reductions on equivalent classes of terms.
- need of adapting and combining existing techniques
  - properties of equational rewriting adapted to terms with constraints.
  - "finite developments method" of the classical  $\lambda$ -calculus.
  - Compatibility property:



# Proof sketch (1/2)

- ► the  $\Sigma$ -rules: ( $\delta$ )  $\cup$  (external sub)  $\cup$  (acyclic sub)
- ▶ t he  $\tau$ -rules: ( $\rho$ )  $\cup$  MATCHING RULES  $\cup$  (garbage)

prove  $\textit{CON}_{\sim}$  for  $\Sigma$ 

prove  $\textit{CON}_{\sim}$  for au

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- ▶ the  $\Sigma$ -rules: ( $\delta$ )  $\cup$  (external sub)  $\cup$  (acyclic sub)
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# Proof sketch (2/2)

- 1.  $CON_{\sim}$  for  $\tau$ : using *local confluence* and *termination* of the relation and the *compatibility* property
- 2. CON $_{\sim}$  for  $\Sigma$ : using the *finite developments* method of the  $\lambda$ -calculus adapted to  $\Sigma$
- 3.  $CON_{\sim}$  for  $(\Sigma \cup \tau)$ : using a *commutation* lemma for the two relations and the *compatibility* property

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# Proof sketch (2/2)

- 1.  $CON_{\sim}$  for  $\tau$ : using *local confluence* and *termination* of the relation and the *compatibility* property
- 2. CON $_{\sim}$  for  $\Sigma$ : using the finite developments method of the  $\lambda\text{-calculus}$  adapted to  $\Sigma$
- 3.  $CON_{\sim}$  for  $(\Sigma \cup \tau)$ : using a *commutation* lemma for the two relations and the *compatibility* property

**Theorem**: The linear  $\rho_{g}$ -calculus is *Church-Rosser* modulo *AC*1.

# Expressiveness of the $\rho_{\rm g}$ -calculus

- Conservativity of the  $\rho_{g}$ -calculus vs  $\rho$ -calculus
- Conservativity of the \(\rho\_g\)-calculus vs cyclic lambda
- Relationship with term graph rewriting

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## Conservativity of the $\rho_{g}$ -calculus vs $\rho$ -calculus

• Matching: Given a matching problem  $T \ll U$  with T a linear  $\rho$ -term, and a substitution  $\sigma = \{x_1/U_1, \dots, x_n/U_n\}$ .

 $\sigma(U) = T$  if and only if  $T \ll U \mapsto M x_1 = U_1, \dots, x_n = U_n$ 

## Conservativity of the $\rho_{\rm g}$ -calculus vs $\rho$ -calculus

• Matching: Given a matching problem  $T \ll U$  with T a linear  $\rho$ -term, and a substitution  $\sigma = \{x_1/U_1, \dots, x_n/U_n\}$ .

 $\sigma(U) = T \text{ if and only if } T \ll U \rightarrowtail_{\mathcal{M}} x_1 = U_1, \dots, x_n = U_n$ 

- Completeness: If  $T \mapsto_{\rho\sigma} T'$  in the  $\rho$ -calculus then  $T \mapsto_{\rho g} T'$  in the  $\rho_g$ -calculus.
- Soundness: Given a ρ-term T. If T →<sub>ρg</sub> T' in the ρ<sub>g</sub>-calculus and T' contains no constraints, then T →<sub>ρδ</sub> T' in the ρ-calculus.

# Matching failures in $\rho$ -calculus and $\rho_{g}$ -calculus

 $\begin{array}{ll} \rho \text{-calculus} & (f(a) \rightarrow b) \ f(c) \\ \mapsto_{\rho} & b[f(a) \ll f(c)] \end{array}$ 

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# Matching failures in $\rho$ -calculus and $\rho_{g}$ -calculus

$$\begin{array}{ll} \rho \text{-calculus} & (f(a) \rightarrow b) \ f(c) \\ \mapsto_{\rho} & b[f(a) \ll f(c)] \end{array}$$

$$\begin{array}{ll} \rho_{g}\text{-calculus} & (f(a) \rightarrow b) \ f(c) \\ \mapsto_{\rho} & b \ [f(a) \ll f(c)] \\ \mapsto_{dk} & b \ [a \ll c] \end{array}$$

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# Conservativity of the $\rho_{g}$ -calculus vs cyclic lambda

Translation from a cyclic λ-term t to a ρ<sub>g</sub>-term [t];

#### Completeness:

If  $t_1 \mapsto_{\lambda c} t_2$  in the cyclic  $\lambda$ -calculus, then  $[t_1] \mapsto_{\beta g} [t_2]$  in the  $\rho_g$ -calculus.

#### Soundness:

If  $T_1 \mapsto_{\mathcal{T}_g} T_2$  in the  $\rho_g$ -calculus, with  $T_1 = \llbracket t_1 \rrbracket$  and  $T_2$  without matching constraints, then we have  $t_1 \mapsto_{\lambda_c} t_2$  with  $\llbracket t_2 \rrbracket = T_2$ .

# $\rho_{\rm g}\text{-}{\rm calculus}$ vs TGR

- Matching: the Matching rules well-behaves w.r.t. the notion of graph homomorphism
- ► Completeness: If  $G_0 \mapsto G_n$  in a *TGR*, then there exist *n*  $\rho_g$ -terms  $H_1, \ldots, H_n$ , built from the *TGR* reduction, such that  $(H_1 \ldots (H_n G_0)) \mapsto_{\mathcal{H}_S} G'_n$  with  $G'_n$  homomorphic to  $G_n$

#### Soundness:

If  $G_{\lceil (L \to R) \ G' \rceil} \mapsto_{\mathcal{H}} G_{\lceil H \rceil}$  with G, G', H, L, R term graphs and L linear, then  $G[G'] \mapsto G[H']$  using the rule (L, R) in the TGR, with H' homomorphic to H.

## General soundness *w.r.t.* TGR does not hold

Consider the  $ho_{g}$ -term

$$f((a \rightarrow b) x, (a \rightarrow c) x) [x = a]$$

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## General soundness *w.r.t.* TGR does not hold

Consider the 
$$\rho_{g}$$
-term  $f((a \rightarrow b) x, (a \rightarrow c) x) [x = a]$   
 $\mapsto_{fg} f(b, c)$ 

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## General soundness *w.r.t.* TGR does not hold

Consider the 
$$\rho_{g}$$
-term  $f((a \rightarrow b) x, (a \rightarrow c) x) [x = a]$   
 $\mapsto_{fg} f(b, c)$ 

#### In a TGR we have no corresponding reduction



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# Conclusions

Expressive capabilities of the rewriting calculus:

ρ-calculus and higher-order rewriting (CRS<sub>s</sub>)

•  $\rho$ -calculus with graph-like structures

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## $\rho$ -calculus vs CRS

- ► Characterisation of CRS matching and all its solutions.
  - Treat CRS matching as  $\lambda$ -calculus higher-order matching
  - $\blacktriangleright$  Translations from a  $_{\rm CRS}$  to simply typed  $\lambda\text{-calculus}$  and back
  - Completeness and correctness of the approach
     Uniqueness and decidability of CRS pattern matching
- Encoding of CRS derivations into the  $\rho$ -calculus.
  - ► Translation function [\_]
  - Preservation of matching solutions
  - Given a CRS-derivation t<sub>0</sub> → R t<sub>n</sub> there exists a ρ-term T, built from this derivation, such that any reduction of T terminates and converges to [[t<sub>n</sub>]]

 $\rho$ -calculus vs CRS: perspectives

- encoding a CRS in the ρ-calculus directly from its set of rewrite rules (following [CLW03])
- encoding the *ρ*-calculus into CRSs

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# Conclusions on the $\rho_{\rm g}$ -calculus

A generalisation of the cyclic  $\lambda$ -calculus with matching facilities

- representation of regular infinite entities
- higher-order capabilities
- explicit matching at the object-level
- Properties: Confluence of the linear  $\rho_{g}$ -calculus,
- Relation with other formalisms:
  - Conservativity w.r.t. the standard ρ-calculus and the cyclic λ-calculus
  - Simulation of first-order term-graph rewriting

## Perspectives

- Matching: generalisation to cyclic left-hand sides
- Adequacy w.r.t. an infinitary version of the ρ-calculus
- Implementation in TOM (http://tom.loria.fr)
- Applications: semantic web, telecom network, bio-informatics, ...

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